# Voter Participation Session 17

Professor Devin Caughey

MIT Department of Political Science 17.263: American Elections

## Roadmap

Variation in Turnout

Why Do(n't) People Vote?

Manipulating Voter Turnout



Bykowicz, Julie. "Trump's Turn-Out-The-Base Strategy is Tested in Maine County," Wall Street Journal, August 14, 2020. © Dow Jones & Company. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <a href="https://ocw.mit.edu/help/faq-fair-use/">https://ocw.mit.edu/help/faq-fair-use/</a>.



Collins, Eliza, and Chad Day. "The Door-to-Door Election Battle to Swing an Arizona County," Wall Street Journal, September 4, 2020. Dow Jones & Company. All rights reserved. This content is excluded from our Creative Commons license. For more information, see https://ocw.mit.edu/help/faq-fair-use/.

## Trump Rally in Arizona



"President Trump Campaigns in Prescott." 12News.com. October 19, 2020. © KPNX-TV. All rights reserved. This content is excluded from our Creative Commons license. For more information, see https://ocw.mit.edu/help/faq-fair-use/.

 $\frac{https://www.12news.com/video/news/politics/president-trump-campaigns-in-prescott/75-a54a13d3-9805-4014-a1ea-817620d46759$ 

### Biden Get-Out-The-Vote Ad



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https://www.youtube.com/watch?v=6yJa3b6lrdA

Variation in Turnout

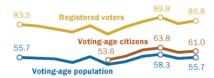
## Political Participation as a Political Choice

- One can think of voting and other modes of political participation as a two-step decision:
  - 1. Do I engage in some participatory act (e.g., vote), or do I abstain?
  - 2. If I participate, how should I do so (e.g., for whom should I vote)?
- ▶ Of course, participation is only partly voluntary.
  - Participation requires paying costs, whether small or large, and even in a democracy is sometimes impossible (e.g., non-citizens or felons).
  - ► There can also be benefits to participating (material, psychological, social)—or, equivalently, costs to non-participation (e.g., White et al. on black Democrats).
- Political participation is thus a constrained choice whose outcome depends on individual as well as contextual factors.

## Measuring Turnout

#### Turnout in U.S. presidential elections

Votes cast as a share of ...



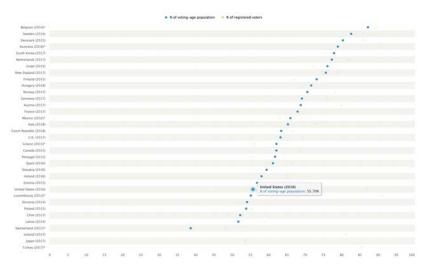


Source: Census Bureau (population estimates), House Clerk's office and Pew Research Center (vote totals).

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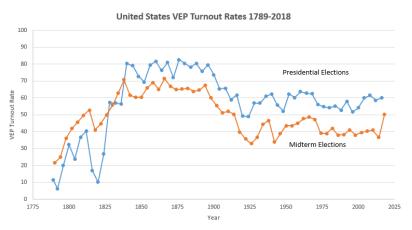
DeSilver, Drew. "In Past Elections, U.S. Trailed Most Developed Countries in Voter Turnout," November 3, 2020. Pew Research Center. November 3, 2020. ⊕ Pew Research Center. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <a href="https://ocw.mit.edu/nleip/fag-fair-use/">https://ocw.mit.edu/nleip/fag-fair-use/</a>.

## US Turnout in Cross-National Perspective



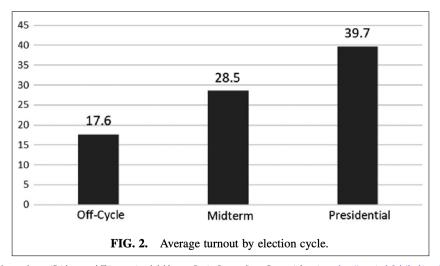
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## Presidential vs. Midterm Elections



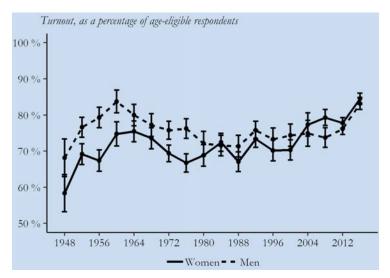
"National General Election VEP Turnout Rates, 1789-Present." United States Elections Project. © Electproject.org, All rights reserved. This content is excluded from our Creative Commons license. For more information, see https://ocw.mit.edu/help/faq-fair-use/.

## Local Elections by Election Timing

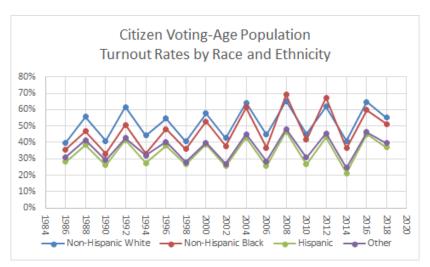


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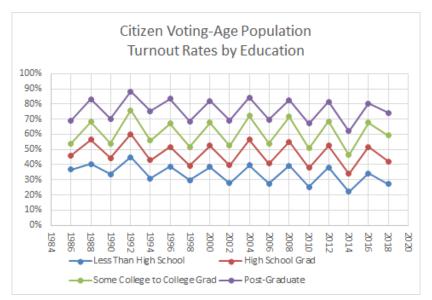
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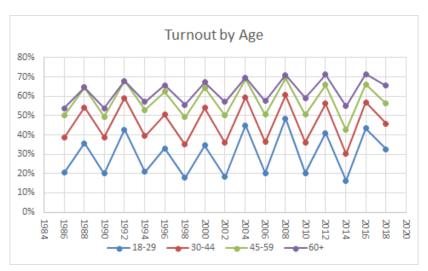
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Why Do(n't) People Vote?

