

Lecture 3: Similarity Transforms, Classes and Representations

A similarity transformation is defined as

$$\nu^{-1} \cdot A \cdot \nu = B$$

where B is designated the similarity transform of A by X and A and B are conjugates of each other. A complete set of operators that are conjugate to one another is called a class of the group.

Let's determine the classes of the group defined by E, C₃, C₃², σ_v, σ_v', σ_v'' ... the analysis is facilitated by the construction of a multiplication table:

	E	C ₃	C ₃ ²	σ _v	σ _v '	σ _v ''	← right operator
E	E	C ₃	C ₃ ²	σ _v	σ _v '	σ _v ''	
C ₃	C ₃	C ₃ ²	E	σ _v '	σ _v ''	σ _v	
C ₃ ²	C ₃ ²	E	C ₃	σ _v ''	σ _v	σ _v '	
σ _v	σ _v	σ _v ''	σ _v '	E	C ₃ ²	C ₃	
σ _v '	σ _v '	σ _v	σ _v ''	C ₃	E	C ₃ ²	
σ _v ''	σ _v ''	σ _v '	σ _v	C ₃ ²	C ₃	E	

Left operator →

constructed from using a stereographic projection

$$E^{-1} \cdot C_3 \cdot E = E \cdot C_3 \cdot E = C_3$$

$$C_3^{-1} \cdot C_3 \cdot C_3 = C_3^2 \cdot C_3 \cdot C_3 = C_3^2 \cdot C_3^2 = C_3$$

$$(C_3^2)^{-1} \cdot C_3 \cdot C_3^2 = C_3 \cdot C_3 \cdot C_3^2 = C_3 \cdot E = C_3$$

$$\sigma_v^{-1} \cdot C_3 \cdot \sigma_v = \sigma_v \cdot C_3 \cdot \sigma_v = \sigma_v \cdot \sigma_v' = C_3^2$$

$$(\sigma_v')^{-1} \cdot C_3 \cdot \sigma_v' = \sigma_v' \cdot C_3 \cdot \sigma_v' = \sigma_v' \cdot \sigma_v'' = C_3^2$$

$$(\sigma_v'')^{-1} \cdot C_3 \cdot \sigma_v'' = \sigma_v'' \cdot C_3 \cdot \sigma_v'' \cdot \sigma_v = C_3^2$$

∴ C₃ and C₃² form a class

Performing a similar analysis on σ_v will reveal that σ_v , σ_v' , and σ_v'' form a class, and E is in a class by itself. Thus there are three classes: E, (C_3, C_3^2), ($\sigma_v, \sigma_v', \sigma_v''$).

Additional properties of transforms and classes are:

- 1) no operator occurs in more than one class
- 2) order of all classes must be integral factors of the order of the group
- 3) in an Abelian group, each operator is in a class by itself

Similarity transformations give rise to irreducible representations, which lead to a useful tool in group theory – the character table. The general strategy for determining irreducible representations is as follows: A, B and C are matrix representations of symmetry operations of an arbitrary basis set (i.e., elements on which symmetry operations are performed). There is some similarity transform operator ν such.

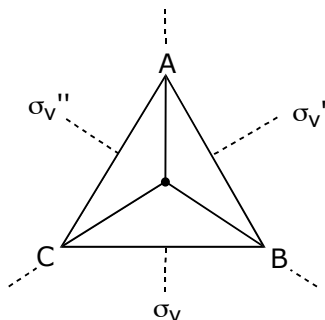
$$\begin{aligned}\underline{A}' &= \nu^{-1} \cdot \underline{A} \cdot \nu \\ \underline{B}' &= \nu^{-1} \cdot \underline{B} \cdot \nu \\ \underline{C}' &= \nu^{-1} \cdot \underline{C} \cdot \nu\end{aligned}$$

where ν uniquely produces block-diagonalized matrices-matrices possessing square arrays along the diagonal and zeros outside the blocks

$$A' = \begin{bmatrix} A_1 & & \\ & A_2 & \\ & & A_3 \end{bmatrix} \quad B' = \begin{bmatrix} B_1 & & \\ & B_2 & \\ & & B_3 \end{bmatrix} \quad C' = \begin{bmatrix} C_1 & & \\ & C_2 & \\ & & C_3 \end{bmatrix}$$

