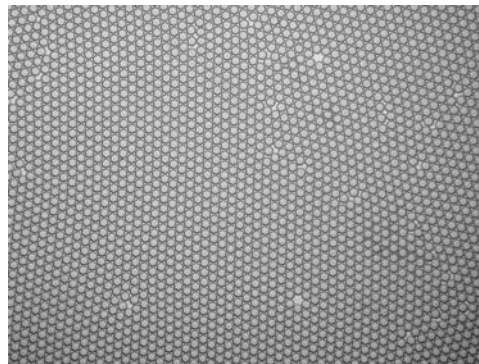


**3.40J / 22.71J**  
**Modern Physical Metallurgy**  
KJ Van Vliet and KC Russell

**Lecture 4: 1D Crystalline Defects**

February 19, 2004



Bragg-Nye Bubble Raft Model

## Defects control physical and functional properties of metals

### Defects control all properties of metals

- Mechanical deformation
- Electrical and thermal conductivity
- Magnetic hysteresis
- Environmental resistance (temperature, chemicals)

Since 1930s, we have known that single crystals are much weaker than predicted, so defects like dislocations were hypothesized to exist.

Not until 1950s that such defects observed experimentally with transmission electron microscopy (TEM).

### Fundamental study of defects in

1D : vacancies, interstitials

2D : dislocations, twin boundaries

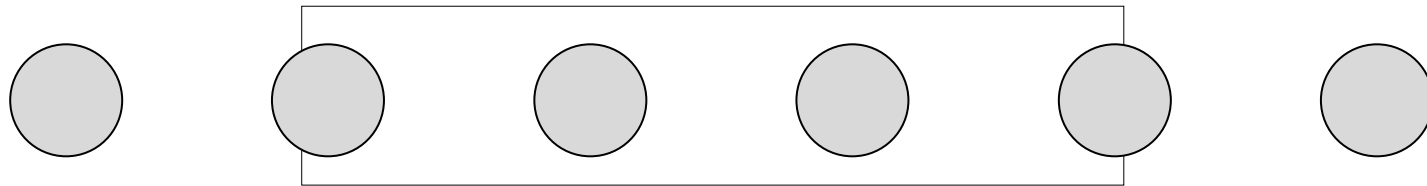
3D : grain boundaries

has greatly enhanced our ability to design for and against defect-mediated processes.

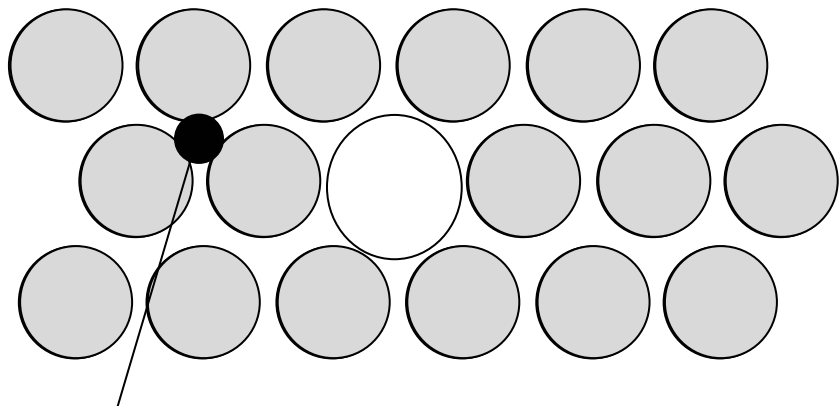
# 1D Defects: Vacancies and Interstitials

Two kinds of deformation

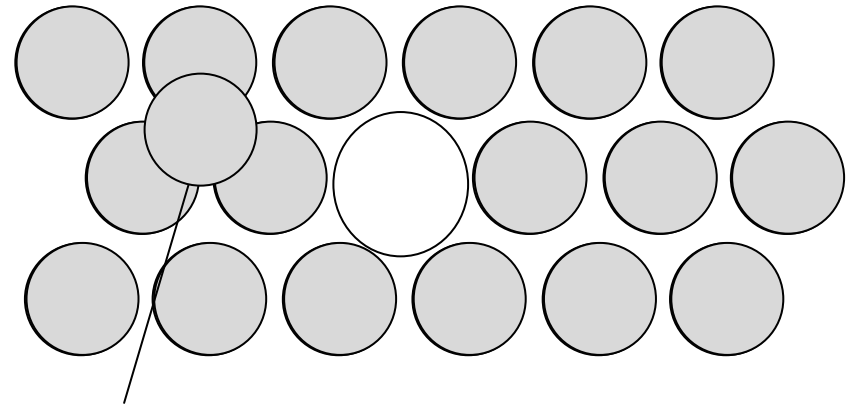
ELASTIC : reversible, bond stretching



PLASTIC : irreversible/permanent, bond breaking OR bond making



interstitial vacancy



self interstitial

# 1D Defects: Vacancies and Interstitials

1D or Point Defects: Single missing (vacancy) or extra (interstitial) atom, with respect to crystallographic lattice sites

Energy to make these defects:

