

SOLUTIONS TO PS4

Xiaoguang Ma

Solution/Proof of Problem 1. Consider the open set

$$B_n = \left\{ (x_1, x_2) \in \mathbb{R}^2 : x_1^2 + x_2^2 < 1 - \frac{1}{n} \right\}.$$

Then we can see that $E \subset \cup B_n$ because for any point $(x, y) \in E$, $x^2 + y^2 < 1$, we can find an n big enough such that $x^2 + y^2 < 1 - \frac{1}{n}$, i.e. $(x, y) \in B_n$.

It is easy to see there is no finite subcover.

Solution/Proof of Problem 2. At first, from the definition, we have $d(x, x) = 0$. From the equality

$$d(x, y) = \|x\| + \|y\| = \|y\| + \|x\| = d(y, x),$$

we have $d(x, y) = d(y, x)$. We also have

$$d(x, y) = \|x\| + \|y\| = \left(\sum_{i=1}^n x_i^2 \right)^{1/2} + \left(\sum_{i=1}^n y_i^2 \right)^{1/2} \geq 0$$

and it is easy to see that $d(x, y) = 0$ iff $x = y = 0$.

From

$$\|x\| + \|y\| \leq \|x\| + \|z\| + \|z\| + \|y\|$$

we have $d(x, y) \leq d(x, z) + d(y, z)$.

So d is a metric on \mathbb{R}^n .

Open set in (\mathbb{R}^k, d) may be not open in $(\mathbb{R}^k, d_{Euclid})$. For example, consider the open ball in (\mathbb{R}^k, d) ,

$$B_r(x) = \{y \in \mathbb{R}^k : d(x, y) < r\}.$$

When $r > \|x\|$, then we have

$$\begin{aligned} B_r(x) &= \{y \in \mathbb{R}^k : d(x, y) < r\} = \{y \in \mathbb{R}^k : \|x\| + \|y\| < r\} \\ &= \{y \in \mathbb{R}^k : \|y\| < r - \|x\|\}, \end{aligned}$$

is just the open ball $B_{r-\|x\|}(0)$ under the Euclidean metric.

But when $r < \|x\|$, then we have

$$B_r(x) = \{y \in \mathbb{R}^k : d(x, y) < r\} = \{y \in \mathbb{R}^k : \|x\| + \|y\| < r\} = \{x\},$$

is not open under the Euclidean metric.

Conversely, consider an open set $U \subset (\mathbb{R}^k, d_{Euclid})$. Since for any point $x \neq 0$, under the new metric, $B_r(x) = \{x\} \subset U$ for any $r < \|x\|$; if $x = 0$, then $B_r(0) \subset \mathbb{R}^k$ for some small r under the new metric. So we can always find an open neighborhood of x in the new metric that is contained in U . This means U is open in the new metric.

Solution/Proof of Problem 3. First, recall that in any metric space a finite set is compact. We will show that for the discrete metric, these are the only compact sets.

Notice that every subset $\{x\}$ which contains only one point in X is an open subset. Indeed, if $Y = \{x\}$, then, for any $r < 1$, we have $B_r(x) = \{x\}$ hence $B_r(x) \subset Y$, i.e., Y is open.

Now suppose Y is a compact subset of X . We can consider an open cover $Y \subset \bigcup_{y \in Y} U_y$, where $U_y = \{y\}$. Since Y is compact, this cover has a finite subcover.

So $Y \subset \bigcup_{\substack{\text{finitely many} \\ y \in Y}} U_y$. Hence Y is a finite set.

Solution/Proof of Problem 4. From the definition of E , we can see that $E \subset \{x \in \mathbb{Q}, -3 < x < 3\}$. So it is bounded.

E is closed. Recall that, in any metric space, a set E is closed if and only if its complement is open. If x is any point whose square is less than 2 or greater than 3 then it is clear that there is a neighborhood around x that does not intersect E . Indeed, take any such neighborhood in the real numbers and then intersect with the rational numbers. So the only problem would be at points whose square is exactly 2 or 3, but we know that there are no such points within the rational numbers.

E is not compact. Consider the open cover

$$U_n = \{x \in \mathbb{Q} : 2 + 1/n < x^2 < 3 - 1/n\}, n \in \mathbb{N}.$$

It is easy to see that it has no finite subcover.

E is open. Given any point $x \in E$ there is a neighborhood of x within the real numbers of elements whose square is between 2 and 3, intersect this with the rational numbers to see that E is an open subset of \mathbb{Q} .

