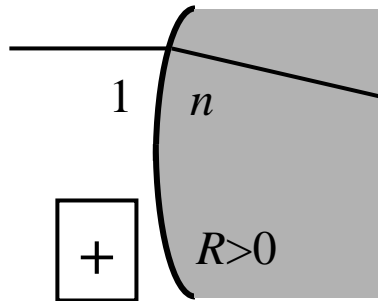


Lenses and Imaging (Part II)

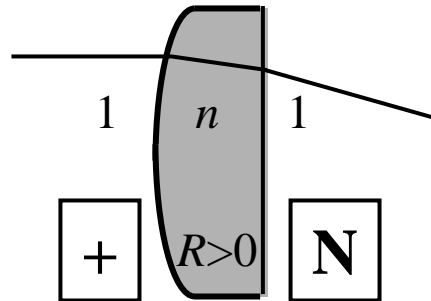
- Reminders from Part I
- Surfaces of positive/negative power
- Real and virtual images
- Imaging condition
- Thick lenses
- Principal planes

The power of surfaces

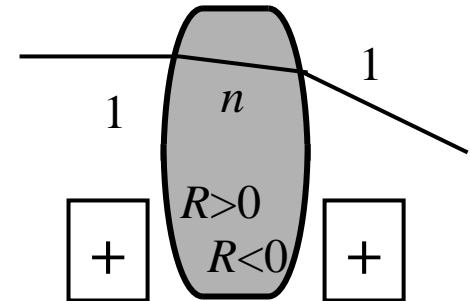
- Positive power : exiting rays converge



Simple spherical refractor (positive)

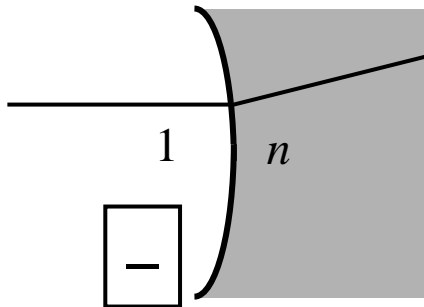


Plano-convex lens

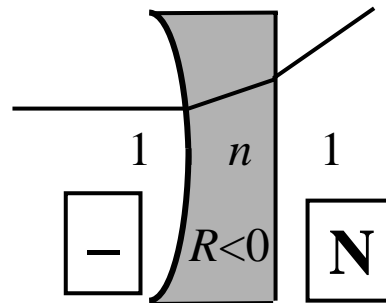


Bi-convex lens

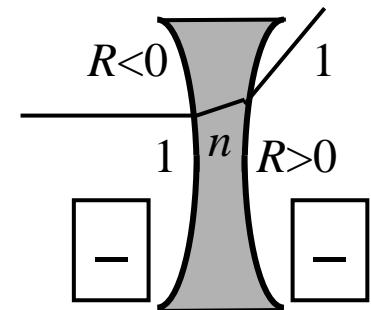
- Negative power : exiting rays diverge



Simple spherical refractor (negative)

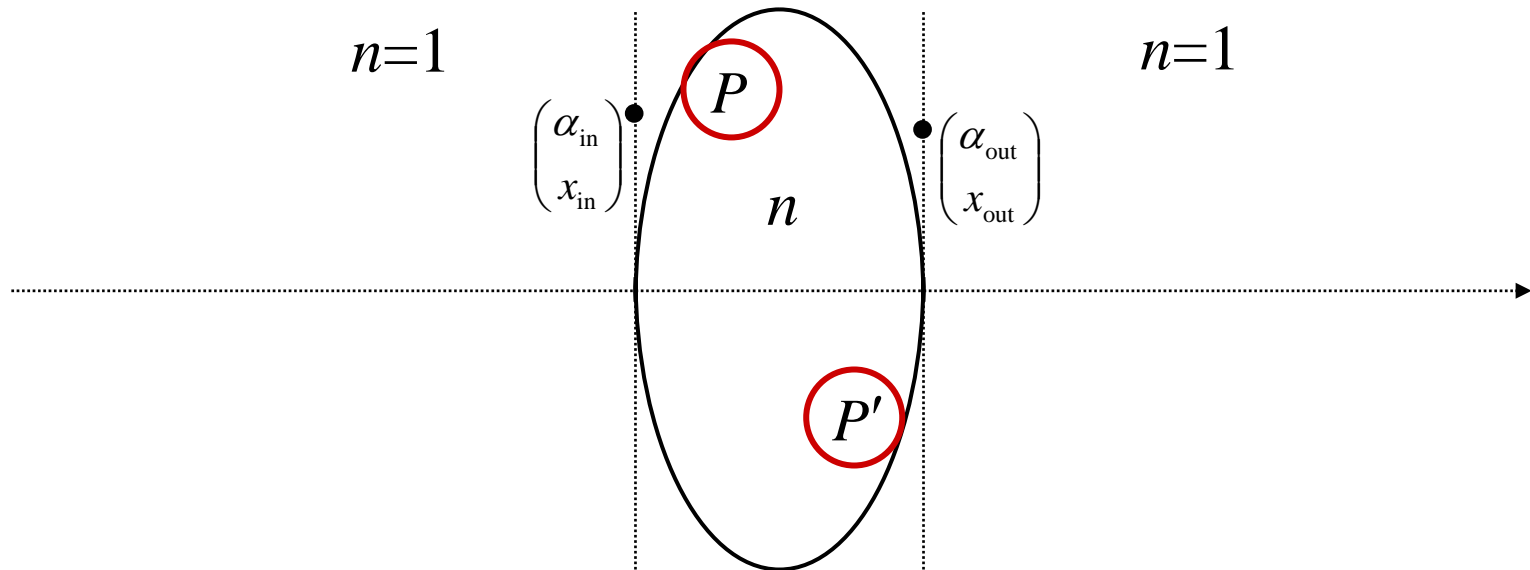


Plano-concave lens



Bi-concave lens

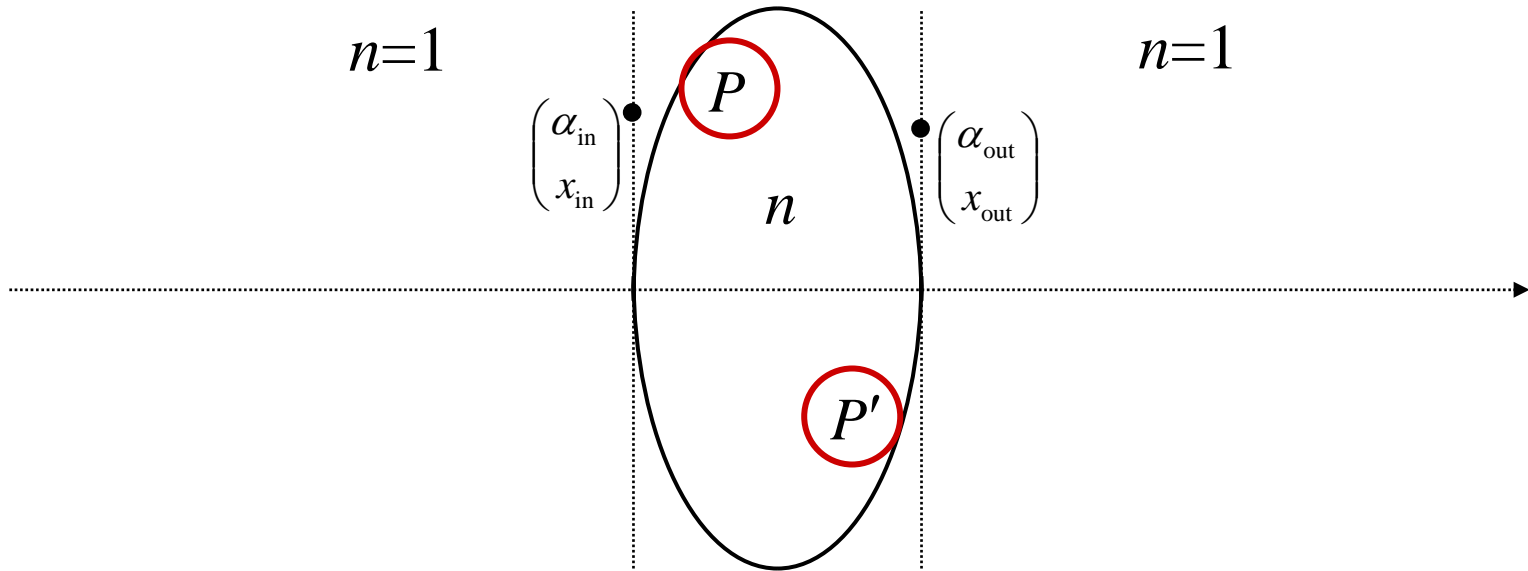
Thin lens in air



$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & -P_{\text{thin lens}} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

$$P_{\text{thin lens}} = P + P' = \frac{n-1}{R} + \frac{1-n}{R'} = \boxed{(n-1) \left(\frac{1}{R} - \frac{1}{R'} \right)} \quad \text{Lens-maker's formula}$$

Thin lens in air

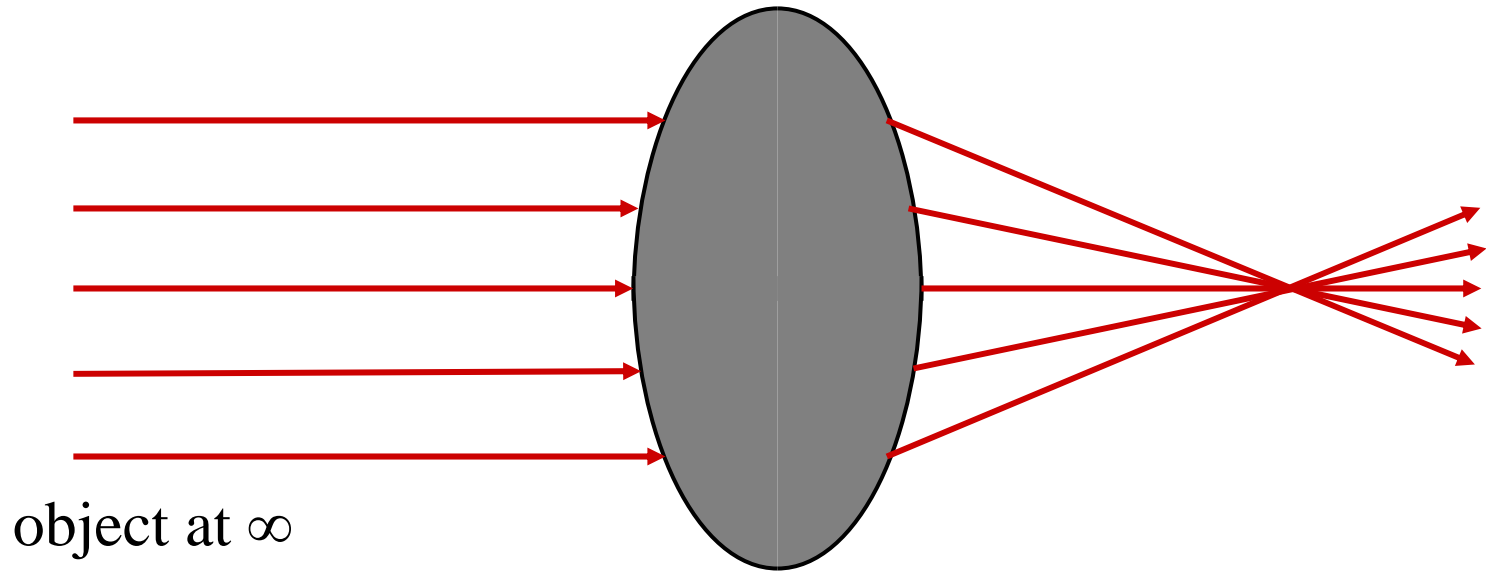


$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & -P_{\text{thin lens}} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix} \quad \longrightarrow$$

$$\alpha_{\text{out}} = \alpha_{\text{in}} - P_{\text{thin lens}} x_{\text{in}} \quad \longleftarrow \text{Ray bending is proportional to the distance from the axis}$$

