

## 8. Lie algebras

**8.1. The Jacobi identity.** The matrix commutator  $[x, y] = xy - yx$  obviously satisfies the identity

$$[[x, y], z] + [[y, z], x] + [[z, x], y] = 0$$

called the **Jacobi identity**. Thus it is satisfied for any Lie subgroup of  $GL_n(\mathbb{K})$ .

**Proposition 8.1.** *The Jacobi identity holds for any Lie group  $G$ .*

*Proof.* Let  $\mathfrak{g} = T_1G$ . The Jacobi identity is equivalent to  $\text{adx}$  being a derivation of the commutator:

$$\text{adx}([y, z]) = [\text{adx}(y), z] + [y, \text{adx}(z)], \quad x, y, z \in \mathfrak{g}.$$

To show that it is indeed a derivation, let  $g(t) = \exp(tx)$ , then

$$\text{Ad}_{g(t)}([y, z]) = [\text{Ad}_{g(t)}(y), \text{Ad}_{g(t)}(z)].$$

The desired identity is then obtained by differentiating this equality by  $t$  at  $t = 0$  and using the Leibniz rule and Proposition 7.11(iv).  $\square$

**Corollary 8.2.** *We have  $\text{ad}[x, y] = [\text{adx}, \text{ady}]$ .*

*Proof.* This is also equivalent to the Jacobi identity.  $\square$

**Proposition 8.3.** *For  $x \in \mathfrak{g}$  one has  $\exp(\text{adx}) = \text{Ad}_{\exp(x)} \in GL(\mathfrak{g})$ .*

*Proof.* We will show that  $\exp(t\text{adx}) = \text{Ad}_{\exp(tx)}$  for  $t \in \mathbb{R}$ . Let  $\gamma_1(t) = \exp(t\text{adx})$  and  $\gamma_2(t) = \text{Ad}_{\exp(tx)}$ . Then  $\gamma_1, \gamma_2$  both satisfy the differential equation  $\gamma'(t) = \gamma(t)\text{adx}$  and equal 1 at  $t = 0$ . Thus  $\gamma_1 = \gamma_2$ .  $\square$

## 8.2. Lie algebras.

**Definition 8.4.** A **Lie algebra** over a field  $\mathbf{k}$  is a vector space  $\mathfrak{g}$  over  $\mathbf{k}$  equipped with bilinear operation  $[,] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$ , called the **commutator** or **(Lie) bracket** which satisfies the following identities:

- (i)  $[x, x] = 0$  for all  $x \in \mathfrak{g}$ ;
- (ii) the Jacobi identity:  $[[x, y], z] + [[y, z], x] + [[z, x], y] = 0$ .

A **(homo)morphism of Lie algebras** is a linear map between Lie algebras that preserves the commutator.

**Remark 8.5.** If  $\mathbf{k}$  has characteristic  $\neq 2$  then the condition  $[x, x] = 0$  is equivalent to skew-symmetry  $[x, y] = -[y, x]$ , but in characteristic 2 it is stronger.

**Example 8.6.** Any subspace of  $\mathfrak{gl}_n(\mathbf{k})$  closed under  $[x, y] := xy - yx$  is a Lie algebra.

**Example 8.7.** The map  $\text{ad} : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$  is a morphism of Lie algebras.

Thus we have

**Theorem 8.8.** *If  $G$  is a  $\mathbb{K}$ -Lie group (for  $\mathbb{K} = \mathbb{R}, \mathbb{C}$ ) then  $\mathfrak{g} := T_1G$  has a natural structure of a Lie algebra over  $\mathbb{K}$ . Moreover, if  $\phi : G \rightarrow K$  is a morphism of Lie groups then  $\phi_* : T_1G \rightarrow T_1K$  is a morphism of Lie algebras.*

We will denote the Lie algebra  $\mathfrak{g} = T_1G$  by  $\text{Lie}G$  or  $\text{Lie}(G)$  and call it the **Lie algebra of  $G$** . We see that the assignment  $G \mapsto \text{Lie}G$  is a functor from the category of Lie groups to the category of Lie algebras. Thus we have a map  $\text{Hom}(G, K) \rightarrow \text{Hom}(\text{Lie}G, \text{Lie}K)$ , which is injective if  $G$  is connected.

Motivated by Proposition 7.11(v), a Lie algebra  $\mathfrak{g}$  is said to be **commutative** or **abelian** if  $[x, y] = 0$  for all  $x, y \in \mathfrak{g}$ .

