

## 18.310 Homework 11 Solutions

Due Tuesday November 26th at 6PM

1. Determine the Discrete Fourier transform (over the complex numbers) for the sequence  $y_0, y_1, y_2, y_3$  where  $y_0 = 0, y_1 = 1, y_2 = 2$  and  $y_3 = 3$ .

**Solution:** We have  $n = 4$  points. So using the definition of discrete fourier transform:

$$c_k = \sum_{j=0}^{n-1} y_j e^{-2\pi i j k / n},$$

we get

$$\begin{aligned} c_0 &= 1 + 2 + 3 = 6, \\ c_1 &= e^{-\pi/2i} + 2e^{-\pi i} + 3e^{-3\pi/2i} = -i - 2 + 3i = 2i - 2, \\ c_2 &= e^{-\pi i} + 2e^{-2\pi i} + 3e^{-3\pi} = -1 + 2 - 3 = -2, \end{aligned}$$

and

$$c_3 = e^{-3\pi/2i} + 2e^{-3\pi i} + 3e^{-9\pi/2i} = i - 2 - 3i = -2i - 2.$$

Now take the inverse Fourier transform for the sequence of complex numbers  $c_0, c_1, c_2, c_3$  you just obtained. Show your calculations.

If we take the inverse given by

$$y_j = \frac{1}{n} \sum_{k=0}^{n-1} c_k e^{2\pi i j k / n}$$

we get

$$\begin{aligned} y_0 &= \frac{1}{4}(6 + 2i - 2 - 2 - 2i - 2) = 0, \\ y_1 &= \frac{1}{4}(6 + (2i - 2)e^{\pi/2i} - 2e^{\pi i} - (2i + 2)e^{3\pi/2i}) = \frac{1}{4}(6 + (-2 - 2i) + 2 - (2 - 2i)) = 1, \\ y_2 &= \frac{1}{4}(6 + (2i - 2)e^{\pi i} - 2e^{2\pi i} - (2i + 2)e^{3\pi i}) = \frac{1}{4}(6 + (-2i + 2) - 2 + (2i + 2)) = 2, \\ y_3 &= \frac{1}{4}(6 + (2i - 2)e^{3\pi/2i} - 2e^{3\pi i} - (2i + 2)e^{9\pi/2i}) = \frac{1}{4}(6 + (2 + 2i) + 2 - (-2 + 2i)) = 3 \end{aligned}$$

justifying its name.

2. Suppose we want to multiply two binary numbers  $u$  and  $v$  using Discrete Fourier Transforms performed over  $\mathbb{Z}_p$  for an appropriate prime  $p$ . For simplicity, let's assume that  $u$  and  $v$  have only 4 bits (for just 4 bits, it will be much more cumbersome than doing the usual long multiplication, but you probably don't want to have a homework problem in which you need to multiply two  $10^6$ -bit integers....). It will be easier for you if you use excel for the various

calculations in this exercise. We will need to compute the Discrete Fourier Transforms of  $u$  and  $v$ , multiply the corresponding coefficients, and take the inverse Fourier transform, and then perform the carryover to get the product of  $u$  and  $v$  in binary. Since the product of  $u$  and  $v$  can have 8 bits, we will be performing Fourier transforms on sequences of  $n = 8$  numbers. (Thus, if we are multiplying  $u = 1010$  (ten in binary) by  $v = 0111$  (seven in binary), we would see these numbers as 00001010 and 00000111, and hope to get seventy in binary as the product.)

- (a) Explain why we can use  $p = 17$  in this specific case of multiplying two 4-bit numbers. Can we use any smaller  $p$  (remember  $p$  has to be a prime)? Explain. What would be the smallest prime  $p$  you would use if we were multiplying two 8-bit numbers?

**Solution:** There are two conditions that  $p$  need to satisfy. The first one is that it needs to be large enough so that we can recover the coefficients of the convolution from their values modulo  $p$ . The coefficients of the convolution will be between 0 and  $4 \cdot 1^2 = 4$  and so for this purpose we need to take  $p \geq 5$ . (The  $2^{2b}$  bound in the lecture notes is an upper bound to the real bound which is  $(2^b - 1)^2$ , the largest product possible with  $b$  bits. In our case  $b = 1$  so the real bound is  $4(2 - 1)^2 = 4$ .) The second condition is that our prime  $p$  needs to have an  $n$ -th root of unity (here  $n = 8$ ); for this, we need that  $p$  satisfies the equation  $p = mn + 1$  with  $m$  integer. The first one to satisfy it is  $p = 17$ , and that's why we use it.

In the case of multiplying two 8 bit integers, we get that the maximum coefficient of the convolution is 8, so using  $p > 8$  are candidates. Since we are looking at products of size at most 16 bits, we need to find a prime with a 16th root of unity, i.e.  $p = 16m + 1$ . So 17 also works in this case.

