

**15.082J, 6.855J, and ESD.78J**  
**Sept 16, 2010**

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**Lecture 3. Graph Search**

**Breadth First Search**

**Depth First Search**

**Intro to program verification**

**Topological Sort**

# Overview

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## Today: Different ways of searching a graph

- a generic approach
  - breadth first search
  - depth first search
  - program verification
  - data structures to support network search
  - topological order
- ◆ Fundamental for most algorithms considered in this subject

# Searching a Directed Graph

## ALGORITHM SEARCH

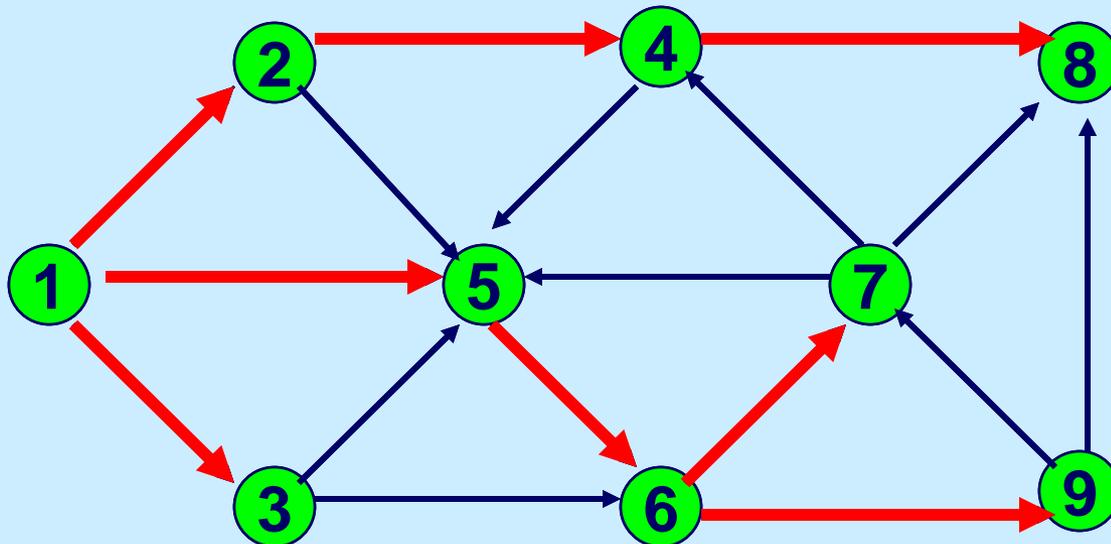
INPUT: A directed network  $G$ , and node  $s$

OUTPUT: The set  $S = \{j : \text{there is a directed path from } s \text{ to } j \text{ in } G\}$ .

These are the nodes *reachable* from  $s$ . For each node  $j \in S \setminus s$ ,

$\text{pred}(j)$  is a node that precedes  $j$  on some path from  $s$ ;

