

Problem Set #1

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**Description**

These problems are related to material covered in Lectures 1–2. Your solutions should be written up in latex (please do not submit handwritten solutions) and submitted as a pdf-file before midnight on the date due. You can use the latex source for this problem set as a template, and are welcome to use the latex environment of your choice (I currently use [Overleaf](#) and [Texmaker](#), but there are [many other options](#)).

Collaboration is permitted/encouraged, but you must identify your collaborators or the name of your group, as well any references you consulted that are not listed in the course syllabus. If there are none write “**Sources consulted: none**” at the top of your solution. Note that each student is expected to write their own solutions; it is fine to discuss the problems with others, but your work must be your own.

The first person to spot each typo/error in any of the problem sets or lecture notes will receive 1–5 points of extra credit, depending on the severity of the error (please do report any errors you spot, even trivial typos — future students will thank you).

**Instructions:** First solve the warm up problems; these do not need to be formally written up or turned in. Then pick any three of Problems 1–4 to solve and write up your answers in latex. Finally, complete Problem 5, which is a short survey whose answers will help shape future problem sets and lectures.

**Problem 0. Warm up (0 points)**

These warm up exercises do not need to be written up or turned in, they are provided simply to help you check your understanding.

- (a) Prove the nonarchimedean “triangle equality”: if  $|\cdot|$  is a nonarchimedean absolute value on a field  $k$  and  $|x| \neq |y|$  then  $|x + y| = \max(|x|, |y|)$ .
- (b) Let  $K$  be a global field (a finite extension of  $\mathbb{Q}$  or  $\mathbb{F}_p(t)$ ). Show that if  $K$  has characteristic zero then there is only one way to embed  $\mathbb{Q}$  in  $K$  but when  $K$  has positive characteristic there are infinitely many different ways of embedding  $\mathbb{F}_p(t)$  in  $K$ . In particular, show that the field  $K := \text{Frac}(\mathbb{F}_p[x, y]/(y^2 - x^3 - x - 1))$  can be viewed as both a degree 2 extension of  $\mathbb{F}_p(x)$  and a degree 3 extension of  $\mathbb{F}_p(y)$ , but that the isomorphism  $\mathbb{F}_p(x) \simeq \mathbb{F}_p(y)$  does not commute with the inclusions.
- (c) Write down a monic polynomial  $f \in \mathbb{Z}[x]$  with  $\sqrt{2} + \sqrt{3}$  as a root.

**Problem 1. Absolute values on  $\mathbb{Q}$  (32 points)**

- (a) Prove that an absolute value  $|\cdot|$  on a field  $k$  is nonarchimedean if and only if  $|n| \leq 1$  for all  $n \in \mathbb{Z}_{>0}$  (here  $n := 1 + \cdots + 1 \in k$  for all fields  $k$ ).
- (b) Prove Ostrowski’s Theorem: every nontrivial absolute value on  $\mathbb{Q}$  is equivalent to  $|\cdot|_p$  for some prime  $p \leq \infty$ .
- (c) Prove the product formula for  $\mathbb{Q}$ : show that  $\prod_{p \leq \infty} |x|_p = 1$  for all  $x \in \mathbb{Q}^\times$ .

**Problem 2. Absolute values on  $\mathbb{F}_q(t)$  (32 points)**

For each prime  $\pi \in \mathbb{F}_q[t]$  and any nonzero  $f \in \mathbb{F}_q[t]$ , let  $v_\pi(f)$  be the largest integer for which  $\pi^n | f$ , equivalently, the largest  $n$  for which  $f \in (\pi^n)$ . For each  $f/g \in \mathbb{F}_q(t)^\times$  define

$$v_\pi(f/g) := v_\pi(f) - v_\pi(g),$$

and let  $v_\pi(0) := \infty$ ; also define  $\deg 0 := -\infty$  and  $\deg(f/g) := \deg f - \deg g$ .

- (a) For each prime  $\pi \in \mathbb{F}_q[t]$ , define  $|r|_\pi = (q^{\deg \pi})^{-v_\pi(r)}$  for all  $r \in \mathbb{F}_q(t)$ . Show that  $|\cdot|_\pi$  is a nonarchimedean absolute value on  $\mathbb{F}_q(t)$ .
- (b) Define  $|r|_\infty := q^{\deg r}$ , for all  $r \in \mathbb{F}_q(t)$ . Prove that  $|\cdot|_\infty$  is a nonarchimedean absolute value on  $\mathbb{F}_q(t)$ .
- (c) Determine the residue field of  $\mathbb{F}_q(t)$  with respect to  $|\cdot|_\pi$ ; the residue field is the quotient of the valuation ring  $\{x \in \mathbb{F}_q(t) : |x|_\pi \leq 1\}$  by its unique maximal ideal.
- (d) Describe the valuation ring  $R := \{x \in \mathbb{F}_q(t) : |x|_\infty \leq 1\}$  and its unique maximal ideal  $\mathfrak{m}$ . Then determine the residue field of  $\mathbb{F}_q(t)$  with respect to  $|\cdot|_\infty$ .
- (e) Prove Ostrowski's theorem for  $\mathbb{F}_q(t)$ : every nontrivial absolute value on  $\mathbb{F}_q(t)$  is equivalent to  $|\cdot|_\infty$  or  $|\cdot|_\pi$  for some prime  $\pi \in \mathbb{F}_q[t]$ .

