

IV-6 SLOPE STABILITY (Drained Case)

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Slope stability analyses using the method of slices (Sections 3 & 4) have been moved from 1.361-1.366 to 1.364.

See pli for brief overview of important practical guidelines/comments on material contained in Sec. 3 & 4



A. Comments on Common Methods of Slides (Section 3)

- 1) Fellenius circular arc: F much too low
 - 2) Bishop " " : Adjustable F , but
check output when $\theta \approx \phi' - 90^\circ$ since
computed σ' can be \pm very large number
- } Force & moment
equilibrium \rightarrow
 $F = \frac{\tau_{ff}}{\tau_m}$ GOOD
- 3) Janbu circular & non-circular: considers only force equilibrium and hence not recommended
 - 4) Spencer circular & non-circular: considers both force and moment equilibrium $\rightarrow F = \tau_{ff} / \tau_m$ GOOD
 - 5) Morgenstern & Price: only method where one can evaluate influence on F of various side force assumptions.

NOTE: For $\phi = 0$, $c = s_u$, all methods (except Janbu) give same F since τ_{ff} does not depend on σ' distribution.

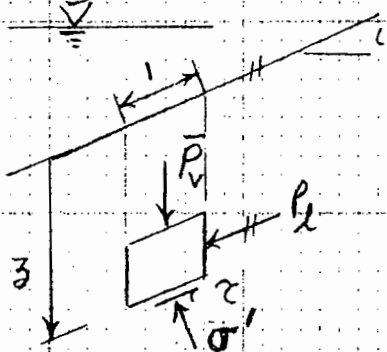
B. Comments on Computer Programs (Section 4)

- 1) For circular arcs, most have adequate search routines to find the most critical surface (i.e., minimum F).
- 2) For non-circular failure surfaces (e.g., see p 56), there are major differences in capabilities that can lead to significant underestimates of minimum F (by $\rightarrow 10-15\%$)
 - a) STABL (Purdue Univ.): relies on random search, generally with Janbu, and hence not recommended.
 - b) UTEXAS3 (Wright): more systematic and rational approach using Spencer, and hence recommended (but still need experience to get min. F for cases such as p 56).

Part IV-6 SLOPE STABILITY (Drained case) (p1/6)

1. INFINITE SLOPES (Side forces cancel out)

1.1 Submerged slope (c' , ϕ' , δ_t)



$$\bar{P}_v = z \gamma_b \cos i \rightarrow \tau = \bar{P}_v \sin i = z \gamma_b \cos i \sin i$$

$$\sigma' = \bar{P}_v \cos i = z \gamma_b \cos^2 i$$

$$F = \frac{\text{(shear strength)}}{\text{(shear stress)}} = \frac{\tau_{ff}/\tau}{z \gamma_b \cos i \sin i}$$

$$= \frac{c' + z \gamma_b \cos^2 i \tan \phi'}{z \gamma_b \cos i \sin i} = \frac{\tan \phi'}{\tan i} \text{ for } c'=0$$

For $F=1$ (failure)

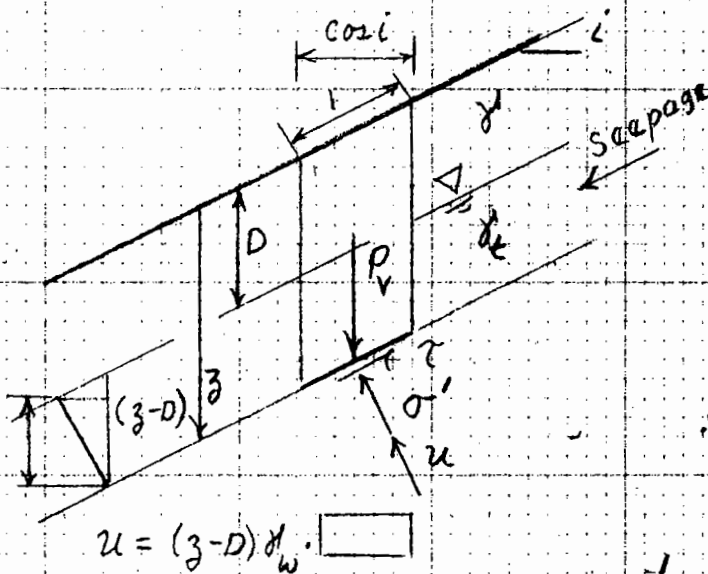
$$\frac{c'}{\gamma_b H_c} = \cos^2 i (\tan i - \tan \phi')$$

