

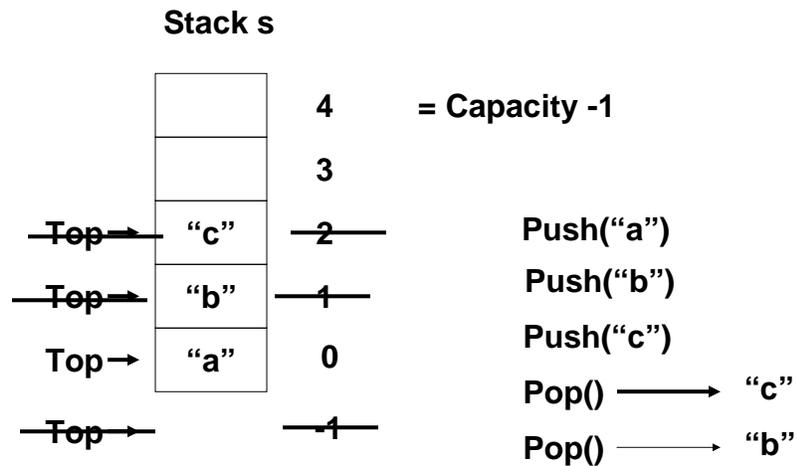
## 1.204 Lecture 6

### Data structures: stacks, queues, trees, dictionaries

### Data structures

- **Correct and efficient representation of data and applicable rules**
  - **Stack: last in, first out discipline**
  - **Queue: first in, first out discipline**
    - Double-ended queue (deque): general line discipline
  - **Heap: priority queue discipline**
  - **Tree:**
    - Binary search tree (BST): ordered data, using a key
    - Heaps are represented using binary tree
    - Many other tree variations (B-tree, quadtree, AVL tree...)
  - **Set:**
    - Disjoint sets of elements, modeled as forest: set of disjoint trees
  - **Graph/network:**
    - Set of nodes and arcs (with costs)
  - **(Arrays are a simple data structure but are not as efficient nor do they ensure correctness)**

## Stacks



## Using a Stack

```
public class StackTest {
    public static void main(String args[]) {
        int[] array = { 12, 13, 14, 15, 16, 17 };
        Stack stack = new Stack();
        for (int i : array) {
            stack.push(i);
        }
        while (!stack.isEmpty()) {
            int z = (Integer) stack.pop();
            System.out.println(z);
        }
    }
}
// Output: 17 16 15 14 13 12
```

## Stack, 1

```
import java.util.*;

public class Stack {
    public static final int DEFAULT_CAPACITY = 8;
    private Object[] stack;
    private int top = -1;
    private int capacity;

    public Stack(int cap) {
        capacity = cap;
        stack = new Object[capacity];
    }
    public Stack() {
        this( DEFAULT_CAPACITY );
    }
}
```

## Stack, 2

```
public boolean isEmpty() {
    return (top == -1);
}

public void clear() {
    top = -1;
}
```

## Stack, 3

```
public void push(Object o) {
    if (++top == capacity)
        grow();
    stack[top] = o;
}

private void grow() {
    capacity *= 2;
    Object[] oldStack = stack;
    stack = new Object[capacity];
    System.arraycopy(oldStack, 0, stack, 0, top);
}
```

## Stack, 4

```
public Object pop()
    throws EmptyStackException
{
    if (isEmpty())
        throw new EmptyStackException();
    else {
        return stack[top--];
    }
}

// Java has Stack class that will be deprecated soon
// Java suggests using Deque for stack and queue
```