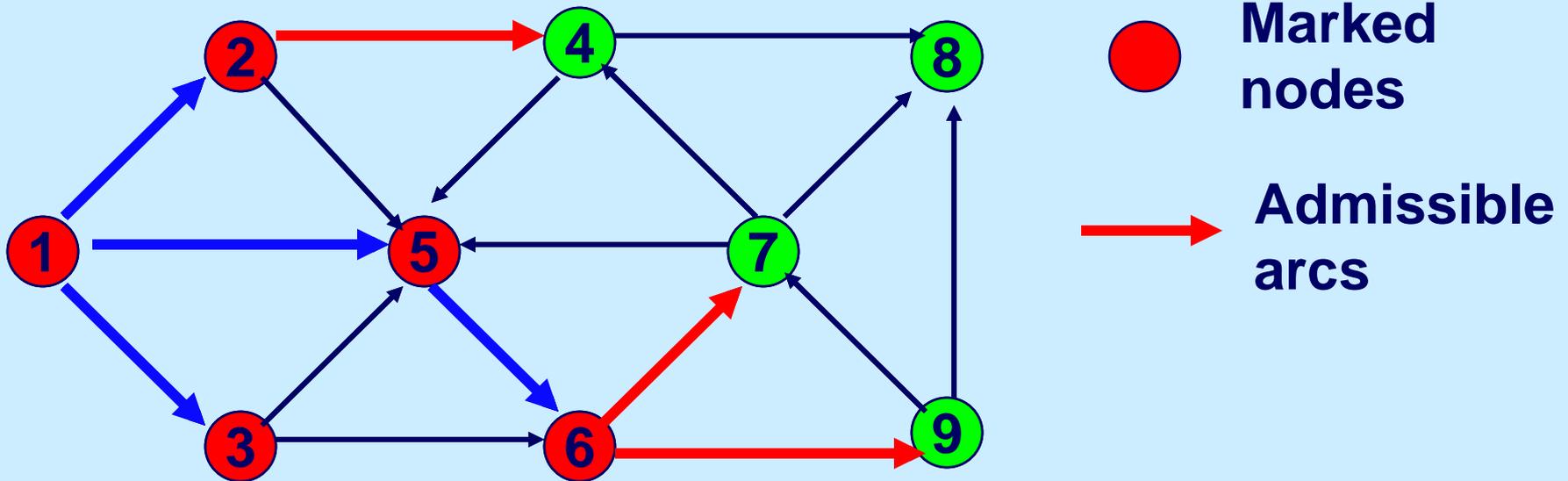
e.g.  $(\text{pred}(2) = 1, \text{pred}(8) = 4)$



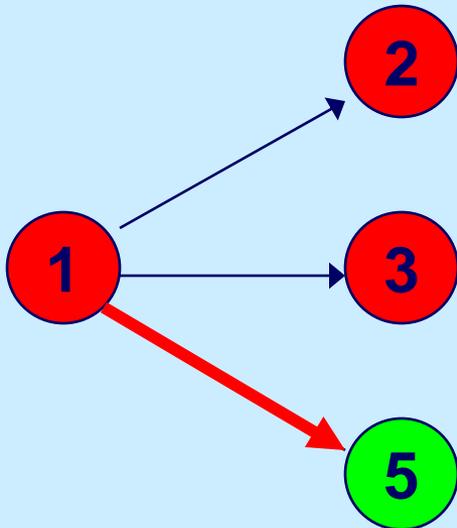
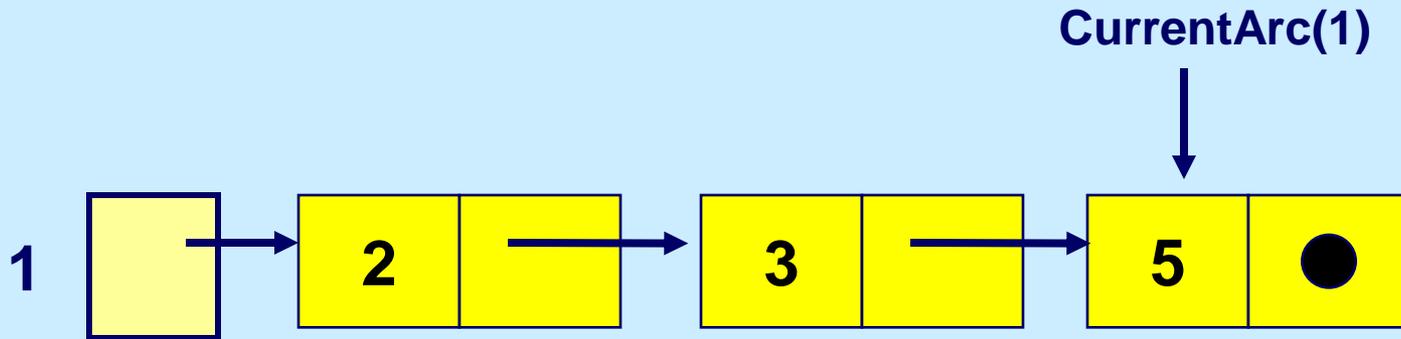
# Marked nodes, admissible arcs

A node is either *marked* or *unmarked*. Initially only node  $s$  is marked. If a node is marked, it is reachable from node  $s$ .

