## 48. Levi decomposition

48.1. Cohomology of Lie algebras with coefficients. The definition of cohomology of Lie algebras may be generalized to define the cohomology with coefficients in a module, so that the cohomology considered above is the one for the trivial module.

Let  $\mathfrak{g}$  be a Lie algebra and V a  $\mathfrak{g}$ -module. The **Chevalley-Eilenberg** (or standard) complex of  $\mathfrak{g}$  with coefficients in V is defined by

$$CE^{\bullet}(\mathfrak{g}, V) := \operatorname{Hom}(\wedge^{\bullet}\mathfrak{g}, V)$$

with differential defined by the full Cartan formula (without dropping the first term):

$$d\omega(a_0, ..., a_m) = \sum_{i} (-1)^i a_i \omega(a_0, ..., \widehat{a}_i, ..., a_m) +$$

$$\sum_{i < j} (-1)^{i+j} \omega([a_i, a_j], a_0, ..., \widehat{a}_i, ..., \widehat{a}_j, ..., a_m).$$

The cohomology of this complex is called the **cohomology of g with** coefficients in V and denoted  $H^{\bullet}(\mathfrak{g}, V)$ . Note that the previously defined cohomology  $H^{\bullet}(\mathfrak{g})$  is  $H^{\bullet}(\mathfrak{g}, \mathbb{C})$ .

If  $\mathfrak{g}$  is the Lie algebra of a Lie group G (or its complexification) and V is finite dimensional, then we simply have  $CE^{\bullet}(\mathfrak{g}, V) := (\Omega^{\bullet}(G) \otimes V)^{\mathfrak{g}}$  (and the differential is just the de Rham differential). So in particular by Theorem 45.5 we have (using that the smallest i > 0 such that  $H^{i}(\mathfrak{g}, \mathbb{C}) \neq 0$  is 3):

**Proposition 48.1.** (i) If G is compact and V is a nontrivial irreducible representation then

$$H^i(\mathfrak{g}, V) = 0, \ i > 0.$$

In particular, this is so for any non-trivial irreducible finite dimensional reporesentation V of a semisimple Lie algebra  $\mathfrak{g}$ .

(ii) (Whitehead's theorem) For semisimple  $\mathfrak{g}$  and any finite dimensional V we have  $H^1(\mathfrak{g},V)=H^2(\mathfrak{g},V)=0.^{29}$ 

However, this cohomology is non-trivial in general if  $\mathfrak g$  is not semisimple or V is infinite dimensional.

Let us explore the meaning of  $H^i(\mathfrak{g}, V)$  for small i.

- 1. We have  $H^0(\mathfrak{g}, V) = V^{\mathfrak{g}}$ , the  $\mathfrak{g}$ -invariants in V.
- **2.**  $H^1(\mathfrak{g}, V)$  is the quotient of the space  $Z^1(\mathfrak{g}, V)$  of 1-cocycles  $\omega : \mathfrak{g} \to V$ , i.e., linear maps satisfying

$$\omega([x,y]) = x\omega(y) - y\omega(x)$$

 $<sup>\</sup>overline{\phantom{a}^{29}}$ Note that Whitehead's theorem in the case of  $H^1$  was proved previously in Subsection 18.2.

by the space of 1-coboundaries  $B^1(\mathfrak{g}, V)$ , of the form  $\omega(x) = xv$  for some  $v \in V$ .

**Proposition 48.2.** (i) If V, W are representations of  $\mathfrak{g}$  then  $\operatorname{Ext}^1(V, W) = H^1(\mathfrak{g}, \operatorname{Hom}_{\mathbf{k}}(V, W))$ .

(ii) Consider the action of the additive group of V on the Lie algebra  $\mathfrak{g} \ltimes V$  (with trivial commutator on V) by

$$v \circ (x, w) = (x, w + xv).$$

Then  $H^1(\mathfrak{g}, V)$  classifies Lie algebra homomorphisms  $\mathfrak{g} \to \mathfrak{g} \ltimes V$  of the form  $x \mapsto (x, \omega(x))$  modulo this action.