$\gamma_b H_c \leftarrow$ critical height

For $c'=0$ $\tan i = \tan \phi'$

\therefore same as dry slope

1.2 Seepage Parallel to Surface (Drawdown case for dams)



$$P_v = \gamma D \cos i + \gamma_t (z-D) \cos i$$

$$\tau = [\gamma D + \gamma_t (z-D)] \cos i \sin i$$

$$\sigma = [\gamma D + \gamma_t (z-D)] \cos^2 i$$

$$\sigma' = \sigma - u \quad \{ u = (z-D) \gamma_w \cos^2 i$$

$$\therefore \sigma' = [\gamma D + (\gamma_t - \gamma_w) (z-D)] \cos^2 i$$

$$F = \frac{c' + [\gamma D + \gamma_b (z-D)] \cos^2 i \tan \phi'}{[\gamma D + \gamma_t (z-D)] \cos i \sin i}$$

For $D=0$, $F=1 \rightarrow \frac{c'}{\gamma_t H_c} = \cos^2 i (\tan i - \frac{\gamma_b}{\gamma_t} \tan \phi') \leftarrow$ Less than submerged

And for $c'=0$, $F = \frac{\gamma_b \tan \phi'}{\gamma_t \tan i}$, If $F=1$, $\tan i = \frac{\gamma_b}{\gamma_t} \tan \phi'$

* Rapid drawdown submerged slope \rightarrow large decrease in F

Part IV - 6 SLOPE STABILITY

(p2/6)

2. SLOPE STABILITY ANALYSES: GENERAL

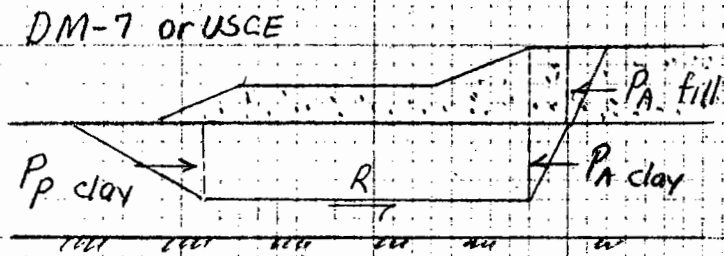
2.1 Background

- Critical mode of failure depends on geometry of problem and strength variations. But usually assume either circular arc or non-circular (e.g., wedge) failure surface
- Limiting equilibrium analyses with plane strain (∞ long) rigid body motion (neglects stress-strain behavior)

$F = \frac{\text{Available Resisting Moment/Force}}{\text{Driving Moment/Force}}$ Best if $F = \tau_{ff} / \tau_m^*$ but not always true

- Trial \downarrow error \rightarrow potential failure surface with lowest F
- * τ_m = average mobilized shear stress = average τ required for equilibrium.

2.2 Example: Sliding Wedge: Embankment on Soft Clay (Shown for "total stress" c, ϕ)

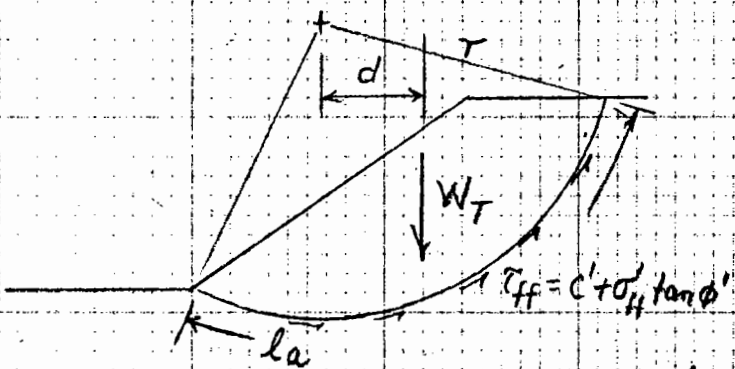


$\sum V = 0 \quad \sum H = 0$
(Neglects $\sum M = 0$)

$R = \sum (c + \sigma_v \tan \phi) \Delta L$
 τ_{ff}

- $F = (\sum \text{Resisting Forces}) / (\sum \text{Driving Forces}) \neq \tau_{ff} / \tau_m$
- F usually conservative compared to more correct (see 4.2)

2.3 Example: Circular Arc: Cut Slope (Shown for drained shear)



$\sum M = 0$ per unit length

$F = \frac{\tau_{ff} l_a r}{W_T d}$

Mobilized $\tau_m = W_T d / l_a r$

$\therefore F = \tau_{ff} / \tau_m$ (good!)