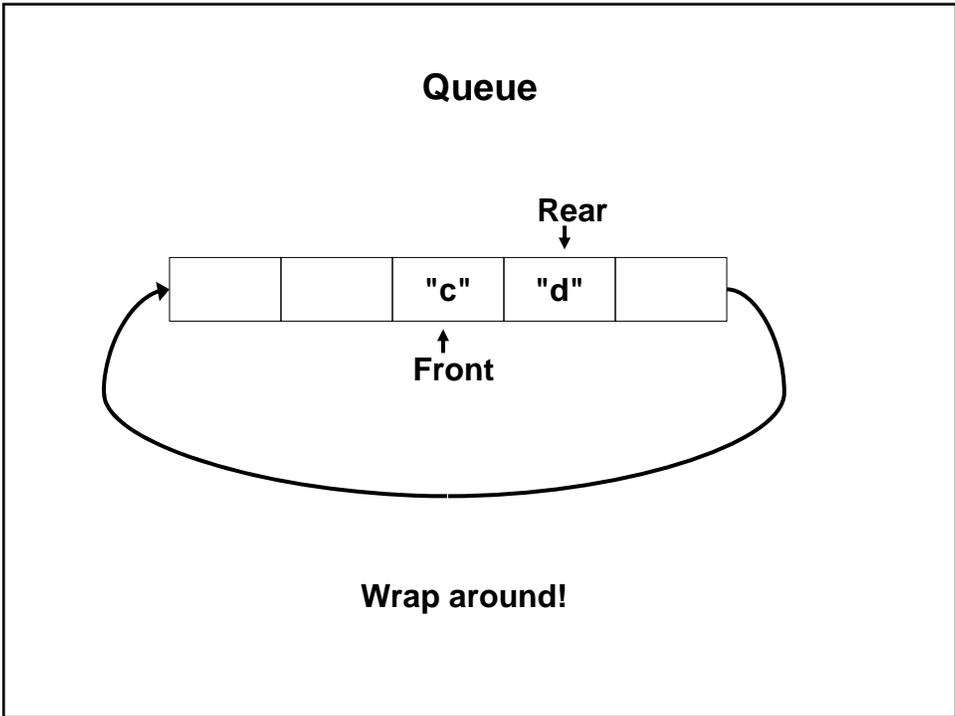
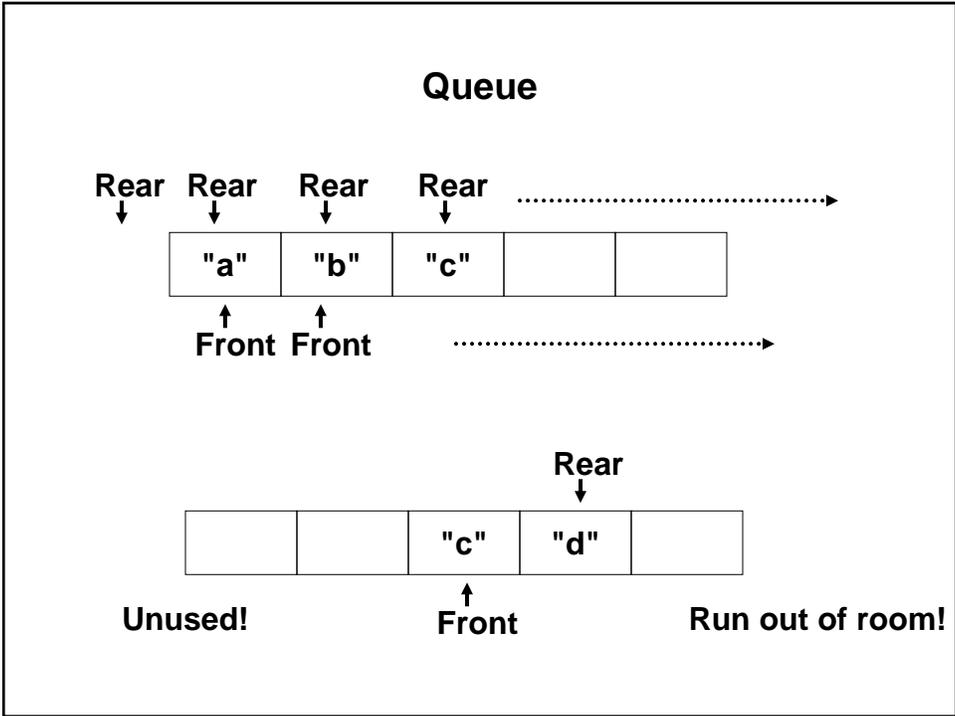
## Stack uses and efficiency

- **Applications**
  - Keep track of pending operations
    - Tree branches not explored (branch and bound)
    - Divide and conquer splits not completed/combined yet
    - Hierarchical communications networks (e.g., MPLS)
  - Physical stacks of items
  - Expression evaluation (with precedence)
- **Efficiency**
  - Pop() and push() are both  $O(1)$ 
    - Size of stack does not affect these methods
  - Space complexity of stack is  $O(n)$

## Queues

A *queue* is a data structure to which you add new items at one end and remove old items from the other.