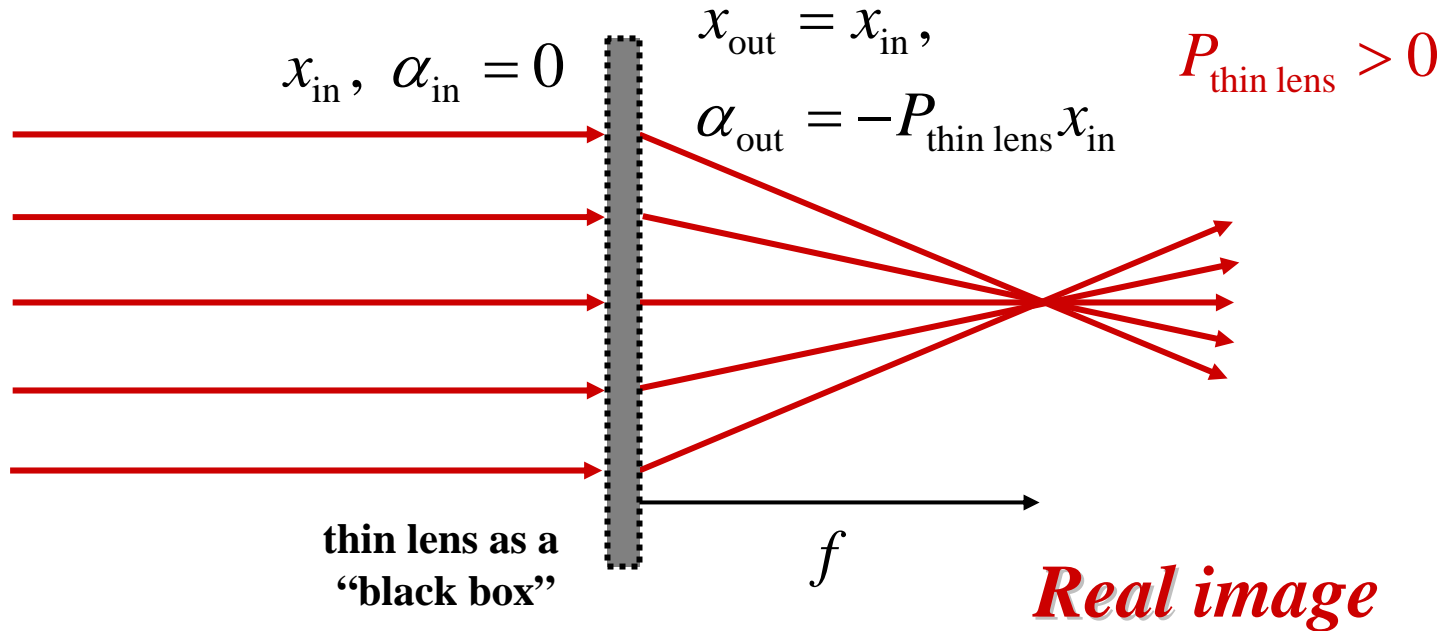
$$x_{\text{out}} = x_{\text{in}}$$

Positive thin lens in air



Ray bending is proportional
to the distance from the axis

Positive thin lens in air

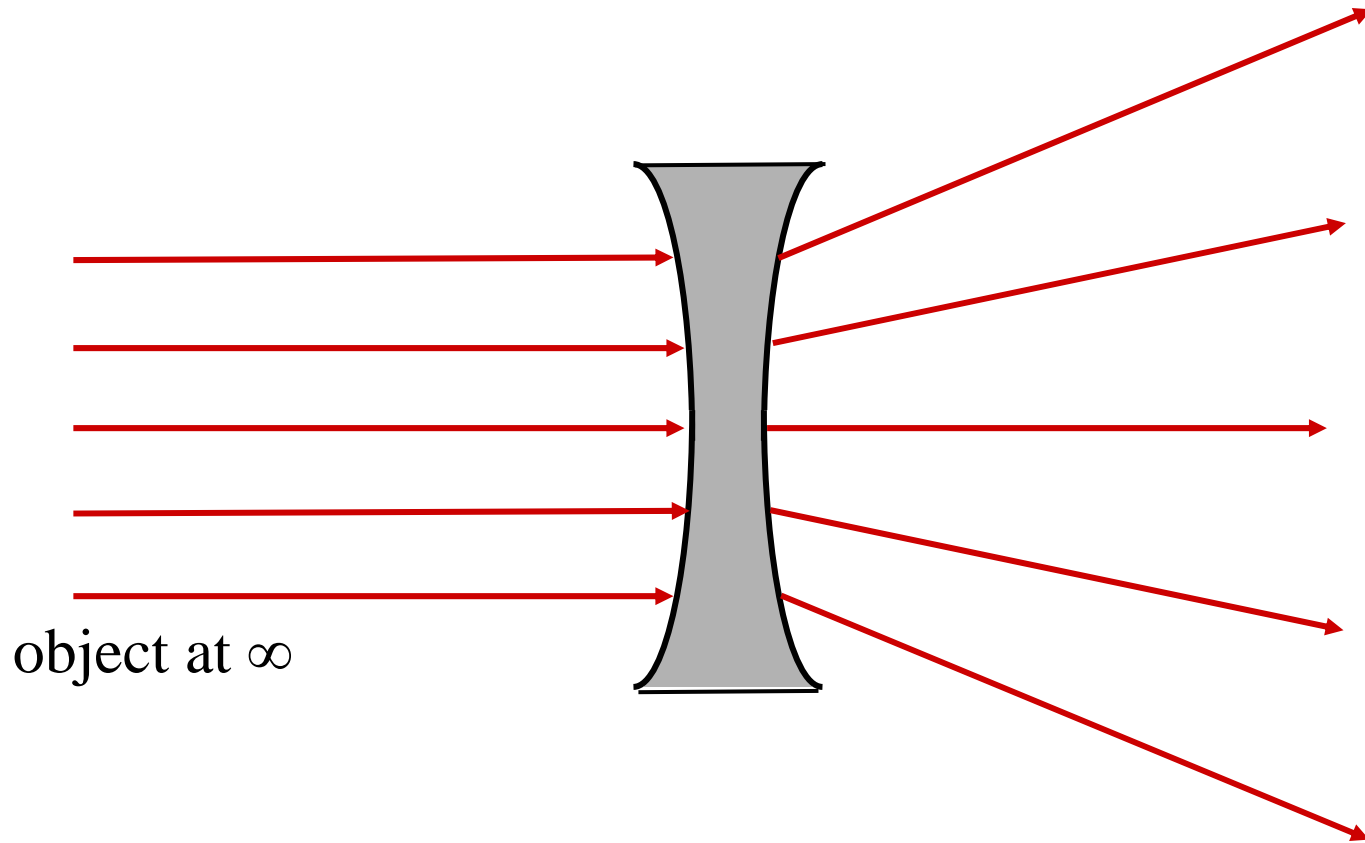


$$f = -\frac{x_{\text{in}}}{\alpha_{\text{out}}} \Rightarrow f = \frac{1}{P_{\text{thin lens}}}$$

Focal point = image of an object at ∞

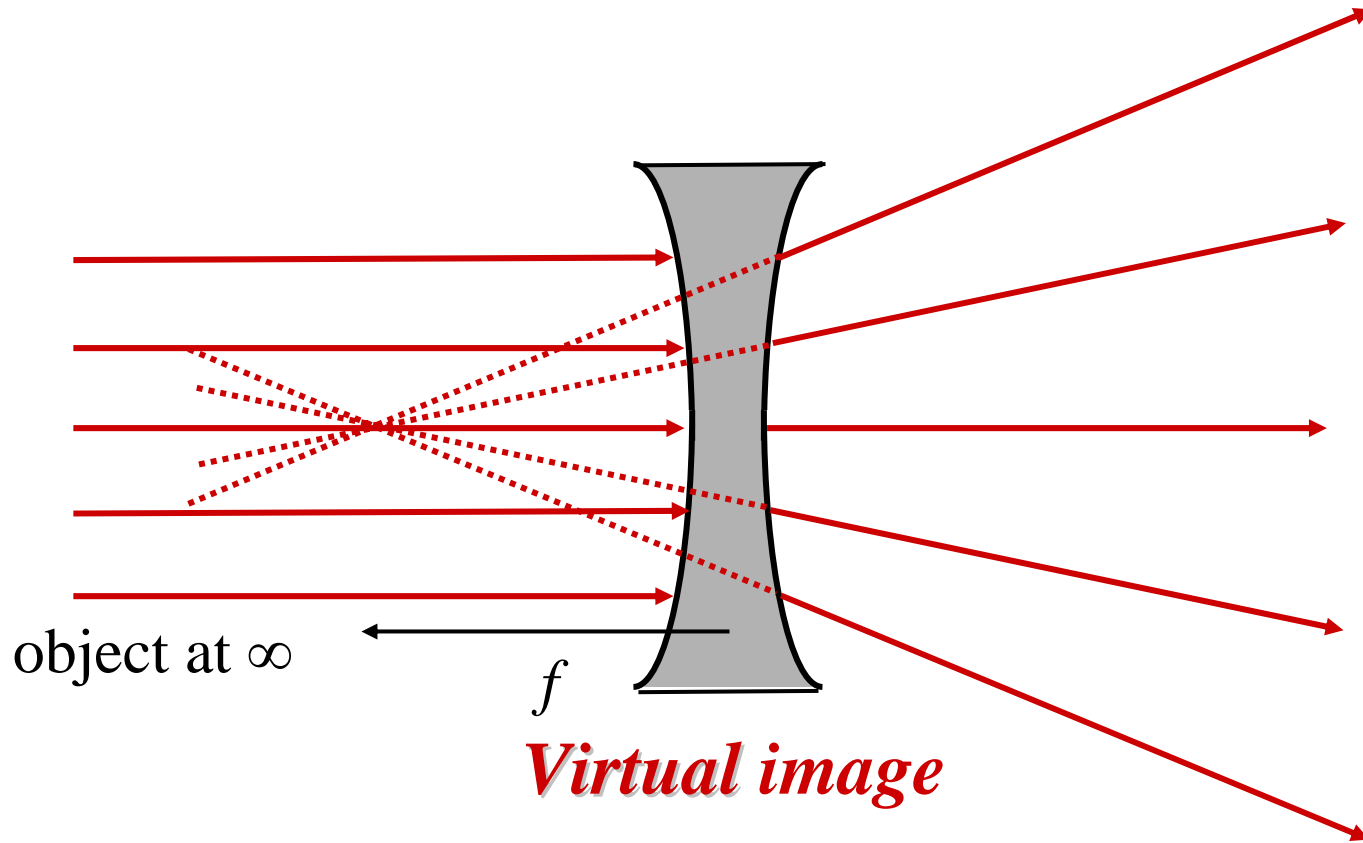
Focal length = distance between lens & focal point

