

Chapter 15

Transformed Eulerian Mean

In the last few lectures we introduced some fundamental ideas on 1) the properties of turbulent flows in rotating stratified environments, like the ocean and the atmosphere, and 2) on the transport of tracers by turbulent eddies. The goal of this chapter is to bring together these two bodies of literature to study the interaction of eddy motions with a large scale mean flow in geophysically relevant problems.

We will consider eddies generated through instabilities of a zonal mean jet in the quasi-geostrophic approximation as a toy model. This is a very special example, but it is a useful and simple testbed to develop our intuition about these problems.

The literature on eddy mean-slows interactions is so vast that it is impossible to give a comprehensive review in one lecture. Thus we will focus a few aspects of particular relevance in the atmospheric and oceanic context. Our goal is to show how these theories can be used to derive closure schemes to represent the effect of eddy motions on mean flows. More information on these problems can be found in the references given at the end of the chapter.

15.1 The quasi-geostrophic equations on a β -plane

Consider a flow in a Boussinesq fluid with characteristic horizontal length scale L , velocity U , time scale $T \geq L/U$, on a β -plane for which the Coriolis parameter is $f = f_0 + \beta y$. We make the assumption that,

1. the Rossby number $Ro = U/f_0L$ is small,
2. the β -effect is small, $\beta L/f_0 \leq Ro$,

3. the isopycnal slopes $|\partial_x b|/|\partial_z b|$ and $|\partial_y b|/|\partial_z b|$ are $\leq Ro$ (otherwise vertical motions would not be small),
4. the static stability $N^2 = \partial b/\partial z$ is a function of z only.

Under these assumptions, the leading order equations in Ro give geostrophic balance. Thus we can write the leading order geostrophic velocities in the Ro expansion, as,

$$u = -\frac{\partial \psi}{\partial y}, \quad v = \frac{\partial \psi}{\partial x}, \quad w = 0, \quad (15.1)$$

where ψ is the geostrophic streamfunction,

$$\psi = \frac{p - p_0(z)}{\rho_0 f_0}. \quad (15.2)$$

Hydrostatic balance gives us,

$$\frac{\partial \psi}{\partial z} = \frac{b}{f_0}. \quad (15.3)$$

At the next order in Ro , we obtain the prognostic quasi-geostrophic equations,

$$D_g u - \beta y v - f_0 v_a = \mathcal{G}_x, \quad (15.4)$$

$$D_g v + \beta y u + f_0 u_a = \mathcal{G}_y, \quad (15.5)$$

$$\partial_x u_a + \partial_y v_a + \partial_z w_a = 0, \quad (15.6)$$

$$D_g b + N^2 w_a = \mathcal{B}, \quad (15.7)$$

$$(15.8)$$

where D_g is the time derivative following the geostrophic motions,

$$D_g = \partial_t + u \partial_x + v \partial_y, \quad (15.9)$$

(u_a, v_a, w_a) is the ageostrophic velocity, *i.e.* the difference between the actual velocity and the geostrophic one, $(\mathcal{G}_x, \mathcal{G}_y)$ is the external forcing on momentum (*e.g.* wind stress, friction, ...), and \mathcal{B} are the nonconservative buoyancy forces (*e.g.* small scale mixing, sea-surface heat fluxes, ...).

Using (15.4) through (15.7), we can derive the equation for the quasi-geostrophic potential vorticity (QGPV), q ,

$$D_g q = \chi, \quad (15.10)$$

where,

$$q = f_0 + \beta y + \partial_x v - \partial_y u + f_0 \partial_z (b/N^2), \quad (15.11)$$

$$\chi = \partial_x \mathcal{G}_y - \partial_y \mathcal{G}_x + f_0 \partial_z (\mathcal{B}/N^2). \quad (15.12)$$

Eq. (15.10) tells us that for conservative flows ($\mathcal{G} = 0$, $\mathcal{B} = 0$) q is conserved following the geostrophic flow. When the flow is not conservative, χ represents the local sources and sinks of q , arising from viscous and diabatic effects. As you can see, the QGPV satisfy the advection-diffusion equation of a generic tracer. Thus we might be able to use the results on tracer transport in turbulent flows to study the dynamics of q .

15.2 Potential vorticity fluxes and the Eliassen-Palm Theorem

The next three sections, up to the definition of Transformed Eulerian Mean, follow very closely the notes of Alan Plumb on eddy-mean flows interactions. If you are interested in learning more on this topic, I encourage you to contact Alan and ask for a copy of his notes.