*Proof.* (i) Suppose the space  $W \oplus V$  is equipped with the action of  $\mathfrak{g}$  so that W is a submodule and V the quotient. Thus the action of  $\mathfrak{g}$  on  $W \oplus V$  is given by

$$\rho(x) = \begin{pmatrix} \rho_W(x) & \omega(x) \\ 0 & \rho_V(x) \end{pmatrix},$$

where  $\omega : \mathfrak{g} \to \operatorname{Hom}_{\mathbf{k}}(V, W)$ . So the identity  $\rho([x, y]) = [\rho(x), \rho(y)]$  translates into

$$\omega([x,y]) = \rho_W(x)\omega(y) - \omega(y)\rho_V(x) - \rho_W(y)\omega(x) + \omega(x)\rho_V(y).$$

i.e.,  $\rho \in Z^1(\mathfrak{g}, \operatorname{Hom}_{\mathbf{k}}(V, W))$ . Also it is easy to check that for two such representations  $\rho_1, \rho_2$  there is an isomorphism  $\rho_1 \to \rho_2$  acting trivially on W and V/W if and only if the corresponding maps  $\omega_1, \omega_2$  differ by a coboundary:  $\omega_1 - \omega_2 \in B^1(\mathfrak{g}, \operatorname{Hom}_{\mathbf{k}}(V, W))$ . This implies the statement.

- (ii) We leave this to the reader as an exercise.
- **3.**  $Z^1(\mathfrak{g},\mathfrak{g})$  is the Lie algebra of derivations of  $\mathfrak{g}$ , and  $B^1(\mathfrak{g},\mathfrak{g})$  is the ideal of inner derivations. So  $H^1(\mathfrak{g},\mathfrak{g})$  is the Lie algebra of **outer derivations**, the quotient of all derivations by inner derivations. In particular, we rederive the fact proved earlier that all derivations of a semisimple complex Lie algebra  $\mathfrak{g}$  are inner  $(H^1(\mathfrak{g},\mathfrak{g})=0)$ .
- **4.** Suppose we want to define an **abelian extension**  $\widetilde{\mathfrak{g}}$  of  $\mathfrak{g}$  by V, i.e., a Lie algebra which can be included in the short exact sequence

$$0 \to V \to \widetilde{\mathfrak{g}} \to \mathfrak{g} \to 0$$

where V is an abelian ideal. To classify such extensions, pick a vector space splitting  $\widetilde{\mathfrak{g}} = \mathfrak{g} \oplus V$ , then the commutator looks like

$$[(x, v), (y, w)] = ([x, y], xw - yv + \omega(x, y)),$$

where  $\omega : \wedge^2 \mathfrak{g} \to V$  is a linear map. The Jacobi identity is then equivalent to  $\omega$  being in the space  $Z^2(\mathfrak{g}, V)$  of 2-cocycles. Moreover, it is easy to check that for two such extensions  $\mathfrak{g}_1, \mathfrak{g}_2$  there is an isomorphism

 $\phi: \widetilde{\mathfrak{g}}_1 \to \widetilde{\mathfrak{g}}_2$  which acts trivially on V and  $\mathfrak{g}$  if and only if the corresponding cocycles  $\omega_1, \omega_2$  differ by a coboundary:  $\omega_1 - \omega_2 \in B^2(\mathfrak{g}, V)$ . Thus, we get

**Proposition 48.3.** Abelian extensions of  $\mathfrak{g}$  by V modulo isomorphisms which act trivially on V and  $\mathfrak{g}$  are classified by  $H^2(\mathfrak{g}, V)$ . For example, the space  $H^2(\mathfrak{g}, \mathbb{C})$  classifies 1-dimensional central extensions of  $\mathfrak{g}$ :

$$0 \to \mathbb{C} \to \widetilde{\mathfrak{g}} \to \mathfrak{g} \to 0.$$

**Example 48.4.** Let  $\mathfrak{g}=\mathbb{C}^2$  be the 2-dimensional abelian Lie algebra. Then we have seen that the Poincaré polynomial of the cohomology of  $\mathfrak{g}$  is  $1+2q+q^2$  (cohomology of the 2-torus). So  $H^2(\mathfrak{g},\mathbb{C})=\mathbb{C}$ . The only cocycle up to scaling is given by  $\omega(x,y)=1$ , where x,y is a basis of  $\mathfrak{g}$ , and all coboundaries are zero. So we have a central extension of  $\mathfrak{g}$  defined by this cocycle with basis x,y,c and [x,y]=c,[x,c]=[y,c]=0. This is the **Heisenberg Lie algebra**, which is isomorphic to the Lie algebra of strictly upper-triangular 3 by 3 matrices.