Negative thin lens in air



Ray bending is proportional
to the distance from the axis

Negative thin lens in air

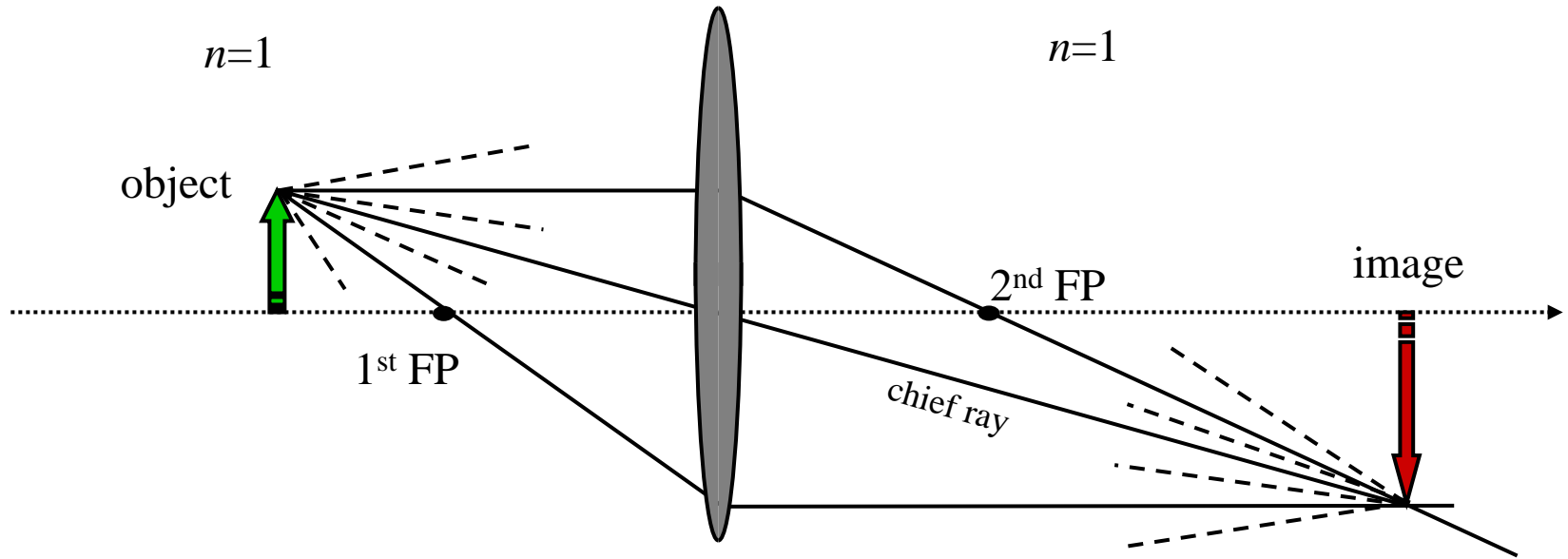


$$f = \frac{1}{P_{\text{thin lens}}}$$

still applies, now with

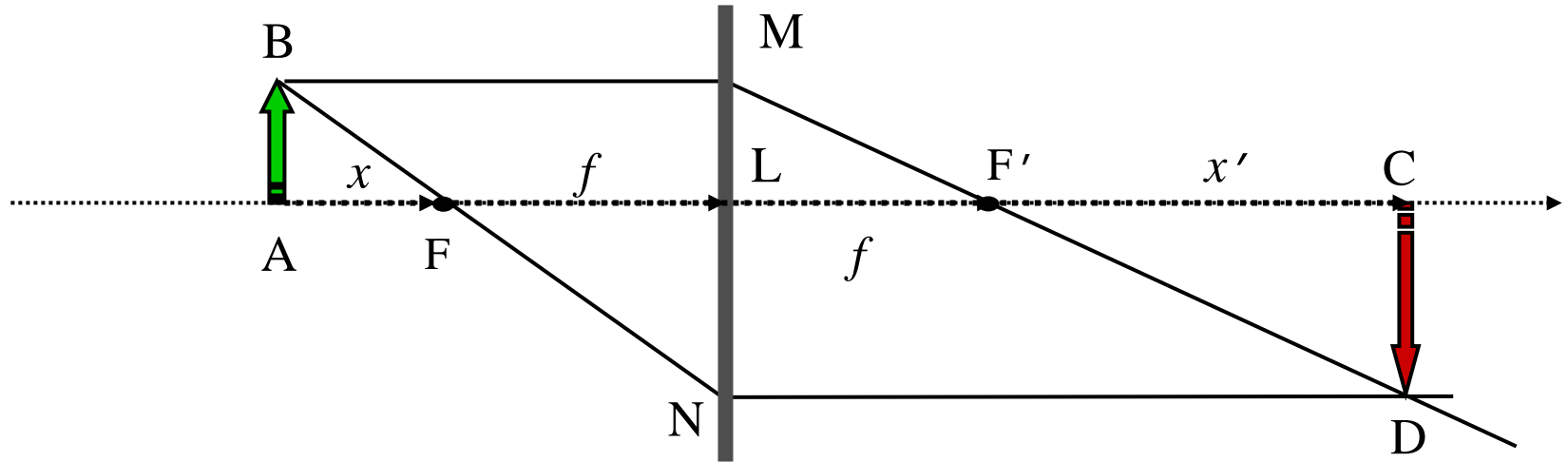
$$P_{\text{thin lens}} < 0 \Rightarrow \\ \Rightarrow f < 0 \quad (\text{to the "left"})$$

Imaging condition: ray-tracing



- Image point is located at the common intersection of **all** rays which emanate from the corresponding object point
- The two rays passing through the two focal points and the chief ray can be ray-traced directly

Imaging condition: ray-tracing

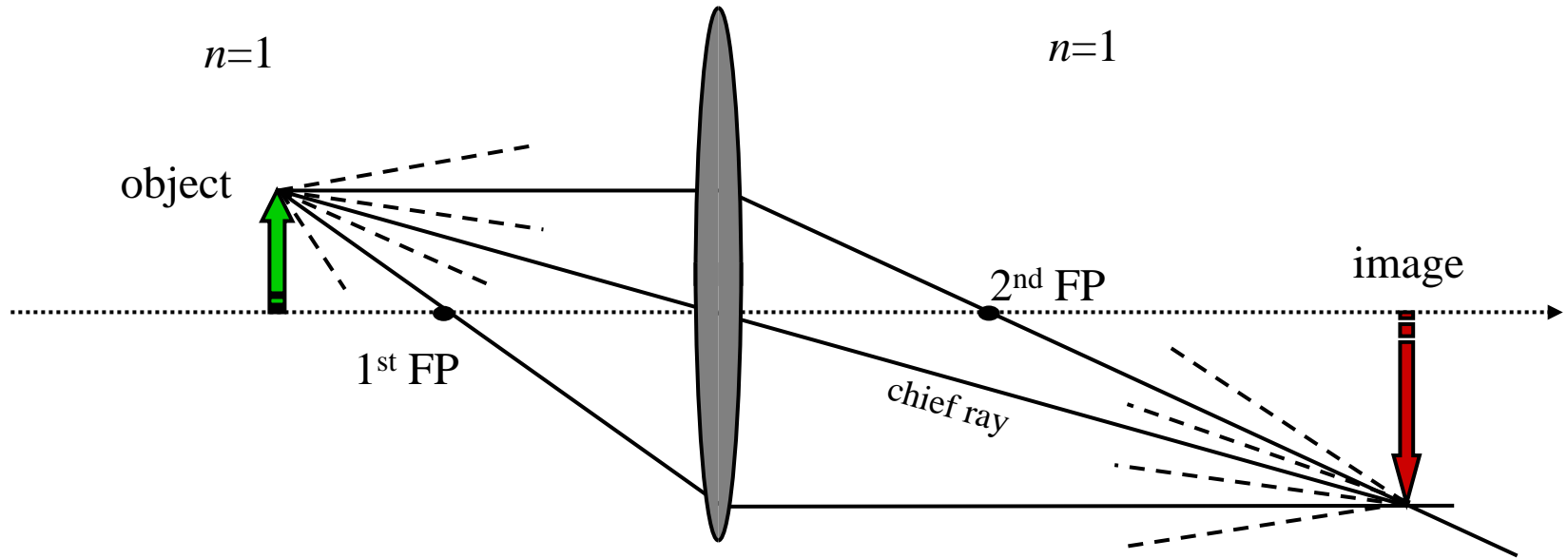


- $(ABF) \sim (FLN)$ and $(F'CD) \sim (MLF')$ are pairs of similar triangles

$$\frac{(AB)}{(AF)} = \frac{(LN)}{(FL)} \quad \frac{(LM)}{(LF')} = \frac{(CD)}{(F'C)} \quad (AB) = (ML) \quad (LN) = (CD)$$

