

# RECURSION

(download slides and .py files to follow along)

6.100L Lecture 15

Ana Bell

# ITERATIVE ALGORITHMS SO FAR

- Looping constructs (`while` and `for` loops) lead to **iterative** algorithms
- Can capture computation in a set of **state variables** that update, based on a set of rules, on each iteration through loop
  - What is **changing each time** through loop, and how?
  - How do I **keep track** of number of times through loop?
  - When can I **stop**?
  - Where is the **result** when I stop?

# MULTIPLICATION

- The \* operator does this for us
- Make a function

```
def mult(a, b):  
    return a*b
```

# MULTIPLICATION

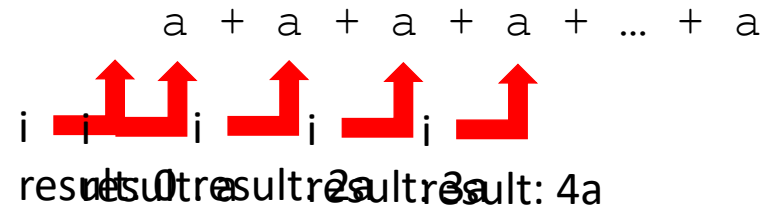
## THINK in TERMS of ITERATION

- Can you make this iterative?
- Define  $a*b$  as  $a+a+a+a\dots$   $b$  times
- Write a function

```
def mult(a, b):  
    total = 0  
    for n in range(b):  
        total += a  
    return total
```

# MULTIPLICATION – ANOTHER ITERATIVE SOLUTION

- “multiply  $a * b$ ” is equivalent to “add  $b$  copies of  $a$ ”



- Capture **state** by

Update  
rules

- An **iteration** number ( $i$ ) starts at  $b$   
 $i \leftarrow i - 1$  and stop when 0
- A current **value of computation** ( $result$ ) starts at 0  
 $result \leftarrow result + a$

```
def mult_iter(a, b):
    result = 0
    while b > 0:
        result += a
        b -= 1
    return result
```

# MULTIPLICATION

## NOTICE the RECURSIVE PATTERNS

- Recognize that we have a problem we are solving many times
- If **a = 5** and **b = 4**
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers

- $5 * 4$  is  $5 + 5 * 3$
- But this is  $5 + 5 + 5 * 2$
- And this is  $5 + 5 + 5 + 5 * 1$

Original problem

A very similar problem with one small change

# MULTIPLICATION

## FIND SMALLER VERSIONS of the PROBLEM

- Recognize that we have a problem we are solving many times
- If  $a = 5$  and  $b = 4$ 
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers

- $5 * 4$

- $= 5 + ( 5 * 3 )$

- $= 5 + ( 5 + ( 5 * 2 ) )$

- $= 5 + ( 5 + ( 5 + ( 5 * 1 ) ) )$

Original  
problem

A multiplication with 5 is  
 $5 + 5 * \text{one\_less}$

# MULTIPLICATION

## FIND SMALLER VERSIONS of the PROBLEM

- Recognize that we have a problem we are solving many times
- If  $a = 5$  and  $b = 4$ 
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers
  - $5 * 4$
  - $= 5 + ( \boxed{5 * 3} )$
  - $= 5 + ( \boxed{5 + ( 5 * 2 )} )$
  - $= 5 + ( 5 + ( 5 + ( 5 * 1 ) ) )$

*Similar  
problem*

*A multiplication with 5 is  
5+5\*one\_less*



# MULTIPLICATION

## FIND SMALLER VERSIONS of the PROBLEM

- Recognize that we have a problem we are solving many times
- If  $a = 5$  and  $b = 4$ 
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
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- Original problem is using  $*$  between two numbers
  - $5 * 4$
  - $= 5 + ( 5 * 3 )$
  - $= 5 + ( 5 + ( 5 * 2 ) )$
  - $= 5 + ( 5 + ( 5 + ( 5 * 1 ) ) )$