An arc  $(i,j) \in A$  is *admissible* if node  $i$  is marked and  $j$  is not.



# Scanning arcs



**Scan through the arc list for the selected node, and keep track using current arc. Stop when an admissible arc is identified or when the arc list is fully scanned**

# Algorithm Search

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Initialize as follows:

unmark all nodes in  $N$ ;

mark node  $s$ ;

$\text{pred}(s) = 0$ ; {that is, it has no predecessor}

$\text{LIST} = \{s\}$

**while**  $\text{LIST} \neq \emptyset$  **do**

select a node  $i$  in  $\text{LIST}$ ;

**if** node  $i$  is incident to an admissible arc  $(i,j)$  **then**

mark node  $j$ ;

$\text{pred}(j) := i$ ;

add node  $j$  to the end of  $\text{LIST}$ ;

**else** delete node  $i$  from  $\text{LIST}$

The algorithm in the book also keeps track of the order in which nodes are marked.

# Breadth first search

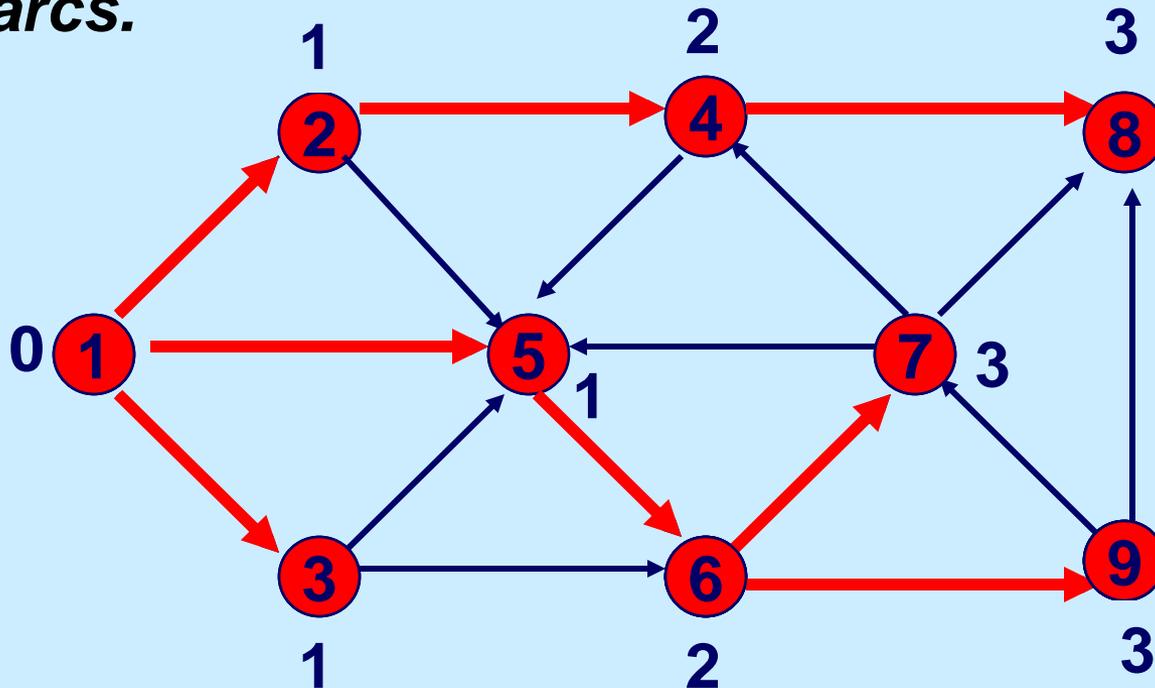
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It is a **breadth first search (bfs)** if the selected node is the first node on LIST.