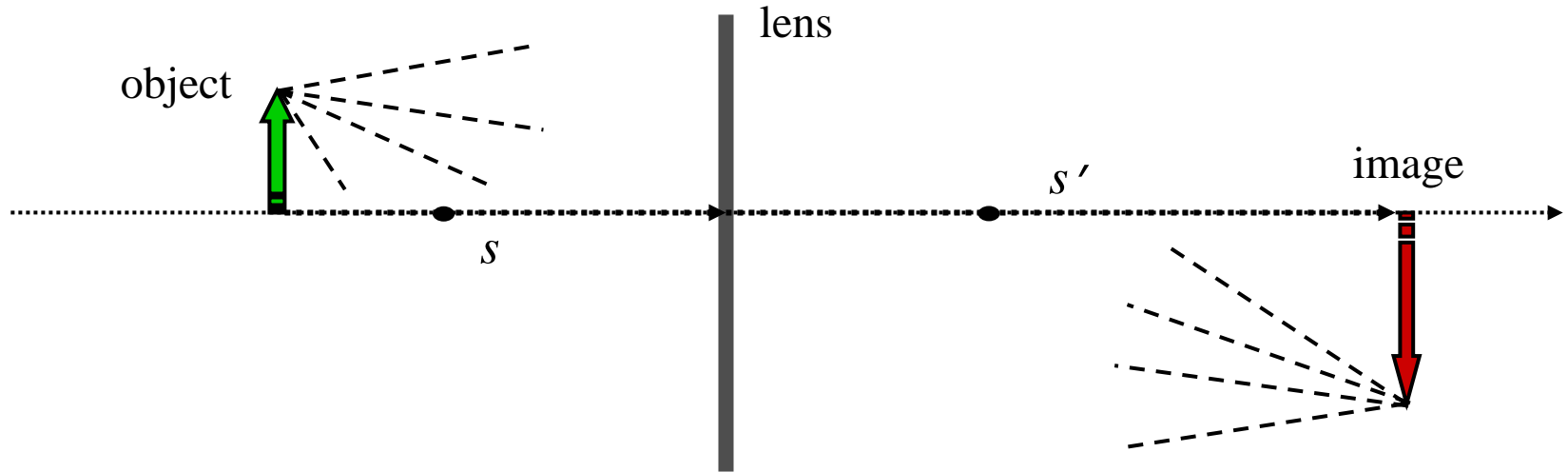
$$(AF)(F'C) = (FL)(LF') \quad xx' = f^2$$

Imaging condition: matrix method



- Location of image point must be independent of ray departure angle at the object

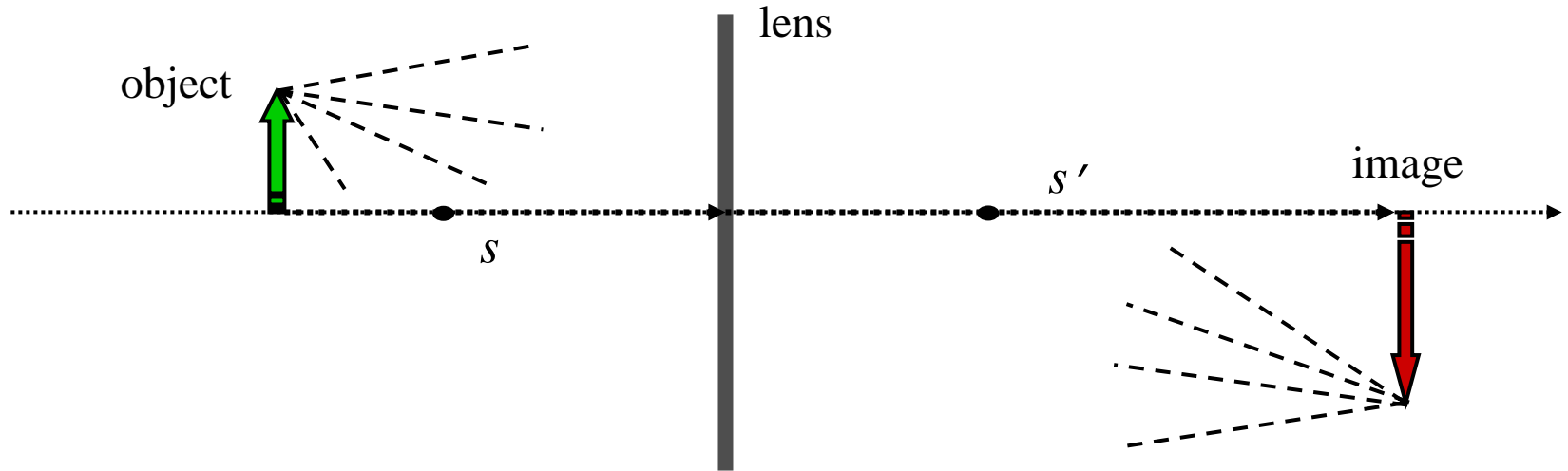
Imaging condition: matrix method



$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ s' & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{1}{f} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix} = \begin{pmatrix} 1 - \frac{s}{f} & -\frac{1}{f} \\ s + s' - \frac{ss'}{f} & 1 - \frac{s'}{f} \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

$= 0$

Imaging condition: matrix method



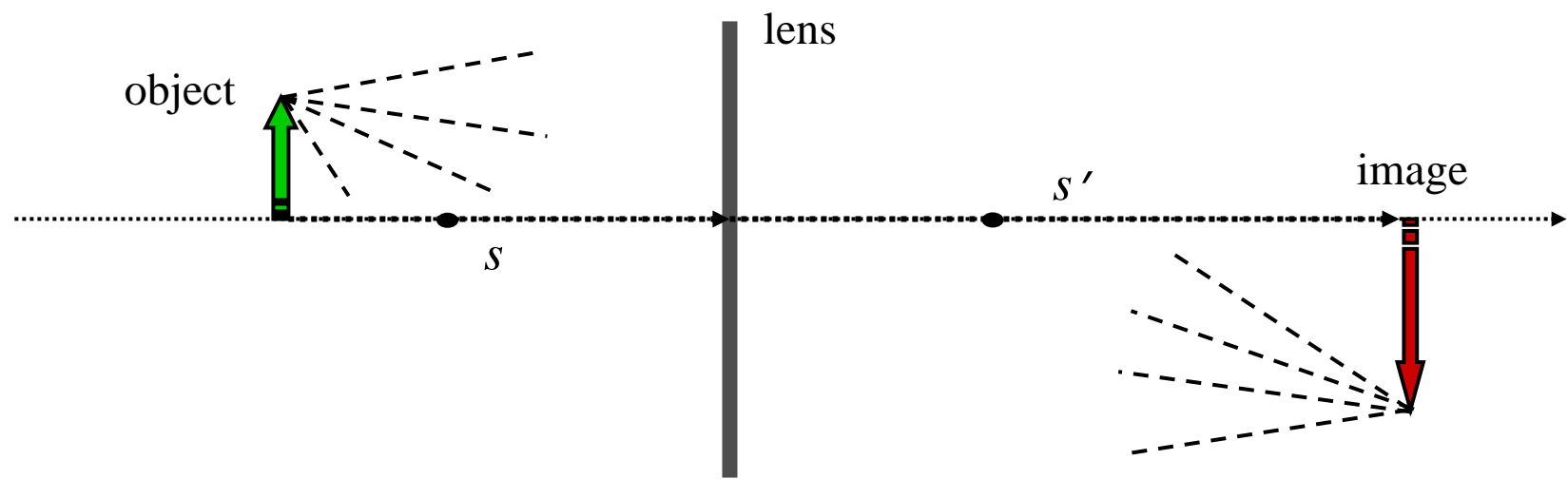
$$s + s' - \frac{ss'}{f} = 0$$

\Leftrightarrow

$$\frac{1}{s} + \frac{1}{s'} = \frac{1}{f}$$

Imaging condition
(aka Lens Law)

Imaging condition: matrix method



$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 - \frac{s}{f} & -\frac{1}{f} \\ 0 & 1 - \frac{s'}{f} \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix} \Rightarrow x_{\text{out}} = \left(1 - \frac{s'}{f}\right) x_{\text{in}}$$

Lateral magnification :

