

Frank

Wagner's book. Simple Theories.
+ various articles.

Nice Paper: From Stability to Simplicity / Kim & Pillay JSL?

Stability = theory of independence + multiplicity.
simplicitytypes over models have
unique extensions.

Framework of FO model theory.

- Organisation:
1. Basic Definitions & Framework
 2. Simplicity & Independence.
 3. Canonical Bases.
 4. "Generic Constructions" - adding random predicate etc.
 5. "Simple" groups.
 6. Lovely Pairs.
- } Basic stuff everyone should know.

Analogue of Monster Model in CAT.Defn: Let \mathcal{L} be a signature, $\Delta \subseteq \mathcal{L}_{\omega, \omega}$ (set of fo formulas).Assume that Δ is closed under positive boolean combinations.
Then Δ is a positive fragment of \mathcal{L} . $\forall \Delta$.We fix a positive fragment Δ . A "formula" always means a formula from Δ .* Work in purely relational language or Δ is closed under substitution of terms for variable.Definition: An \mathcal{L} -structure \mathcal{U} is a κ -universal domain (κ is a cardinal) if it satisfies:

1. Homogeneity: If $A \subseteq \mathcal{U}$ & $|A| < \kappa$ and $f: A \rightarrow \mathcal{U}$ is a mapping st. $\forall \varphi(\bar{x}) \in \Delta \forall \bar{a} \in A$, if $\mathcal{U} \models \varphi(\bar{a}) \Rightarrow \mathcal{U} \models \varphi(f(\bar{a}))$.

[We say that f is a partial Δ -endomorphism of \mathcal{U} .]Then $\exists \tilde{f} \in \text{Aut}(\mathcal{U})$ which extends f .

(2) Compactness: If $\Sigma(\bar{x})$ is a set of Δ -formulas where \bar{x} is a possibly infinite tuple of variables, then either $\Sigma(\bar{x})$ is realised in \mathcal{U} (i.e. $\exists \bar{a} \in \mathcal{U}$ st. $\mathcal{U} \models \Sigma(\bar{a})$) or there is $\Sigma_0 \subseteq \Sigma$ finite which is not realised.

Important

Fact: Assume \mathcal{U} is a universal domain. $\bar{a} \in \mathcal{U}$. $\varphi(\bar{x}, \bar{y}) \in \Delta$ and $\mathcal{U} \not\models \forall \bar{y} \varphi(\bar{a}, \bar{y})$. $\exists \bar{y} \varphi(\bar{a}, \bar{y})$.

Then there is a formula $\psi(\bar{x}) \in \Delta$ st.

- (1) $\mathcal{U} \not\models \psi(\bar{a})$.
- (2) $\mathcal{U} \models \forall \bar{x} (\psi(\bar{x}) \rightarrow \neg \exists \bar{y} \varphi(\bar{x}, \bar{y}))$.

Proof: Set $p(\bar{a}) = tp(\bar{a}) := \{ \chi(\bar{x}) \in \Delta : \mathcal{U} \models \chi(\bar{a}) \}$.
Then $p(\bar{x}) \cup \varphi(\bar{x}, \bar{y})$ is not realised in \mathcal{U} by homogeneity.

(Otherwise we'd get $\bar{c}, \bar{d} \in \mathcal{U}$ st. $p(\bar{c}) \wedge \varphi(\bar{c}, \bar{d})$.
so $f: \bar{a} \mapsto \bar{c}$ is a partial endomorphism extending to an automorphism f and then $\mathcal{U} \models \varphi(\bar{a}, f^{-1}(\bar{d}))$)

by compactness: $\exists \chi(\bar{x}) \in p(\bar{x})$ st. $\chi(\bar{x}) \wedge \varphi(\bar{x}, \bar{y})$ is not realised in \mathcal{U} . \square

Remark: If \mathcal{U} is a universal domain wrt Δ and $\Delta' = \exists \Delta := \{ \exists \bar{y} \varphi(\bar{x}, \bar{y}) : \varphi \in \Delta \}$.
Then \mathcal{U} is a universal domain wrt Δ' .

Proof: Homogeneity becomes easier \checkmark
Compactness: by replacing each $\exists \bar{y} \varphi(\bar{x}, \bar{y})$ with $\varphi(\bar{x}, \bar{y}_{\varphi})$ \square
new variables.

Therefore we allow ourselves the following additional assumption:

Convention: For every $\varphi(\bar{x}, \bar{y}) \in \Delta$, the formula $\exists \bar{y} \varphi(\bar{x}, \bar{y})$ is equivalent in \mathcal{U} to a partial Δ -type.

We say that \mathcal{U} is a universal domain for $T = Th(\mathcal{U})$
where $Th(\mathcal{U}) = \{ \exists \bar{x} \varphi(\bar{x}) : \varphi \in \Delta, \mathcal{U} \models \varphi \}$.

Lemma:
~~Definition~~

$$S_n(T) = \{ tp(\bar{a}) : \bar{a} \in \mathcal{U}^n \} = \{ \text{all maximal sets of } \Delta\text{-formulas in } (x_0 \dots x_{n-1}) = \bar{x} \text{ consistent with } T \}$$

Proof ①

Let $p(\bar{x}) = tp(\bar{a})$ where $\bar{a} \in \mathcal{U}^n$.
Then $p(\bar{x})$ is consistent with T since $\mathcal{U} \models p(\bar{a}) \cup T$.

~~Invariant~~

If $\varphi(\bar{x}) \notin p(\bar{x})$ (but $\varphi \in \Delta$), then $\mathcal{U} \not\models \varphi(\bar{a})$.
So by the fact, there is $\varphi(\bar{x}) \in p(\bar{x})$ st.
 $\mathcal{U} \models \exists \bar{x} (\varphi(\bar{x}) \wedge \neg \varphi(\bar{x}))$. (by the fact).
 $\in T$.

so $p(\bar{x}) \cup \{ \varphi(\bar{x}) \} \cup T$ is inconsistent.
 $\Rightarrow p$ is maximal.

② Assume that $p(\bar{x})$ is maximal consistent with T .
Since it is consistent with T , it is realised in \mathcal{U} (by compactness).

Say by $\bar{a} : \mathcal{U} \models p(\bar{a})$.

Then $p(\bar{x}) \subseteq tp(\bar{a})$, and $tp(\bar{a})$ is consistent with T .
So by maximality $p = tp(\bar{a})$. \square

From homogeneity, two types are the same type \Leftrightarrow they correspond by an automorphism of \mathcal{U} .

Therefore $S_n(T) \cong \mathcal{U}^n / \text{Aut}(\mathcal{U})$. orbits of action of $\text{Aut } \mathcal{U}$ on \mathcal{U}^n .
 \uparrow
possibly infinite

The logic topology on $S_n(T)$: If $\varphi(\bar{x}) \in \Delta$, then $\langle \varphi \rangle \subseteq S_n(T) := \{ p(\bar{x}) = \varphi(\bar{x}) \in p \}$

The ~~topology~~ closed sets are generated by the sets of this form.

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Simplicity
Theory
(⊕)

This is a compact topology.