**5.** Let us now study deformations of Lie algebras. Suppose  $\mathfrak{g}$  is a Lie algebra over a field  $\mathbf{k}$  and we want to deform the bracket, with deformation parameter t. So the new bracket will be

$$[x, y]_t = [x, y] + tc_1(x, y) + t^2c_2(x, y) + \dots,$$

where  $c_i : \wedge^2 \mathfrak{g} \to \mathfrak{g}$  are linear maps. This bracket should satisfy the Jacobi identity, i.e., define a new Lie algebra structure on  $\mathfrak{g}[[t]]$  (over  $\mathbf{k}[[t]]$ ). Such deformations are distinguished up to linear isomorphisms

$$a = 1 + ta_1 + t^2 a_2 + \dots$$

where  $a_i \in \operatorname{End}_{\mathbf{k}}(\mathfrak{g})$ .

In particular, in first order, i.e., modulo  $t^2$ , we get a new Lie algebra structure on  $\mathfrak{g}[t]/t^2\mathfrak{g}[t] = \mathfrak{g} \oplus t\mathfrak{g}$  such that this Lie algebra can be included in the short exact sequence

$$0 \to t\mathfrak{g} \to \mathfrak{g} \oplus t\mathfrak{g} \to \mathfrak{g} \to 0$$

where  $t\mathfrak{g} \cong \mathfrak{g}$  is an abelian ideal with adjoint action of  $\mathfrak{g}$  (note that this Lie algebra structure is automatically  $\mathbf{k}[t]/t^2$ -linear). So this is an abelian extension of  $\mathfrak{g}$  by  $t\mathfrak{g}$ , and we know that such extensions are classified by  $H^2(\mathfrak{g},\mathfrak{g})$ . So we obtain

**Proposition 48.5.** First-order deformations of  $\mathfrak{g}$  as a Lie algebra are classified by  $H^2(\mathfrak{g}, \mathfrak{g})$ .

Thus if  $H^2(\mathfrak{g},\mathfrak{g}) = 0$ , every deformation is isomorphic to the trivial one, with  $c_1 = c_2 = \dots = 0$ . Indeed, applying automorphisms

 $a = 1 + ta_1 + t^2a_2 + ...$ , we can kill successively  $c_1$ , then  $c_2$ , then  $c_3$ , and so on. Thus from Whitehead's theorem we obtain

Corollary 48.6. If g is semisimple then it is rigid, i.e., has no non-trivial Lie algebra deformations.

**Example 48.7.** Let  $\mathfrak{g}$  be the 2-dimensional abelian Lie algebra over  $\mathbb{C}$ . Then  $H^2(\mathfrak{g},\mathfrak{g})=\mathbb{C}^2$ , and we get a 2-parameter family of deformations with bracket [x,y]=tx+sy. These, however, turn out to be all equivalent (for  $(t,s)\neq (0,0)$ ) under the action of  $GL_2(\mathbb{C})$ : they are all isomorphic to the Lie algebra with basis x,y and commutator [x,y]=y.

However, not all first order deformations of a Lie algebra lift to second order, i.e., modulo  $t^3$ . Namely, the Jacobi identity in the second order tells us that  $dc_2 = [c_1, c_1]$ , where  $[c_1, c_1]$  is the **Schouten bracket** of  $c_1$  with itself:

$$[c_1, c_1](x, y, z) = c_1(c_1(x, y), z) + c_1(c_1(y, z), x) + c_1(c_1(z, x), y).$$

This expression is automatically a cocycle (check it!), but we need it to be a coboundary. So the cohomology class of  $[c_1, c_1]$  in  $H^3(\mathfrak{g}, \mathfrak{g})$  is an obstruction to lifting the deformation modulo  $t^3$ . Thus the space  $H^3(\mathfrak{g}, \mathfrak{g})$  is the home for **obstructions to deformations**. For example, if  $\mathfrak{g}$  is abelian then  $H^2(\mathfrak{g}, \mathfrak{g}) = \operatorname{Hom}_{\mathbf{k}}(\wedge^2 \mathfrak{g}, \mathfrak{g})$ , and the obstruction to extending  $c = tc_1$  modulo  $t^3$  is

$$\operatorname{Jacobi}(c_1) := [c_1, c_1] \in H^3(\mathfrak{g}, \mathfrak{g}) = \operatorname{Hom}_{\mathbf{k}}(\wedge^3 \mathfrak{g}, \mathfrak{g}).$$

**6.** In a similar way we can study deformations V[[t]] of a module V over  $\mathfrak{g}$ :

$$\rho_t(x) = \rho(x) + t\rho_1(x) + t^2\rho_2(x) + \dots$$

Modulo  $t^2$  we get a  $\mathfrak{g}$ -module structure on  $V[t]/t^2V[t]=V\oplus tV$  such that we have a short exact sequence

$$0 \to tV \to V \oplus tV \to V \to 0.$$

Thus first order deformations of V are classified by  $\operatorname{Ext}^1_{\mathfrak{g}}(V,V) = H^1(\mathfrak{g},\operatorname{End}_{\mathbf{k}}V)$ . Again, lifting of this deformation modulo  $t^3$  is not automatic, and we get an obstruction in  $\operatorname{Ext}^2_{\mathfrak{g}}(V,V) = H^2(\mathfrak{g},\operatorname{End}_{\mathbf{k}}(V))$ .