$$M_T = \frac{x_{\text{out}}}{x_{\text{in}}}$$

$$M_T = 1 - \frac{s'}{f} = -\frac{s'}{s}$$

Real & virtual images

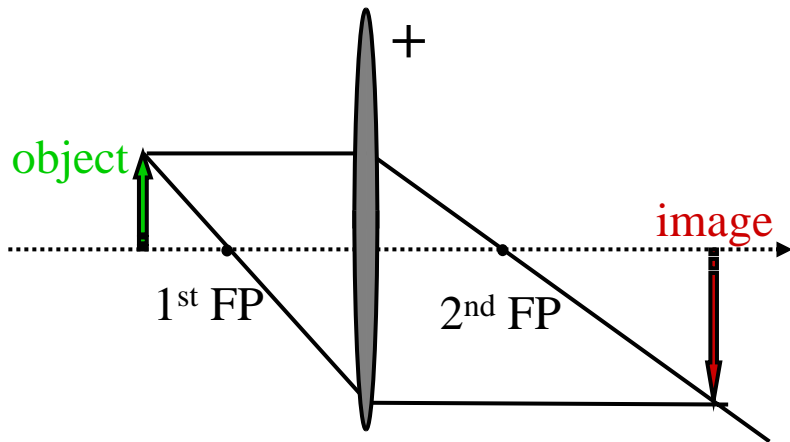


image: real & inverted; $M_T < 0$

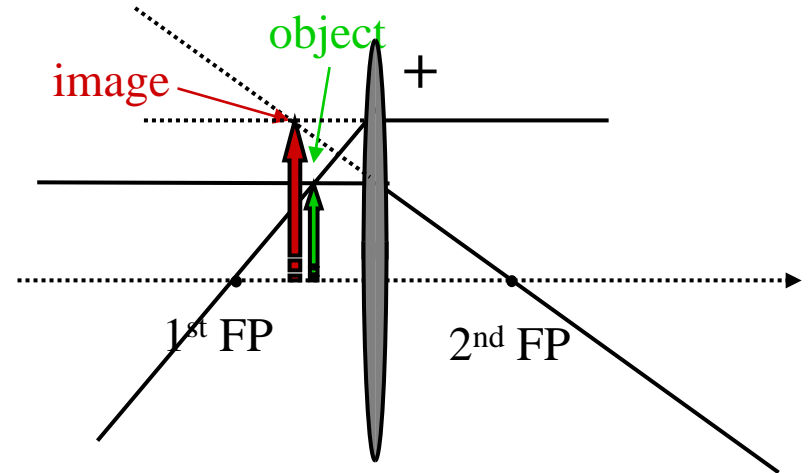


image: virtual & erect; $M_T > 1$

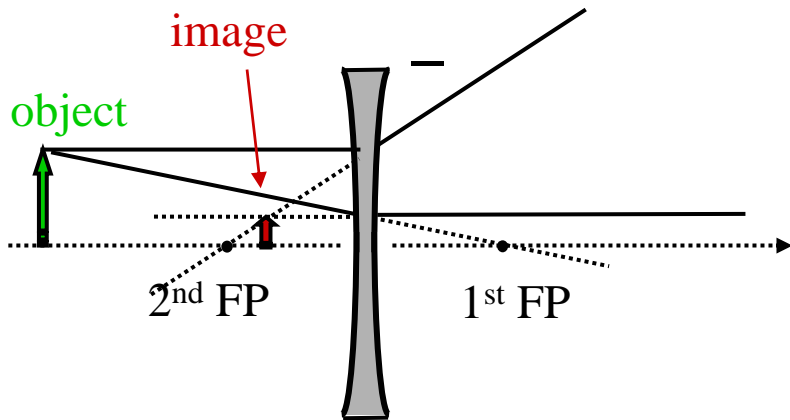


image: virtual & erect; $0 < M_T < 1$

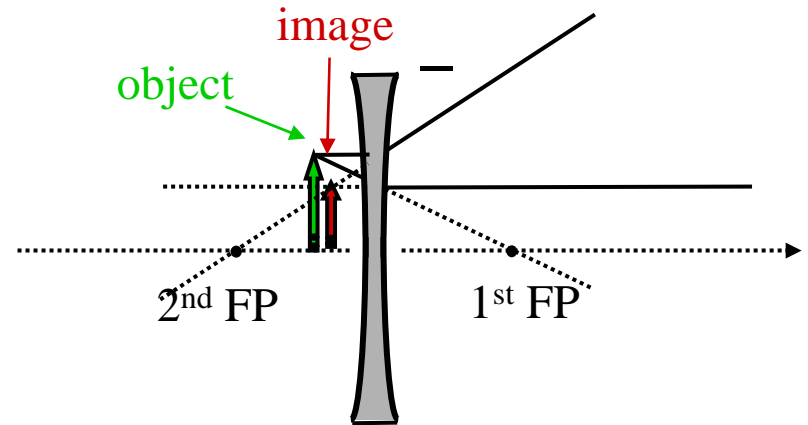
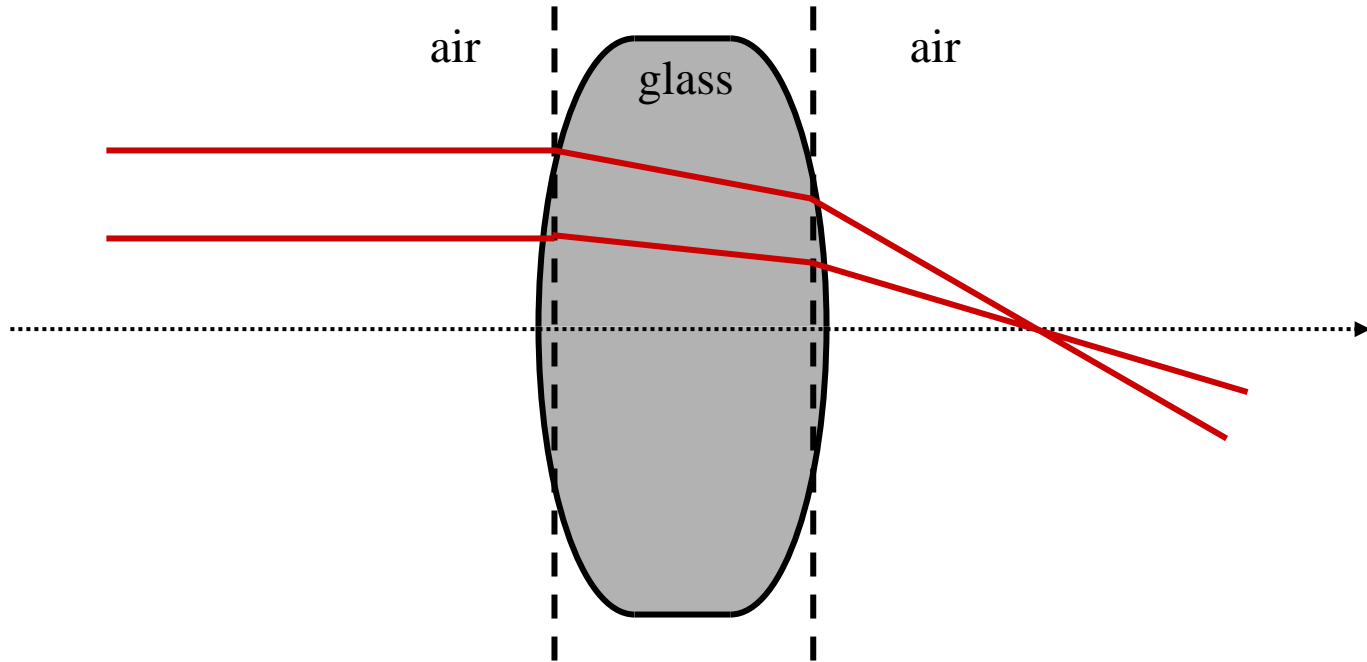


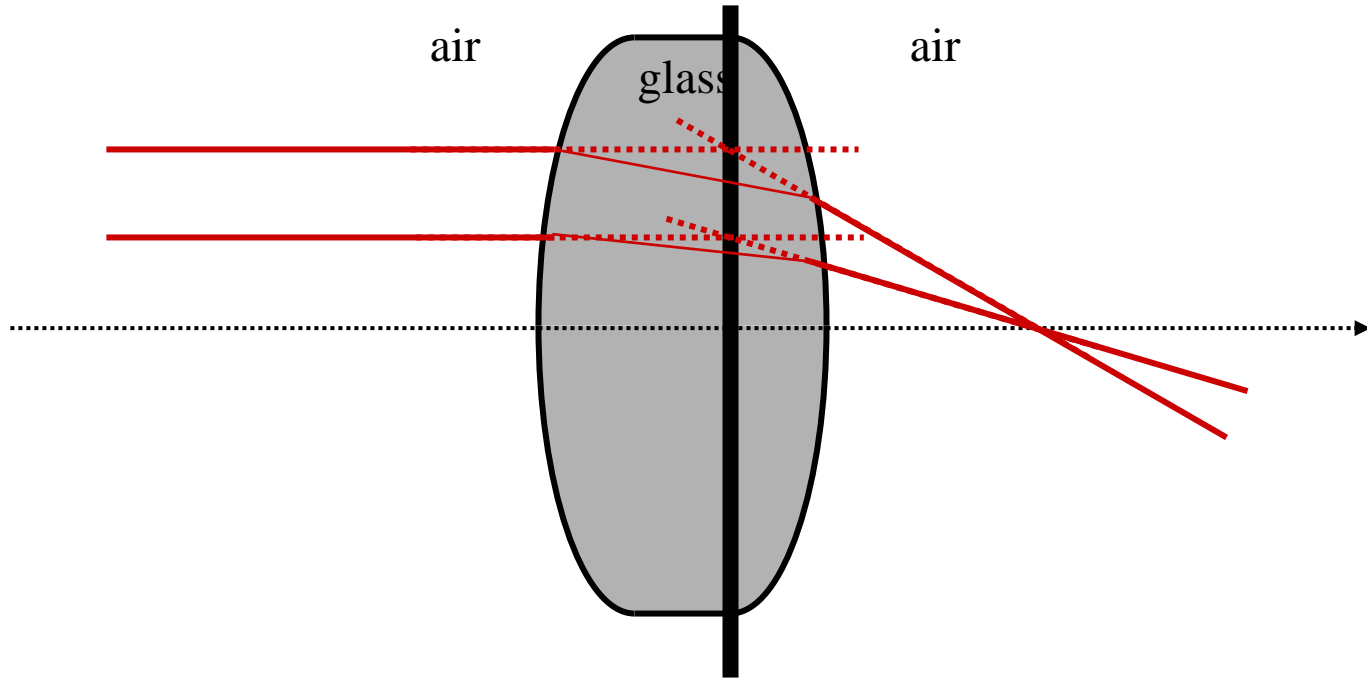
image: virtual & erect; $0 < M_T < 1$

The thick lens



Rays bend in “two steps”

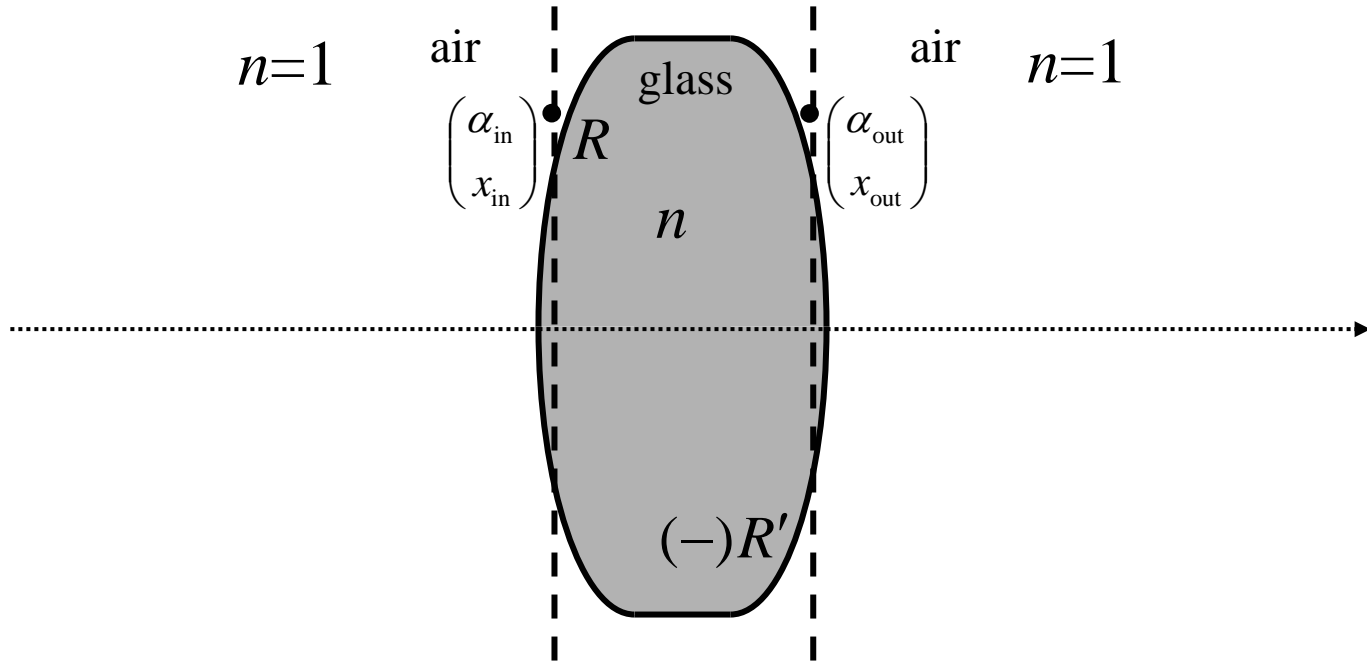
The thick lens



Equivalent to a thin lens placed “somewhere”
within the thick element.