Breadth First Search  
Animation

# More on Breadth First Search

**Theorem.** *The breadth first search tree is the “shortest path tree”, that is, the path from  $s$  to  $j$  in the tree has the fewest possible number of arcs.*



The numbers next to the nodes are the distances from node 1.

# Depth first search

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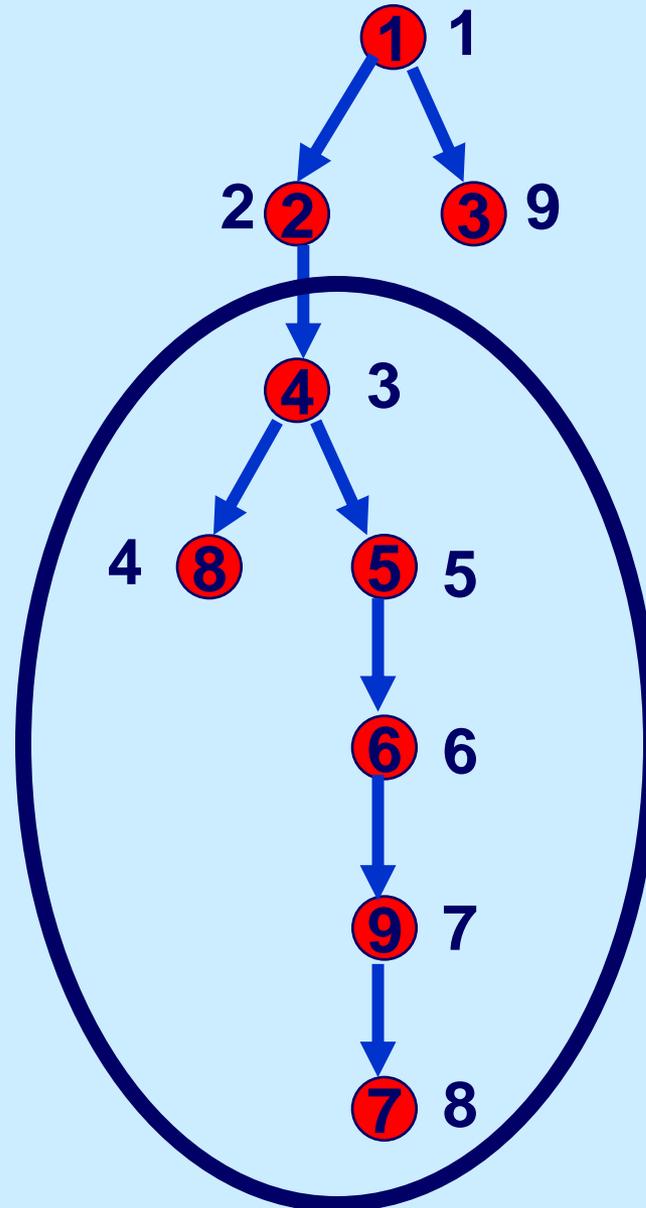
It is a **depth first search (dfs)** if the selected node is the last node on LIST.

[Depth First Search Animation](#)

# The depth first search tree

Note that each induced subtree has consecutively labeled nodes.

(The descendants are visited in order.)



# Algorithm Analysis

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**How does one prove that an algorithm is correct?**

**How does one prove that it terminates in finite time?**

**How does one obtain a tight upper bound on running time?**

# Some useful approaches

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Subdivide the algorithm into “chunks”.