- Should use $\sum V, H, M = 0 \rightarrow \sigma'$ distribution $\rightarrow \tau_{ff} = c' + \sigma'_{ff} \tan \phi'$
- But above is indetermination since 4 unknowns (L & W 24.4)
i.e. $F + \bar{N}$ magnitude + direction + point of application
 $\bar{N} = \sum \sigma'$ along arc
- Different Methods of Slices (Sect. 3) \rightarrow different magn. & distr. of $\sigma' \rightarrow$ different F

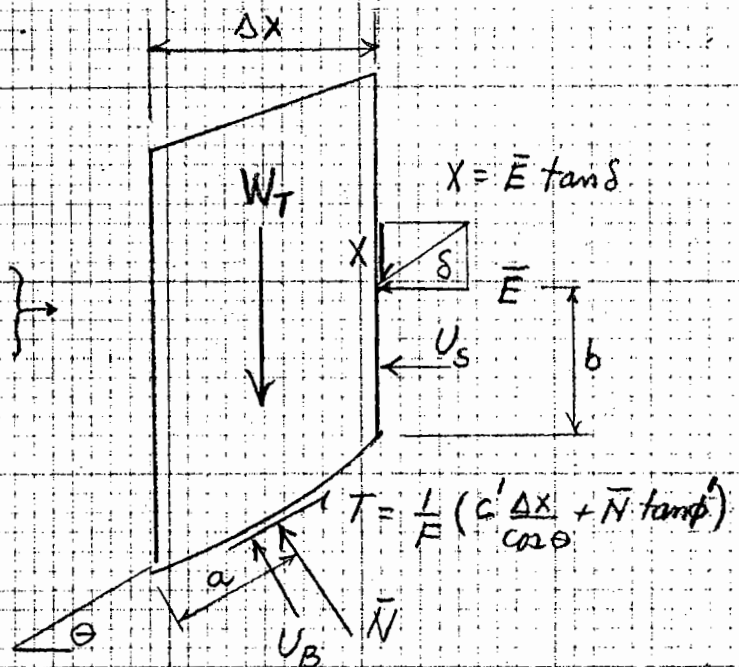
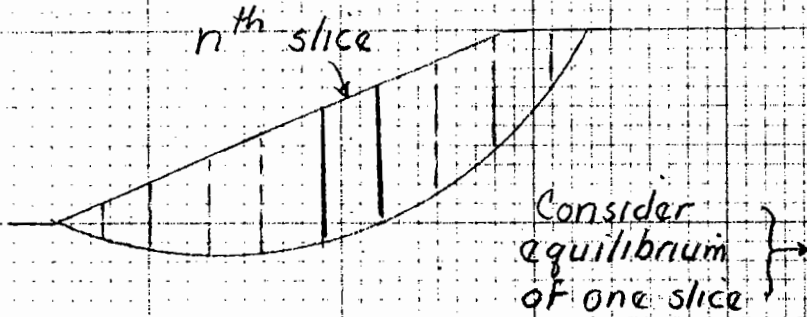
Part IV-6 SLOPE STABILITY

(p 3/6)

3. METHOD OF SLICES : CIRCULAR ARC [Drained Shear: $\tau_{ff} = f(\sigma')$]

3.1 Background

- (1) Purpose: Estimate magnitude & distribution σ' so can $\rightarrow \tau_{ff}$
- (2) Number of unknowns



For n slices:

$\sum V, H, M = 0 \rightarrow 3n$ eqn.

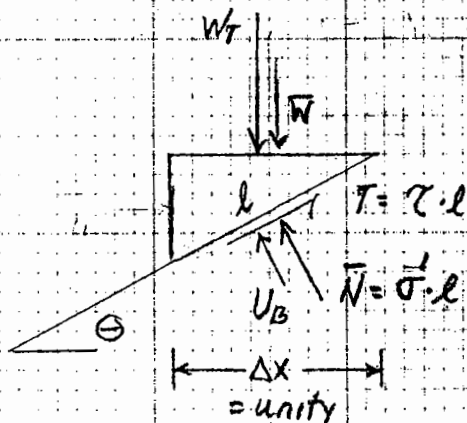
No. of unknowns

F	1
\bar{N}	n
\bar{E}	n-1
δ	n-1
α	n
b	n-1

$5n-2$ vs. $3n$ eqn.