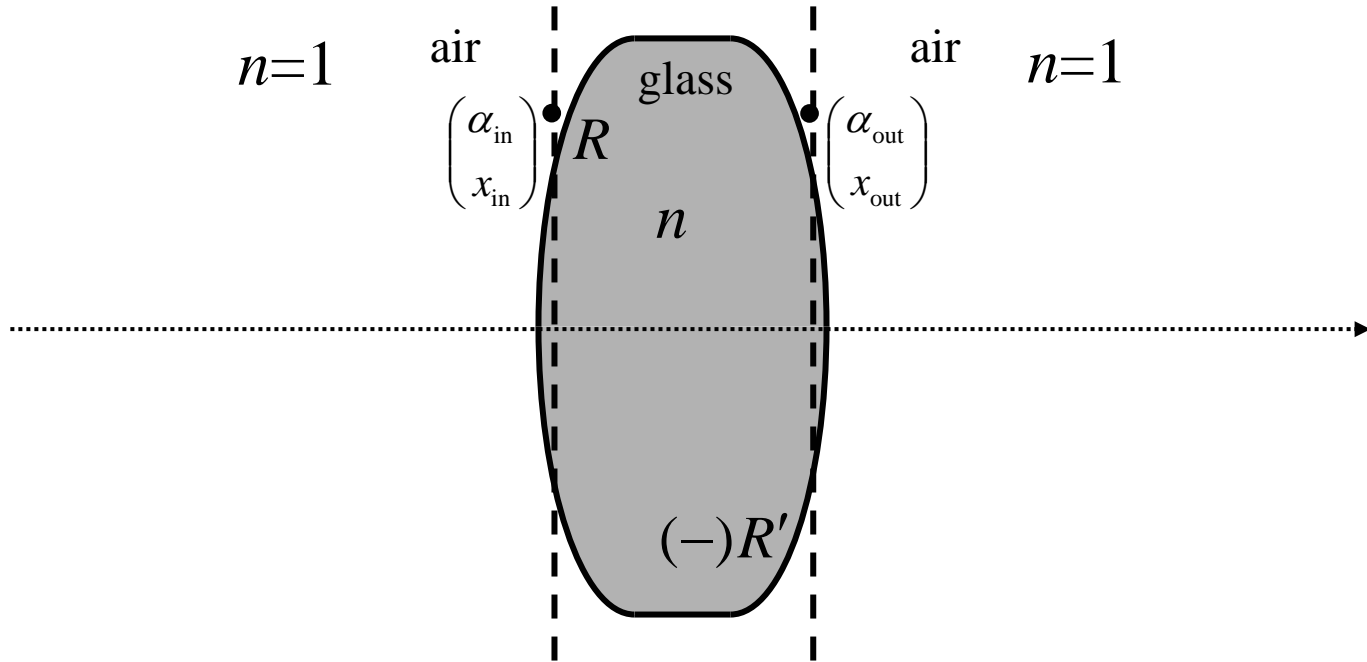
The location of this “equivalent thin lens” is
the **Principal Plane** of the thick element

The thick lens



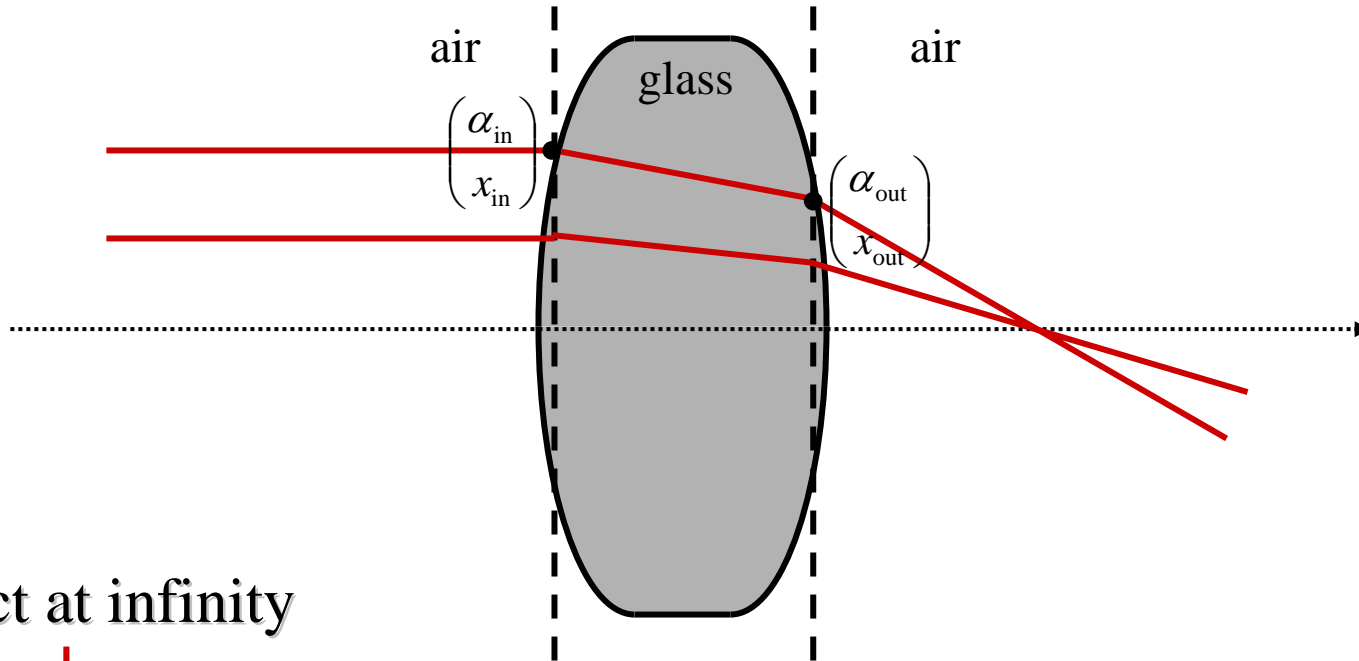
$$\begin{pmatrix} \alpha_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} 1 & -\frac{1-n}{R'} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{d}{n} & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{n-1}{R} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha_{in} \\ x_{in} \end{pmatrix}$$

The thick lens



$$\begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} 1 + \frac{n-1}{n} \frac{d}{R'} & - \left[(n-1) \left(\frac{1}{R} - \frac{1}{R'} \right) + \frac{(n-1)^2 d}{nRR'} \right] \\ \frac{d}{n} & 1 - \frac{n-1}{n} \frac{d}{R} \end{pmatrix} \begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix}$$

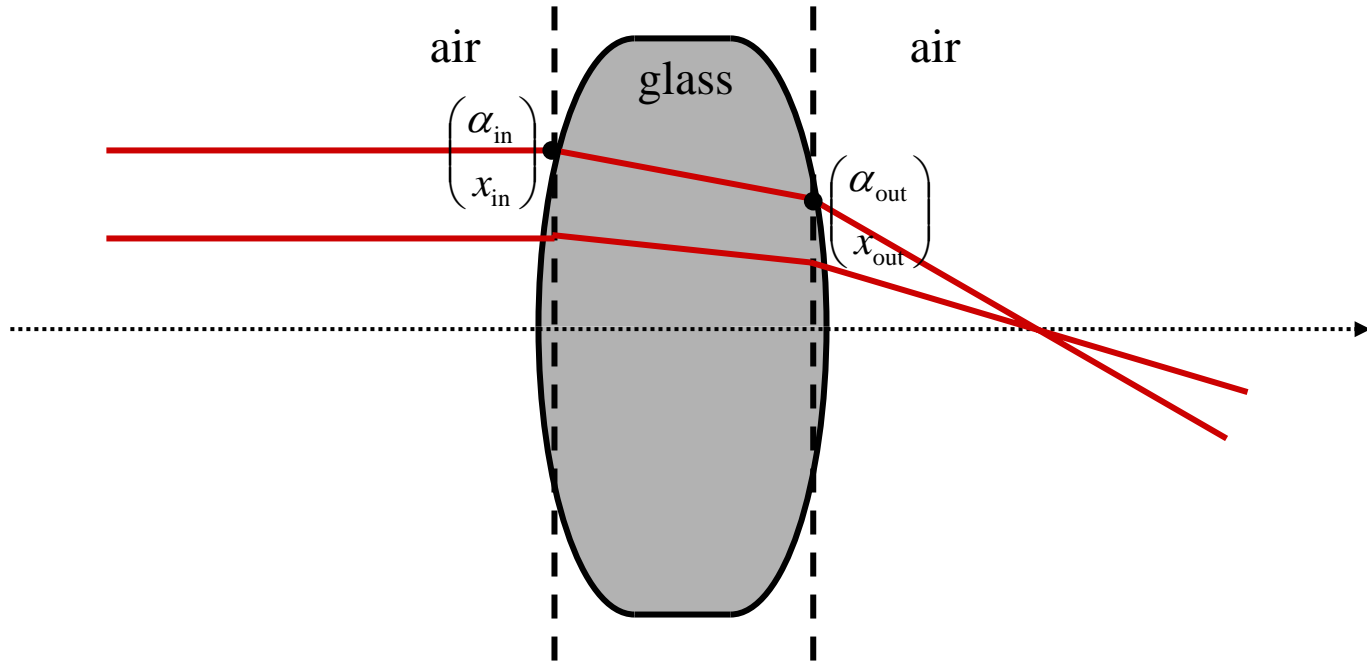
The thick lens: power



Object at infinity

$$\begin{pmatrix} \alpha_{\text{in}} \\ x_{\text{in}} \end{pmatrix} = \begin{pmatrix} 0 \\ x \end{pmatrix} \Rightarrow \begin{pmatrix} \alpha_{\text{out}} \\ x_{\text{out}} \end{pmatrix} = \begin{pmatrix} - \left[(n-1) \left(\frac{1}{R} - \frac{1}{R'} \right) + \frac{(n-1)^2 d}{nRR'} \right] x \\ \left(1 - \frac{n-1}{n} \frac{d}{R} \right) x \end{pmatrix} \Rightarrow \alpha_{\text{out}} = -Px_{\text{in}}$$

