

## 7. Infinitesimal equivalence and globalization

**7.1. Infinitesimal equivalence.** The functor of Theorem 6.16 is not full, however, since there exist pairs of non-isomorphic  $V, W \in \text{Rep } G$  such that  $V^{K\text{-fin}} \cong W^{K\text{-fin}}$  as Harish-Chandra modules. Representations  $V, W \in \text{Rep } G$  such that  $V^{K\text{-fin}} \cong W^{K\text{-fin}}$  as Harish-Chandra modules are called **infinitesimally equivalent**. In other words, infinitesimally equivalent representations with the same underlying Harish-Chandra module  $M$  differ by what topology we put on  $M$  (namely, the corresponding representation  $\widehat{M}$  is the completion of  $M$  in this topology). An example of infinitesimally equivalent but non-isomorphic representations are  $L^2(\mathbb{RP}^1)$  and  $C^\infty(\mathbb{RP}^1)$  as representations of  $G = SL_2(\mathbb{R})$  (with  $G$ -action on half-densities).

However, we have the following proposition.

**Proposition 7.1.** *Let  $V, W$  be two unitary representations in  $\text{Rep } G$ . If  $V^{K\text{-fin}} \cong W^{K\text{-fin}}$  as Harish-Chandra modules, then  $V \cong W$  as unitary representations. In other words, infinitesimally equivalent unitary representations in  $\text{Rep } G$  are isomorphic.*

*Proof.* Clearly, it suffices to assume that  $V, W$  are irreducible. If  $V$  is unitary irreducible then  $V^{K\text{-fin}}$  has an invariant positive Hermitian inner product  $B = B_V$  restricted from  $V$ . Moreover,  $B$  is the unique invariant Hermitian inner product on  $V^{K\text{-fin}}$  up to scaling.<sup>13</sup> Indeed, if  $B'$  is another then pick a nonzero  $v \in V^{K\text{-fin}}$  and let  $\lambda := \frac{B'(v,v)}{B(v,v)}$ . Then  $B' - \lambda B$  has a nonzero kernel, which is a  $(\mathfrak{g}, K)$ -submodule of  $V^{K\text{-fin}}$ . This kernel therefore must be the whole  $V^{K\text{-fin}}$ , so  $B' = \lambda B$ .

Thus if  $A : V^{K\text{-fin}} \rightarrow W^{K\text{-fin}}$  is an isomorphism then it is an isometry with respect to  $B_V, B_W$  under suitable normalization of these forms. Then  $A$  extends by continuity to a unitary isomorphism  $V \rightarrow W$  which commutes with  $K$ .

It remains to show that  $A$  commutes with  $G$ . For  $v \in V, w \in W$ , consider the function

$$f_{w,v}(g) := B_W((gA - Ag)v, w) = B_W(gAv, w) - B_V(gv, A^{-1}w), \quad g \in G.$$

Our job is to show that  $f_{w,v}(g) = 0$ . It suffices to check this when  $v \in V^{K\text{-fin}}$ , as it is dense in  $V$ . In this case by Harish-Chandra's analyticity theorem, the function  $f_{w,v}(g)$  is analytic on  $G$ . Also all its derivatives at 1 vanish since  $bA - Ab = 0$  for any  $b \in U(\mathfrak{g})$ . This implies that  $f_{w,v}$  is indeed zero, as desired.  $\square$

<sup>13</sup>An invariant inner product on a  $(\mathfrak{g}, K)$ -module is one that is invariant under both  $\mathfrak{g}$  and  $K$ , i.e.,  $K$ -invariant and satisfying the equality  $B(av, w) + B(v, aw) = 0$  for all  $a \in \mathfrak{g}$ .

**7.2. Dixmier's lemma and infinitesimal character.** The following is an infinite dimensional analog of Schur's lemma.

**Lemma 7.2.** (*Dixmier*) *Let  $A$  be a countably dimensional  $\mathbb{C}$ -algebra and  $M$  a simple  $A$ -module. Then  $\text{End}_A(M) = \mathbb{C}$ . In particular, the center  $Z$  of  $A$  acts on  $M$  by a character  $\chi : Z \rightarrow \mathbb{C}$ .*

Note that the condition of countable dimension cannot be dropped. Without it, a counterexample is  $A = M = \mathbb{C}(x)$  (the field of rational functions in one variable), then  $\text{End}_A(M) = \mathbb{C}(x)$ .

*Proof.* Let  $D := \text{End}_A(M)$ . By the usual Schur lemma,  $D$  is a division algebra. Assume the contrary, that  $D \neq \mathbb{C}$ . Then for any  $x \in D \setminus \mathbb{C}$ ,  $D$  contains the field  $\mathbb{C}(x)$  of rational functions of  $x$  (as  $\mathbb{C}$  has no finite field extensions). But  $\mathbb{C}(x)$  has uncountable dimension (contains linearly independent elements  $\frac{1}{x-a}$ ,  $a \in \mathbb{C}$ ), hence so does  $D$ . On the other hand, let  $v \in M$  be a nonzero vector, then  $M = Av$  and the map  $D \rightarrow M$  given by  $T \mapsto Tv$  is injective. Thus  $M$  is countably dimensional, hence so is  $D$ , contradiction.  $\square$

Now let  $\mathfrak{g}$  be a countably dimensional complex Lie algebra and  $M$  a simple  $\mathfrak{g}$ -module. By Dixmier's lemma, the center  $Z(\mathfrak{g})$  of  $U(\mathfrak{g})$  acts on  $M$  by a character,  $\chi : Z(\mathfrak{g}) \rightarrow \mathbb{C}$ . This character is called the **central character** of  $M$ .

