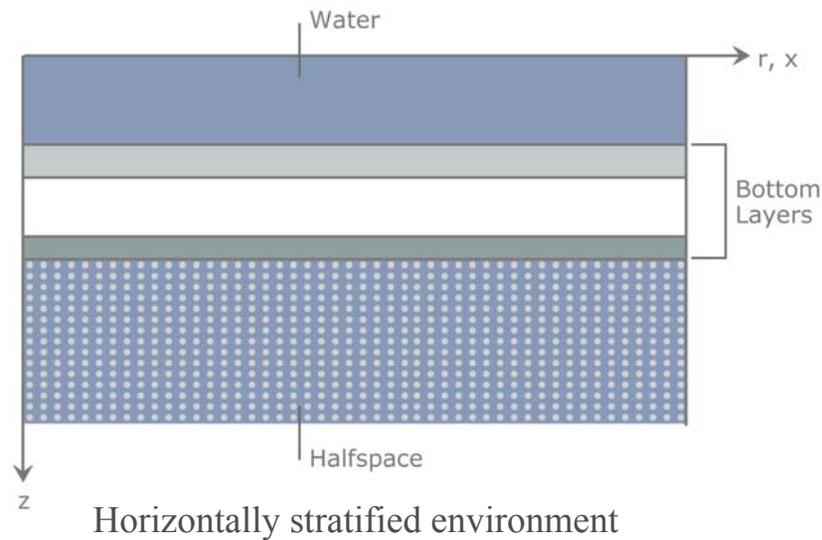
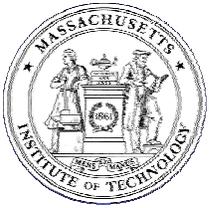


# Ocean Acoustic Theory

- Acoustic Wave Equation
- Integral Transforms
- Helmholtz Equation
- Source in Unbounded and Bounded Media
- Propagation in Layered Media
  - Reflection and Transmission
- The Ideal Waveguide
  - Image Method
  - Wavenumber Integral
  - Normal Modes
- Pekeris Waveguide



## Layered Media and Waveguides

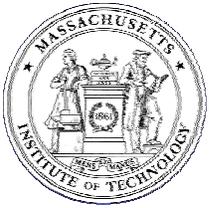
### Integral Transform Solution

*Helmholtz Equation - Layer  $n$*

$$[\nabla^2 + k_n^2(z)] \psi(\mathbf{r}) = f(\mathbf{r}),$$

*Interface Boundary Conditions*

$$B[\psi(\mathbf{r})]|_{z=z_n} = 0, \quad n = 1 \cdots N,$$



## Plane problems: Fourier Transform Solution

$$f(x, z) = \int_{-\infty}^{\infty} f(k_x, z) e^{ik_x x} dk_x ,$$

$$f(k_x, z) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(x, z) e^{-ik_x x} dx ,$$

*Depth-Separated Wave Equation*

$$\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] \psi(k_x, z) = S_\omega \frac{\delta(z - z_s)}{2\pi} .$$

*Depth-Separated Green's Function*

Superposition Principle

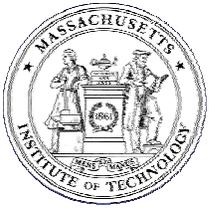
$$\psi(k_x, z) = -S_\omega G_\omega(k_x, z, z_s) = -S_\omega [g_\omega(k_x, z, z_s) + H_\omega(k_x, z)]$$

Source contribution:  $\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] g_\omega(k_x, z, z_s) = -\frac{\delta(z - z_s)}{2\pi}$

Homogeneous Solution:  $\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] H_\omega(k_x, z) = 0$

*Interface Boundary Conditions*

$$B [\psi(k_x, z_n)] = 0 .$$



# Axisymmetric Propagation Problems: Hankel Transform Solution

$$f(r, z) = \int_0^\infty f(k_r, z) J_0(k_r r) k_r dk_r ,$$

$$f(k_r, z) = \int_0^\infty f(r, z) J_0(k_r r) r dr ,$$

*Depth-Separated Wave Equation*

$$\left[ \frac{d^2}{dz^2} + (k^2 - k_r^2) \right] \psi(k_r, z) = S_\omega \frac{\delta(z - z_s)}{2\pi} .$$