The thick lens: power



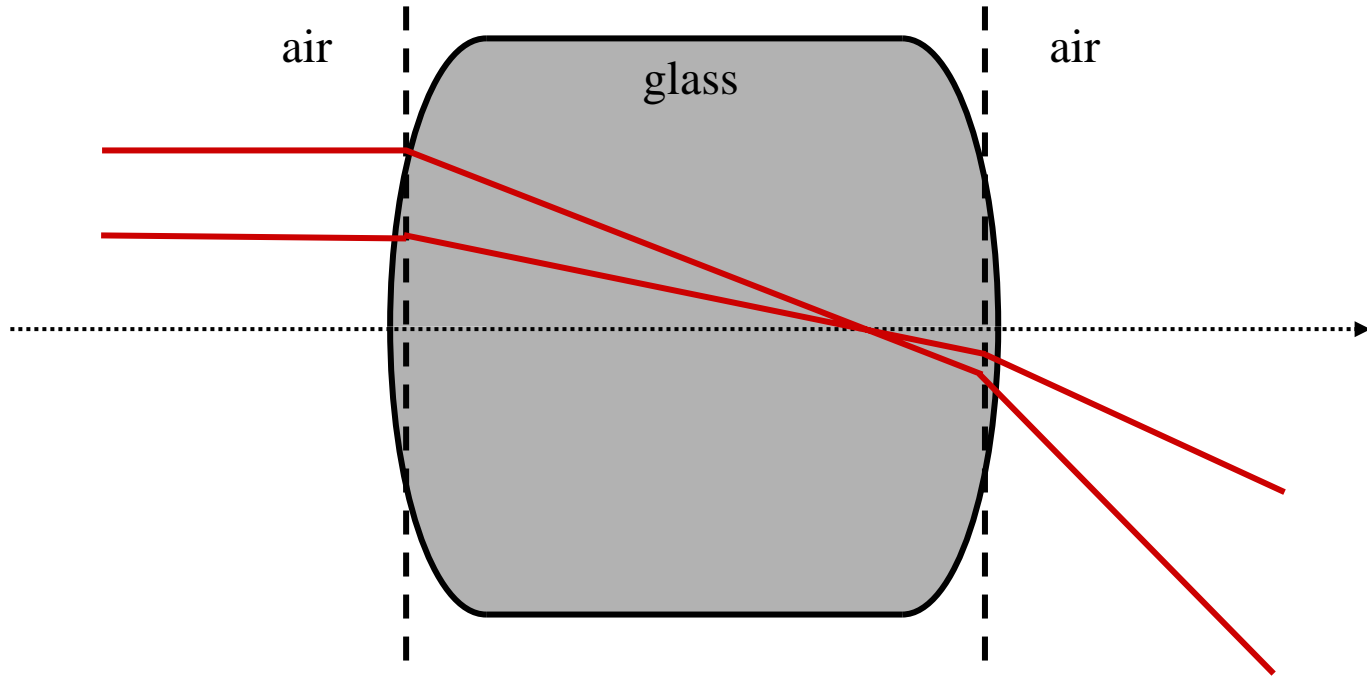
$$P = \left[(n-1) \left(\frac{1}{R} - \frac{1}{R'} \right) + \frac{(n-1)^2 d}{nRR'} \right]$$

Power

$$\frac{1}{f} = \left[(n-1) \left(\frac{1}{R} - \frac{1}{R'} \right) + \frac{(n-1)^2 d}{nRR'} \right]$$

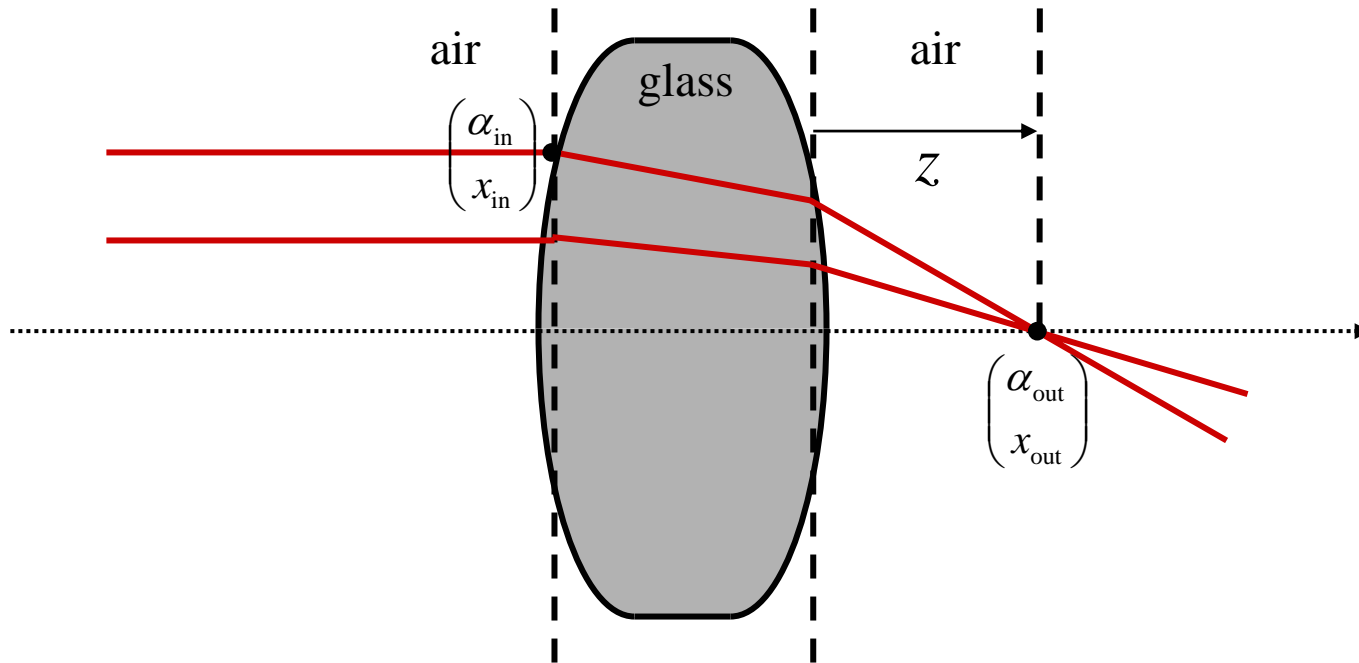
f : Effective Focal Length

The *very* thick lens



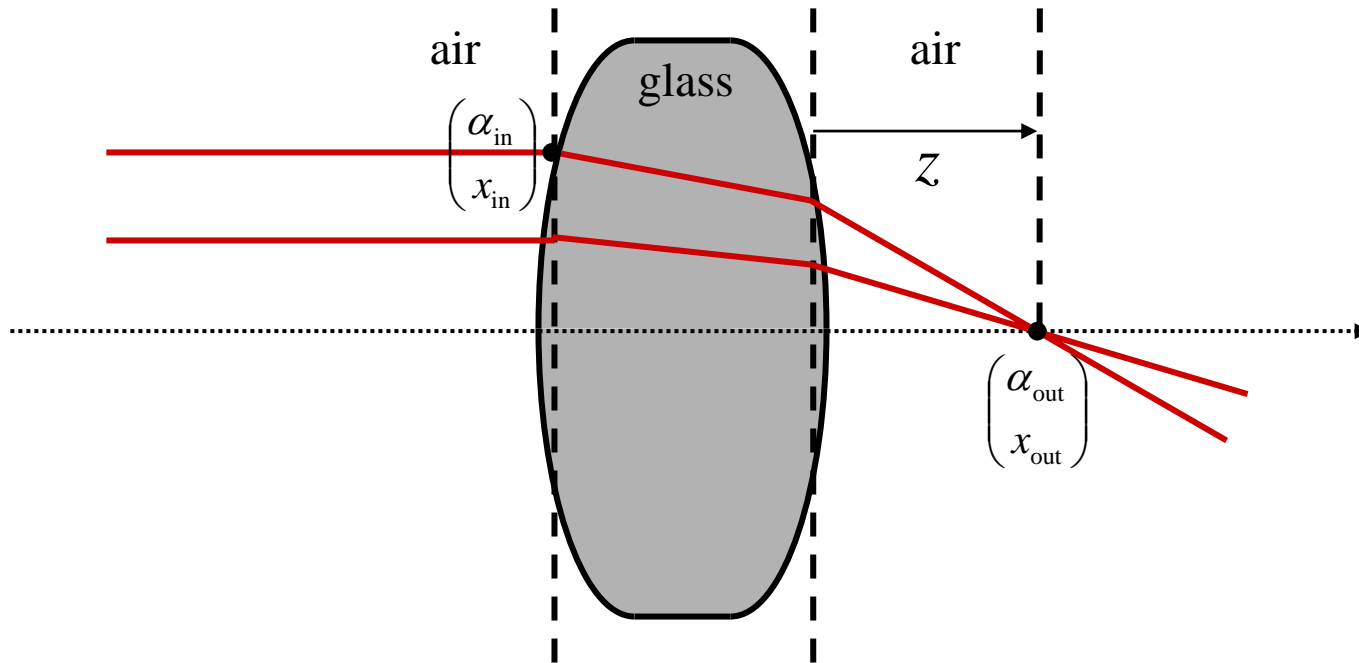
Funny things happening:
rays diverge upon exiting from the element, *i.e.*
too much positive power leading to a negative element!