*Similar  
problem*

*A multiplication with 5 is  
5+5\*one\_less*

# MULTIPLICATION REACHED the END

- Recognize that we have a problem we are solving many times
- If **a = 5** and **b = 4**
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers
  - $5 * 4$
  - $= 5 + ( \quad 5 * 3 \quad )$
  - $= 5 + ( 5 + ( \quad 5 * 2 \quad ) )$
  - $= 5 + ( 5 + ( 5 + ( \boxed{5 * 1} ) ) ) )$

*Basic fact: a number  
multiplied with itself  
is the same number.*

# MULTIPLICATION

## BUILD the RESULT BACK UP

- Recognize that we have a problem we are solving many times
- If **a = 5** and **b = 4**
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers
  - $5 * 4$
  - $= 5 + ( 5 * 3 )$
  - $= 5 + ( 5 + ( 5 * 2 ) )$
  - $= 5 + ( 5 + ( 5 + ( 5 ) ) )$

*Similar  
problem*

*10*

# MULTIPLICATION

## BUILD the RESULT BACK UP

- Recognize that we have a problem we are solving many times
- If  $a = 5$  and  $b = 4$ 
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers
  - $5 * 4$
  - $= 5 + ( \boxed{5 * 3} )$
  - $= 5 + ( \boxed{5 + ( 10 )} )$
  - $= 5 + ( 5 + ( 5 + ( 5 ) ) )$

*Similar  
problem*

*15*

# MULTIPLICATION

## BUILD the RESULT BACK UP

- Recognize that we have a problem we are solving many times
- If **a = 5** and **b = 4**
  - $5 * 4$  is  $5 + 5 + 5 + 5$
- **Decompose** the original problem into
  - **Something you know** and
  - the **same problem** again
- Original problem is using  $*$  between two numbers

- $5 * 4$
- $= 5 + ( \quad 15 \quad )$
- $= 5 + ( 5 + ( \quad 10 \quad ) )$
- $= 5 + ( 5 + ( 5 + ( \quad 5 \quad ) ) )$

Original  
problem

20

# MULTIPLICATION – RECURSIVE and BASE STEPS

## ▪ Recursive step

- Decide how to reduce problem to a **simpler/smaller version** of same problem, plus simple operations

$$\begin{aligned} a * b &= \underbrace{a + a + a + a + \dots + a}_{b \text{ times}} \\ &= a + \underbrace{a + a + a + \dots + a}_{b-1 \text{ times}} \\ &= a + \boxed{a * (b-1)} \end{aligned}$$

*recursive reduction*

# MULTIPLICATION – RECURSIVE and BASE STEPS

## ▪ Recursive step

- Decide how to reduce problem to a **simpler/smaller version** of same problem, plus simple operations

## ▪ Base case

- Keep reducing problem until reach a simple case that can be **solved directly**
- When  $b=1$ ,  $a*b=a$

$$\begin{aligned} \boxed{a*b} &= \underbrace{a + a + a + a + \dots + a}_{b \text{ times}} \\ &= a + \underbrace{a + a + a + \dots + a}_{b-1 \text{ times}} \\ &= a + \boxed{a * (b-1)} \end{aligned}$$

*recursive reduction*

# MULTIPLICATION – RECURSIVE

CODE [Python Tutor LINK](#)

## ▪ Recursive step

- If  $b \neq 1$ ,  $a*b = a + a*(b-1)$

## ▪ Base case

- If  $b = 1$ ,  $a*b = a$

```
def mult_recur(a, b):
```

```
    if b == 1:  
        return a
```

*base case*

```
    else:  
        return a + mult_recur(a, b-1)
```

*recursive step*



# REAL LIFE EXAMPLE

Student requests a regrade: **ONLY ONE function call**

## Iterative:

- Student asks the prof then the TA then the LA then the grader **one-by-one until one or more regrade the exam/parts**
- Student iterates through everyone and keeps track of the new score