Superposition Principle

*Depth-Separated Green's Function*

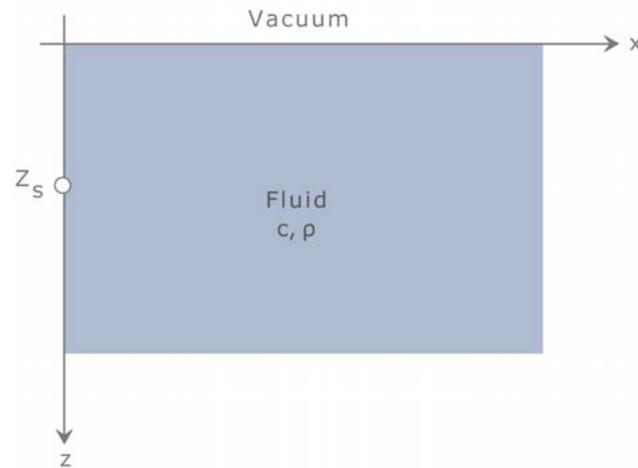
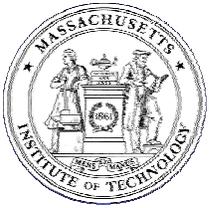
$$\psi(k_r, z) = -S_\omega G_\omega(k_r, z, z_s) = -S_\omega [g_\omega(k_r, z, z_s) + H_\omega(k_r, z)]$$

Source contribution:  $\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] g_\omega(k_x, z, z_s) = -\frac{\delta(z - z_s)}{2\pi}$

Homogeneous Solution:  $\left[ \frac{d^2}{dz^2} + (k^2 - k_x^2) \right] H_\omega(k_x, z) = 0$

*Interface Boundary Conditions*

$$B[\psi(k_r, z_n)] = 0 .$$



Point source in a fluid halfspace.

### Example: Source in Fluid Halfspace

*Homogeneous Solution*

$$H_\omega(k_r, z) = A^+(k_r) e^{ik_z z} + A^-(k_r) e^{-ik_z z},$$

*Vertical Wavenumber*

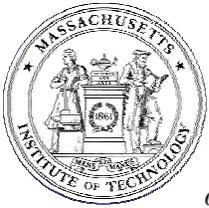
$$k_z = \sqrt{k^2 - k_r^2} \\ = \begin{cases} \sqrt{k^2 - k_r^2}, & k_r \leq k \\ i\sqrt{k_r^2 - k^2}, & k_r > k. \end{cases}$$

Radiating Waves

Evanescent Waves

*Radiation Conditions*

$$H_\omega(k_r, z) = \begin{cases} A^+(k_r) e^{ik_z z}, & z \rightarrow +\infty \\ A^-(k_r) e^{-ik_z z}, & z \rightarrow -\infty. \end{cases}$$



Source field

$$g_\omega(k_r, z, z_s) = A(k_r) \begin{cases} e^{ik_z(z-z_s)}, & z \geq z_s \\ e^{-ik_z(z-z_s)}, & z \leq z_s \end{cases}$$

$$= A(k_r) e^{ik_z|z-z_s|}.$$

Integration of depth-separated wave equation over  $[z_s - \epsilon, z_s + \epsilon]$ :

$$\left[ \frac{dg_\omega(k_r, z)}{dz} \right]_{z_s-\epsilon}^{z_s+\epsilon} + O(\epsilon) = -\frac{1}{2\pi}.$$

$\Rightarrow$

$$A(k_r) = -\frac{1}{4\pi i k_z}$$

$\Rightarrow$

$$g_\omega(k_r, z, z_s) = -\frac{e^{ik_z|z-z_s|}}{4\pi i k_z}.$$

Inverse Hankel Transform - Sommerfeld-Weyl Integral

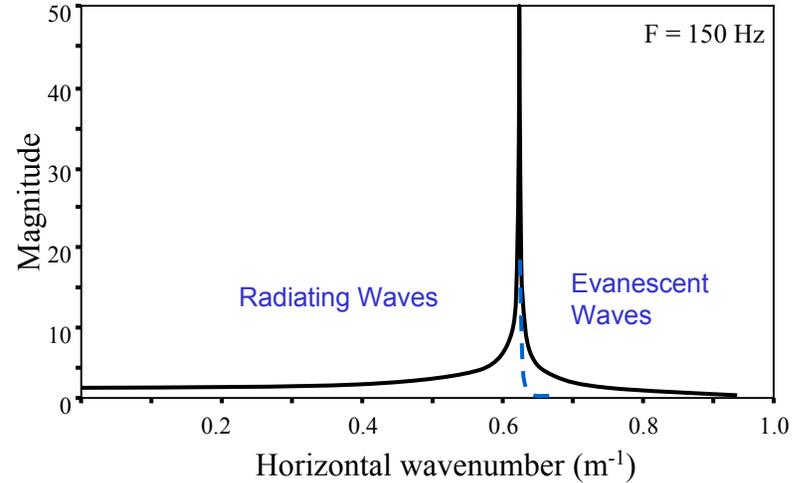
$$g_\omega(r, z, z_s) = \frac{i}{4\pi} \int_0^\infty \frac{e^{ik_z|z-z_s|}}{k_z} J_0(k_r r) k_r dk_r,$$