**Invariants:** properties that are true throughout the running of the algorithm

**Things that change:** functions that increase monotonically every time the algorithm reenters the same loop.

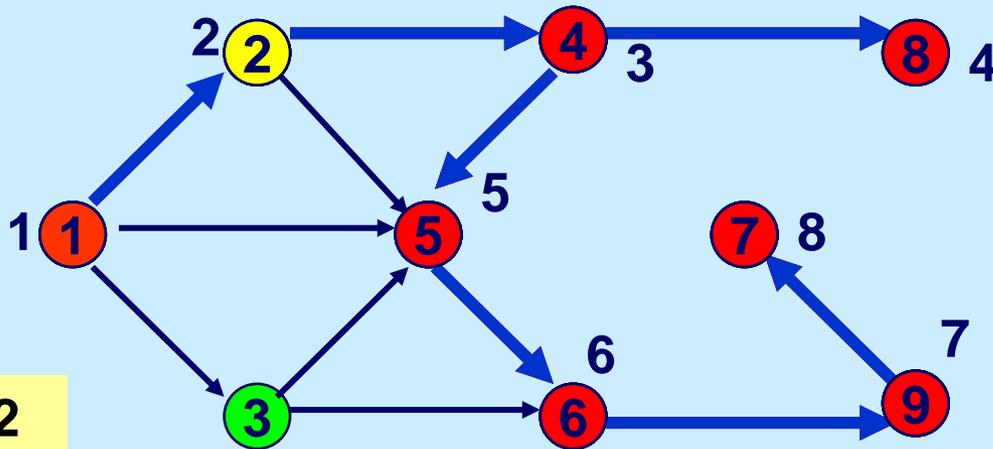
# Algorithm Search

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**Initialize**

```
loop   while LIST  $\neq$   $\emptyset$  do  
        select a node i in LIST;  
        if node i is incident to an admissible arc (i,j) then  
            mark node j;  
            pred(j) := i;  
            add node j to the end of LIST;  
        else delete node i from LIST
```

# Algorithm Invariants



Select Node 2

LIST	1	2							
------	---	---	--	--	--	--	--	--	--

**Invariants:** whenever control of the program is at **loop**:

1. Any marked node is reachable from  $s$ .
2. All nodes on LIST are marked.
3. If a marked node  $j$  is not on LIST, then  $A(j)$  has been fully scanned.

# Proving the correctness of the invariants

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**An Important step in proving algorithm correctness:**

**Prove that the algorithm invariants are true using induction.**

- **Prove that they are true after the initialization**
- **Assuming that they are true at the beginning of the k-th iteration of the while loop, prove that they are true at the beginning of the subsequent iteration of the while loop.**

# Things that change and proof of finiteness

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Things that change between successive times that the control of the program is at **loop**:

1. Either a new node is marked and added to LIST, or a new node is fully scanned and deleted from LIST.

**Number of iterations of while loop is at most  $2n$ .**

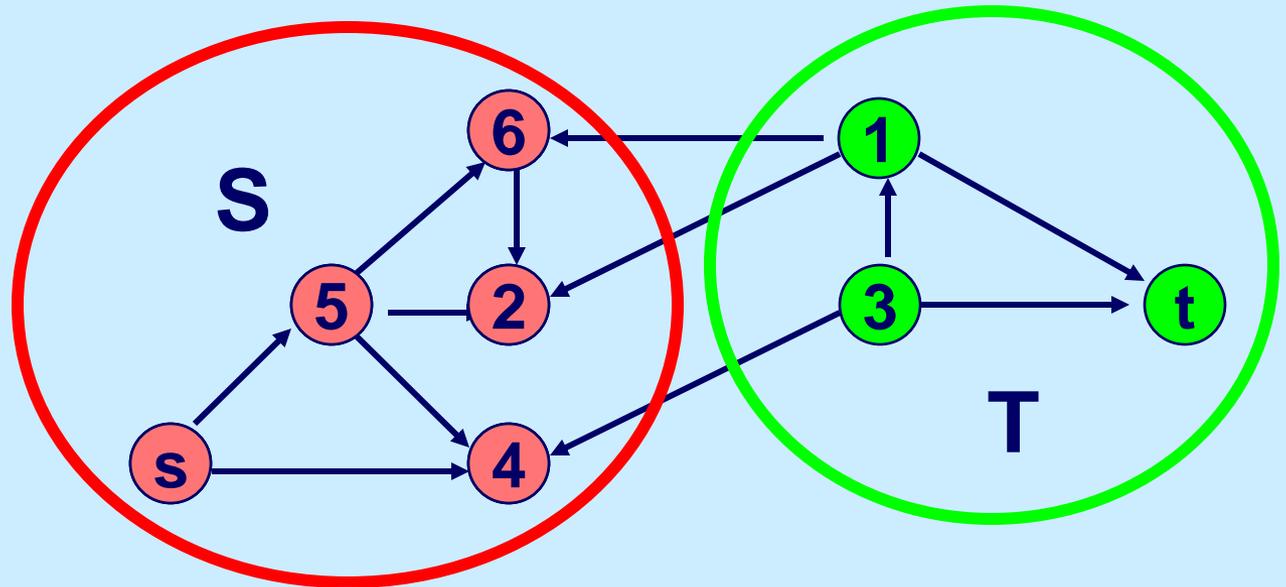
**Therefore the algorithm terminates in  $O(n)$  calls of the “while loop.”**