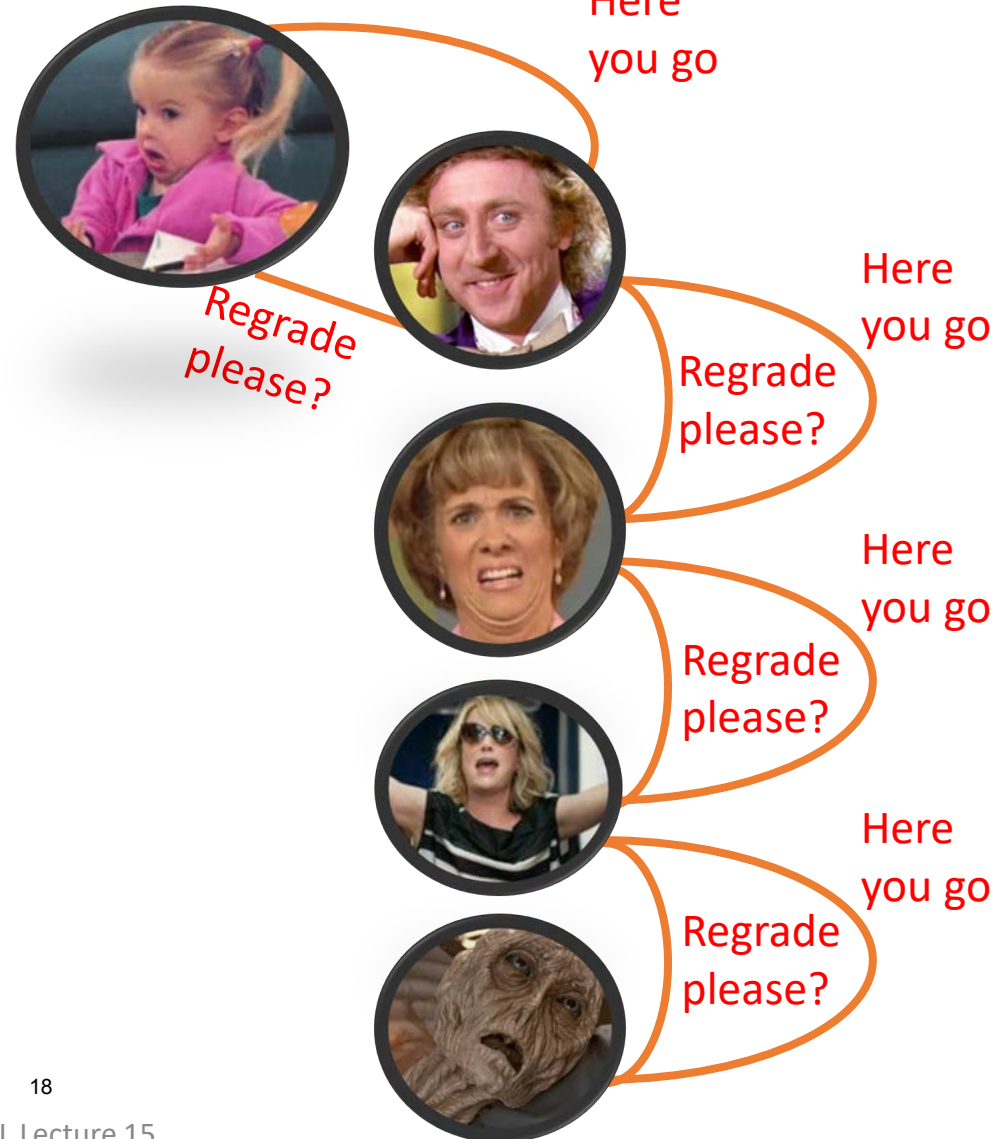


# REAL LIFE EXAMPLE

Student requests a regrade: **MANY function calls**

## Recursive:

- 1) Student request (a **function call** to regrade!):
  - Asks the prof to regrade
  - Prof asks a TA to regrade
  - TA asks an LA to regrade
  - LA asks a grader to regrade
- 2) Relay the results (**functions return results** to their callers):
  - Grader tells the grade to the LA
  - LA tells the grade to the TA
  - TA tells the grade to the prof
  - Prof tells the grade to the student



# BIG IDEA

“Earlier” function calls are waiting on results before completing.