**Exercise 48.8.** (i) Let  $\mathfrak{a}, \mathfrak{g}$  be Lie algebras and  $\phi : \mathfrak{a} \to \mathfrak{g}$  a homomorphism. Show that first order deformations of  $\phi$  are classified by  $H^1(\mathfrak{a}, \mathfrak{g})$ , where  $a \in \mathfrak{a}$  acts on  $\mathfrak{g}$  by  $\mathrm{ad}\phi(a)$ .

- (ii) Show that if  $\mathfrak{a}$  is semisimple and  $\mathfrak{g}$  finite dimensional over  $\mathbb{C}$  then  $H^1(\mathfrak{a},\mathfrak{g})=0$ .
- (iii) Show that if  $\mathfrak{a}, \mathfrak{g}$  are semisimple complex Lie algebras then there are only finitely many homomorphisms  $\mathfrak{a} \to \mathfrak{g}$  up to conjugation by

 $G_{\mathrm{ad}}$ . (**Hint**: Consider the affine algebraic variety  $X \subset \mathrm{Hom}_{\mathbb{C}}(\mathfrak{a},\mathfrak{g})$  of all homomorphisms and show that the tangent space  $T_{\phi}X$  is  $Z^{1}(\mathfrak{a},\mathfrak{g})$ , the space of 1-cocycles. Then use (ii) to deduce that X is the union of finitely many orbits of  $G_{\mathrm{ad}}$ .)

(iv) How many conjugacy classes do we have in (iii) if  $\mathfrak{a} = \mathfrak{sl}_2$  and  $\mathfrak{g} = \mathfrak{sl}_n, \mathfrak{so}_n, \mathfrak{sp}_{2n}$ ?

## 48.2. Levi decomposition.

**Theorem 48.9.** (Levi decomposition, Theorem 16.7) Over real or complex numbers we have  $\mathfrak{g} \cong \operatorname{rad}(\mathfrak{g}) \oplus \mathfrak{g}_{ss}$ , where  $\mathfrak{g}_{ss} \subset \mathfrak{g}$  is a semisimple subalgebra (but not necessarily an ideal); i.e.,  $\mathfrak{g}$  is isomorphic to the semidirect product  $\mathfrak{g}_{ss} \ltimes \operatorname{rad}(\mathfrak{g})$ . In other words, the projection  $p: \mathfrak{g} \to \mathfrak{g}_{ss}$  admits an (in general, non-unique) splitting  $q: \mathfrak{g}_{ss} \to \mathfrak{g}$ , i.e., a Lie algebra map such that  $p \circ q = \operatorname{Id}$ .

*Proof.* We can write  $\mathfrak{g} = \mathfrak{g}_{ss} \oplus \operatorname{rad}(\mathfrak{g})$  as a vector space. Then the commutator looks like

 $[(a, x), (b, y)] = ([x, b] - [y, a] + [a, b] + \omega(x, y), [x, y]), x, y \in \mathfrak{g}_{ss}, a, b \in rad(\mathfrak{g}).$ 

Let  $\operatorname{rad}(\mathfrak{g}) = D^0 \supset D^1 \supset \ldots$  be the upper central series of  $\operatorname{rad}(\mathfrak{g})$ , i.e.,  $D^{i+1} = [D^i, D^i]$ . Suppose  $D^n \neq 0$  but  $D^{n+1} = 0$  (so  $D^n$  is an abelian ideal). Using induction in dimension of  $\mathfrak{g}$  and replacing  $\mathfrak{g}$  by  $\mathfrak{g}/D^n$ , we may assume that  $\omega(x,y) \in D^n$ . But then  $\omega \in Z^2(\mathfrak{g}_{ss},D^n)$ , which equals  $B^2(\mathfrak{g}_{ss},D^n)$  by Whitehead's theorem, i.e.,  $\omega = d\eta$ . Using  $\eta$ , we can modify the splitting  $\mathfrak{g} = \mathfrak{g}_{ss} \oplus \operatorname{rad}(\mathfrak{g})$  to make sure that  $\omega = 0$ . This implies the statement.



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