Consider the small amplitude motions on a steady, zonally-uniform basic state,

$$\bar{u} = \bar{u}(y, t), \quad \bar{b} = \bar{b}(y, t), \quad \bar{\psi} = \bar{\psi}(y, t), \quad (15.13)$$

where

$$\bar{u} = -\partial_y \bar{\psi}, \quad \partial_y \bar{b} = -f_0 \partial_z \bar{u}. \quad (15.14)$$

The mean PV is,

$$\bar{q} = f_0 + \beta y + \partial_y^2 \bar{\psi} + \partial_z \left(\frac{f_0^2}{N^2} \partial_z \bar{\psi} \right). \quad (15.15)$$

The perturbation streamfunction and PV are given by,

$$\psi' = \psi - \bar{\psi}, \quad q' = q - \bar{q} = \partial_x^2 \psi' + \partial_y^2 \psi' + \partial_z \left(\frac{f_0^2}{N^2} \partial_z \psi' \right) \quad (15.16)$$

Using $v' = \partial_x \psi'$, we can also show that,

$$\overline{v'q'} = \nabla \cdot \mathbf{F} = \nabla \cdot \begin{pmatrix} F_y \\ F_z \end{pmatrix} = \nabla \cdot \begin{pmatrix} -\overline{u'v'} \\ \frac{f_0}{N^2} \overline{v'b'} \end{pmatrix}. \quad (15.17)$$

\mathbf{F} is known as the Eliassen-Palm flux. Note that the northward component of \mathbf{F} is minus the northward flux of zonal momentum by the eddies, $\overline{u'v'}$, while the vertical component is proportional to the northward flux of buoyancy, $\overline{v'b'}$.

Linearizing the quasi-geostrophic potential vorticity equation (15.10), we get,

$$\partial_t q' + \bar{u} \partial_x q' + v' \partial_y \bar{q} = \chi'. \quad (15.18)$$

If we multiply by q' and average, we obtain the eddy potential enstrophy equation,

$$\partial_t \left(\frac{\overline{q'^2}}{2} \right) + \overline{v'q'} \partial_y \bar{q} = \overline{q'\chi'}. \quad (15.19)$$

This equation is the basic ingredient for the Eliassen-Palm theorem: *For waves which are steady ($\partial_t \overline{q'^2} = 0$), of small amplitude, and conservative ($\overline{v'\chi'} = 0$), the northward eddy PV flux vanishes ($\overline{v'q'} = 0$) and the flux \mathbf{F} is nondivergent.*

We can now consider the problem of how eddies impact the zonal mean circulation. The mean quasi-geostrophic PV budget reads,

$$\partial_t \bar{q} + \partial_y (\overline{v'q'}) = \bar{\chi}. \quad (15.20)$$

Because of the quasi-geostrophic approximation, eq. (15.20) contains no mean advection term and no vertical component of eddy fluxes.

The influence of the eddies on the mean QGPV, therefore, is entirely described by the northward flux $\overline{v'q'}$. Now we know from the Eliassen-Palm theorem that if the waves are 1) steady, 2) conservative, and 3) of small amplitude, then \mathbf{F} is nondivergent and $\overline{v'q'} = 0$. Under these conditions, therefore, the equation for the zonally-averaged QGPV is independent of the eddies. An therefore the full evolution of the mean flow is independent of the eddies. This is known as the *non-acceleration theorem*.

15.3 Mean momentum and buoyancy budgets: conventional approach

In order to fully appreciate the meaning of the Eliassen-Palm theorem, it is useful to consider the zonal mean of the quasi-geostrophic momentum and buoyancy equations,

$$\partial_t \bar{u} - f_0 \bar{v}_a = \bar{\mathcal{G}}_x - \partial_y (\overline{u'v'}), \quad (15.21)$$

$$f_0 \partial_z \bar{u} = -\partial_y \bar{b}, \quad (15.22)$$

$$\partial_y \bar{v}_a + \partial_z \bar{w}_a = 0, \quad (15.23)$$

$$\partial_t \bar{b} + \bar{w}_a N^2 = \bar{\mathcal{B}} - \partial_y (\overline{v'b'}). \quad (15.24)$$