Grazing Angle Representation

$$k_x = k \cos \theta,$$

$$k_z = k \sin \theta,$$

$$\frac{dk_x}{d\theta} = -k_z.$$

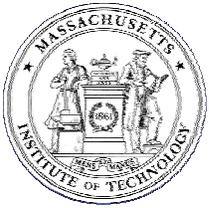


Magnitude of the depth-dependent Green's function for point source in an infinite medium. Solid curve:  $z - z_s = \lambda/10$ ; dashed curve:  $z - z_s = 2 \lambda$ .

$\Rightarrow$

$$g_\omega(\mathbf{r}, \mathbf{r}') \simeq \frac{i}{4\pi} \int_{-k}^k \frac{e^{ik_z|z-z_s|}}{k_z} e^{ik_x x} dk_x$$

$$= \frac{i}{4\pi} \int_0^\pi e^{ik|z-z_s|\sin\theta + ikx \cos\theta} d\theta.$$



## Halfspace Problem: Surface and Radiation Conditions

$$\psi(k_r, 0) \equiv 0$$

$$\psi(k_r, z) \text{ radiating for } z \rightarrow \infty$$

$\Rightarrow$

$$\begin{aligned} \psi(k_r, 0) &= -S_\omega [g_\omega(k_r, 0, z_s) + H_\omega(k_r, 0)] \\ &= S_\omega \left[ \frac{e^{ik_z z_s}}{4\pi i k_z} - A^+(k_r) \right] = 0, \end{aligned}$$

*Total field*

$$\psi(k_r, z) = S_\omega \left[ \frac{e^{ik_z |z - z_s|}}{4\pi i k_z} - \frac{e^{ik_z (z + z_s)}}{4\pi i k_z} \right].$$

*Lloyd-Mirror Minima and Maxima*

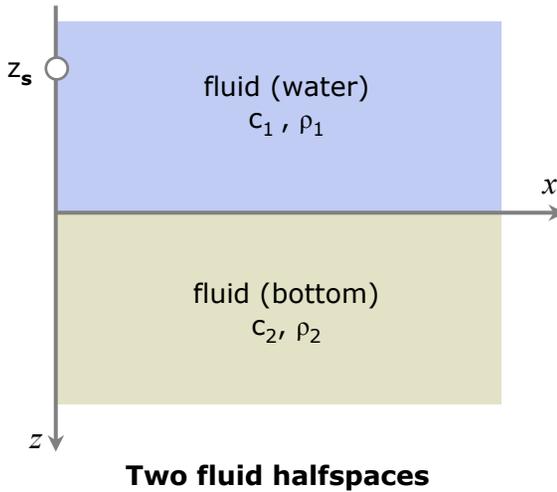
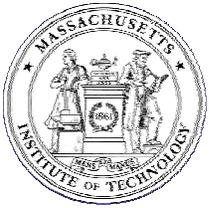
$$\sin \theta_{\max} = \frac{(2m - 1) \pi}{2kz_s},$$

$$\sin \theta_{\min} = \frac{(m - 1) \pi}{kz_s}.$$

*Free Surface Reflection Coefficient*

$$R = -1.$$

[See Fig 2.7 in Jensen, Kuperman, Porter and Schmidt. *Computational Ocean Acoustics*. New York: Springer-Verlag, 2000.]



