# 18.783 Elliptic Curves Lecture 4

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1

# The function field of a curve

#### Definition

Let C/k be a plane projective curve f(x, y, z) = 0 with  $f \in k[x, y, z]$  nonconstant, homogeneous, and irreducible in  $\overline{k}[x, y, z]$ . The function field k(C) is the set of equivalence classes of rational functions g/h such that:

(i) g and h are homogeneous polynomials in k[x, y, z] of the same degree; (ii) h is not divisible by f, equivalently, h is not an element of the ideal (f); (iii)  $g_1/h_1$  and  $g_2/h_2$  are considered equivalent whenever  $g_1h_2 - g_2h_1 \in (f)$ .

Addition:  $\frac{g_1}{h_1} + \frac{g_2}{h_2} = \frac{g_1h_2 + g_2h_1}{h_1h_2}$ , Multiplication  $\frac{g_1}{h_1} \cdot \frac{g_2}{h_2} = \frac{g_1g_2}{h_1h_2}$ , Inverse:  $\left(\frac{g}{h}\right)^{-1} = \frac{h}{g}$ . If  $g \in (f)$  then g/h = 0 in k(C), so we don't define  $(g/h)^{-1}$  in this case.

The field k(C) is a transcendental extension of k (of transcendence degree 1).

Pro tips: • Don't confuse k(C) and C(k). • Don't assume k[x, y, z]/(f) is a UFD.

# Evaluating functions in k(C) at a point in $C(\bar{k})$

For  $g/h \in k(C)$  with  $\deg g = \deg h = d$  and any  $\lambda \in k^{\times}$  we have

$$\frac{g(\lambda x, \lambda y, \lambda z)}{h(\lambda x, \lambda y, \lambda z)} = \frac{\lambda^d g(x, y, z)}{\lambda^d h(x, y, z)} = \frac{g(x, y, z)}{h(x, y, z)} \checkmark$$

For any  $P \in C(\bar{k})$  we have f(P) = 0, so if  $g_1/h_1 = g_2/h_2$  with  $h_1(P), h_2(P) \neq 0$ , then  $g_1(P)h_2(P) - g_2(P)h_1(P) = f(P) = 0$ , so  $(g_1/h_1)(P) = (g_2/h_2)(P)$ .

To evaluate  $\alpha \in k(C)$  at  $P \in C(\bar{k})$  we need to choose  $\alpha = g/h$  with  $h(P) \neq 0$ .

#### **Example**

$$f(x,y,z)=zy^2-x^3-z^2x$$
,  $P=(0:0:1)$ ,  $lpha=3xz/y^2.$  We have

$$\alpha(P) = \frac{3xz}{y^2}(0:0:1) = \frac{3xz^2}{x^3 + z^2x}(0:0:1) = \frac{3z^2}{x^2 + z^2}(0:0:1) = 3$$

### **Rational maps**

#### Definition

We say that  $\alpha \in k(C)$  is defined at  $P \in C(\bar{k})$  if  $\alpha = g/h$  with  $h(P) \neq 0$ .

#### Definition

Let  $C_1/k$  and  $C_2/k$  be projective plane curves. A rational map  $\phi: C_1 \to C_2$  is a triple  $(\phi_x: \phi_y: \phi_z) \in \mathbb{P}^2(k(C_1))$  such that for any  $P \in C_1(\bar{k})$  where  $\phi_x, \phi_y, \phi_z$  are defined and not all zero we have  $(\phi_x(P): \phi_y(P): \phi_z(P)) \in C_2(\bar{k})$ .

The rational map  $\phi$  is defined at P if there exists  $\lambda \in k(C_1)^{\times}$  such that  $\lambda \phi_x, \lambda \phi_y, \lambda \phi_z$  are defined and not all zero at P.

# Rational maps (alternative approach)

Let  $C_1: f_1(x, y, z) = 0$  and  $C_2: f_2(x, y, z) = 0$  be projective curves over k. If  $\psi_x, \psi_z, \psi_z \in k[x, y, z]$  are homogeneous of the same degree, not all in  $(f_1)$ , and  $f_2(\psi_x, \psi_y, \psi_z) \in (f_1)$ , then at least one and possibly all of

 $(\psi_x/\psi_z:\psi_y/\psi_z:1),$   $(\psi_x/\psi_y:1:\psi_z/\psi_y),$   $(1:\psi_y/\psi_x:\psi_z/\psi_x)$ 

is a rational map  $\psi \colon C_1 \to C_2$ . Call two such triples  $(\psi_x \colon y \colon \psi_z]$  and  $(\psi'_x \colon \psi'_y \colon \psi'_z)$  equivalent if  $\psi'_x \psi_y - \psi_x \psi'_y$  and  $\psi'_x \psi_z - \psi_x \psi'_z$  and  $\psi'_y \psi_z - \psi_y \psi'_z$  all lie in  $(f_1)$ . This holds in particular when  $\psi'_* = \lambda \psi_*$  for some nonzero homogeneous  $\lambda \in k[x, y, z]$ , so we can always remove any common factor of  $\psi_x, \psi_y, \psi_z$ .