- \therefore Highly indeterminate
- Must make simplifying assumptions
- Various assumptions \rightarrow different methods of slices

(3) Basis for comparing common methods, i.e. different ways of "neglecting" side forces



$l = 1/\cos \theta$

- $\sigma_v = W_T \quad \sigma'_v = \bar{W}$
- $\sigma' = \frac{\bar{N}}{l} = \bar{N} \cos \theta$
- $\tau = \tau_{ff} / F$
- $\tau_{ff} = c' + \sigma' \tan \phi'$

Part IV-6 SLOPE STABILITY

(p 4/6)

3.2 Common Methods of Slices (Circular Arc) (L&W 24.5)

F = MR/MID

(1) Fellenius = Swedish = Ordinary (1930's)

$$\sum F_{TOTAL} \perp \text{arc} = 0 \quad N = \underbrace{\sigma_v}_{Wt} \Delta x \cos \theta \rightarrow \sigma' = \underbrace{\sigma_v}_{\sigma_v} \cos^2 \theta - u$$

* F much too low

(2) Modified Fellenius

$$\sum F_{EFFECTIVE} \perp \text{arc} = 0 \rightarrow \sigma' = (\sigma_v - u) \cos^2 \theta \rightarrow \sigma' / \sigma_v' = \cos^2 \theta$$

Better, but F still too low

(3) Simplified Bishop (1950's)

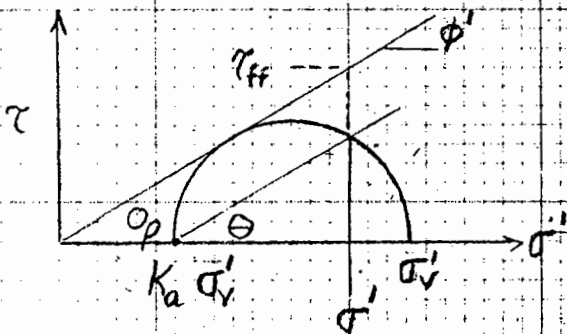
$$\sum F \text{ vertical} = 0 \rightarrow \sigma' = \frac{(\sigma_v - u) - \frac{c'}{F} \tan \theta}{1 + \frac{\tan \theta \tan \phi'}{F}}$$

Requires iteration, but F more reasonable

$$c' = 0 \rightarrow \frac{\sigma'}{\sigma_v'} = \frac{1}{1 + \frac{\tan \theta \tan \phi'}{F}}$$

(4) Maine State Highway Commission (MSHC)

Assumed Rankine Active state of stress for all slices *

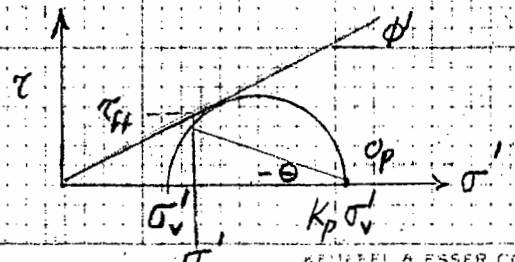


- $\sigma' = (\sigma_v - u) (\cos^2 \theta + K_a \sin^2 \theta)$
- Obvious Problems
 - 1) Computed τ_{ff} not on Mohr Circle
 - 2) For passive zone (neg. θ), Computed τ_{ff} much too low.
- * $\therefore \sigma_v' = \sigma_{if}'$ for $\theta \leq 0$

(5) CCL = MIT Method

Assume Rankine passive for negative θ (toe of slope)

$$\therefore \sigma' = (\sigma_v - u) (\cos^2 \theta + K_p \sin^2 \theta)$$



NOTE: Mohr Circle $\sigma'_\theta = \sigma'_1 \cos^2 \theta + \sigma'_3 \sin^2 \theta$

Part IV-6 SLOPE STABILITY

(p 5/6)