#### A Basic Rational Choice Model of Turnout

The Calculus of Voting: The expected utility of voting is positive iff

$$Cost < (Benefit \mid Win) \times Pr(Pivotal)$$

This leads to the paradox of voting: An individual voter is extremely unlikely to affect the outcome, it is irrational to vote unless the benefits of voting itself (regardless of the outcome) outweigh the costs of doing so.

▶ But then why do so many people vote?

## Modified Calculus of Voting

To account for the fact that many people do in fact vote, rational-choice scholars proposed a modified version of the calculus of voting:

$$\mathsf{Cost} < (\mathsf{Benefit} \mid \mathsf{Win}) \times \mathsf{Pr}(\mathsf{Pivotal}) + \mathsf{Duty}$$

Two potential components of the "D term" (Duty):

- 1. Intrinsic: doing the right thing, even if no one knows about it
- 2. Extrinsic: contingent on others' observing your actions



Social pressure can thus be a powerful inducement to vote.

## Why Don't People Participate?

"In thinking about why some people are active while others are not, we find it helpful to invert the usual question and to ask instead why people do not take part in politics. Three answers immediately suggest themselves: because they can't; because they don't want to; or because nobody asked."

—Verba, Schlozman & Brady, Voice and Equality

Verba, Sidney, Kay Lehman Schlozman, and Henry E. Brady. Voice and Equality: Civic Voluntarism in American Politics. Harvard University Press, 1995. © Harvard University Press, All rights reserved. This content is excluded from our Creative Commons license. For more information, see <a href="https://ocw.mit.edu/help/faq-fair-use/">https://ocw.mit.edu/help/faq-fair-use/</a>.

#### The Civic Voluntarism Model

Reconcile the calculus of voting with social/psychological reality.

The major components:

- 1. Resources (capacity to participate)
- 2. Engagement (desire to participate)
- 3. Recruitment (asked to participate)

Closely tied with social and civic engagement more generally (churches, unions, etc.)

## Resources and Participation

Different resources are needed for different forms of participation:

- ► Time: vote, volunteer
- ► Skills: write letters, organize protest, circulate petition
- ► Money: donate to candidates and parties

Time is the most equally distributed resources, but in recent decades its importance has decreased relative to money.

#### Does It Matter Who Votes?

Clearly, voters and non-voters are different. But do these differences matter? How would politics change if, say, everyone voted?

There are several things to say about this.

- ▶ In presidential and congressional elections, voters tend to be more conservative and Republican than non-voters, but the gaps are not huge and universal turnout (arguably) would rarely swing elections.
- ▶ Voter/non-voter differences vary across types of elections.
  - Local elections esp. dominated by the old, wealthy, and interested.
- ▶ If the composition of the electorate did change dramatically, the parties would adapt their electoral tactics and issue positions.
- ► So there could be policy effects without partisan ones.

## Manipulating Voter Turnout

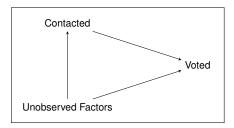
# Getting Out (and Suppressing) the Vote

- ▶ Voter turnout is not a fact of nature beyond human influence.
- Rather, political actors can and often do actively shape the size and composition of the electorate.
- ▶ Some of these actors are at least nominally nonpartisan (e.g., Rock the Vote or the League of Women Voters), but most have an interest in the outcome of the election.
- Partisan actors thus have incentives to manipulate the active electorate—mobilizing their supporters and (perhaps) demobilizing their opponents.

#### How Do We Know What Works?

#### Observational Approach

Usual approach pre-2000 was to ask survey subjects whether they were contacted and whether they voted, and compare turnout by contact. What is the problem with this approach?



#### Experimental Approach

- Instead of passively measuring the association between contact and turnout, randomly manipulate who gets contacted.
- ➤ Such field experiments showed that some turnout techniques that are strongly *correlated* with turnout do not in fact *cause* turnout.
- ► Pioneered by political scientists, picked up by campaigns.

## Social pressure is powerful...

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"The chart shows the names of some of your neighbors, showing which have voted in the past. After the August 8 election, we intend to mail an updated chart. You and your neighbors will all know who voted and who did not."

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Professor Devin Caughey Voter Participation

## Social pressure is powerful...but it can provoke a backlash

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## Voter Supression

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#### Supreme Court Rejects Pandemic-**Spurred Voting Changes in Wisconsin**

Mail-In ballots must arrive by Election Day in critical state, dealing Democrats a loss



Trump 'army' of poll watchers could frighten voters, incite violence, election officials warn

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Kendall, Brent, and Jess Bravin. "Supreme Court Rejects Pandemic-Spurred Voting Changes in Wisconsin," *Wall Street Journal*, October 26, 2020. © Dow Jones & Company. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <a href="https://ocw.mit.edu/help/faq-fair-use/">https://ocw.mit.edu/help/faq-fair-use/</a>.

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Hughes, Trevor. "Trump 'Army' of Poll Watchers Could Frighten Voters, Incite Violence, Election Officials Warn," *USA Today*, October 14, 2020. © USA Today, a division of Gannett Satellite Information Network, LLC. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <a href="https://ocw.mit.edu/help/faq-fair-use/">https://ocw.mit.edu/help/faq-fair-use/</a>.

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