Political Science 17.20 Introduction to American Politics

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Lecture 5: The Constitution II February 21, 2013

- 1 Principles and Pragmatic Politics
- 2 Key Features of the Constitution
- 3 The Evolving Constitutional System

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Key Principles

- Federalism (fait accomplis)
- Popular sovereignty (contested meanings)
- Limited government
 - Locke: individual rights
 - Montesquieu: separated institutions

Conflicts and Compromises

- Large vs. small
 - → Representation by population or by state?
 - → Connecticut Compromise
- Slave vs. free
 - → Count slaves as part of population?
 - → Three-Fifths Compromise
 - → National regulation of slavery?
- Mercantile vs. agricultural
 - → How much power over commerce and trade?
 - → Power to regulate interstate commerce (logroll)

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Separated Institutions Sharing Powers

Legislative (House of Representatives, Senate):

- Two branches with different powers and electoral bases
- Powers: commerce, taxation, war, "necessary and proper"
- Checks: confirmation of appointments, impeachment

Executive (President, Vice President, Bureaucracy):

- Electoral college
- Powers: commander in chief, appointment, execute laws
- Checks: veto, judicial appointments

Judicial: (Supreme Court, federal courts)

- Powers: interpret and apply law
- Checks: judicial review → ambiguous



Federalism

- States have separate existence, direct representation
- U.S. gov't limited to enumerated powers (e.g., commerce)
- 10th Amendment reserves other powers to states/people
- Supremacy Clause + Necessary & Proper (Elastic) Clause
- lacktriangle Equal representation of states \Longrightarrow unequal rep. of people

An Alternative Reality

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Bill of Rights

- Response to Antifederalist critiques
- Liberal (individual) as well as republican (communitarian):
 - → Protect individual rights from <u>federal</u> gov't
 - Speech, press, assembly (1st)
 - Searches and seizures (4th)
 - Due process of law (5th)
 - → Promote local democratic participation and control
 - Churches' religious freedom (1st)
 - Bearing arms in militia (2nd)
 - Trial by jury (5th–7th)
 - States' reserved rights (10th)

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Formal Changes to the Constitution

- Amending the Constitution: possible but difficult
 - \rightarrow 2/3 of Congress, 3/4 of states
- Democratizing amendments:
 - 13th: Abolish slavery (1865)
 - 14th: Apply due process and equal protection to states (1868)
 - 15th: Prohibit denial of suffrage based on race (1870)
 - 17th: Popular election of senators (1913)
 - 19th: Women's suffrage (1920)
- Other important amendments:
 - 12th: Reform electoral college (1804)
 - 16th: Allow income tax (1913)
- 22nd: Limit president to two terms (1951)

Informal Changes to the Constitutional System

Elections:

■ More elections, expanded suffrage (though not always)

Parties:

- Organize & coordinate across fragmented state
- Initially feared, but Framers eventually embraced them

Judicial Review:

■ Constitution vague; first used in 1803 (Marbury v. Madison)

Federalism

■ Gradual expansion of Congress's authority, esp. economic ivil Pights

Civil Rights

Application of Bill of Rights to states; courts as protectors

Presidential Power

Enormous bureaucracy, standing army



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