

# 18.408 Topics in Theoretical Computer Science Fall 2022

## Problem Set 5

Not for submission

1. In this problem we will show that for every  $\varepsilon, \delta > 0$  the problem  $\text{gap-3-SAT}[1 - \varepsilon, \frac{7}{8} + \delta]$  is NP-hard.

(a) Let  $\Sigma_L, \Sigma_R$  be finite sets and suppose that  $\pi: \Sigma_L \rightarrow \Sigma_R$  is a projection map. Consider the distribution  $\mu$  over  $(x, y, z)$  where  $x, y \in \{0, 1\}^{\Sigma_L}$  and  $z \in \{0, 1\}^{\Sigma_R}$  sampled in the following way:

- Sample  $z \in \{0, 1\}^{\Sigma_R}$  and  $x \in \{0, 1\}^{\Sigma_L}$  uniformly.
- Let  $y' = \pi_{u,v}^{-1}(1 - z)$  and sample  $g \in \{0, 1\}^{\Sigma_L}$  be sampled by taking for each  $i \in \Sigma_L$  independently  $g_i = 1$  with probability  $\varepsilon$  and otherwise  $g_i = 0$ .
- Take  $y = x + y' + g$ .

Show that the marginal distribution of each one of  $x, y, z$  is uniform over its respective domain, and also that the marginal distribution over each one of  $(x, y), (y, z)$  and  $(x, z)$  is uniform over its respective domain.

(b) Let  $f: \{0, 1\}^{\Sigma_L} \rightarrow \{0, 1\}$  and  $g: \{0, 1\}^{\Sigma_R} \rightarrow \{0, 1\}$  be dictatorship functions, that is,  $f(x) = x_i$  and  $g(z) = z_j$  where the dictators satisfy the projection constraint  $\pi(i) = j$ . Show that

$$\mathbb{E}_{(x,y,z) \sim \mu} [f(x) \vee f(y) \vee g(z)] \geq 1 - \varepsilon.$$

(c) Let  $f: \{0, 1\}^{\Sigma_L} \rightarrow \{0, 1\}$  and  $g: \{0, 1\}^{\Sigma_R} \rightarrow \{0, 1\}$  be functions such that  $\mathbb{E}[f] = \mathbb{E}[g] = 1/2$ , and denote  $F(x) = (-1)^{f(x)}$  and  $G(z) = (-1)^{g(z)}$ . Show that

$$\mathbb{E}_{(x,y,z) \sim \mu} [f(x) \vee f(y) \vee g(z)] - \frac{7}{8} \leq \sum_{\alpha \in \mathbb{F}_2^{\Sigma_L}} (1 - \varepsilon)^{|\alpha|} \widehat{F}(\alpha)^2 \widehat{G}(\pi_{\text{odd}}^{-1}(\alpha)).$$

(d) Show that for every  $\varepsilon, \delta > 0$  there is  $\eta > 0$  such that there is a polynomial time reduction from  $\text{gap-LabelCover}[1, \eta]$  over an alphabet of constant size to  $\text{gap-3SAT}[1 - \varepsilon, \frac{7}{8} + \delta]$ . Deduce that  $\text{gap-3SAT}[1 - \varepsilon, \frac{7}{8} + \delta]$  is NP-hard.

2. An instance of the problem  $2\text{-Lin}_{\mathbb{F}_2}$  consists of a set of variables  $X$  and a set of equations  $E$ , each equation of the form  $x - y = b$  where  $x, y \in X$  are variables and  $b \in \mathbb{F}_2$  is a constant. In this problem, we will design a surprisingly good approximation algorithm for  $2\text{-Lin}$ , showing that for sufficiently small  $\varepsilon > 0$ , given an instance which is at least  $1 - \varepsilon$  satisfiable, finds (in polynomial time) a solution satisfying at least  $1 - \Theta(\sqrt{\varepsilon})$  fraction of the equations. For simplicity, we assume that half of the equations have  $b = 0$ , and half of them have  $b = 1$ .

(a) Write down an integer program formulation of the  $\text{Max-2-Lin}_{\mathbb{F}_2}$ .