3.3 Comparison of Above Methods

- See p 6 ($\alpha \equiv \theta$) for comparison of $f(\theta) = \sigma' / \sigma'_v$ vs θ
- $\theta = 0$, all the same
- $+\theta$ Fellenius $< K_a \approx B$ (Bishop)
- $-\theta$ Fellenius $< K_a \ll B \leq K_p$

NOTE: For " $\phi=0$ "
All \rightarrow same F

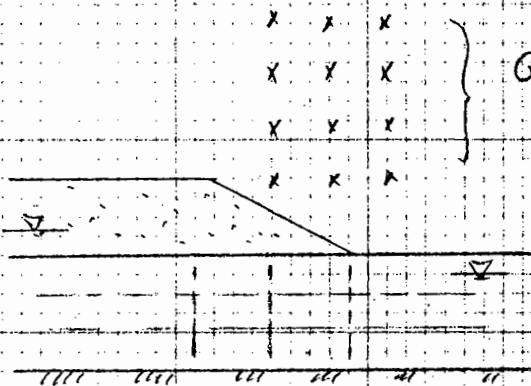
Note: With Bishop, σ' No good at $\theta = \phi' - 90^\circ$
since $\tan \theta \tan \phi' \approx -1 \rightarrow 1/(1 - \frac{1}{F}) \rightarrow$ very high $\pm \sigma'$

A good computer program should eliminate this BUT MOST DO NOT

4. COMPUTER PROGRAMS

4.1 Common Circular Arc

[Good reference = Fredlund & Krahn (1977) Can. Geot. J. V14 No.3]



Grid or search using varying radii

• Input strength parameters by zone
 $c', \phi', \gamma, \delta$ or c, ϕ, γ, δ

• Input u - PWE lines
or $r_u = u/\sigma_v$

• 1st computer program = ICES LEASE developed by Whitman et al.

- Methods:
- Mod. Fellenius
 - Simplified Bishop
 - MIT
 - Janbu (FORCES)

[Note: Make sure $F = f(\text{moments})$ and not just $f(\text{forces})$]

4.2 Generalized (Wright et al, 1973, JSMFD, V99, SM10, Oct)

- Spencer, Morgenstern-Price
- Any shape failure surface
- Satisfy statics, but must assume δ distribution for M-P

See p 5a/b (UTEXAS 3)

4.3 STAB 3-D (Azzouz, Baligh & Ladd, 1983, ASCE JGE 109(5))

- Considers "end effects", i.e. F vs F plane strain (∞ long)
- $F(3-D)/F(2-D) = (1 + 0.7 \frac{DR}{L})$ where $DR = \text{depth of failure surface (thickness of failed soil)}$
- For typical embankment failures, increases F by 10-14%
 $L = \text{total length of failure (L to 2-D cross-section)}$

"Purdue" Program: Low cost + Search minimum for } BUT uses Force Equilibrium
any shape
STAB w/ Janbu
SHOULD NOT USE (F often much too low)

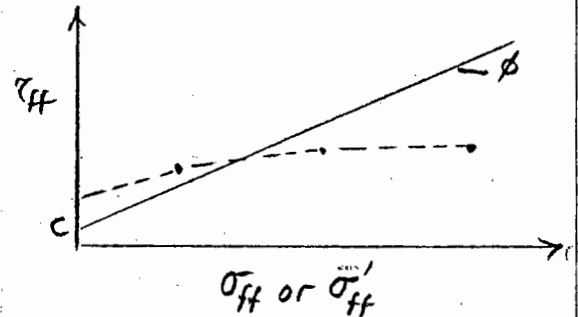
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Some Features of UTEXAS3 Computer Program For Non-Circular Failure Surfaces: Spencer Method

(p5a)

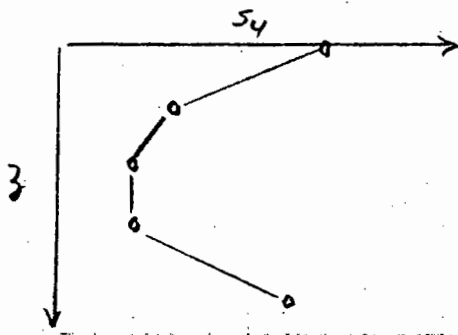
A. Shear Strength Options: " c, ϕ " soils