# Proof of Correctness

The algorithm terminates when  $LIST = \emptyset$ .

Let  $S$  = marked nodes.

Let  $T$  = unmarked nodes.



By invariant 1, all nodes in  $S$  are reachable from  $s$ .

By invariant 3, all arcs out of  $S$  have been scanned.

Then no arc  $(i,j)$  is directed from  $S$  to  $T$ . Otherwise, by Invariant 2,  $j$  would have been marked when  $(i, j)$  was scanned.

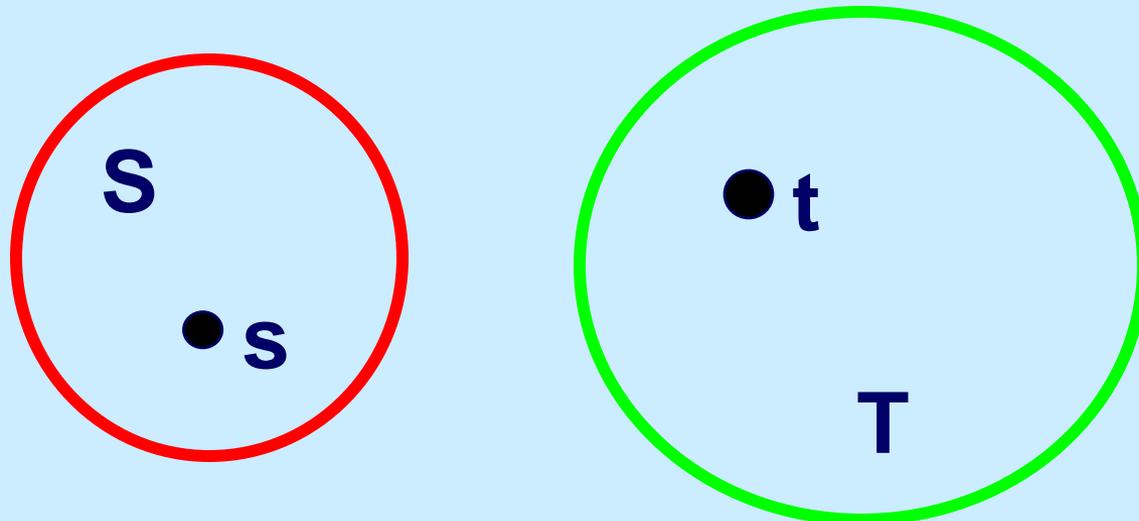
Therefore, no node in  $T$  is reachable from  $s$ .

# Cutset Theorem

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**Corollary of algorithm's correctness.** There is no directed path from  $s$  to  $t$  if and only if the following is true:

there is a partition of the node set  $N$  into subsets  $S$  and  $T = N - S$  such that there is no arc directed from a node in  $S$  to a node in  $T$ .