- (b) Write down a semi-definite program relaxation of Max-2-Lin $_{\mathbb{F}_2}$  in the variables  $\{V_x\}_{x \in X}$ , and argue that if  $(X, E)$  is at least  $1 - \varepsilon$  satisfiable then there is a vector valued solution satisfying that

$$\sum_{e \in E: x(e) - y(e) = 0} \langle V_{x(e)}, V_{y(e)} \rangle - \sum_{e \in E: x(e) - y(e) = 1} \langle V_{x(e)}, V_{y(e)} \rangle \geq m(1 - \varepsilon).$$

Conclude that

$$\sum_{e \in E: x(e) - y(e) = 0} \langle V_{x(e)}, V_{y(e)} \rangle \geq \frac{m}{2}(1 - 2\varepsilon), \quad - \sum_{e \in E: x(e) - y(e) = 1} \langle V_{x(e)}, V_{y(e)} \rangle \geq \frac{m}{2}(1 - 2\varepsilon).$$

- (c) Using the fact that such vector valued solution may be found efficiently, design a rounding procedure which produces an integral solution to  $(X, E)$ . Namely, sampling a vector  $h \in \mathbb{R}^n$  and defining the assignment  $A(x) = 1$  if  $\langle h, V_x \rangle > 0$  and  $A(x) = 0$  otherwise, show that for all  $e \in E$  of the form  $x(e) - y(e) = 0$ ,

$$\Pr_h[A \text{ satisfies } e] = 1 - \frac{1}{\pi} \text{Arccos}(\langle V_{x(e)}, V_{y(e)} \rangle),$$

and for all  $e \in E$  of the form  $x(e) - y(e) = 1$ ,

$$\Pr_h[A \text{ satisfies } e] = \frac{1}{\pi} \text{Arccos}(\langle V_{x(e)}, V_{y(e)} \rangle).$$

- (d) Show that for  $z \in [0, 1]$  it holds that  $\text{Arccos}(1 - z) \leq 2\sqrt{z}$ , and deduce that if  $\alpha_1, \dots, \alpha_r \in [0, 1]$  are such that  $\mathbb{E}_i[\alpha_i] \geq 1 - 2\varepsilon$ , then  $\mathbb{E}_i[\text{Arccos}(\alpha_i)] \leq 2\sqrt{2\varepsilon}$ .

- (e) Deduce that

$$\sum_{e \in E} \Pr_h[A \text{ satisfies } e] \geq m(1 - O(\sqrt{\varepsilon})),$$

3. Show a polynomial time reduction from gap- $d$ -to-1-Games $[1, \varepsilon]$  to gap-UniqueGames $[1/d, \varepsilon]$ .
4. (\*) In this question, we will consider the following seemingly stronger form of the Unique-Games Conjecture, and show that it is implied by the standard formulation of it.

**Conjecture 0.1** (Strong UGC). *For all  $\varepsilon, \delta > 0$  there is  $k \in \mathbb{N}$ , such that given an instance  $\psi = (G = (L \cup R, E), \Sigma, \Phi = \{\phi_e\})$  over a regular graph  $G$  with alphabet size  $k$  it is NP-hard to distinguish between the following two cases:*

- *YES case: there is  $L' \subseteq L$  of fractional size at least  $1 - \varepsilon$  and assignments  $A_{L'}: L' \rightarrow \Sigma$  and  $A_R: R \rightarrow \Sigma$  that satisfy all of the constraints between  $L'$  and  $R$ .*
- *NO case: no pair of assignments  $A_L: L \rightarrow \Sigma$  and  $A_R: R \rightarrow \Sigma$  satisfy more than  $\delta$  fraction of the constraints.*

We will show that for all  $\varepsilon, \delta > 0$  and  $k$  there are  $\eta, \xi > 0$  and  $k'$  such that gap-UG $[1 - \eta, \xi]$  with alphabet size  $k$  is polynomial time reducible to gap-StrongUGC $[1 - \varepsilon, \delta]$  with alphabet size  $k'$ . Denote  $H = 1/\eta^{1/4}$ .

- (a) Suppose that we have an instance  $\Psi$  of Unique-Games such that  $\text{val}(\Psi) \geq 1 - \eta$  and pair of assignments  $A_L, A_R$  that satisfy at least  $1 - \eta$  fraction of the constraints. Show that for at least  $1 - \sqrt{\eta}$  of the vertices  $u \in L$ , we have that

$$\Pr_{v_1, \dots, v_H \text{ neighbours of } u} [(u, v_i) \text{ is satisfied by } A_L, A_R \text{ for all } i] \geq 1 - \eta^{1/4}.$$

- (b) Suppose that we have an instance  $\Psi$  of Unique-Games such that  $\text{val}(\Psi) \leq \xi$ , and we fix a pair of assignments  $A_L, A_R$ . Show for all but at most  $\sqrt{\xi}$  of the vertices  $u \in L$ , we have that

$$\Pr_{v_1, \dots, v_H \text{ neighbours of } u} \left[ \text{more than } (\sqrt{\xi} + \varepsilon)H \text{ of } (u, v_i) \text{ are satisfied by } A_L, A_R \right] \leq 2^{-\Omega(\varepsilon^2 H)}.$$

- (c) Based on the previous two question, construct a poly-time reduction to show that the standard formulation of UGC implies the above strong formulation of UGC.

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