- 1) Linear or non-linear Mohr-Coulomb with isotropic strength
- 2) Linear Mohr-Coulomb with anisotropic strength: vary c & ϕ with θ à la B-2

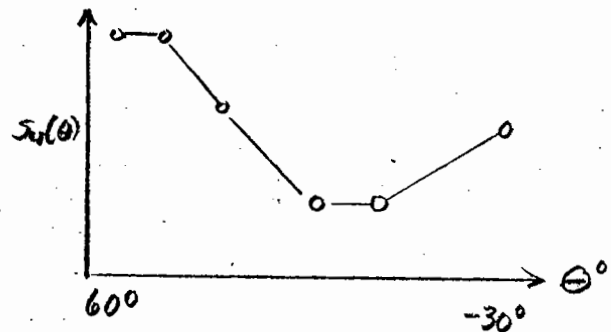


B. Shear Strength Options: " $c=s_u, \phi=0$ " Soils

- 1) Isotropic strength



- 2) Anisotropic strength for given "soil"

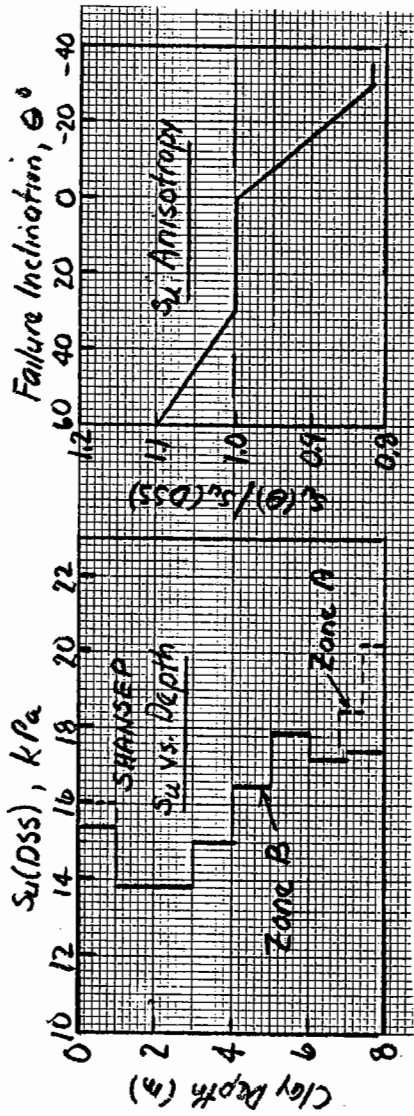


C. Pore Pressure Options (For each "soil")

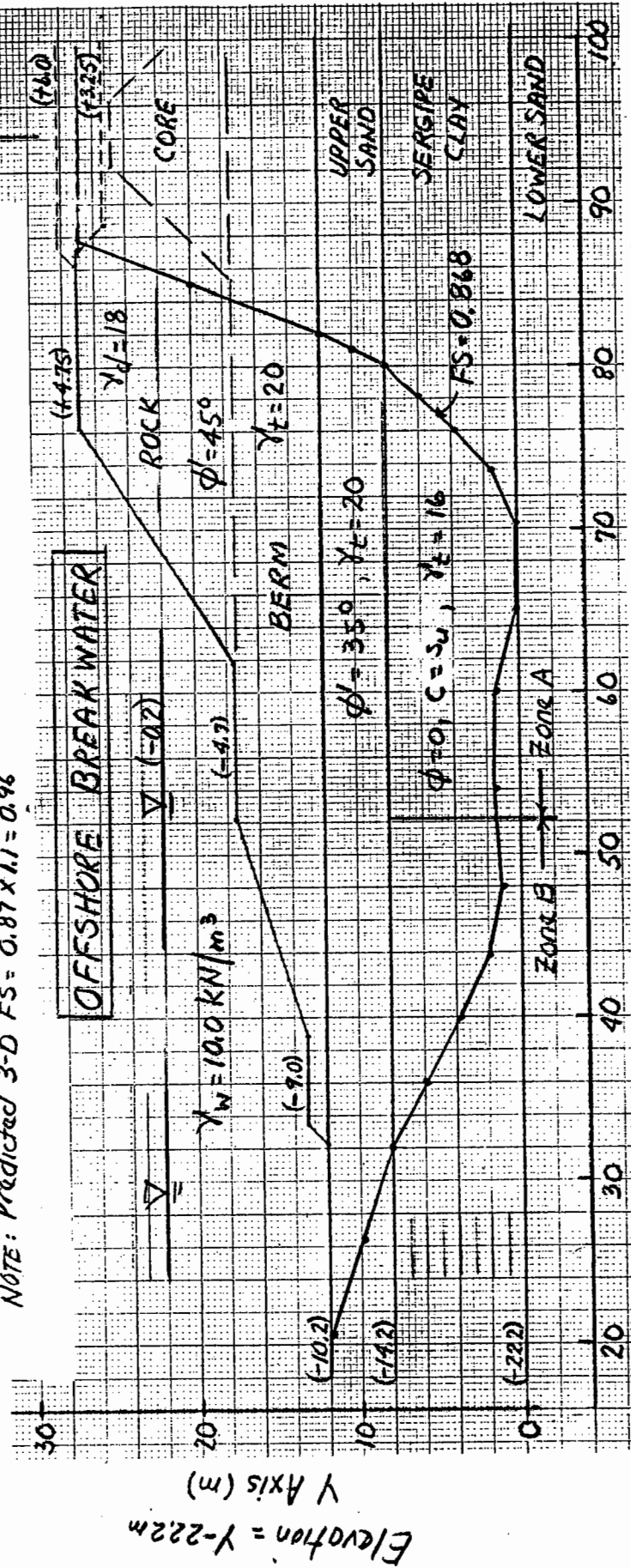
- 1) $u = \text{constant}$
- 2) $PWE = \text{constant}$
- 3) $r_u = u/\sigma_h = \text{constant}$
- 4) Specify grid of u or r_u values