# WHAT IS RECURSION?

- Algorithmically: a way to design solutions to problems by **divide-and-conquer** or **decrease-and-conquer**
  - Reduce a problem to simpler versions of the same problem or to problem that can be solved directly
- Semantically: a programming technique where a **function calls itself**
  - In programming, goal is to NOT have infinite recursion
  - Must have **1 or more base cases** that are easy to solve directly
  - Must solve the same problem on **some other input** with the goal of simplifying the larger input problem, ending at base case

# YOU TRY IT!

- Complete the function that calculates  $n^p$  for variables  $n$  and  $p$

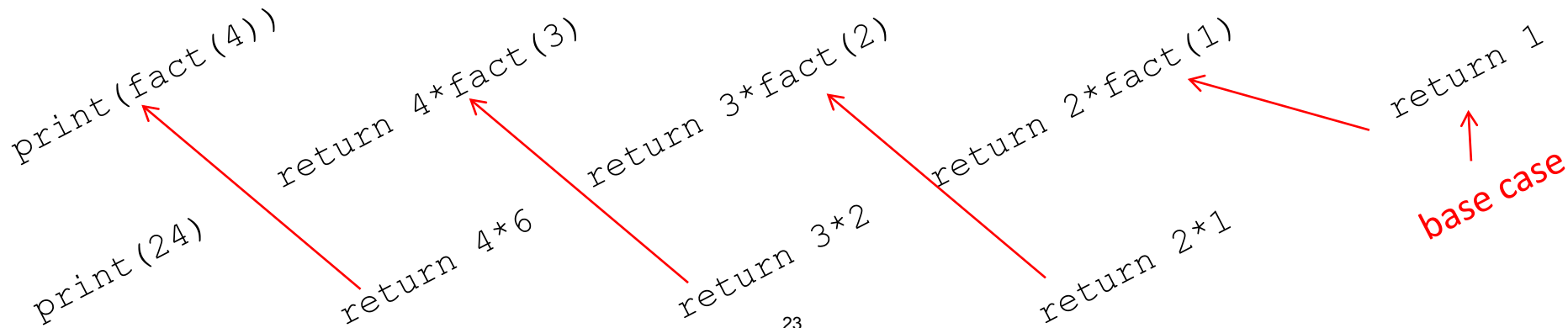
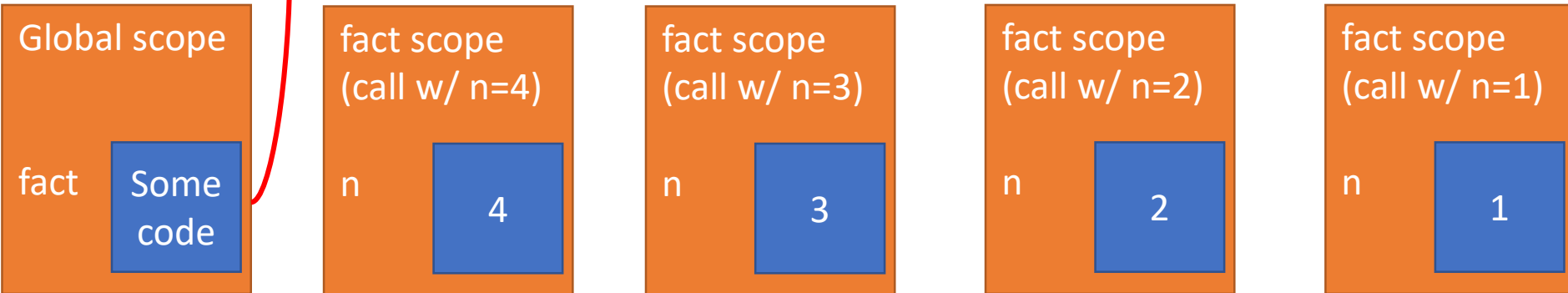
```
def power_recur(n, p):  
    if _____:  
        return _____  
    elif _____:  
        return _____  
    else:  
        return _____
```



# RECURSIVE FUNCTION SCOPE EXAMPLE

```
def fact(n):  
    if n == 1:  
        return 1  
    else:  
        return n*fact(n-1)
```

```
print(fact(4))
```



# BIG IDEA

In recursion, each  
function call is  
completely separate.