The evolution of the zonal mean state in the presence of eddies is therefore manifested in two terms – the convergence of the eddy flux of momentum, $\overline{u'v'}$, and buoyancy, $\overline{v'b'}$. Both these terms force the mean flow equations and it is important to note that the whole system is coupled, *i.e.*, the buoyancy fluxes can impact on the mean flow, just as much as the momentum fluxes. Thermal wind balance (15.22) links the two. Consider, for example, a wave with $\overline{v'b'} \neq 0$, but $\overline{u'v'} = 0$ (as it is largely true in

the ocean). The mean state cannot respond with a changing mean buoyancy only; thermal wind balance demands a corresponding change in \bar{u} . From eq. (15.21), this can only be achieved through an ageostrophic meridional circulation, which would impact on both the momentum and buoyancy budgets. Thus, the eddies will not only drive $\partial_t \bar{u}$ and $\partial_t \bar{b}$, but also \bar{v}_a and \bar{w}_a (except in the unlikely case where the eddy forcing terms conspire not to disturb the thermal wind balance).

Note that the central role of the potential vorticity flux, obvious in the QGPV budget, is not at all obvious here. Indeed, we have seen from the potential enstrophy budget, that, under non-acceleration conditions, $\partial_t \bar{u}$ and $\partial_t \bar{b}$ must be zero. What must, and thus happen, under such circumstances, is that eddies induce an ageostrophic mean motion, which exactly balance the eddy flux terms in (15.21) and (15.24), *i.e.* eddy fluxes induce a mean circulation. This is reminiscent to the result that eddy fluxes of quasi-conserved tracers can have an advective component: in this problem the mean advective effect of the eddy fluxes is represented by the ageostrophic circulation.

15.4 The Transformed Eulerian Mean Theory

The difficulty in interpreting the balance of eddy terms and ageostrophic motions can be overcome by what may seem a mathematical trick, but is in fact linked to the decomposition of eddy fluxes in skew (advective) and symmetric (diffusive) components. The trick is to redefine the mean meridional, ageostrophic, circulation.

Consider the mean buoyancy budget (15.24). This is (apart for the loss of some terms through the quasi-geostrophic assumption) the same as the Eulerian mean budget of a tracer equation. We saw that the eddy flux term can include an advective component. Under quasi-geostrophic assumptions, we can guess what that component is.

We begin by noting that, from eq. (15.23), we may define an ageostrophic mean streamfunction χ_a , such that,

$$(\bar{v}_a, \bar{w}_a) = (-\partial_z \chi_a, \partial_y \chi_a). \quad (15.25)$$

We can then rewrite the mean buoyancy budget in (15.24) as,

$$\partial_t \bar{b} + \partial_y \left(\chi_a + \frac{\overline{v'b'}}{N^2} \right) N^2 = \bar{\mathcal{B}}. \quad (15.26)$$

where we used the fact that $N^2 = N^2(z)$, *i.e.* the vertical stratification does not change with latitude. In this form, it is quite clear that the eddy flux term can be represented as a mean advection, by defining an eddy induced mean streamfunction χ_c as,

$$\chi_c = \frac{\overline{v'b'}}{N^2}. \quad (15.27)$$

We now define the “residual circulation” as,

$$(\bar{v}^\dagger, \bar{w}^\dagger) = (-\partial_z \chi^\dagger, \partial_y \chi^\dagger), \quad (15.28)$$

where the new streamfunction is,

$$\chi^\dagger = \chi_a + \chi_c. \quad (15.29)$$

The streamfunction χ^\dagger is the so-called *residual streamfunction* and it represents the new definition of mean circulation. It is called a residual circulation, because in many situations χ_a and χ_c tend to oppose each other, and χ^\dagger is the residual between two strong circulations. If we substitute the definition in (15.27) into the mean buoyancy budget, we obtain,

$$\partial_t \bar{b} + w^\dagger N^2 = \bar{\mathcal{B}}. \quad (15.30)$$

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We thus succeeded in deriving a mean buoyancy equation in which there is no explicit eddy term; buoyancy is transported solely through the mean vertical residual motion. It might be thought, of course, that the eddy terms are still there, implicit in w^\dagger . But this was also true of w_a which, as noted earlier, is in general influenced by the eddies. What we have done, is to redefine this influence, so as to put the mean buoyancy budget into its simplest possible form.