## Reflection and Transmission

### Homogeneous Solutions

$$H_{\omega,1}(k_r, z) = A_1^-(k_r) e^{-ik_{z,1}z}$$

$$H_{\omega,2}(k_r, z) = A_2^+(k_r) e^{ik_{z,2}z}$$

### Source Field

$$g_{\omega,1}(k_r, z, z_s) = -\frac{e^{ik_z|z-z_s|}}{4\pi i k_z}.$$

## Boundary Conditions

Continuity of vertical displacement

$$\frac{\partial \psi_1(k_r, z)}{\partial z} = \frac{\partial \psi_2(k_r, z)}{\partial z}, \quad z = 0$$

$$\Leftrightarrow$$

$$k_{z,2} A_2^+(k_r) + k_{z,1} A_1^-(k_r) = k_{z,1} g_{\omega,1}(k_r, 0, z_s).$$

Continuity of pressure

$$\rho_1 \psi_1(k_r, z) = \rho_2 \psi_2(k_r, z), \quad z = 0$$

$$\Leftrightarrow$$

$$\rho_2 A_2^+ - \rho_1 A_1^- = \rho_1 g_{\omega,1}(k_r, 0, z_s)$$

### Reflected and Transmitted Waves

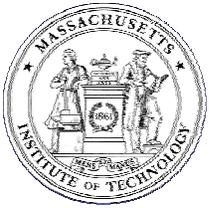
$$A_1^- = \frac{\rho_2 k_{z,1} - \rho_1 k_{z,2}}{\rho_2 k_{z,1} + \rho_1 k_{z,2}} g_{\omega,1}(k_r, 0, z_s),$$

$$A_2^+ = \frac{2\rho_1 k_{z,1}}{\rho_2 k_{z,1} + \rho_1 k_{z,2}} g_{\omega,1}(k_r, 0, z_s).$$

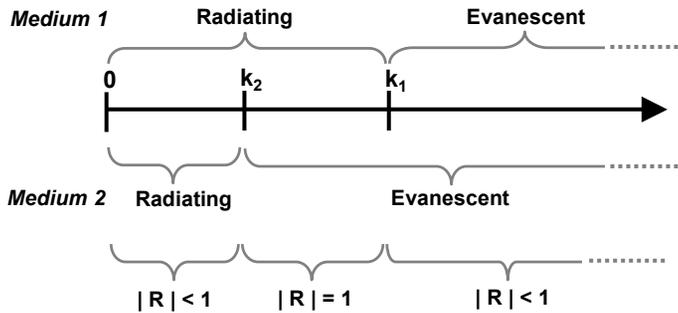
### Reflection Coefficient for Fluid–Fluid interface

$$R = \frac{\rho_2 k_{z,1} - \rho_1 k_{z,2}}{\rho_2 k_{z,1} + \rho_1 k_{z,2}},$$

$$T = \frac{2\rho_1 k_{z,1}}{\rho_2 k_{z,1} + \rho_1 k_{z,2}}.$$



### Example: Hard Bottom – $c_2 > c_1$



Spectral domains for a hard bottom,  $k_2 < k_1$ .

1.  $k_r < k_2$  : Waves are *propagating* vertically in both media and energy will be transmitted into the bottom:  $|R| < 1$ .
2.  $k_2 < k_r < k_1$  : Waves are *propagating* in the upper halfspace (water) but are *evanescent* in the lower halfspace (bottom):  $|R| = 1$ .
3.  $k_1 < k_r$  : Waves are *evanescent* in depth in both media:  $|R| < 1$ .

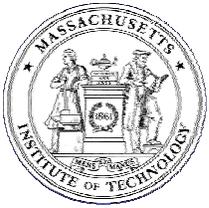
[see Jensen, Fig 2.10]

*Magnitude and Phase*

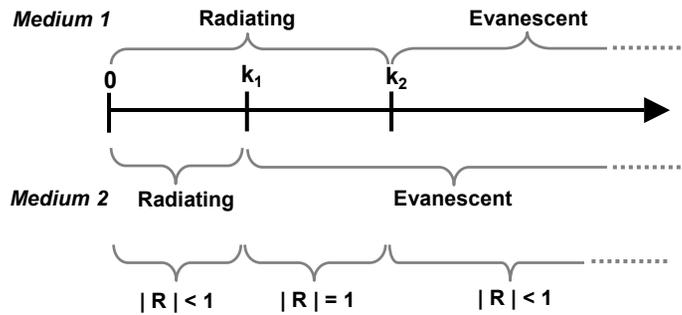
$$R(\theta) = |R(\theta)| e^{-i\phi(\theta)},$$

*Critical Angle*

$$\theta_c = \arccos(k_2/k_1)$$



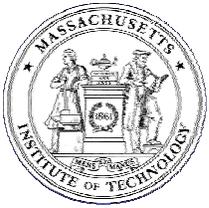
**Example: Soft Bottom –  $c_2 < c_1$**



[see Jensen, Fig 2.12]

Spectral domains for a soft bottom,  $k_1 < k_2$ .