Matrices A, B, and C are reducible. Sub-matrices A_i , B_i and C_i obey the same multiplication properties as A, B and C. If application of the similarity transform does not further block-diagonalize A' , B' and C' , then the blocks are irreducible representations. The character is the sum of the diagonal elements of their irr. rep.

Continuing with our exemplary group: $E, C_3, C_3^2, \sigma_v, \sigma_v', \sigma_v''$... let's define the arbitrary basis ... a triangle



The basis set is described by the triangles vertices, points A, B and C. The transformation properties of these points under the symmetry operations of the group are:

$$E \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix} \quad \sigma_v \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} A \\ C \\ C \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix}$$

$$C_3 \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} B \\ C \\ A \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix} \quad \sigma_v' \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} B \\ A \\ C \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix}$$

$$C_3^2 \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} C \\ A \\ B \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix} \quad \sigma_v'' \begin{bmatrix} A \\ B \\ C \end{bmatrix} = \begin{bmatrix} C \\ B \\ A \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} A \\ B \\ C \end{bmatrix}$$

These matrices are not block-diagonalized ... however a suitable similarity transformation will accomplish the task,

$$v = \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} & 0 \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{2}} \end{bmatrix} \quad ; \quad v^{-1} = \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{2}{\sqrt{6}} & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{6}} \\ 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix}$$

Applying the similarity transformation with C_3 as the example,

$$v^{-1} \cdot C_3 \cdot v = \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ 2 & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{6}} \\ 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix} \cdot \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} & 0 \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{2}} \end{bmatrix}$$

$$= \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ 2 & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{6}} \\ 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix} \cdot \begin{bmatrix} \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{3}} & \frac{2}{\sqrt{6}} & 0 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix} = C_3^*$$

if $v^{-1} \cdot C_3 \cdot v$ is applied again...
no further block diagonalization
and same trace will be obtained...
an irreducible representation

The similarity transformation applied to other reducible representations yields:

$$v^{-1} \cdot E \cdot v = E^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad v^{-1} \cdot C_3^2 \cdot v = C_3^{2*} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$$

$$v^{-1} \cdot \sigma_v \cdot v = \sigma_v^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \quad v^{-1} \cdot \sigma_v'' \cdot v = \sigma_v''^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ 0 & \frac{\sqrt{3}}{2} & \frac{1}{2} \end{bmatrix}$$

$$v^{-1} \cdot \sigma_v' \cdot v = \sigma_v'^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ 0 & \frac{\sqrt{3}}{2} & \frac{1}{2} \end{bmatrix}$$

As above, the block-diagonalized matrices do not further reduce under re-application of the similarity transform... all are irreducible representations

Thus a 3×3 reducible representation, Γ_{red} , has been decomposed under a similarity transformation into a 1 (1×1) and 1 (2×2) block-diagonalized irreducible representation, Γ_{irr} . The traces (i.e. sum of diagonal matrix elements) of the Γ_{irr} 's under each operation yields the characters of the representation. Taking the traces:

	E	C ₃	C ₃ ²	σ _v	σ _v '	σ _v ''
Γ ₁	1	1	1	1	1	1
Γ ₂	2	-1	-1	0	0	0

 \Rightarrow

	E	2C ₃	3σ _v
Γ ₁	1	1	1
Γ ₂	2	-1	0

Note: characters of
operators in the same
class are identical

This collection of characters for a given irreducible representation, under the operations of a group is called a character table. Thus from a completely arbitrary basis, a character table is born.