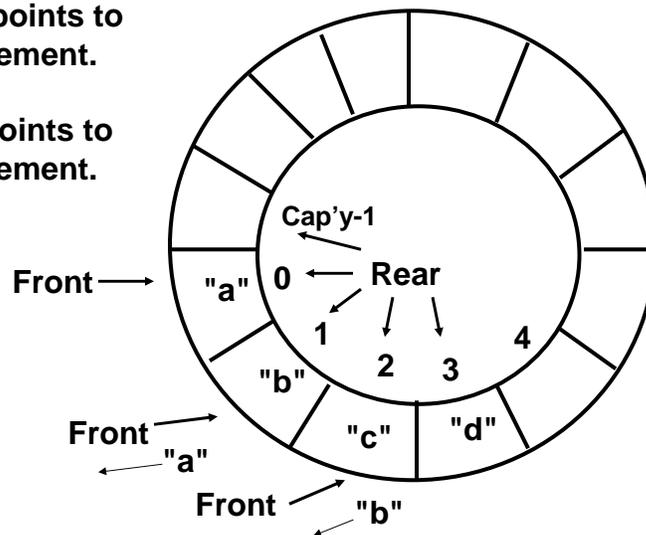




## Ring Queue

Front points to first element.

Rear points to rear element.



## Queue

```
public class Queue {  
    private Object[] queue;  
    private int front;  
    private int rear;  
    private int capacity;  
    private int size = 0;  
    static public final int DEFAULT_CAPACITY= 8;  
}
```

## Queue Data Members

**queue:** Holds a reference to the ring array

**front:** If `size > 0`, holds the index to the next item to be removed from the queue

**rear:** If `size > 0`, holds the index to the last item that was added to the queue

**capacity:** Holds the size of the array referenced by queue

**size:** Always  $\geq 0$ . Holds the number of items on the queue

## Queue Methods

```
public Queue(int cap) {
    capacity = cap;
    front = 0;
    rear = capacity - 1;
    queue = new Object[capacity];
}

public Queue() {
    this( DEFAULT_CAPACITY );
}

public boolean isEmpty() {
    return ( size == 0 );
}

public void clear() {
    size = 0;
    front = 0;
    rear = capacity - 1;
}
```

## Queue Methods

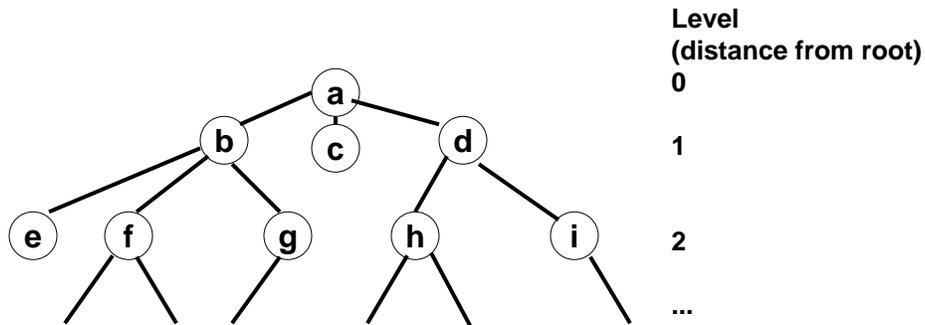
```
public void add(Object o) {
    if ( size == capacity )
        grow();
    rear = ( rear + 1 ) % capacity;
    queue[ rear ] = o;
    size++;
}

public Object remove() {
    if ( isEmpty() )
        throw new NoSuchElementException();
    else {
        Object ret = queue[ front ];
        front = (front + 1) % capacity;
        size--;
        return ret;
    }
}
// See download code for grow() method and for QueueTest class
```

## Queue uses and efficiency

- **Queue applications:**
  - First in, first out lists, streams, data flows
  - Buffers in networks and computers
  - Physical queues
  - Keep track of pending operations
    - Tree branches not explored in branch-and-bound, etc.
  - Label correcting shortest path algorithms
    - Use a 'candidate list' queue that allows arbitrary insertions
- **Queue efficiency:**
  - add() and remove() are  $O(1)$ 
    - Constant time, regardless of queue size
  - Space complexity of stack is  $O(n)$ 
    - Where  $n$  is maximum queue size, not number of items processed