# Running time analysis

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Initialize.

```
loop while LIST ≠ ∅ do
    select a node i in LIST;
    if node i is incident to an admissible arc (i,j) then
        mark node j;
        pred(j) := i;
        add node j to the end of LIST;
    else delete node i from LIST
```

Total time spent in while loop  
(other than arc scans)

- $O(1)$  time per loop
- $< 2n$  iterations of the loop
- $O(n)$  time in total

Total time spent in scanning  
arcs

- $O(1)$  time per arc scanned
- $m$  arcs
- $O(m)$  time in total.

Running time:  $O(n + m)$

# Initialize

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## Initialize

**begin**

unmark all nodes in  $N$ ;

mark node  $s$ ;

$\text{pred}(s) = 0$ ; {that is, it has no predecessor}

$\text{LIST} = \{s\}$

**end**

**Unmarking takes  $O(n)$   
All else takes  $O(1)$**

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***Theorem.*** Algorithm search determines all nodes reachable from node  $s$  in  $O(n + m)$  time.

# Mental Break

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**All U.S. Presidents have worn glasses**

**True**

**No President (before Obama) has been an only child**

**True**

**No President was a bachelor**

**False. James Buchanan was a bachelor.**

**George Washington grew marijuana on his Plantation.**

**True**

# Mental Break

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**George Washington's false teeth were made of wood.**

**False. They were made of whale bone.**

**Three of the first 10 Presidents died on July 4.**

**True. Thomas Jefferson, John Adams, James Monroe**

**John Quincy Adams kept a pet alligator in the East  
Room of the White House**

**True.**

**Calvin Coolidge believed that the world was flat.**

**False. But Andrew Jackson did.**

# Finding all connected components in an undirected graph

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Breadth first search will find a connected component of an undirected graph in time proportional to the number of arcs in the component. (A **component** of an undirected graph is a maximally connected subgraph.)



To find all components: Maintain a set  $U$  of unmarked nodes.

- Delete a node from  $U$  after it is marked.
- After each component is searched, select a node of  $U$  and begin a search.
- Running time  $O(1)$  per selection and deletion

# Proof of bfs Theorem

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**Theorem.** *The breadth first search tree is the “shortest path tree”, that is, the path from  $s$  to  $j$  in the tree has the fewest possible number of arcs.*

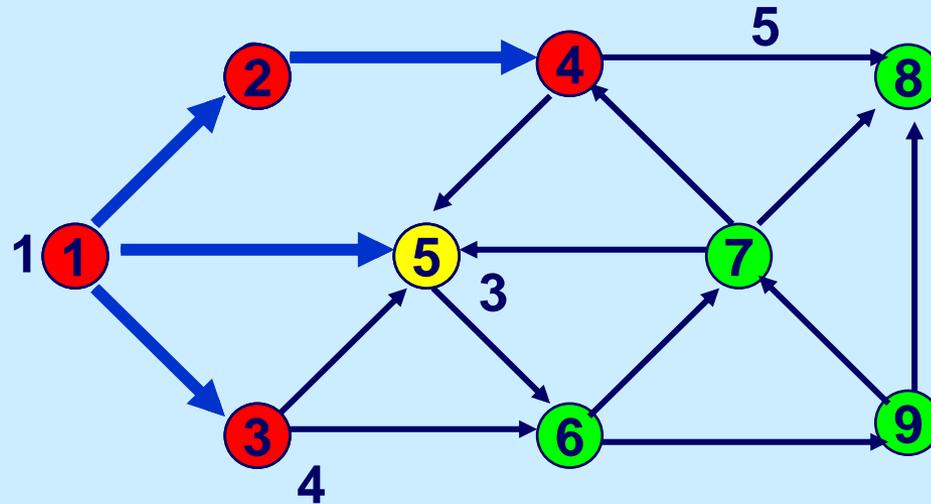
Let  $d(j)$  be the fewest number of arcs on a path from  $s$  to  $j$ .

Suffices to prove a 4<sup>th</sup> invariant:

4. If  $d(i) < d(j)$ , then  $i$  is marked before  $j$ .

If the 4<sup>th</sup> invariant is true, then nodes are marked in order of increasing distance from node  $s$ .