We can complete the transformed system of equations,

$$\partial_t \bar{u} - f_0 \bar{v}^\dagger = \bar{\mathcal{G}}_x + \nabla \cdot \mathbf{F}, \quad (15.31)$$

$$f_0 \partial_z \bar{u} = -\partial_y \bar{b}, \quad (15.32)$$

$$\partial_y \bar{v}^\dagger + \partial_z \bar{w}^\dagger = 0, \quad (15.33)$$

$$\partial_t \bar{b} + \bar{w}^\dagger N^2 = \bar{\mathcal{B}}, \quad (15.34)$$

where \mathbf{F} is the Eliassen-Palm flux.

This transformation makes the role of eddies look quite different—even though the physics described by equations (15.31) through (15.34) is the same described by (15.21) through (15.24). The main advantage is that in terms of \bar{v}^\dagger , \bar{w}^\dagger , $\partial_t \bar{u}$, and $\partial_t \bar{b}$, the only term representing the eddy forcing is $\nabla \cdot \mathbf{F} = \overline{v'q'}$. This eddy forcing appears as an effective body force in the mean momentum equation. It is clear therefore that, under non-acceleration conditions (when $\nabla \cdot \mathbf{F} = 0$ and the boundary conditions are independent of eddy dependent terms), \bar{v}^\dagger , \bar{w}^\dagger , $\partial_t \bar{u}$, and $\partial_t \bar{b}$, are independent of the eddies.

When non-acceleration conditions are not satisfied, the transformed equations offer a more transparent approach to the eddy-mean flow interaction problem, simply because the single term represented by the effective force $\nabla \cdot \mathbf{F}$ entirely describes the

eddy forcing of the mean state. In fact, this formulation gives us another interpretation of \mathbf{F} , as an eddy flux of transformed negative (easterly) momentum, which is a more reliable measure of eddy transport of momentum than $\overline{u'v'}$ itself.

The interpretation of \mathbf{F} as a momentum flux may seem to be a result of mathematical tinkering. However, it should be remembered that the process of taking a mean is an arbitrary one—there is no unique way of doing it. Thus, it is legitimate to choose the definition of mean that simplifies the most the problem at hand. The Transformed Eulerian Mean equations indeed give us a clearer picture on what is going on when eddies interact with a mean flow.

15.4.1 The QGPV flux and the residual Ertel PV flux

The major advantage of the transformed Eulerian Mean formulation is that the only eddy forcing is the QGPV flux. We will now show that the QGPV flux is the residual component of the full Ertel PV flux and can thus be expected to be diffusive.

The full Ertel potential vorticity in the quasi-geostrophic approximation is given by $P = fN^2 + fb_z + \zeta N^2$. The eddy PV flux can be computed easily,

$$\overline{\mathbf{u}'P'} = f\overline{\mathbf{u}'b'_z} + \overline{\mathbf{u}'\zeta'}, \quad (15.35)$$

$$= \left[f\overline{v'b'_z} + \overline{v'\zeta'} \right] \mathbf{j} + O(Ro^2). \quad (15.36)$$

Thus to leading order the eddy PV flux has only an horizontal component. It is instructive to write the meridonal flux in the form,

$$\overline{v'P'} = f\partial_z(\overline{v'b'}) + \overline{v'\zeta'} \quad (15.37)$$

$$= f\frac{\partial}{\partial z} \left(\frac{\overline{v'b'}}{N^2} N^2 \right) + \overline{v'\zeta'} \quad (15.38)$$

$$= f\frac{\overline{v'b'}}{N^2} \partial_z(N^2) + fN^2 \frac{\partial}{\partial z} \left(\frac{\overline{v'b'}}{N^2} \right). \quad (15.39)$$

Using the fact that $\bar{P}_z = \partial_z(fN^2) + O(Ro)$ and that $v'_z = f^{-1}b'_x + O(Ro)$ we can simplify the expression as,

$$\overline{v'P'} = \frac{\overline{v'b'}}{N^2} \bar{P}_z + N^2 \overline{v'q'} + O(Ro^2). \quad (15.40)$$

Using this relation we can write the full Ertel PV flux as the sum of skew and symmetric components,

$$\begin{pmatrix} \overline{v'P'} \\ \overline{w'P'} \end{pmatrix} = \begin{pmatrix} 0 & \chi_c \\ -\chi_c & 0 \end{pmatrix} \begin{pmatrix} 0 \\ \bar{P}_z \end{pmatrix} + \begin{pmatrix} \overline{v'P'} - \frac{\overline{v'b'}}{N^2} \bar{P}_z \\ \overline{w'P'} + \frac{\overline{v'b'}}{N^2} \bar{P}_y \end{pmatrix}. \quad (15.41)$$