## Tree definitions



Root: a

Degree (of node): number of subtrees

b:3, c:0, d:2

Leaf: node of degree 0: e, c

Branch: node of degree >0

Depth: max level in tree

Children: of a are b, c, d

Parent: of g is b

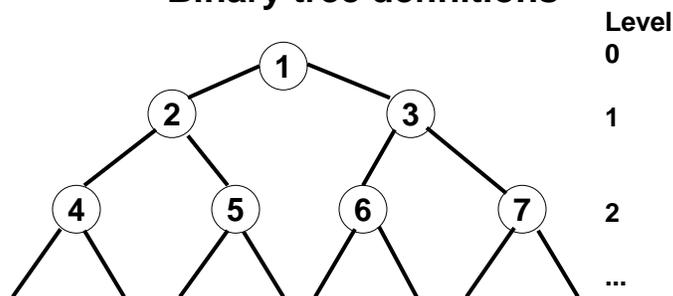
Siblings: children of same parent: b, c, d

Degree of tree: max degree of its nodes(3)

Ancestors: nodes on path to root:

g's ancestors are b and a

## Binary tree definitions



Max nodes on level  $i = 2^i$

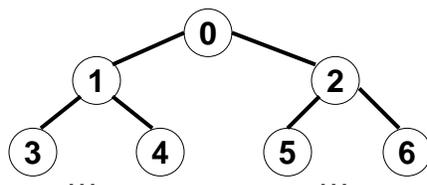
Max nodes in tree of depth  $k = 2^{k+1}-1$   
(full tree of depth  $k$ )

Complete binary tree in array:

Parent $[i] = i/2$

LeftChild $[i] = 2i$

RightChild $[i] = 2i+1$



If root is node 0 (rather than 1):

Parent $[i] = (i-1)/2$

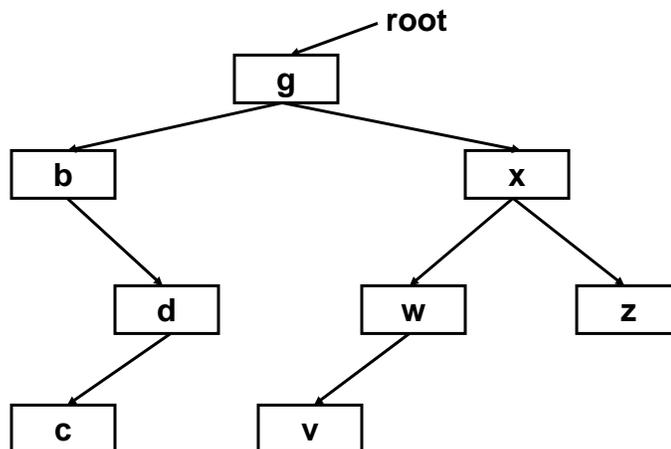
LeftChild $[i] = 2i+1$

RightChild $[i] = 2i+2$

## Tree Traversal

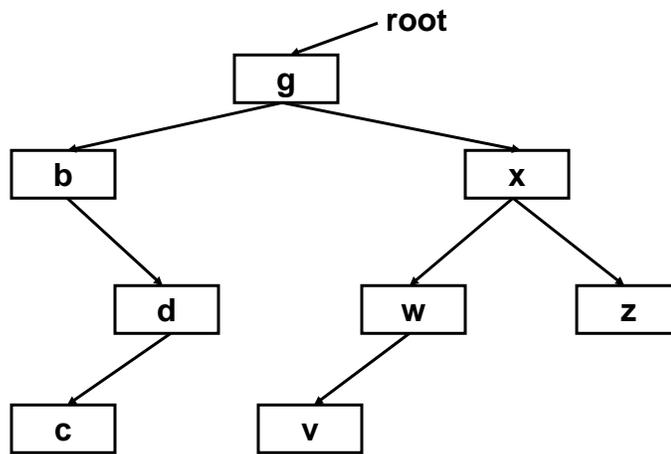
- We call a list of a tree's nodes a traversal if it lists each tree node exactly once.
- The three most commonly used traversal orders are recursively described as:
  - Inorder: traverse left subtree, visit current node, traverse right subtree
  - Postorder: traverse left subtree, traverse right subtree, visit current node
  - Preorder: visit current node, traverse left subtree, traverse right subtree

### Tree traversal examples



Inorder: b c d g v w x z

## Tree traversal examples



Postorder: c d b v w z x g

## Binary Search Trees

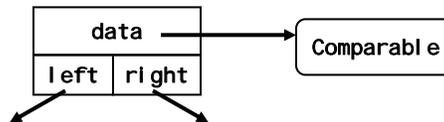
- There are many ways to build binary trees with varying properties:
  - In a heap or priority queue, the largest element is on top. In the rest of the heap, each element is larger than its children
  - In a binary search tree, the left subtree has nodes smaller than or equal to the parent, and the right subtree has nodes bigger than or equal to the parent
    - We saw that performing an *inorder* traversal of such a tree visited each node in order
- We'll build a binary search tree in this lecture and a heap in the next lecture