Separate scope/environments.

Separate variable names.

Fully I-N-D-E-P-E-N-D-E-N-T



# SOME OBSERVATIONS

[Python Tutor LINK](#) for factorial

- Each recursive call to a function creates its **own scope/environment**
- **Bindings of variables** in a scope are not changed by recursive call to same function
- Values of variable binding **shadow bindings** in other frames
- Flow of control passes back to **previous scope** once function call returns value

Using the same variable names but they are different objects in separate scopes

# ITERATION

vs.

# RECURSION

```
def factorial_iter(n):  
    prod = 1  
    for i in range(1, n+1):  
        prod *= i  
    return prod
```

```
def fact_recur(n):  
    if n == 1:  
        return 1  
    else:  
        return n*fact_recur(n-1)
```

*This version is  
much more  
Pythonic!*

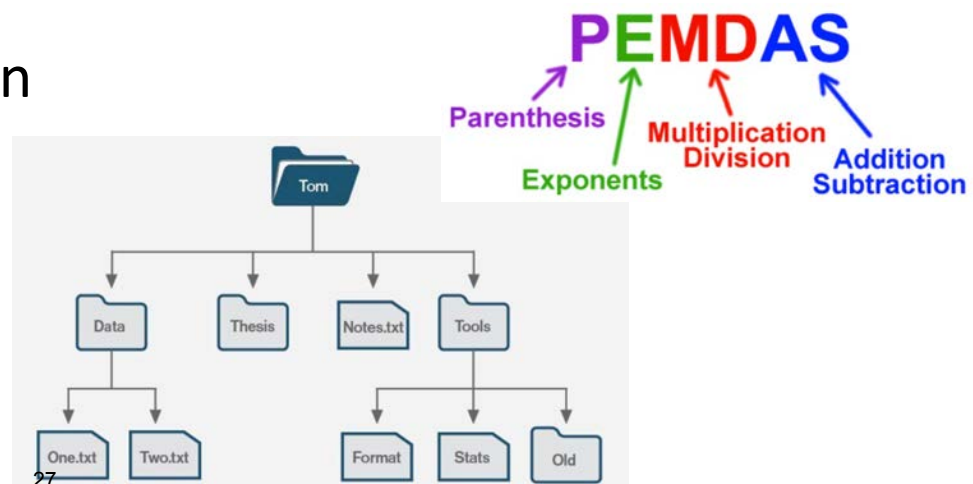
- Recursion may be efficient from programmer POV
- Recursion may not be efficient from computer POV

# WHEN to USE RECURSION?

## SO FAR WE SAW VERY SIMPLE CODE

- **Multiplication of two numbers** did not need a recursive function, did not even need an iterative function!
- Factorial was a little more intuitive to implement with recursion
  - We translated a mathematical equation that told us the structure
- **MOST problems do not need recursion** to solve them
  - If iteration is more intuitive for you then solve them using loops!
- **SOME problems yield far simpler code** using recursion

- Searching a file system for a specific file
- Evaluating mathematical expressions that use parens for order of ops



# SUMMARY

- Recursion is a
  - Programming method
  - Way to divide and conquer
- A **function calls itself**
- A problem is broken down into a **base case** and a **recursive step**
- A base case
  - **Something you know**
  - You'll **eventually reach** this case (if not, you have infinite recursion)
- A recursive step
  - The **same problem**
  - Just **slightly different** in a way that will eventually reach the base case

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