

1 Introduction

Most of the content of this overview lecture is contained in the [slides](#) that were used in class. These notes contain some additional details on using the Newton polygon to compute the genus of a plane curve. They imply, in particular, that all nonsingular cubics, including the Weierstrass equation $y^2 = x^3 + Ax + B$ with $-16(4A^3 + 27B^2) \neq 0$, are curves of genus 1, as are Edward's curves: $x^2 + y^2 = 1 + cx^2y^2$ with $c \neq 0, 1$.

1.1 Computing the genus of a plane curve

Let k be a field with algebraic closure \bar{k} . For a polynomial $f \in k[x, y]$ we use $f^* \in k[x, y, z]$ to denote its homogenization.

Definition 1.1. For a polynomial $f(x, y) = \sum a_{ij}x^i y^j \in k[x, y]$, the *Newton polygon* $\Delta(f)$ of f is the convex hull of the set $\{(i, j) : a_{ij} \neq 0\} \subseteq \mathbb{Z}^2$ in \mathbb{R}^2 . The interior and boundary of $\Delta(f)$ are denoted $\Delta^\circ(f)$ and $\partial\Delta(f)$, respectively, and for each edge $\gamma \subseteq \Gamma\Delta(f)$ we define the polynomial $f_\gamma(x, y) := \sum_{(i,j) \in \gamma} a_{ij}x^i y^j$.

Theorem 1.2 (Baker's Theorem). *Let $f(x, y) \in k[x, y]$ be irreducible in $\bar{k}[x, y]$, and let $F := \text{Frac}(k[x, y]/(f))$ denote the corresponding function field, with genus $g(F)$. Then*

$$g(F) \leq \#\{\Delta^\circ(F) \cap \mathbb{Z}^2\}.$$

Proof. See [1, Theorem 2.4] for a short proof based on the Riemann–Roch theorem. □

Definition 1.3. A polynomial $f \in k[x, y]$ is *nondegenerate* with respect to an edge γ of $\partial\Delta(f)$ if the polynomials $f_\gamma, x \frac{\partial f_\gamma}{\partial x}, y \frac{\partial f_\gamma}{\partial y}$ have no common zero in $(\bar{k}^\times)^2$. The polynomial f is *nondegenerate* with respect to $\Delta(f)$ if it is nondegenerate with respect to every edge of $\partial\Delta(f)$ and not divisible by x or y .

Remark 1.4. For any edge γ of $\Delta(f)$, if either of the partial derivatives of $f_\gamma(x, y)$ is a monomial, then f is nondegenerate with respect to γ , since monomials have no zeros in $(\bar{k}^\times)^2$.

Proposition 1.5. *Let $f(x, y) \in k[x, y]$ be an irreducible nondegenerate polynomial in $\bar{k}[x, y]$, and suppose $f^*(x, y, z)$ has no singularities outside $\{(0 : 0 : 1), (0 : 1 : 0), (1 : 0 : 0)\}$. Then*

$$g(F) = \#\{\Delta^\circ(F) \cap \mathbb{Z}^2\}.$$

Proof. See [2, Theorem 4.2] □

Example 1.6. Let $f(x, y) = y^2 - x^3 - Ax + B$, with $A, B \in k$, and $-16(4A^3 + 27B^2) \neq 0$. Then $f(x, y)$ is irreducible in $\bar{k}[x, y]$, and $\partial\Delta(f)$ has the three edges $\gamma_1 = [(0, 0), (3, 0)]$, $\gamma_2 = [(0, 0), (0, 2)]$, and $\gamma_3 = [(0, 2), (0, 3)]$. We have

$$\begin{aligned} f_{\gamma_1}(x, y) &= -x^3 - Ax - B, \\ f_{\gamma_2}(x, y) &= y^2 - B, \\ f_{\gamma_3}(x, y) &= y^2 - x^3. \end{aligned}$$

The polynomial $f(x, y)$ is not divisible by x or y , and the fact that the discriminant of $x^3 + Ax + B$ is nonzero implies that f is nondegenerate with respect to γ_1 . By Remark 1.4,

f is also nondegenerate with respect to the edges γ_2 and γ_3 . Thus $f(x, y)$ is nondegenerate, and $f^*(x, y, z)$ has no singularities at all, so Proposition 1.5 implies that

$$g(F) = \#\{\Delta^0(F) \cap \mathbb{Z}^2\} = \#\{(1, 1)\} = 1.$$

Example 1.7. Let $f(x, y) = x^2 + y^2 - 1 - cx^2y^2$ with $c \neq 0, 1$. Then $f(x, y)$ is irreducible in $\bar{k}[x, y]$, and $\partial\Delta(f)$ has the four edges $\gamma_1 = [(0, 0), (2, 0)]$, $\gamma_2 = [(0, 0), (0, 2)]$, $\gamma_3 = [(0, 2), (2, 2)]$, and $\gamma_4 = [(2, 0), (2, 2)]$. We have

$$\begin{aligned} f_{\gamma_1}(x, y) &= x^2 - 1, \\ f_{\gamma_2}(x, y) &= y^2 - 1, \\ f_{\gamma_3}(x, y) &= y^2 - cx^2y^2, \\ f_{\gamma_4}(x, y) &= x^2 - cx^2y^2. \end{aligned}$$

The polynomial $f(x, y)$ is not divisible by x or y and Remark 1.4 applies to all four f_{γ_i} , thus f is nondegenerate. The homogenized polynomial $f^*(x, y, z)$ is singular only at $(0 : 1 : 0)$ and $(1 : 0 : 0)$, so f satisfies the hypothesis of Proposition 1.5 and

$$g(F) = \#\{\Delta^0(F) \cap \mathbb{Z}^2\} = \#\{(1, 1)\} = 1.$$

References

- [1] Peter Beelen, [*A generalization of Baker's theorem*](#), Finite Fields and Their Applications **15** (2009), 558–568.
- [2] Peter Beelen and Ruud Pellikaan, [*The Newton polygon of plane curves with many rational points*](#), Designs, Codes and Cryptography **21** (2000), 41–67.

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