To leading order this relation is,

$$\begin{pmatrix} \overline{v'P'} \\ \overline{w'P'} \end{pmatrix} = \begin{pmatrix} 0 & \chi_c \\ -\chi_c & 0 \end{pmatrix} \begin{pmatrix} 0 \\ \overline{P}_z \end{pmatrix} + N^2 \begin{pmatrix} \overline{v'q'} \\ 0 \end{pmatrix} \quad (15.42)$$

In this form we see that the Ertel PV flux is composed of an advective skew component and a residual component, which happens to be proportional to the QGPV. In the lecture on passive tracer transport we emphasized that the residual flux tends to mix across tracer contours, while the skew component does not mix. Furthermore the skew component is typically much larger than the residual component. Similarly in this problem, the skew component advects PV around and dominates the full PV flux, but it is the residual flux that achieves mixing. This can be demonstrated by considering the QGPV variance budget,

$$\partial_t \overline{q'^2} + \partial_y \overline{v'q'^2} = -2\overline{v'q'}\overline{q}_y + 2D. \quad (15.43)$$

this suggests that in steady state for homogeneous turbulence, the eddy QGPV flux must be down its mean gradient,

$$\overline{v'q'} = -K\overline{q}_y. \quad (15.44)$$

15.5 Parameterizing mesoscale motions in numerical models

So far we avoided getting our hands dirty to find closures that relate the eddy fluxes to the mean flow. The TEM formalism is however often invoked to derive parameterizations of the interaction between large-scale mean flows and small-scale transient eddy motions. In this section, we will use the results of TEM together with some physical insight to derive sets of equations where the eddy terms do not appear explicitly. Two approaches are used in the literature, one based on an energetic argument, and the other on potential vorticity mixing theory.

15.5.1 The energetic argument

The energetic argument has been used to derive eddy parameterizations in the ocean only. Thus we will restrict our scope to ocean dynamics in this section.

Although mesoscale eddy motions can be directly generated by external forcing, like the wind field, most of the mesoscale eddy energy is believed to be the result of instabilities in many forms (Pedlosky, 1987). The common belief is that eddies are generated by extracting kinetic and potential energy from the mean flow. This might

not be the whole story though: in two dimensional and quasi-geostrophic turbulence, eddy motions can create an inverse energy cascade and return some of the energy back to the mean flow. The point here is that an analysis of the exchange of energy between mean and eddy motions might be fruitful to derive parameterizations.

The total mechanical energy is given by the sum of the kinetic K and potential energies P , which in the geostrophic approximation are,

$$K = \frac{1}{2}(u^2 + v^2), \quad P = \frac{1}{2} \frac{b^2}{N^2}. \quad (15.45)$$

Conservation of total energy takes the form,

$$\left[\frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla \right] [K + P] + \frac{1}{\rho_0} \nabla \cdot (p\mathbf{u}_a) = \mathbf{u} \cdot \mathcal{G} + \frac{b\mathcal{B}}{N^2}. \quad (15.46)$$

Exchange of energy between eddies and a zonal flow may be defined following the separation of the zonally averaged kinetic and potential energies into components associated with the eddy and mean motions. In the quasi-geostrophic approximation, this is straightforward,

$$K_M = \frac{1}{2}(\bar{u}^2 + \bar{v}^2), \quad P_M = \frac{1}{2} \frac{\bar{b}^2}{N^2}, \quad (15.47)$$

$$K_E = \frac{1}{2}(\overline{u'^2} + \overline{v'^2}), \quad P_E = \frac{1}{2} \frac{\overline{b'^2}}{N^2}. \quad (15.48)$$

Let us assume once again that the basic state is a zonal flow, *i.e.* $\bar{u} = \bar{u}(y, z, t)$, $\bar{b} = \bar{b}(y, z, t)$, but $\bar{v} = \bar{w} = 0$. The equations for the mean kinetic and potential energies are,

$$\frac{\partial K_M}{\partial t} + \frac{1}{\rho_0} \nabla \cdot (\bar{\mathbf{u}}_a \bar{p}) = \bar{b} \bar{w}_a - \bar{u} \partial_y (\overline{u'v'}) + \bar{u} \bar{\mathcal{G}}, \quad (15.49)$$

$$\frac{\partial P_M}{\partial t} + \bar{b} \bar{w}_a = -\bar{b} \partial_y \left(\frac{\overline{v'b'}}{N^2} \right) + \frac{\bar{b}\bar{\mathcal{B}}}{N^2}. \quad (15.50)$$

The eddy terms on the rhs represent conversion of mean energy into turbulent energy and are often associated with instabilities of the mean flow.