D. Search Routine (Much better than STABL - Purdue)

- Input initial surface defined by up to 30 points
- Program then shifts each point successively by specified distance approximated \perp to shear surface
- Repeats above for new surfaces using progressively smaller distances \rightarrow most critical (if reasonable starting surface)
- See p5b for example from back analysis of actual failure.



NOTE: Predicted 3-D FS = 0.87 x 1.1 = 0.96



X Axis (m)

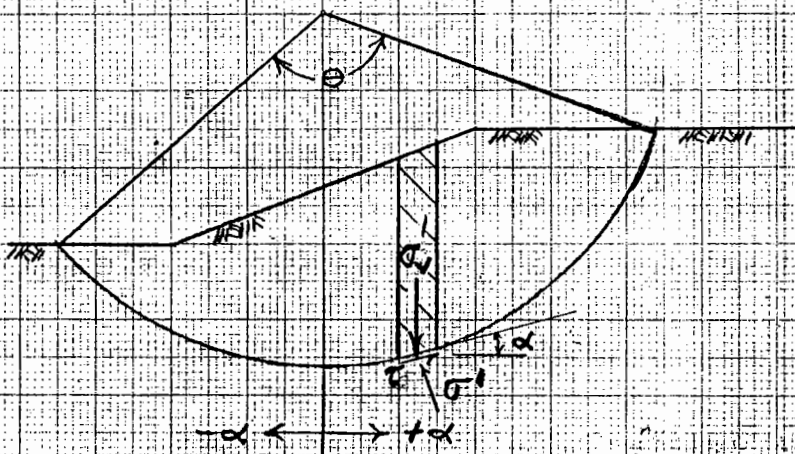
Fig. Backanalysis of Reinder Failure: El. +4.75/4.75, Sergipe, Brazil
Example of UTEXAS3 Critical Failure Surface From Search Routine

10/86 11/89 10/90 11/21/90

Figure 1 Comparison of Methods of Slope Stability Analysis for Cohesionless Soil, $\phi' = 35^\circ$