**8.3. Lie subalgebras and ideals.** A **Lie subalgebra** of a Lie algebra  $\mathfrak{g}$  is a subspace  $\mathfrak{h} \subset \mathfrak{g}$  closed under the commutator. It is called a **Lie ideal** if moreover  $[\mathfrak{g}, \mathfrak{h}] \subset \mathfrak{h}$ .

**Proposition 8.9.** *Let  $H \subset G$  be a Lie subgroup. Then:*

- (i)  $\text{Lie}H \subset \text{Lie}G$  is a Lie subalgebra;
- (ii) If  $H$  is normal then  $\text{Lie}H$  is a Lie ideal in  $\text{Lie}G$ ;
- (iii) If  $G, H$  are connected and  $\text{Lie}H \subset \text{Lie}G$  is a Lie ideal then  $H$  is normal in  $G$ .

*Proof.* (i) If  $x, y \in \mathfrak{h}$  then  $\exp(tx), \exp(sy) \in H$ , so by Proposition 7.11(iv)

$$[x, y] = \lim_{t, s \rightarrow 0} \frac{\log(\exp(tx) \exp(sy) \exp(-tx) \exp(-sy))}{ts} \in \mathfrak{h}.$$

(ii) We have  $ghg^{-1} \in H$  for  $g \in G$  and  $h \in H$ . Thus, taking  $h = \exp(sy)$ ,  $y \in \mathfrak{h}$  and taking the derivative in  $s$  at zero, we get  $\text{Ad}_g(y) \in \mathfrak{h}$ . Now taking  $g = \exp(tx)$ ,  $x \in \mathfrak{g}$  and taking the derivative in  $t$  at zero, by Proposition 7.11(iv) we get  $[x, y] \in \mathfrak{h}$ , i.e.,  $\mathfrak{h}$  is a Lie ideal.

(iii) If  $x \in \mathfrak{g}$ ,  $y \in \mathfrak{h}$  are small then

$$\begin{aligned} \exp(x) \exp(y) \exp(x)^{-1} &= \\ \exp(\text{Ad}_{\exp(x)} y) &= \exp(\exp(\text{ad} x) y) = \exp\left(\sum_{n=0}^{\infty} \frac{(\text{ad} x)^n y}{n!}\right) \in H \end{aligned}$$

since  $\sum_{n=0}^{\infty} \frac{(\text{ad}_x)^n y}{n!} \in \mathfrak{h}$ . So  $G$  acting on itself by conjugation maps a small neighborhood of 1 in  $H$  into  $H$  (as  $G$  is generated by its neighborhood of 1 by Proposition 3.15, since it is connected). But  $H$  is also connected, so is generated by its neighborhood of 1, again by Proposition 3.15. Hence  $H$  is normal.  $\square$

**8.4. The Lie algebra of vector fields.** Recall that a vector field on a manifold  $X$  is a compatible family of derivations  $\mathbf{v} : O(U) \rightarrow O(U)$  for open subsets  $U \subset X$ .

**Proposition 8.10.** *If  $\mathbf{v}, \mathbf{w}$  are derivations of an algebra  $A$  then so is  $[\mathbf{v}, \mathbf{w}] := \mathbf{v}\mathbf{w} - \mathbf{w}\mathbf{v}$ .*

*Proof.* We have

$$\begin{aligned} (\mathbf{v}\mathbf{w} - \mathbf{w}\mathbf{v})(ab) &= \mathbf{v}(\mathbf{w}(a)b + a\mathbf{w}(b)) - \mathbf{w}(\mathbf{v}(a)b + a\mathbf{v}(b)) = \\ &\quad \mathbf{v}\mathbf{w}(a)b + \mathbf{w}(a)\mathbf{v}(b) + \mathbf{v}(a)\mathbf{w}(b) + a\mathbf{v}\mathbf{w}(b) \\ &\quad - \mathbf{w}\mathbf{v}(a)b - \mathbf{v}(a)\mathbf{w}(b) - \mathbf{w}(a)\mathbf{v}(b) - a\mathbf{w}\mathbf{v}(b) = \\ &\quad (\mathbf{v}\mathbf{w} - \mathbf{w}\mathbf{v})(a)b + a(\mathbf{v}\mathbf{w} - \mathbf{w}\mathbf{v})(b). \end{aligned}$$

$\square$

Thus, the space  $\text{Vect}(X)$  of vector fields on  $X$  is a Lie algebra under the operation

$$\mathbf{v}, \mathbf{w} \mapsto [\mathbf{v}, \mathbf{w}],$$

called the **Lie bracket of vector fields**.<sup>6</sup>

In local coordinates we have

$$\mathbf{v} = \sum_i v_i \frac{\partial}{\partial x_i}, \quad \mathbf{w} = \sum_j w_j \frac{\partial}{\partial x_j},$$

so

$$[\mathbf{v}, \mathbf{w}] = \sum_i \left( \sum_j (v_j \frac{\partial w_i}{\partial x_j} - w_j \frac{\partial v_i}{\partial x_j}) \right) \frac{\partial}{\partial x_i}.$$