Equations (15.46) and (15.47) can be combined together in the form,

$$\begin{aligned} \frac{\partial}{\partial t} (K_M + P_M) + \frac{1}{\rho_0} \nabla \cdot (\bar{\mathbf{u}}_a \bar{p}) &= \\ &= -\partial_y \left(\bar{u} \overline{u'v'} + \bar{b} \frac{\overline{v'b'}}{N^2} \right) + \overline{u'v'} \partial_y \bar{u} + \frac{\overline{v'b'}}{N^2} \partial_y \bar{b} + \bar{u} \bar{\mathcal{G}} + \frac{\bar{b}\bar{\mathcal{B}}}{N^2}. \end{aligned} \quad (15.51)$$

The ocean is a strongly stratified fluid and most of the energy in the basic state is stored as potential energy due to tilted isopycnal surfaces. This energy is converted into mesoscale eddy motions mainly through baroclinic instabilities. Thus in equation (15.48) the buoyancy eddy flux terms typically dominate over the eddy momentum flux terms.

The divergent terms represent transport of eddy activity from one region to another. In a statistically steady state, we can assume that there is no net transport of mean mechanical energy out of the domain considered. Neglecting the kinetic energy loss terms and the divergent terms, we have that on average,

$$\frac{\partial}{\partial t}(K_M + P_M) \sim \frac{\overline{v'b'}}{N^2} \partial_y \bar{b} + \text{external forcing.} \quad (15.52)$$

Baroclinic instability tends to extract potential energy from the mean state. The simplest closure that would ensure that energy is always released from the mean state and lost into the eddy field is,

$$\overline{v'b'} = -K \partial_y \bar{b}. \quad (15.53)$$

This closure scheme was first proposed by Gent and McWilliams in 1990, and it is now in use in most coarse-resolution ocean models.

In terms of the TEM, this closure provide an estimate of the eddy induced circulation,

$$\chi_c = -K \frac{\partial_y \bar{b}}{N^2} \quad (15.54)$$

The parameterization of Gent and McWilliams is thus equivalent to assuming that the eddy induced circulation is proportional to the isopycnal slope. As long as mean isopycnals are tilted, there is available potential energy to drive an eddy-induced circulation.

In terms of the Transformed eulerian mean formalism the parameterization of Gent and McWilliams is as a closure for the eddy forcing of the residual circulation, *i.e.*,

$$\nabla \cdot \mathbf{F} \approx -f_0 \partial_z \left[K \frac{\partial_y \bar{b}}{N^2} \right]. \quad (15.55)$$

In this closure the eddy stress is proportional to the isopycnal slope. In order to satisfy conservation of mean momentum, it is customary to impose $K = 0$ at the ocean surface and ocean bottom.

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15.5.2 The potential vorticity mixing argument

We have shown that in steady state for homogeneous turbulence, the eddy QGPV flux must be down its mean gradient,

$$\overline{v'q'} = -K\bar{q}_y. \quad (15.56)$$

Plugging this closure in the expression for the Eliassen-Palm fluxes gives,

$$\nabla \cdot \mathbf{F} = \overline{v'q'} = -K \left[\beta - \partial_{yy}\bar{u} + f_0\partial_z \left(\frac{\partial_y \bar{b}}{N^2} \right) \right]. \quad (15.57)$$

This expression for the eddy forcing of the residual circulation differs from that in (15.52). The two expressions are equivalent if 1) K is constant, 2) there is no

planetary PV gradient ($\beta = 0$), and 3) PV is dominated by the baroclinic term. In the ocean condition 3 is often satisfied. Condition 2 is harder to assess, because it depends on whether eddies mix on distances large enough to feel the effect of β . Condition 1 instead cannot be satisfied, because one needs to impose $K = 0$ at the boundaries in the Gent-McWilliams parameterization and therefore K cannot be constant. Thus the two closure schemes are different. It is open to debate which approach is more appropriate. A good discussion can be found in the paper by Treguier et al. (1997).

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