The thick lens: back focal length



$$\begin{pmatrix} \alpha_{out} \\ x_{out} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ z & 1 \end{pmatrix} \begin{pmatrix} 1 + \frac{n-1}{n} \frac{d}{R'} & -\frac{1}{f} \\ \frac{d}{n} & 1 - \frac{n-1}{n} \frac{d}{R} \end{pmatrix} \begin{pmatrix} \alpha_{in} \\ x_{in} \end{pmatrix}$$

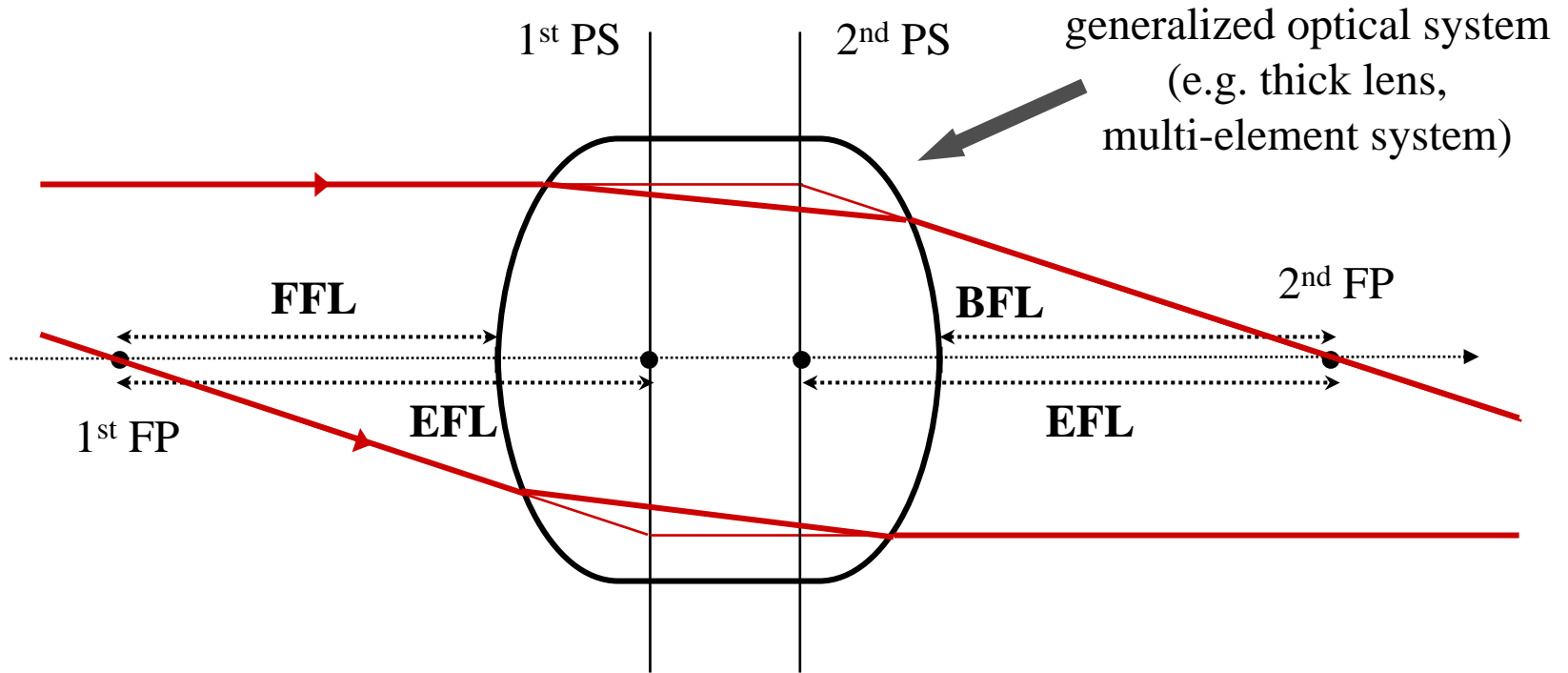
The thick lens: back focal length



$$x_{out} = 0 \Rightarrow z = f \left(1 - \frac{n-1}{n} \frac{d}{R} \right)$$

z : Back Focal Length

Focal Lengths & Principal Planes



EFL: Effective Focal Length (or simply “focal length”)

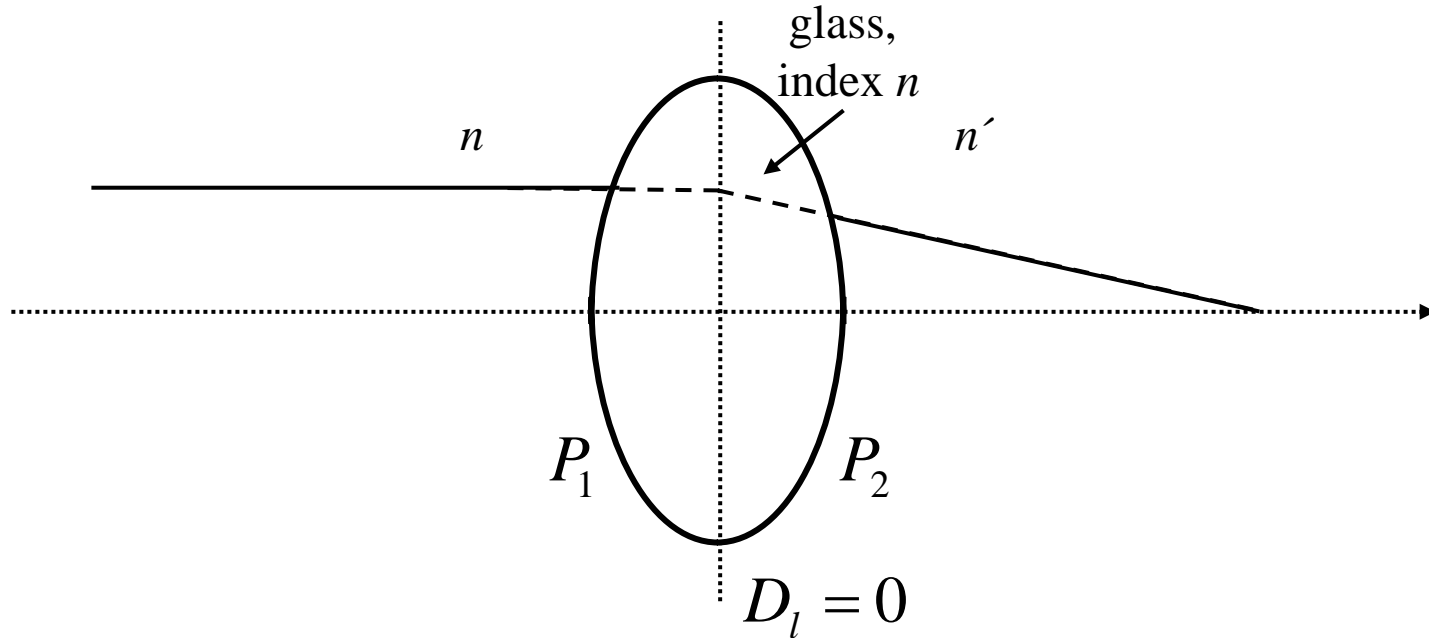
FFL: Front Focal Length

BFL: Back Focal Length

FP: Focal Point/Plane

PS: Principal Surface/Plane

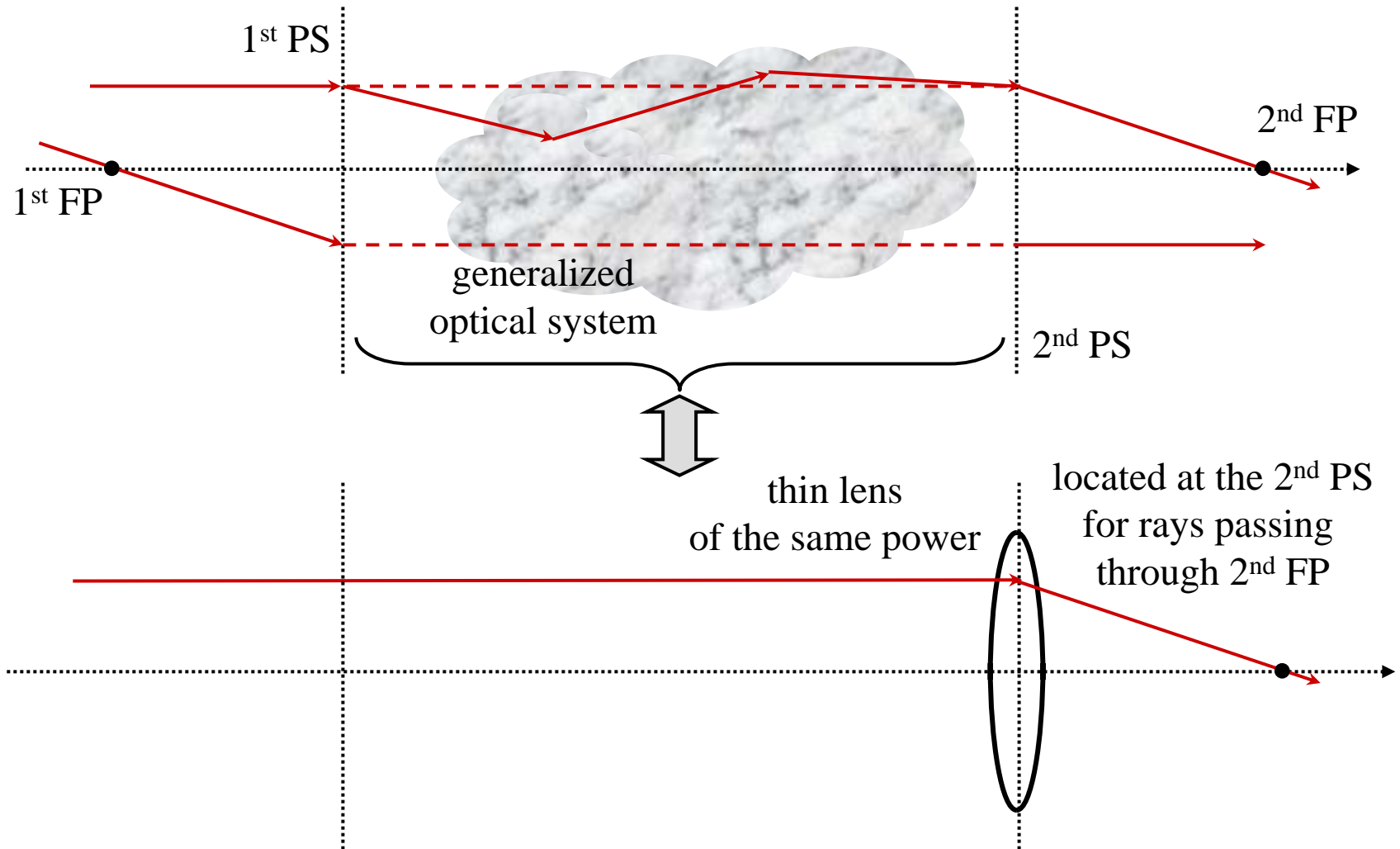
PSs and FLs for thin lenses



$$\frac{1}{(\text{EFL})} \equiv P = P_1 + P_2 \quad (\text{BFL}) = (\text{EFL}) = (\text{FFL})$$

- The principal planes coincide with the (collocated) glass surfaces
- The rays bend precisely at the thin lens plane (=collocated glass surfaces & PP)

The significance of principal planes /1



The significance of principal planes /2