Solution/Proof of Problem 5. Suppose X and Y are two compact sets. If $\{U_\alpha\}$ is an open cover for $X \cup Y$, then it is also an open cover of X . Since X is compact, there is a finite subcover $\{U_\beta\}_{\beta \in I} \subset \{U_\alpha\}$ which still covers X . Similarly, we also have a finite subcover $\{U_\beta\}_{\beta \in J} \subset \{U_\alpha\}$ which covers Y . Putting these covers together,

$$\{U_\beta\}_{\beta \in I \cup J} \subset \{U_\alpha\},$$

we get a finite subcover of $X \cup Y$. So by the definition, $X \cup Y$ is compact.

Since X is a compact set in a metric space, it is closed. Hence $X \cap Y$ is the intersection of a closed set with a compact set. From Theorem 2.35's corollary, we can see that $X \cap Y$ is compact.

Solution/Proof of Problem 6. The set $\{1\}$ has no limit points because any neighborhood of this point has only one element 1.

The statement can be proved as follows. Let $\{x_k\}_{k=1}^\infty$ be a convergent sequence in a metric space with infinitely many distinct elements. Suppose $\lim_{n \rightarrow \infty} x_n = x_0$. Then by the definition of the limits, for any neighborhood of x_0 , there are at most finitely many points in the sequence outside the neighborhood. So we can always choose a point different from x_0 in any neighborhood which means x_0 is a limit point of the set.

Solution/Proof of Problem 7. Because they are countable, it is possible to put the rational numbers in $[0, 1]$ in a sequence, (p_n) . We claim that every point $x \in [0, 1]$ is a limit of a subsequence of (p_n) .

We proved in class that between any two real numbers there is a rational number, it follows that between any two real numbers there are infinitely many rational numbers. This allows us to construct a subsequence of p_n converging to x as follows. Assume for simplicity that x and $x + \frac{1}{10}$ are both in $[0, 1]$. From among the infinitely many rational numbers between x and $x + \frac{1}{10}$, let p_{n_1} be the first of the p_n to fall in $x < p_{n_1} \leq x + \frac{1}{10}$. Because there are infinitely many rational numbers between x and p_{n_1} we can pick p_{n_2} to be the first rational number in the sequence p_n occurring after p_{n_1} to fall inside $x < p_{n_2} \leq x + \frac{1}{10^2}$. Similarly, we choose p_{n_3} to be the first rational number in the sequence p_n occurring after p_{n_2} to fall inside $x < p_{n_3} \leq x + \frac{1}{10^3}$. Continuing in this fashion, we achieve a subsequence of p_n , which we denote p_{n_k} with the property that

$$x < p_{n_k} \leq x + \frac{1}{10^k}, \text{ for any } k \in \mathbb{N}.$$

Hence $p_{n_k} \rightarrow x$.

This was done under the assumption that both x and $x + \frac{1}{10}$ were both in $[0, 1]$. If that is not the case, but $x < 1$ then we can find N such that x and $x + \frac{1}{10^N}$ are both in $[0, 1]$ and we can start the construction from there. Finally, if $x = 1$ then x and $x - \frac{1}{10}$ are both in $[0, 1]$ and we can carry out the above construction requiring that p_{n_k} satisfy $x - \frac{1}{10^k} \leq p_{n_k} < x$ for every k .

Hence in every case we obtain a subsequence of (p_n) that converges to x .

Solution/Proof of Problem 8. The question is asking: If A is connected, does the interior of A have to be connected? does the closure of A have to be connected?

Closure of a connected set is always connected. Suppose $\bar{E} = A \cup B$, where $A \cap \bar{B} = \emptyset$ and $\bar{A} \cap B = \emptyset$, we show that \bar{E} is connected by proving that either A or B must be empty.

We know that E is connected and $E = (A \cap E) \cup (B \cap E)$ with $A \cap E$, $B \cap E$ separated sets, hence we must have $A \cap E = \emptyset$ or $B \cap E = \emptyset$. Say that $A \cap E = \emptyset$, then $E \subseteq B$ and hence $\bar{E} \subseteq \bar{B}$. But we know that $A \cap \bar{B} = \emptyset$, hence

$$A = A \cap (A \cup B) = A \cap \bar{E} \subseteq A \cap \bar{B} = \emptyset,$$

which implies that \bar{E} is connected.

The interior of a connected set may not be connected. Consider two tangent closed disk in \mathbb{R}^2 : $\bar{B}_1((0, 1))$ and $\bar{B}_1((0, -1))$. The union will give us a connected set. But the interior part of it will be two separated open balls.