Equivalent triples define the same rational map, and every rational map can be defined this way: if  $\phi = (\frac{g_x}{h_x} : \frac{g_y}{h_y} : \frac{g_z}{h_z})$  then take  $\psi_x := g_x h_y h_z$ ,  $\psi_y := g_x h_x h_z$ ,  $\psi_z := g_x h_x h_y$ .

The rational map given by  $[\psi_x, \psi_y, \psi_z]$  is defined at  $P \in C_1(\bar{k})$  whenever any of  $_x(P), \psi_y(P), \psi_z(P)$  is nonzero, in which case  $(\psi_x(P): _y(P): _z(P)) \in C_2(\bar{k})$ .

# **Morphisms**

#### Definition

A morphism is a rational map  $\phi: C_1 \to C_2$  that is defined at every  $P \in C_1(\bar{k})$ .

#### Theorem

If  $C_1$  is a smooth projective curve then every rational map  $\phi: C_1 \to C_2$  is a morphism. (Because when  $C_1$  is smooth its coordinate ring  $k[C_1]$  is a Dedekind domain.)

#### Theorem

A morphism of projective curves is either surjective or constant.

(Because projective varieties are <u>complete/proper</u>.)

Projective curves are isomorphic if there is an invertible morphism  $\phi : C_1 \to C_2$ . We then have a bijection  $C_1(\bar{k}) \to C_2(\bar{k})$ , but this necessary condition is not sufficient!

### An equivalence of categories

Every surjective morphism of projective of curves  $\phi \colon C_1 \to C_2$  induces an injective morphism  $\phi^* \colon k(C_2) \to k(C_1)$  of function fields defined by  $\alpha \mapsto \alpha \circ \phi$ .

#### Theorem

The categories of smooth projective curves over k with surjective morphisms and function fields of transcendence degree one over k are contravariantly equivalent via the functor  $C \mapsto k(C)$  and  $\phi \mapsto \phi^*$ .

Every curve C, even singular affine curves, has a function field (for plane curves f(x, y) = 0, k(C) is the fraction field of k[C] := k[x, y]/(f)). The function field k(C) is categorically equivalent to a smooth projective curve  $\tilde{C}$ , the desingularization of C.

One can construct  $\tilde{C}$  from C geometrically (using blow ups), but its existence is categorical, and in many applications the function field is all that matters.

### Isogenies

Let  $E_1, E_2$  be elliptic curves over k, with distinguished points  $O_1, O_2$ .

#### Definition

An isogeny  $\phi: E_1 \to E_2$  is a surjective morphism that is also a group homomorphism.

Definition (apparently weaker but actually equivalent)

An isogeny  $\phi: E_1 \to E_2$  is a non-constant rational map with  $\phi(O_1) = O_2$ .

 $E_1$  and  $E_2$  are isomorphic if there are isogenies  $\phi_1 \colon E_1 \to E_2$  and  $\phi_2 \colon E_2 \to E_1$  whose composition is the identity (the isogenies  $\phi_1$  and  $\phi_2$  are then called isomorphisms).

Morphisms  $\phi: E_1 \to E_1$  with  $\phi(O_1) = O_1$  are endomorphisms. Note that  $E_1 \to O_1$  is an endormophism, but it is **not an isogeny** (for us at least).

Endomorphisms that are isomorphisms are called automorphisms.

## Examples of isogenies and endomorphisms

- The negation map  $[-1]: P \mapsto -P$  defined by  $(x:y:z) \mapsto (x:-y:z)$  is an isogeny, an endomorphism, an isomorphism, and an automorphism.
- For any integer n the multiplication by  $n \text{ map } [n]: P \mapsto nP$  is an endomorphism. It is an isogeny for  $n \neq 0$  and an automorphism for  $n = \pm 1$ .
- For  $E/\mathbb{F}_q$  we have the Frobenius endomorphism  $\pi_E \colon (x:y:z) \mapsto (x^q:y^q:z^q)$ . It induces a group isomorphism  $E(\overline{\mathbb{F}}_q) \to E(\overline{\mathbb{F}}_q)$ , but it is **not an isomorphism**.
- For  $E/\mathbb{F}_q$  of characteristic p the map  $\pi : (x : y : z) \mapsto (x^p : y^p : z^p)$  is an isogeny, but typically not an endomorphism. For  $E : y^2 = x^3 + Ax + B$  the image of  $\pi$  is the elliptic curve  $E^{(p)} : y^2 = x^3 + A^p x + B^p$ , which need not be isomorphic to E.