## Writing a Binary Search Tree

- We'll build a Tree class:
  - One data member: root
  - One constructor: Tree()
  - Methods:
    - insert: build a tree, node by node
    - inorder traversal
    - postorder traversal
    - (we omit preorder)
    - find: whether an object is in the tree
    - print tree

## Writing a BST, p.2

- We also build a Node nested class inside Tree:
  - Three data members: data, left, right
    - data is a reference to an Object, so our Node is general



- Our data Objects must implement the Comparable interface, which has one method:  
`int compareTo(Object other)`
- compareTo returns:
  - An int < 0 if (this < other)
  - 0 if (other equals this)
  - An int > 0 if (this > other)

## Writing a BST, p.3

- Node class also has a set of methods, all used by corresponding methods in the Tree class:
  - insertNode
  - traverseInorder
  - traversePostorder
  - findNode
  - printNode
- Methods are invoked on root node and then traverse the tree as needed

### Tree and Node Classes

Tree:

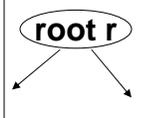
```
private Node root;  
public Tree() {root=null;}  
public void inorder() {...}  
public void postorder() {...}  
public void insert(o) {...}  
public boolean find(o) {...}  
public void print() {...}
```

Tree methods invoked on Tree object; they call Node methods invoked on the root node object

Node

```
public Node left, right;  
public Node(o) {data=o;}  
public void traverseInorder() {...}  
public void traversePostorder() {...}  
public void insertNode(n) {...}  
public boolean findNode(o) {...}  
public void printNodes() {...}
```

Tree t:



## Tree class

```
public class Tree {
    private Node root;

    public Tree() {
        root= null;    }

    public void inorder() {
        if (root != null) root.traverseInorder();    }

    public void postorder() {
        if (root != null) root.traversePostorder();    }

    public void insert(Comparable o) {
        Node t= new Node(o);
        if (root==null)
            root= t;
        else
            root.insertNode(t);    }
}
```

## Tree class, p.2

```
public boolean find(Comparable o) {
    if (root== null)
        return false;
    else
        return root.findNode(o);
}

public void print() {
    if (root != null)
        root.printNodes();
}
```

## Node class: data, constructor

```
private static class Node {
    public Comparable data;
    public Node left;
    public Node right;

    public Node(Comparable o) {
        data= o;
        left= null;
        right= null;
    }
}
```

## Traversal

```
public void traverseInorder() {
    if (left != null) left.traverseInorder();
    System.out.println(data);
    if (right != null) right.traverseInorder();
}

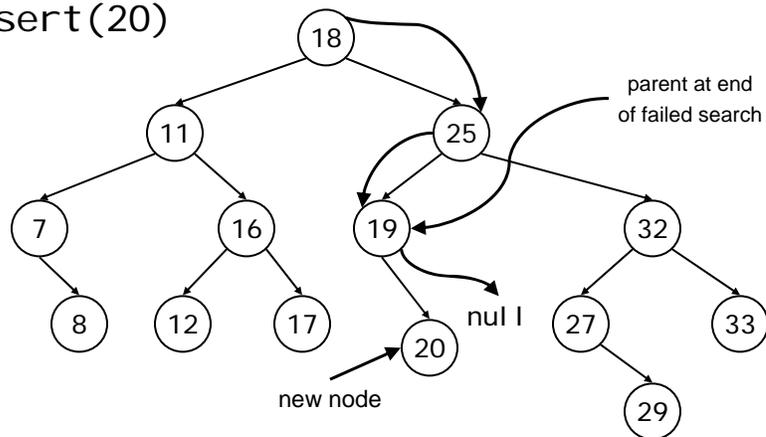
public void traversePostorder() {
    if (left != null) left.traversePostorder();
    if (right != null) right.traversePostorder();
    System.out.println(data);
}
```