More precisely, show that if  $\|\cdot\|$  is a nontrivial absolute value on  $\mathbb{F}_q(t)$ , either  $\|t\| > 1$  and  $\|\cdot\| \sim |\cdot|_\infty$ , or  $\|t\| \leq 1$  and  $\|\cdot\| \sim |\cdot|_\pi$  for some prime  $\pi \in \mathbb{F}_q[t]$ .

In view of (e), we regard  $\infty$  as a “prime” of  $\mathbb{F}_q(t)$  and let  $\pi$  range over both monic irreducible polynomials in  $\mathbb{F}_q[t]$  and  $\infty$ .

- (f) Prove the product formula for  $\mathbb{F}_q(t)$ : show that  $\prod_\pi |r|_\pi = 1$  for every  $r \in \mathbb{F}_q(t)^\times$ .

**Problem 3. Quadratic fields (32 points)**

Let  $K = \mathbb{Q}(\sqrt{d})$  with  $d \neq 0, 1$  a squarefree integer, and let  $\mathfrak{p}$  be a nonzero prime ideal of the ring of integers  $\mathcal{O}_K$  that does not contain the integer  $2d$ .

- (a) Give explicit generators for  $\mathcal{O}_K$  as a  $\mathbb{Z}$ -module.
- (b) Determine the index of  $\mathbb{Z}[\sqrt{d}]$  in  $\mathcal{O}_K$  as a function of  $d$ .
- (c) Show that  $\mathfrak{p}$  can be written in the form  $(p, \alpha)$ , with  $(p) = \mathfrak{p} \cap \mathbb{Z}$  and  $\alpha \in \mathcal{O}_K$ .
- (d) Show that  $\mathcal{O}_K/\mathfrak{p} \simeq \mathbb{F}_q$  where  $q = [\mathcal{O}_K : \mathfrak{p}]$  is either  $p$  or  $p^2$ , with  $\mathfrak{p} = (p, \alpha)$ . Give an explicit criterion in terms of  $p$  and  $d$  for when the two cases occur.
- (e) Determine the number of equivalence classes of archimedean absolute values of  $\mathbb{Q}(\sqrt{d})$  as a function of  $d$ .

**Problem 4. The Euler  $\phi$ -function. (32 points)**

Let  $A$  denote  $\mathbb{Z}$  or  $\mathbb{F}_p[t]$ , and let  $|\cdot|$  denote  $|\cdot|_\infty$  (the standard archimedean absolute value on  $\mathbb{Q}$  or the nonarchimedean absolute value of  $\mathbb{F}_p(t)$  defined in Problem 2). Recall that  $a \perp b$  means  $(a, b) = A$ . Throughout this problem, we assume  $a, b \in A$  are nonzero, and understand “ $a \bmod b$ ” to mean the image of  $a$  under the quotient map  $A \rightarrow A/(b)$ .

(a) Prove that  $A/(a)$  is a ring with  $|a|$  elements.

Define the Euler  $\phi$ -function  $\phi: A_{\neq 0} \rightarrow \mathbb{Z}_{>0}$  by  $\phi(a) := \#(A/(a))^\times$ .

(b) Prove  $\phi(ab) = \phi(a)\phi(b)$  if  $a \perp b$  and  $\phi(a^n) = |a|^{n-1}(|a| - 1)$  for  $a$  prime and  $n \geq 1$ .

(c) Prove that  $\phi(a) = |a| \prod_{q|a} (1 - |q|^{-1})$ , where  $q$  ranges over primes.

(d) Prove that for  $a \perp b$  we have  $a^{\phi(b)} \equiv 1 \pmod b$ .

(e) Prove that if  $b$  is prime then  $\prod_{0 < |a| < |b|} a \equiv \begin{cases} +1 \pmod b & \text{if } A = \mathbb{Z}; \\ -1 \pmod b & \text{if } A = \mathbb{F}_p[t]. \end{cases}$

(f) Let  $a \perp b$  with  $b$  prime and let  $r \geq 2$  divide  $|b| - 1$ . Show that  $a \bmod b$  is an  $r$ th power if and only if  $a^{(|b|-1)/r} \equiv 1 \pmod b$ , and  $\#\{c^r : c \in (A/(b^n))^\times\} = \phi(b^n)/r$ .

**Problem 5. Survey (4 points)**

Complete the following survey by rating each problem you attempted on a scale of 1 to 10 according to how interesting you found it (1 = “mind-numbing,” 10 = “mind-blowing”), and how difficult you found it (1 = “trivial,” 10 = “brutal”). Also estimate the amount of time you spent on each problem to the nearest half hour.

	Interest	Difficulty	Time Spent
Problem 1			
Problem 2			
Problem 3			
Problem 4			

Please rate each of the following lectures that you attended, according to the quality of the material (1=“useless”, 10=“fascinating”), the quality of the presentation (1=“epic fail”, 10=“perfection”), the pace (1=“way too slow”, 10=“way too fast”, 5=“just right”) and the novelty of the material to you (1=“old hat”, 10=“all new”).

Date	Lecture Topic	Material	Presentation	Pace	Novelty
9/8	Absolute values, discrete valuations				
9/13	Localizations, Dedekind domains				
9/15	Unique factorization of ideals				

Please feel free to record any additional comments you have on the problem sets and the lectures, in particular, ways in which they might be improved.

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