- (b) What are all the *primitive* 8th-root of unity over  $\mathbb{Z}_{17}$  (read the lecture notes or use excel...)?

**Solution:** From the lecture notes: The 8th roots of unity are 2, 8, 9 and 15. For example  $2^8 = 256 = 17 \times 15 + 1$ .

- (c) Suppose we use  $z = 2$  as a primitive 8th-root of unity. What is  $z^{-1} \pmod{17}$ ?

**Solution:** Since 2 is an 8th root of unity  $2^{-1} = 2^7 = 128 = 9 \pmod{17}$ .

- (d) Using  $\mathbb{Z}_{17}$  and  $z = 2$  as primitive 8th-root of unity, what is the Discrete Fourier transform for  $u = 00001010$  (i.e., for the sequence with  $u_i = 1$  for  $i \in \{1, 3\}$  and 0 for  $i \in \{0, 2, 4, 5, 6, 7\}$ )? Call it  $a$ . And what is  $b$ , the DFT for  $v = 00000111$ ? Remember that, here, the DFT of  $(y_0, y_1, \dots, y_{n-1})$  is given by

$$c_k \equiv \sum_{j=0}^{n-1} y_j (z^{-1})^{jk} \pmod{17},$$

for  $k = 0, \dots, n - 1$ .

**Solution:** I will drop the symbol  $\pmod{17}$  in the next calculations, to make it easier to read. First it will be useful to list the powers of  $2^{-1} = 9$ . They are

$$9^0 = 0, 9^1 = 9, 9^2 = 13, 9^3 = 15, 9^4 = 16, 9^5 = 8, 9^6 = 4, 9^7 = 2.$$

Using the formula we get that

$$a_0 = 1 + 1 = 2,$$

$$\begin{aligned}
a_1 &= 9 + 9^3 = 7, \\
a_2 &= 9^2 + 9^6 = 0, \\
a_3 &= 9^3 + 9 = 7, \\
a_4 &= 9^4 + 9^4 = 15, \\
a_5 &= 9^5 + 9^7 = 10, \\
a_6 &= 9^6 + 9^2 = 0, \\
a_7 &= 9^7 + 9^5 = 10.
\end{aligned}$$

So  $a = (2, 7, 0, 7, 15, 10, 0, 10)$ . In a very similar fashion we obtain

$$b = (3, 6, 13, 3, 1, 5, 4, 7).$$

- (e) Multiply the corresponding coefficients (over  $\mathbb{Z}_{17}$ ) and compute the inverse DFT (remember that in the DFT you will be using  $z = 2$  rather than  $z^{-1}$ , and that there will be an additional factor  $n^{-1} \pmod{17}$ ). Is this what you expected? How much is  $uv$  in binary?

**Solution:** Since we are regarding the numbers as polynomials, multiplying this polynomials corresponds to a convolution of their coefficients, which in transform domain corresponds to usual multiplication, so the transform of the coefficients of the multiplied polynomial corresponds to

$$ab = (6, 8, 0, 4, 15, 16, 0, 2).$$

First notice that  $8^{-1} = 2^{-3} = 2^5 = 32 = 15$ , and the powers of 2 are of course the inverse table to the powers of 9:

$$2^0 = 1, 2^1 = 2, 2^2 = 4, 2^3 = 8, 2^4 = 16, 2^5 = 15, 2^6 = 13, 2^7 = 9.$$

Call the coefficients of the multiplied polynomials  $d_k$  for  $k = 0, \dots, 7$ , then we may calculate them from  $ab$  using the inverse transform:

$$d_0 = 15(6 + 8 + 4 + 15 + 16 + 2) = 0,$$

$$d_1 = 15(6 \cdot 8 + 8 \cdot 0 + 0 \cdot 4 + 15 \cdot 16 + 16 \cdot 0 + 2 \cdot 9) = 15(6 + 16 + 32 + 240 + 240 + 18) = 1,$$

$$d_2 = 15(6 \cdot 8 + 8 \cdot 0 + 0 \cdot 4 + 15 \cdot 16 + 16 \cdot 0 + 2 \cdot 9) = 1,$$

and similarly

$$d_3 = 2, d_4 = 1, d_5 = 1, d_6 = 0, d_7 = 0.$$

So  $d = (0, 1, 1, 2, 1, 1, 0, 0)$ . If we remember we are looking at coefficients of a polynomial, we get that the product is then  $2 + 4 + 16 + 16 + 32 = 70$ , which is correct since our initial numbers were 10 and 7. The product in binary can be seen by carrying over the elements in  $d$ , so we get 01000110(remember elements in  $d$  are in inverse order per our definition of  $u$  and  $v$ ).

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