

11.255 Negotiation and Dispute Resolution in the **Public Sector**

Spring 2021 Class #20



Call to Prayer



- Call to Prayer Case (from the Harvard Pluralism Project)
- Formulate Ideas and Suggestions that Might be Helpful to the Community
- Come up with the best advice you can to the community right at the point before the referendum. Is there any way you can suggest to help to resolve the dispute and possibly generate some level of reconciliation?
- Discuss in Full Group (possible Lessons About Value-based Negotiations)



Value Differences

- Value and identity-based disputes are not the same as interest-based disputes.
- Value disputes are often irreconcilable (i.e., because trades are not possible).
- Often, value disputes take the form of disagreements about individual or group rights (or which rights trump others).
- Value disputes create high anxiety because "identity" is at stake.



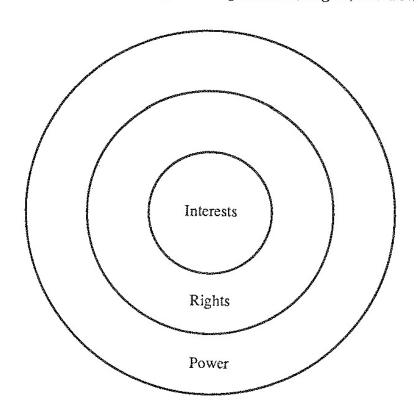
Strategies for Coping With Values-based Disputes



- Appeal to overarching values that the parties have in common.
- Identify joint activities or "confidence building measures" that can help to build trust.
- Aim for understanding or reconciliation rather than resolution.

Three approaches to resolving disputes

Figure 1. Interrelationships Among Interests, Rights, and Power.



Focus on Interests...

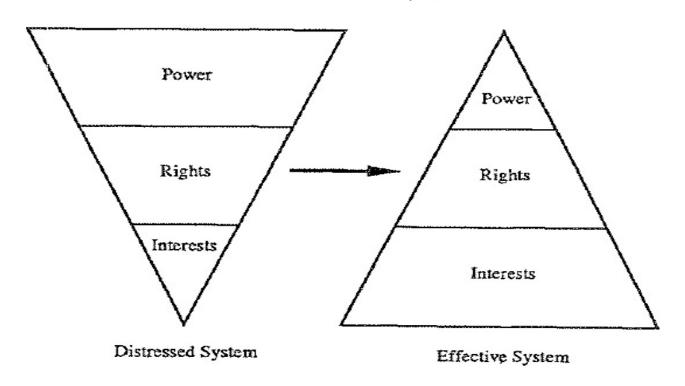
- Lower transaction costs
- Generates higher level of mutual satisfaction
- Less recurrence
- Better working relationships

Source: Ury, Brett and Goldberg

(1988:9)

+ The GOAL: An Interests-Oriented DRS

Figure 2. Moving from a Distressed to an Effective Dispute Resolution System.



Source: Ury, Brett and Goldberg

(1988: 19)

+ Dispute system diagnosis

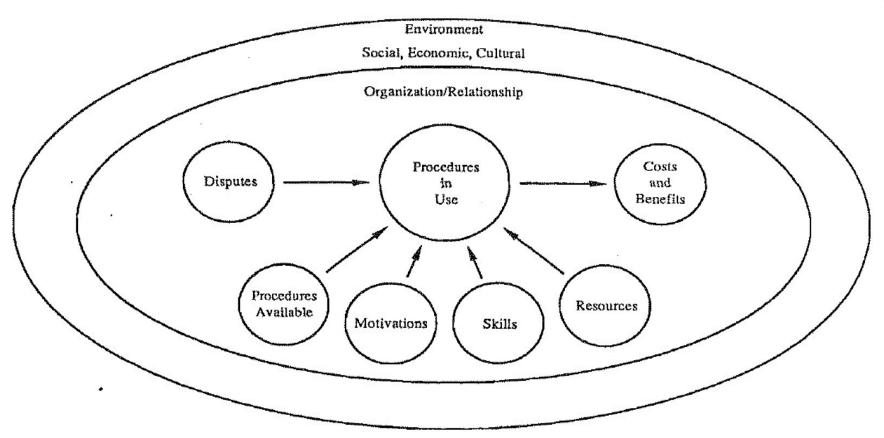
- What are the current and recent issues in dispute? Who are the parties? How many disputes occur?
- How are disputes being handled? What types of dispute resolution procedures are being used and with what frequency? What are the overall costs and benefits of these procedures?
- Why are particular procedures being used and not others? What functions are being served by court, power contests, and other highcost procedures? What obstacles hinder the use of interest-based negotiation?

Source: Ury, Brett and Goldberg (1988: 21)





Figure 3. Model of a Dispute Resolution System.



Source: Ury, Brett and Goldberg

(1988: 22)

Six principles of dispute systems design

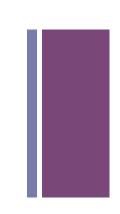
- 1. Put the focus on interests
- 2. Build in 'loop-backs' to negotiation
- 3. Provide low-cost rights and power backups
- 4. Build in consultation before, feedback after
- 5. Arrange procedures in low-to-high cost sequence
- 6. Provide the necessary motivation, skills and resources

Mark with a question mark those that remain unclear

Source: Ury, Brett and Goldberg

(1988: 42)

Four stages of dispute systems design



- 1. Diagnosis
- 2. Design
- 3. Implementation
- 4. Exit, evaluation and diffusion

Source: Ury, Brett and Goldberg (1988)

+ Conflict in the organization...

Disputes... Competition... Sabotage... Inefficiency/lack of productivity... Lowmorale... Withholding knowledge

- Fight responses:
 - Arrogance
 - Engagement

- Flight responses
 - Denial
 - Avoidance
 - Accommodation

Flight or Fight responses, feel free to type some of the examples that Constantino gives right next to each type (Arrogance, engagement....)

Source: Costantino and Merchant (1995)

+ Conflict management as a system

- Practice and principles from Organizational Development, taking organizational dynamics into account. Howorganizations...
 - Function
 - Learn
 - Know whether or not they are performing well
 - Adjust to new information and the need for any change
 - Go about the business of changing
- A democratic approach to managing change and learning processes in organizations
- Designing with stakeholders and not for them

Source: Costantino and Merchant (1995: 20)

+ Conflict management as a system

- Characteristics of conflict management systems:
 - Boundaries
 - Purpose
 - Inputs
 - Transformation
 - Outputs
 - Feedback

Source: Costantino and Merchant (1995)

Figure 3.1. Dynamics of ADR Techniques.



Imposed ADR

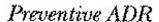
- Binding arbitration

Advisory ADR

- Early neutral evaluation
- Private judging
- Summary jury trials
- Minitrials
- Nonbinding arbitration



- fact finding

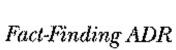


- ADR clauses
- Partnering
- Consensus building
- Negotiated rule making
- Joint problem solving



Negotiated ADR

- Principled
- Positional
- Problem solving



- Neutral expert
- Masters
- Magistrates



Facilitated ADR

- Mediation
- Conciliation
- Ombudsperson



Source: Costantino and Merchant

(1995:38)

MIT OpenCourseWare https://ocw.mit.edu

11.255 Negotiation and Dispute Resolution in the Public Sector Spring 2021

For more information about citing these materials or our Terms of Use, visit https://ocw.mit.edu/terms.