## Node class, insertNode

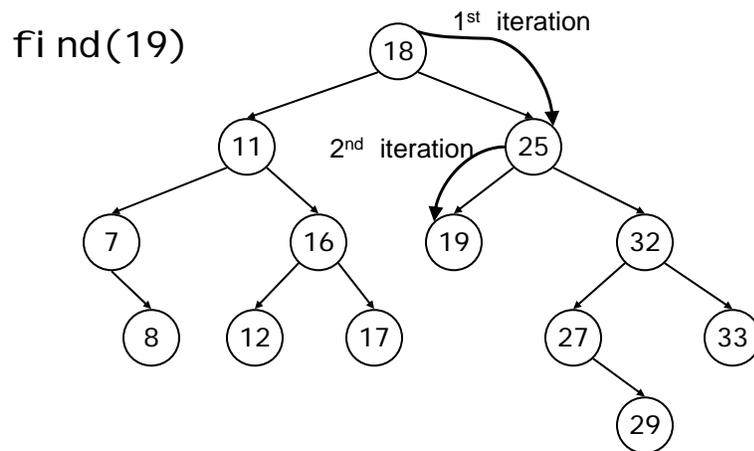
```
public void insertNode(Node n) {  
    if (data.compareTo(n.data) > 0) {  
        if (left == null) {  
            left = n;  
        } else {  
            left.insertNode(n);  
        }  
    } else {  
        if (right == null) {  
            right = n;  
        } else {  
            right.insertNode(n);  
        }  
    }  
}  
// No ties allowed
```

## insert() in Action

insert(20)



## find() in Action



## Find

```
public boolean findNode(Comparable o) {
    if (data.compareTo(o) > 0) {
        if (left == null)
            return false;
        else
            return left.findNode(o);
    }
    else if (data.compareTo(o) < 0) {
        if (right == null)
            return false;
        else
            return right.findNode(o);
    }
    else // Equal
        return true;
}
```

## Keys and Values

- If binary search trees are ordered, then they must be ordered on some *key* possessed by every tree node.
- A node might contain nothing but the *key*, but it's often useful to allow each node to contain a *key* and a *value*.
- The *key* is used to look up the node. The *value* is extra data contained in the node indexed by the *key*.

## Maps/Dictionarys

- Such data structures with key/value pairs are usually called *maps* or sometimes *dictionarys*
- As an example, consider the entries in a phone book as they might be entered in a binary search tree. The subscriber name, last name first, serves as the *key*, and the phone number serves as the *value*.

## Maps

- **Implementing tree structures with keys and values is a straightforward extension to what we just did. The Node contains the same members:**
  - Data, a reference to a Comparable object with key and value
  - Left
  - Right
- **We add or modify methods to set or get the values associated with the keys**
  - No change in logic
- **Map example on next slides**
  - This could be improved by having find() return the Object instead of a boolean whether it was found
  - You'd then have to check if the object is null, etc.
  - These are straightforward changes, but we show the simplest implementation here

## Phone class

```
public class Phone implements Comparable {
    private String name;           // Name of person (key)
    private int phone;             // Phone number (value)

    public Phone(String n, int p) {
        name= n;
        phone= p;
    }

    public int compareTo(Object other) {
        Phone o= (Phone) other;
        return this.name.compareTo(o.name); // String compare
    }

    public String toString() {
        return("Name: "+ name +" phone: "+ phone);
    }
}
// This will be the object pointed to by 'data' in Node
```

## MapTest

```
public class MapTest {
    public static void main(String[] args) {
        Tree z= new Tree();
        z.insert(new Phone("Betty", 4411));
        z.insert(new Phone("Quantum", 1531));
        z.insert(new Phone("Thomas", 6651));
        z.insert(new Phone("Darlene", 8343));
        z.insert(new Phone("Alice", 6334));
        z.print();
        System.out.println("Inorder");
        z.inorder();
        System.out.println("Postorder");
        z.postorder();
        System.out.println("Search for phone numbers");
        System.out.println("Find Betty? " +
            z.find(new Phone("Betty", -1)));
        System.out.println("Find Thomas? " +
            z.find(new Phone("Thomas", -1)));
        System.out.println("Find Alan? " +
            z.find(new Phone("Alan", -1)));
    }
} // TreeTestGeneric and TreeGeneric use Java 1.6 generics
```

## Tree uses and efficiency

- **Applications**
  - Data storage and search
  - Optimization: search discrete alternatives (DP, B-and-B)
  - Priority queues
  - Shortest paths, spanning trees on graphs
  - Basis in network simplex
- **Efficiency**
  - insert(), delete(), find() are  $O(\lg n)$  average case
    - We don't cover delete()—it's straightforward but tedious
  - Degenerate trees are  $O(n)$  but can be avoided with care
    - Never build a tree in sorted order
    - Choose a non-key field to sort input to build the tree
  - AVL, red-black trees rebalance to avoid worst case
- **Most of our trees will keep parent node, not children this term**

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