In particular, for semisimple groups we obtain

**Corollary 7.3.** (*Schur's lemma for  $(\mathfrak{g}, K)$ -modules*) *Any endomorphism of an irreducible  $(\mathfrak{g}, K)$ -module  $M$  is a scalar. Thus the center  $Z(\mathfrak{g})$  of  $U(\mathfrak{g})$  acts on  $M$  by a central character  $\chi : Z(\mathfrak{g}) \rightarrow \mathbb{C}$ .*

The character  $\chi$  is often also called the **infinitesimal character** of  $M$ .

**Exercise 7.4.** Show that the action of  $Z(\mathfrak{g})$  on every admissible  $(\mathfrak{g}, K)$ -module  $M$  is locally finite.

**7.3. Harish-Chandra's globalization theorem.**

**Theorem 7.5.** (*Harish-Chandra's globalization theorem*) *Every unitary irreducible Harish-Chandra module  $M$  for  $G$  uniquely integrates (=globalizes) to an irreducible admissible unitary representation of  $G$ .*

*Proof.* As before, fix a positive definite  $K$ -invariant inner product on  $\mathfrak{g}$ , and consider the element  $C_{\mathfrak{g}}^+ := \sum_{j=1}^{\dim \mathfrak{g}} a_j^2 \in U(\mathfrak{g})$ , where  $a_j$  is an orthonormal basis of  $\mathfrak{g}$  under this inner product. If  $C_{\mathfrak{g}}$  is the (suitably normalized) quadratic Casimir of  $\mathfrak{g}$ , then  $C_{\mathfrak{g}}^+ = C_{\mathfrak{g}} + 2C_{\mathfrak{k}}$ , where  $C_{\mathfrak{k}}$  is the Casimir of  $\mathfrak{k} := \text{Lie}K$  corresponding to the restriction of the inner

product to  $\mathfrak{k}$ . If  $L_\nu$  is the highest weight irreducible representation with highest weight  $\nu$  then  $-C_{\mathfrak{k}}|_{L_\nu} = |\nu + \rho_K|^2 - |\rho_K|^2$ , where  $\rho_K$  is the half-sum of positive roots of  $K$ . Also  $C_{\mathfrak{g}}|_M = C_M$  is a scalar. Thus if  $M^\nu := M^{L_\nu}$  then

$$-C_{\mathfrak{g}}^+|_{M^\nu} = 2|\nu + \rho_K|^2 - 2|\rho_K|^2 - C_M =: q(\nu).$$

Note that for  $v \in M^\nu$  we have

$$\sum_{j=1}^{\dim \mathfrak{g}} \|a_j v\|^2 = -\left(\sum_{j=0}^{\dim \mathfrak{g}} a_j^2 v, v\right) = -(C_{\mathfrak{g}}^+ v, v) = q(\nu) \|v\|^2;$$

in particular,  $q(\nu) \geq 0$  and  $q(\nu) \sim 2|\nu|^2$  for large  $\nu$ . It follows that for any  $a \in \mathfrak{g}, v \in M^\nu$ ,

$$\|av\|^2 \leq q(\nu) \|a\|^2 \|v\|^2.$$

Now, for  $a \in M^{\nu_0}$  all components of  $a^n v$  belong to  $M^\nu$ , where  $\nu = \nu_0 + \beta_1 + \dots + \beta_n$  and  $\beta_j$  are weights of  $\mathfrak{g}$  as a  $K$ -module. So there exist  $R, c = c(\nu_0) > 0$  such that

$$\|a^n v\| \leq (Rn + c) \|a\| \|a^{n-1} v\|, n \geq 1.$$

Thus

$$\|a^n v\| \leq (R + c) \dots (Rn + c) \|a\|^n \|v\|.$$

So the series

$$e^a v := \sum_{n \geq 0} \frac{a^n v}{n!}$$

converges absolutely in the Hilbert space  $\widehat{M}$  in the region  $\|a\| < R^{-1}$ , and convergence is uniform on compact sets with all derivatives, and defines an analytic function of  $a$ . Moreover, it is easy to check that  $\|e^a v\| = \|v\|$  (since  $a$  is skew-symmetric under the inner product of  $M$ ). Thus the operator  $e^a : M \rightarrow \widehat{M}$  extends to a unitary operator on  $\widehat{M}$ . The formal Campbell-Hausdorff formula then implies that this defines a continuous unitary action  $\pi$  of a neighborhood  $U$  of 0 in  $G$  on  $\widehat{M}$  such that  $\pi(xy) = \pi(x)\pi(y)$  if  $x, y, xy \in U$ . But it is well known that such an action uniquely extends to a continuous unitary action of  $G$  on  $\widehat{M}$ .  $\square$

Thus, using Harish-Chandra's admissibility theorem, we obtain

**Corollary 7.6.** *For a semisimple Lie group  $G$ , the assignment  $V \mapsto V^{K\text{-fin}}$  is an equivalence of categories between unitary representations of  $G$  of finite length and unitary Harish-Chandra modules of finite length (i.e., Harish-Chandra modules which admit an invariant positive Hermitian inner product).*

However, while irreducible Harish-Chandra modules for any  $G$  have been classified, determining which of them are unitary is a very difficult problem which is not yet fully solved.

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