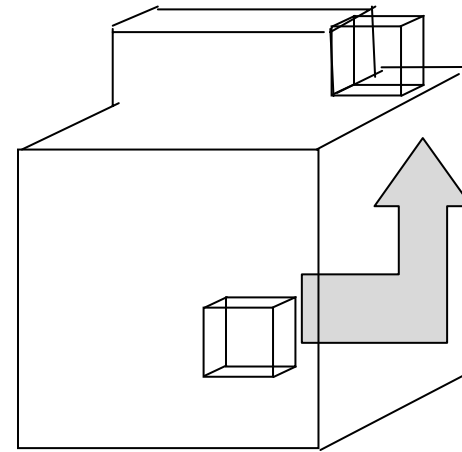
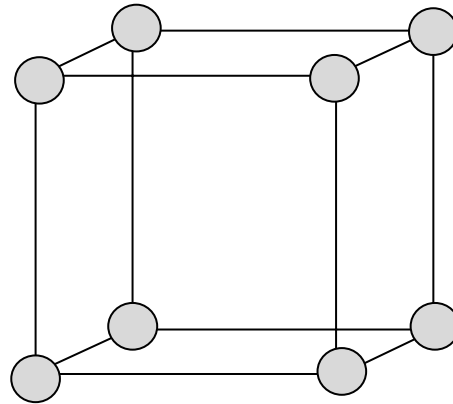
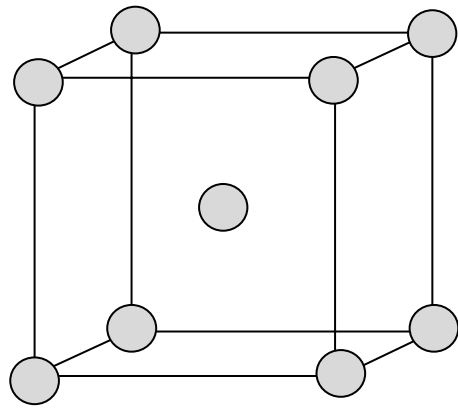
$E_v \sim 1 \text{ eV /vacancy} \sim 100 \text{ kJ/mol}$

$E_i \sim 10 \text{ eV /interstitial} \sim 1000 \text{ kJ/mol}$

Why?

Takes more energy to strain the lattice and add an atom in (I) than it does to move one to the surface (V)

# 1D Defects: Vacancies



Presence of a vacancy raises the energy of a crystal by a certain amount. Why?

Requires energy to remove atom from lattice and place it on surface edge

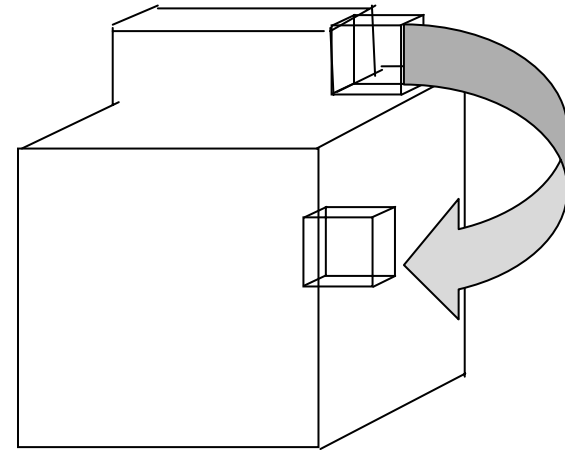
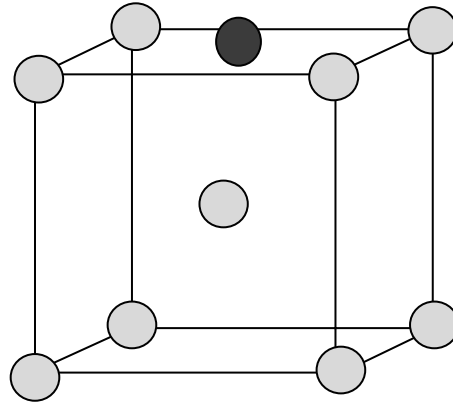
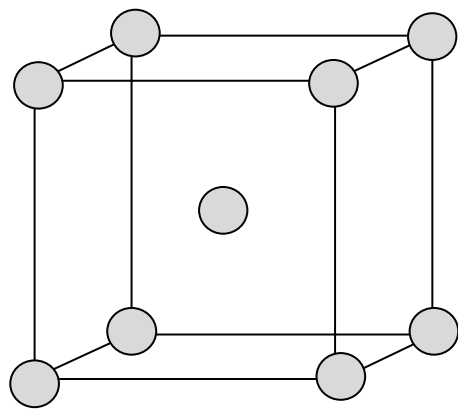
Energy = sublimation energy per atom

Break 6 bonds, and recombination regains 3 bonds; Sublimating same atom breaks 3 bonds.

$$\Delta H_{fv} = G b^3 / 5 \sim 1 \text{ eV for Cu (scales with } G)$$

Some relaxation of atoms around the vacancy (slight contraction) in metals, but actually expansion in ionic solids due to charge interactions

# 1D Defects: Interstitials



Presence of an interstitial raises energy more than that of a vacancy. Why?

Requires energy to remove atom from surface edge and jam it into the lattice → significant elastic misfit strain

Energy = sublimation energy per atom

Break 6 bonds, and recombination regains 3 bonds; Sublimating same atom breaks 3 bonds.

$$\Delta H_f = 2Gb^3 \sim 10 \text{ eV for Cu (scales with } G)$$

10x greater energy to make interstitial than to make vacancy!

This argument holds for close-packed metals.

## 1D Defects Key Topics :

- Energy barrier to motion
- Thermal equilibrium
- Equilibrium concentration
- Lattice sites

Continued on next page.

## Why do we care about 1D defects?

- Determining how vacancies/interstitials are created and destroyed in solids is a fundamental issue
- Determining diffusivity of vacancies is important to electronic and structural applications
- The behavior of vacancies on the surface of a material can be **directly** observed with LEEM and STM

# STM Image of graphite at room temperature

No vacancies at room temperature due to increased atomic mobility