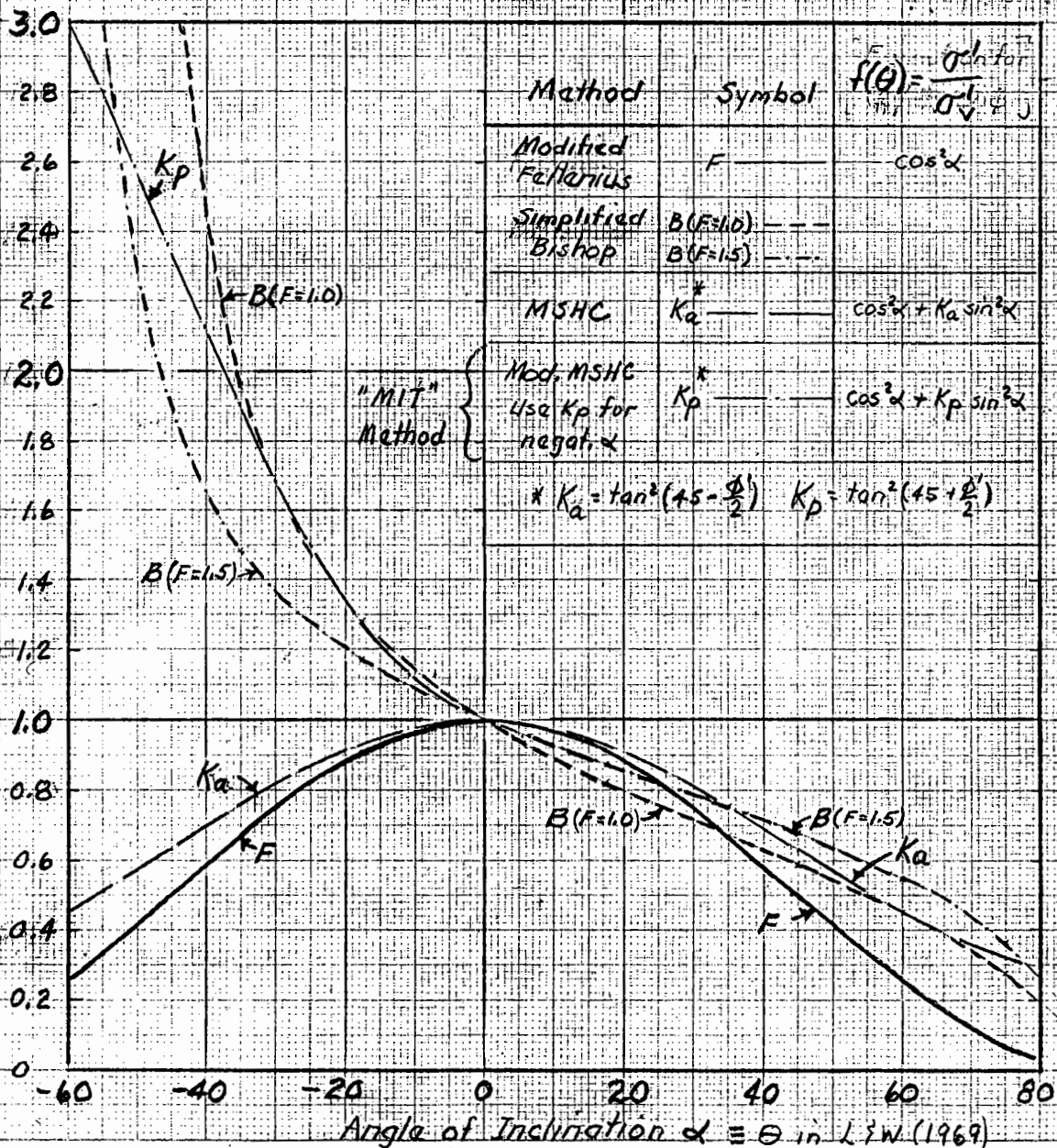
COL 27/10/68
HSA 1649

CCL 11/70
1.361-1.366



$$\tau_{ff} = \underbrace{\sigma_v' \cdot f(\theta)}_{\sigma_v' = \sigma_{ff}} \cdot \tan \phi'$$

Calculated σ_v' on Failure Surface
Vertical σ_v' for Slice
 $f(\theta) = \sigma_v' / \sigma_v' =$



Method	Symbol	$f(\theta) = \frac{\sigma_v'}{\sigma_v'}$ for θ
Modified Fellenius	F	$\cos^2 \alpha$
Simplified Bishop	B(F=1.0) B(F=1.5)	---
MSHC	K_a	$\cos^2 \alpha + K_a \sin^2 \alpha$
Mod. MSHC Use K_p for neg. α	K_p	$\cos^2 \alpha + K_p \sin^2 \alpha$

$K_a = \tan^2(45 - \frac{\phi'}{2})$ $K_p = \tan^2(45 + \frac{\phi'}{2})$

Angle of Inclination $\alpha \equiv \theta$ in LSW (1969)