This implies that if vector fields  $\mathbf{v}, \mathbf{w}$  are tangent to a  $k$ -dimensional submanifold  $Y \subset X$  then so is their Lie bracket  $[\mathbf{v}, \mathbf{w}]$ . Indeed, in local coordinates  $Y$  is given by equations  $x_{k+1} = \dots = x_n = 0$ , and in such coordinates a vector field is tangent to  $Y$  iff it does not contain terms with  $\frac{\partial}{\partial x_j}$  for  $j > k$ .

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<sup>6</sup>Note that this Lie algebra is infinite dimensional for all real manifolds and many (but not all) complex manifolds of positive dimension.

**Exercise 8.11.** Let  $U \subset \mathbb{R}^n$  be an open subset,  $\mathbf{v}, \mathbf{w} \in \text{Vect}(U)$  and  $g_t, h_t$  be the associated flows, defined in a neighborhood of every point of  $U$  for small  $t$ . Show that for any  $\mathbf{x} \in U$

$$\lim_{t,s \rightarrow 0} \frac{g_t h_s g_t^{-1} h_s^{-1}(\mathbf{x}) - \mathbf{x}}{ts} = [\mathbf{v}, \mathbf{w}](\mathbf{x}).$$

Now let  $G$  be a Lie group and  $\text{Vect}_L(G), \text{Vect}_R(G) \subset \text{Vect}(G)$  be the subspaces of left and right invariant vector fields.

**Proposition 8.12.**  $\text{Vect}_L(G), \text{Vect}_R(G) \subset \text{Vect}(G)$  are Lie subalgebras which are both canonically isomorphic to  $\mathfrak{g} = \text{Lie}G$ .

*Proof.* The first statement is obvious, so we prove only the second statement. Let  $\mathbf{x}, \mathbf{y} \in \text{Vect}_L(G)$ . Then  $\mathbf{x} = \mathbf{L}_x, \mathbf{y} = \mathbf{L}_y$  for  $x = \mathbf{x}(1), y = \mathbf{y}(1) \in \mathfrak{g}$ , where  $\mathbf{L}_z$  denotes the vector field on  $G$  obtained by right translations of  $z \in \mathfrak{g}$ . Then  $[\mathbf{L}_x, \mathbf{L}_y] = \mathbf{L}_z$ , where  $z = [\mathbf{L}_x, \mathbf{L}_y](1)$ . So let us compute  $z$ .

Let  $f$  be a regular function on a neighborhood of  $1 \in G$ . We have shown that for  $u \in \mathfrak{g}$

$$(\mathbf{L}_u f)(g) = \frac{d}{dt} \Big|_{t=0} f(g \exp(tu)).$$

Thus,

$$\begin{aligned} z(f) &= x(\mathbf{L}_y f) - y(\mathbf{L}_x f) = x\left(\frac{\partial}{\partial s} \Big|_{s=0} f(\bullet \exp(sy))\right) - y\left(\frac{\partial}{\partial t} \Big|_{t=0} f(\bullet \exp(tx))\right) = \\ &= \frac{\partial}{\partial t} \Big|_{t=0} \frac{\partial}{\partial s} \Big|_{s=0} f(\exp(tx) \exp(sy)) - \frac{\partial}{\partial s} \Big|_{s=0} \frac{\partial}{\partial t} \Big|_{t=0} f(\exp(sy) \exp(tx)) = \\ &= \frac{\partial^2}{\partial t \partial s} \Big|_{t=s=0} (F(tx + sy + \frac{1}{2}ts[x, y] + \dots) - F(tx + sy - \frac{1}{2}ts[x, y] + \dots)), \end{aligned}$$

where  $F(u) := f(\exp(u))$ . It is easy to see by using Taylor expansion that this expression equals to  $[x, y](f)$ . Thus  $z = [x, y]$ , i.e., the map  $\mathfrak{g} \rightarrow \text{Vect}_L(G)$  given by  $x \mapsto \mathbf{L}_x$  is a Lie algebra isomorphism. Similarly, the map  $\mathfrak{g} \rightarrow \text{Vect}_R(G)$  given by  $x \mapsto -\mathbf{R}_x$  is a Lie algebra isomorphism, as claimed.  $\square$

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