

# 10.40 Lecture 22

## Postulates of statistical mechanics, Gibbs ensembles

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(In preparation for Lecture 22, also read *T&M*, 10.1.4).

### Outline

- Ensembles
- Postulates of statistical mechanics
- Gibbs Ensembles: Microcanonical ensemble
- Gibbs Ensembles: Canonical ensemble
- Gibbs Ensembles: Grand Canonical and others

Note that the derivations of the relationships between the thermodynamic quantities and the statistical quantities are presented in the Appendix.

### 22.1 Ensembles and ensemble averages

An *ensemble* is an assembly of microstates or systems. Imagine a very large collection of systems evolving in time. A snapshot of the state of each of these systems at some instant in time forms the ensemble.

### 22.2 Two postulates of statistical mechanics:

1. time averaging is equivalent to ensemble averaging
2. for possible states with the same  $N$ ,  $\underline{V}$ , and  $\underline{E}$ , all states are equally likely.  
Atkins: "principle of equal *a priori* probabilities"

### 22.3 Gibbs Ensembles: Microcanonical ensemble

In the *microcanonical ensemble*, each system has constant  $N$ ,  $\underline{V}$ , and  $\underline{E}$ .

By Postulate 2, the probability that any give system is in a particular state,  $j$ , is  $\frac{1}{\Omega(N, \underline{V}, \underline{E})}$ , where  $\Omega(N, \underline{V}, \underline{E})$  is the total number of possible states. It turns out (see the Appendix for derivations) that the connection to macroscopic thermodynamics is through the entropy:

$$\underline{S} = k \ln \Omega(N, \underline{V}, \underline{E}).$$

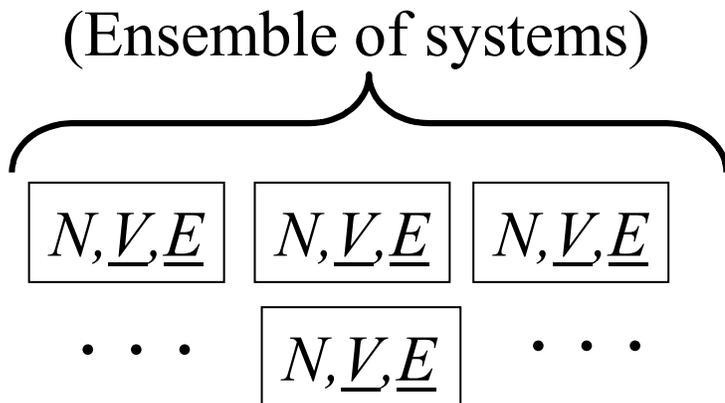


Figure 1: Microcanonical ensemble

## 22.4 Gibbs ensembles: Canonical ensemble

In the *Canonical ensemble*, each system has constant  $N, \underline{V}$ , and  $T$ .

After equilibration, remove all of the systems from the bath, and put them all together:

Here, the connection is through the Helmholtz Free Energy:

$$\underline{A} = -kT \ln Q(N, \underline{V}, T)$$

Similarly,

$$\begin{aligned} \underline{S} &= - \left( \frac{\partial \underline{A}}{\partial T} \right)_{\underline{V}, N} = kT \left( \frac{\partial \ln Q}{\partial T} \right)_{\underline{V}, N} + k \ln Q \\ \underline{P} &= - \left( \frac{\partial \underline{A}}{\partial \underline{V}} \right)_{T, N} = kT \left( \frac{\partial \ln Q}{\partial \underline{V}} \right)_{T, N} \\ \underline{U} &= \underline{A} + T\underline{S} = kT^2 \left( \frac{\partial \ln Q}{\partial T} \right)_{\underline{V}, N}. \end{aligned}$$

Thus, all thermodynamic properties can be written in terms of the partition function,  $Q(N, \underline{V}, T)$ ! In order to compute  $Q$ , all we need are the possible energy levels of the system. We can obtain these from solving the equations of quantum mechanics.

## 22.5 Gibbs Ensembles: Grand Canonical and others

In the Grand Canonical ensemble, the number of particles in each system is allowed to fluctuate, but  $\mu$  is kept constant. This is called the  $(\underline{V}, T, \mu)$  ensemble. Also, there are other ensembles, such as  $(N, P, T)$ , etc. Note that from an analysis of fluctuations (Lecture 27), we shall see that in the macroscopic limit of a large number of systems, all of these ensembles are equivalent. The choice of which one to use is made for convenience.

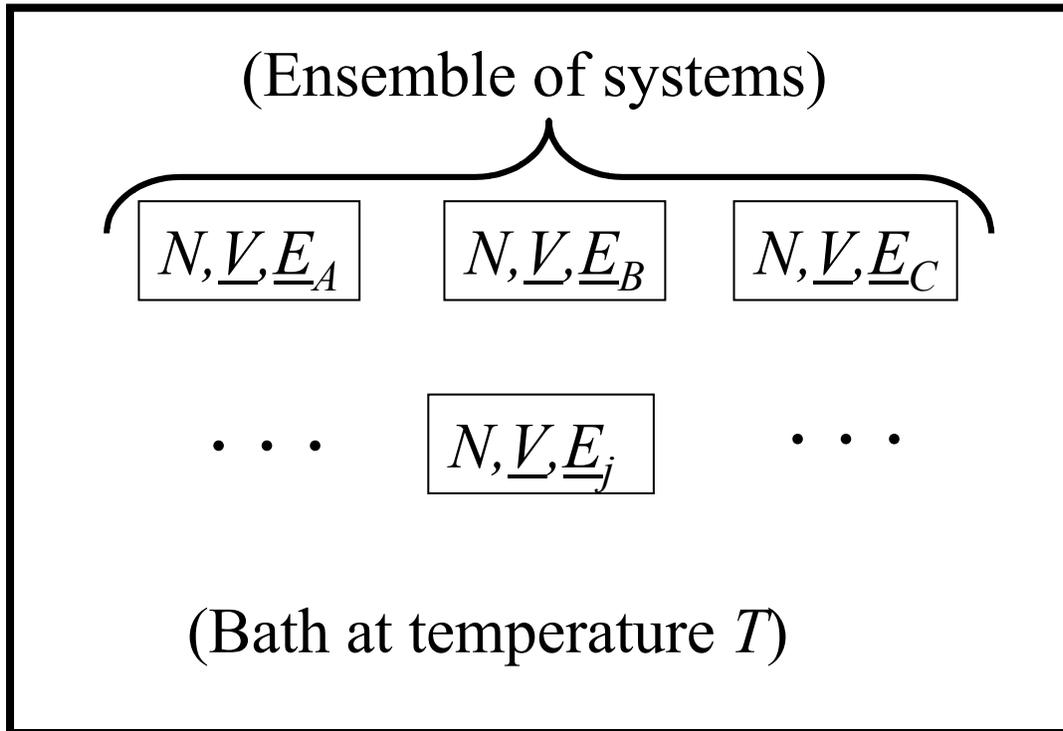


Figure 2: Canonical ensemble

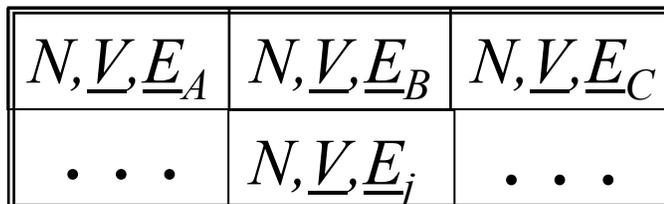


Figure 3: Canonical ensemble forming its own bath