

## SPRING 2025 - 18.100B/18.1002

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### Lecture 2

The real numbers  $\mathbf{R}$  is a complete ordered Field that contains  $\mathbf{Q}$ .

**Question:** What is the difference between  $\mathbf{R}$  and  $\mathbf{Q}$ ?

One difference is that  $\mathbf{R}$  contains  $\sqrt{2}$  and  $\mathbf{Q}$  does not.

$\sqrt{2}$  is a number  $x$  so that  $x > 0$  and  $x^2 = 2$ .

**Theorem:** There does not exist a rational number  $x$  so that  $x^2 = 2$ .

*Proof.* We will argue by contradiction. So suppose that there exists a rational number  $x = \frac{m}{n}$ , where  $m \in \mathbf{Z}$  and  $n \in \mathbf{N}$ , so that  $x^2 = \frac{m^2}{n^2} = 2$ . We can assume  $m$  and  $n$  does not have a common factor (other than one). We have that  $m^2 = 2n^2$  and so 2 is a factor in  $m^2$  and therefore in  $m$  itself. This means that  $m = 2m_1$ , where  $m_1$  is also an integer. It follows that  $m^2 = 4m_1^2 = 2n^2$  and therefore  $2m_1 = n$  and so  $n$  is also even. We have now that both  $m$  and  $n$  are even and so have 2 as a common factor. This is the desired contradiction. This shows that there is no rational number  $x$  with the property that  $x^2 = 2$ .  $\square$

How do we add  $\sqrt{2}$  to the number system?

$$\sqrt{2} = 1.4142136 \dots$$

So 1, 1.4, 1.41, 1.414, 1.4142, 1.41421,  $\rightarrow \sqrt{2}$ .

$\sqrt{2}$  is the limit of a sequence of numbers.

**Completeness of  $\mathbf{R}$ .** (Least upper bound property.)

**Completeness is:** If a subset  $A$  of  $\mathbf{R}$  has an upper bound, then  $A$  has a least upper bound.

Suppose that  $\mathbf{S}$  is an ordered set and  $A$  is a subset of  $\mathbf{S}$ , then  $M$  is an upper bound for  $A$  if for all  $a \in A$  we have that  $a \leq M$ .

Example: If  $A = \{1, 2, 3\} \subset \mathbf{Z}$ , then 4 is an upper bound, whereas 2 is not an upper bound.

Example: If  $\mathbf{S} = \mathbf{Q}$ , then  $\mathbf{N}$  as a subset does not have an upper bound (we will return to this shortly).

**Least upper bound:** Suppose that  $\mathbf{S}$  is an ordered set and  $A$  is a subset that has an upper bound. We say that  $M$  is a least upper bound for  $A$  if  $M$  is an upper bound for  $A$  and for any other upper bound  $M_1$  we have that  $M \leq M_1$ .

**Complete ordered set:** We say that an ordered set is complete if any subset that has an upper bound has a least upper bound.

**Theorem:** There exists a complete ordered Field that contains  $\mathbf{Q}$ .

This Field is denoted by  $\mathbf{R}$ .

We will not prove this, as a proof would take us too far a field, rather we will take it for granted.

**Theorem:**  $\sqrt{2} \in \mathbf{R}$ .

*Proof.* Let  $A = (0, \sqrt{2}) \cap \mathbf{Q}$ . That is  $A$  consists of all the positive rational numbers  $a$  so that  $a^2 < 2$ . Let  $x$  be the least upper bound for  $A$ . Note that  $A$  is nonempty (since  $1 \in A$ ) and that 2 is an upper bound for  $A$ . Note also that  $x \geq 1 > 0$  since it is an upper bound. We need to show that  $x^2 = 2$ .

We will first show that  $x^2 \leq 2$ . Suppose not; so assume that  $x^2 > 2$ . We will show that this leads to a contradiction. Consider

$$(x - h)^2 = x^2 - 2xh + h^2 > x^2 - 2hx.$$

As long as  $h > 0$  is chosen so that

$$2hx < x^2 - 2$$

or, equivalently, that

$$h < \frac{x^2 - 2}{2x}$$

then

$$(x - h)^2 > 2$$

and therefore  $x - h$  is also an upper bound for  $A$ . This contradicts that  $x$  is the least upper bound. We therefore have that if  $x$  is the least upper bound for  $A$ , then  $x^2 \leq 2$ .

To show the reverse inequality (that  $x^2 \geq 2$ ) we argue similarly. Assume that for the least upper bound  $x$  we have that  $x^2 < 2$ . Consider  $x + h$ , where  $0 < h < 1$ . We have that

$$(x + h)^2 = x^2 + 2xh + h^2 < x^2 + 2xh + h = x^2 + h(2x + 1).$$

Since we are assuming that  $x^2 < 2$  we can choose  $h$  positive so that

$$h < \frac{2 - x^2}{2x + 1}.$$

We therefore have that

$$(x + h)^2 < x^2 + 2 - x^2 < 2.$$

This is the desired contradiction and show that  $x^2 \geq 2$ . Together with the first step we have that  $x^2 = 2$ .  $\square$

**Corollary:**  $\mathbf{Q}$  is not complete.

*Proof.* If  $\mathbf{Q}$  was complete, then  $\sqrt{2} \in \mathbf{Q}$  but we have already proven that there is no rational number with the property that  $x^2 = 2$ .  $\square$

**Archimedean property:** For all  $x \in \mathbf{R}$ , there exists a natural  $n \in \mathbf{N}$  so that  $x < n$ .

*Proof.* If this was not the case, then  $\mathbf{N}$  would be bounded. To see that  $\mathbf{N}$  is not bounded we argue as follows. Assume it is bounded and let  $\alpha$  be the least upper bound for  $\mathbf{N}$ . We would now have that for all  $n \in \mathbf{N}$  that  $n \leq \alpha$ . Since  $n + 1$  is also a natural number we would have that  $n + 1 \leq \alpha$  as well. So, in fact,  $n \leq \alpha - 1$  or in other words, since  $n$  was any natural number,  $\alpha - 1$  would be an upper bound contradicting that  $\alpha$  was the least upper bound.  $\square$

As a corollary of the Archimedean property we get the following:

**Corollary:** If  $x < y$ , then there exists a rational number  $\frac{m}{n}$  such that

$$x < \frac{m}{n} < y.$$

*Proof.* Set  $\beta = \frac{1}{y-x}$ . From the Archimedean property we have that there exists a natural number  $n$  with  $n > \beta$ . It follows that

$$0 < \frac{1}{n} < \frac{1}{\beta}.$$

Now let  $m - 1$  be the largest integer so that

$$m - 1 \leq x n.$$

It follows that  $\frac{m}{n}$  has the desired property. □

#### REFERENCES

[TBB] B.S. Thomson, J.B. Bruckner, and A.M. Bruckner, *Elementary Real Analysis, 2nd edition*

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