# Select node 5



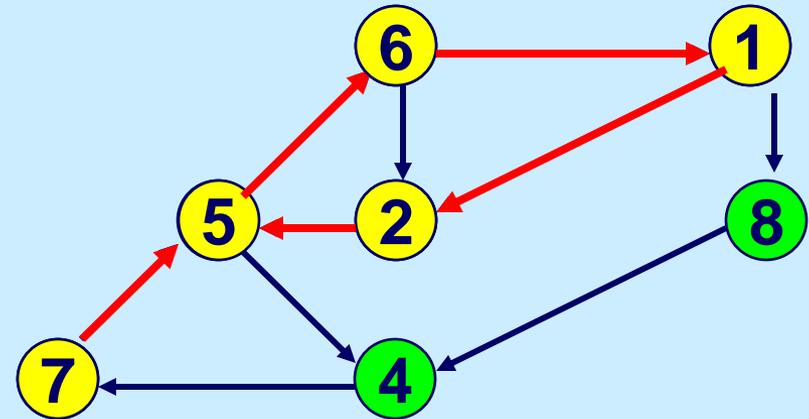
LIST

5	3	4				
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4. If  $d(i) < d(j)$ , then  $i$  is marked before  $j$ . (Note that no unmarked node has a distance that is less than  $d(4)$ .)

# Preliminary to Topological Sorting

**LEMMA.** If each node has at least one arc going out, then the first inadmissible arc of a depth first search determines a directed cycle.



**COROLLARY 1.** If  $G$  has no directed cycle, then there is a node in  $G$  with no arcs going out. Similarly, there is at least one node in  $G$  with no arcs coming in.

**COROLLARY 2.** If  $G$  has no directed cycle, then one can relabel the nodes so that for each arc  $(i,j)$ ,  $i < j$ .

INITIALIZE as follows:

**for** all  $i \in N$  **do**  $\text{indegree}(i) := 0$ ;

**for** all  $(i,j) \in A$  **do**  $\text{indegree}(j) := \text{indegree}(j) + 1$ ;

$LIST := \emptyset$ ;

$next := 0$ ;

**for** all  $i \in N$  **do** **if**  $\text{indegree}(i) = 0$ , **then**  $LIST := LIST \cup \{ i \}$ ;

**while**  $LIST \neq \emptyset$  **do**

select a node  $i$  from  $LIST$  and delete it from  $LIST$ ;

$next := next + 1$ ;

$order(i) := next$ ;

**for** all  $(i,j) \in A(i)$  **do**

$\text{indegree}(j) := \text{indegree}(j) - 1$ ;

**if**  $\text{indegree}(j) = 0$  **then**  $LIST := LIST \cup \{ j \}$ ;

**if**  $next < n$  **then** the network contains a directed cycle

**else** the network is acyclic and the order is topological

# Invariant For Topological Sorting

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A node is called **marked** when it receives an order.

**INVARIANT** (at the beginning of the while loop)

1. **indegree(i)** is the number of arcs directed to **i** from nodes that are not marked.

Thus if **j** is on **LIST**, then there are no arcs into node **j** from unmarked nodes.

If the algorithm ends before labeling all nodes, then there is a directed cycle in the unmarked nodes.

Every unmarked node has at least one incoming arc, and so there is a directed cycle.

# More on Topological Sorting

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**Runs in  $O(n+m)$  time.**

**Useful starting point for many algorithms that involve acyclic graphs.**

# Summary on Graph Search

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## ◆ Graph Search

- ◆ Finds all nodes reachable from  $s$  in  $O(m)$  time.
- ◆ Determine the connected components of an undirected graph.
- ◆ Breadth first search
- ◆ Depth first search

## Algorithm Validation (proofs of correctness).

- Prove invariants using induction
- Establish things that change

# Summary on Graph Search

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- ◆ **Topological sort (or order);**
  - **Running time is  $O(n+m)$  using simple data structures and algorithms.**
  - **Very important for preprocessing.**

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