# The multiplication-by-2 map

Let E/k be defined by  $y^2 = x^3 + Ax + B$  and let  $\phi$  be the endomorphism  $P \mapsto 2P$ . The doubling formula for affine  $P = (x : y : 1) \in E(\bar{k})$  is given by

$$\phi_x(x,y) = m(x,y)^2 - 2x = \frac{(3x^2 + A)^2 - 8xy^2}{4y^2},$$
  
$$\phi_y(x,y) = m(x,y)(x - \phi_x(x,y)) - y = \frac{12xy^2(3x^2 + A) - (3x^2 + A)^3 - 8y^4}{8y^3},$$

with  $m(x,y):=(3x^2+A)/(2y).$  We then have  $\phi:=(\psi_x/\psi_z:\psi_y/\psi_z:1)$  with

$$\begin{aligned} x(x, y, z) &= 2yz ((3x^2 + Az^2)^2 - 8xy^2 z), \\ y(x, y, z) &= 12xy^2 z (3x^2 + Az^2) - (3x^2 + Az^2)^3 - 8y^4 z^2, \\ z(x, y, z) &= 8y^3 z^3. \end{aligned}$$

How do we evaluate this morphism at the point O := (0:1:0)?

### The multiplication-by-2 map

How do we evaluate this morphism at the point O := (0:1:0)?

We can add any multiple of  $f(x, y, z) = y^2 z - x^3 - Axz^2 - Bz^3$  to any of x, y, z; this won't change the morphism  $\phi$ .

 $\begin{array}{lll} \mbox{Replacing} & _x \mbox{ by } _x + 18xyzf \mbox{ and } _y \mbox{ by } _y + (27f - 18y^2z)f, \mbox{ and simplifying yields} \\ & _x(x,y,z) = 2y \big(xy^2 - 9Bxz^2 + A^2z^3 - 3Ax^2z\big), \\ & _y(x,y,z) = y^4 - 12y^2z(2Ax + 3Bz) - A^3z^4 + 27Bz(2x^3 + 2Axz^2 + Bz^3) + 9Ax^2(3x^2 + 2Az^2), \\ & _z(x,y,z) = 8y^3z. \end{array}$ 

Now  $\phi(O) = (\psi_x(0,1,0): y(0,1,0): z(0,1,0)) = (0:1:0) = O$ , as expected.

That wasn't particularly fun. But there is a way to completely avoid this!

# A standard form for isogenies

#### Lemma

Let  $E_1: y^2 = f_1(x)$  and  $E_2: y^2 = f_2(x)$  be elliptic curves over k and let  $\alpha: E_1 \to E_2$  be an isogeny. Then  $\alpha$  can be put in the affine standard form

$$\alpha(x,y) = \left(\frac{u(x)}{v(x)}, \frac{s(x)}{t(x)}y\right),$$

where  $u, v, s, t \in k[x]$  are polynomials with  $u \perp v$  and  $s \perp t$ .

#### Corollary

When  $\alpha \colon E_1 \to E_2$  is defined as above we necessarily have  $v^3 | t^2$  and  $t^2 | v^3 f_1$ .

It follows that v(x) and t(x) have the same set of roots in  $\overline{k}$ , and these roots are precisely the x-coordinates of the affine points in  $E(\overline{k})$  that lie in the kernel of  $\alpha$ . In particular, ker  $\alpha$  is a finite subgroup of  $E(\overline{k})$ .

# Degree and separability

#### Definition

Let  $\alpha(x, y) = \left(\frac{u(x)}{v(x)}, \frac{s(x)}{t(x)}y\right)$  be an isogeny in standard form. The degree of  $\alpha$  is deg  $\alpha := \max(\deg u, \deg v)$ . We say that  $\alpha$  is separable if (u/v)' is nonzero, otherwise  $\alpha$  is inseparable.

#### **Definition (equivalent)**

Let  $\alpha \colon E_1 \to E_2$  be an isogeny, let  $\alpha^* \colon k(E_2) \to k(E_1)$  be the corresponding embedding of function fields, and consider the field extension  $k(E_1)/\alpha^*(k(E_2))$ .

The degree of  $\alpha$  the degree of the field extension  $k(E_1)/\alpha^*(k(E_2))$ . We say that  $\alpha$  is separable if  $k(E_1)/\alpha^*(k(E_2))$  is separable, otherwise  $\alpha$  is inseparable.

### **Examples**

- The standard form of the negation map [-1] is [-1](x, y) = (x, -y). It is separable and has degree 1.
- The standard form of the multiplication-by-2 map [2] is

$$[2](x,y) = \left(\frac{x^4 - 2Ax^2 - 8Bx + A^2}{4(x^3 + Ax + B)}, \frac{x^6 + 5Ax^4 + 20Bx^3 - 5A^2x^2 - 4ABx - A^3 - 8B^2}{8(x^3 + Ax + B)^2}y\right).$$

It is separable and has degree 4.

• The standard form of the Frobenius endomorphism of  $E/\mathbb{F}_q$  is

$$\pi_E(x,y) = \left(x^q, (x^3 + Ax + B)^{(q-1)/2}y\right)$$

Note that we have used the curve equation to transform  $y^q$  (here q is odd). It is inseparable, because  $(x^q)' = qx^{q-1} = 0$ , and it has degree q.

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