1.  $k_r < k_1$  : Waves are *propagating* vertically in both media and energy will be transmitted into the bottom:  $|R| < 1$ .
2.  $k_1 < k_r < k_2$  : Waves are *evanescent* in the upper halfspace (water) but *propagating* in the lower halfspace (bottom):  $|R| = 1$ .
3.  $k_2 < k_r$  : Waves are *evanescent* in depth in both media:  $|R| < 1$ .



## The Point Source Field

### *Wavenumber Integration Kernel*

$$\psi(k_r, z) = \begin{cases} -S_\omega [g_{\omega,1}(k_r, z, z_s) + H_{\omega,1}(k_r, z)] , & z < 0 \\ -S_\omega H_{\omega,2}(k_r, z) , & z > 0 , \end{cases}$$

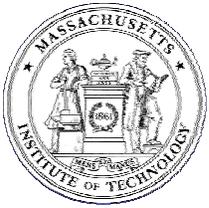
### *Reflected Field*

$$\begin{aligned} \psi_R(r, z) &= \int_0^\infty A_1^-(k_r) e^{-ik_{z,1}z} J_0(k_r r) k_r dk_r \\ &= \frac{1}{2} \int_{-\infty}^\infty A_1^-(k_r) e^{-ik_{z,1}z} H_0^{(1)}(k_r r) k_r dk_r . \end{aligned}$$

[see Jensen, Fig 2.14 and 2.15]

### *Farfield Approximation - $k_r r \gg 1$*

$$\psi_R(r, z) = \frac{S_\omega e^{-i\pi/4}}{4\pi\sqrt{2\pi r}} \int_{-\infty}^\infty |R(k_r)| \frac{\sqrt{k_r}}{ik_{z,1}} e^{-i[\phi(k_r) + k_{z,1}(z+z_s) - k_r r]} dk_r .$$



## The Point Source Field

### Wavenumber Integration Kernel

$$\psi(k_r, z) = \begin{cases} -S_\omega [g_{\omega,1}(k_r, z, z_s) + H_{\omega,1}(k_r, z)] , & z < 0 \\ -S_\omega H_{\omega,2}(k_r, z) , & z > 0 , \end{cases}$$

### Reflected Field

$$\begin{aligned} \psi_R(r, z) &= \int_0^\infty A_1^-(k_r) e^{-ik_{z,1}z} J_0(k_r r) k_r dk_r \\ &= \frac{1}{2} \int_{-\infty}^\infty A_1^-(k_r) e^{-ik_{z,1}z} H_0^{(1)}(k_r r) k_r dk_r . \end{aligned}$$

### Farfield Approximation - $k_r r \gg 1$

$$\psi_R(r, z) = \frac{S_\omega e^{-i\pi/4}}{4\pi\sqrt{2\pi r}} \int_{-\infty}^\infty |R(k_r)| \frac{\sqrt{k_r}}{ik_{z,1}} e^{-i[\phi(k_r) + k_{z,1}(z+z_s) - k_r r]} dk_r .$$

Stationary Phase Approximation -  $k_{z,1}(z + z_s) \gg 1$ ,

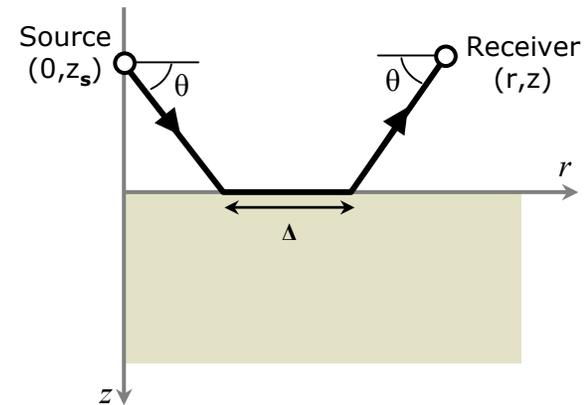
$$\frac{\partial}{\partial k_r} [\phi(k_r) + k_{z,1}(z + z_s) - k_r r] = 0$$

$\Leftrightarrow$

$$\frac{\partial\phi(k_r)}{\partial k_r} - \frac{k_r(z + z_s)}{k_{z,1}} - r = 0$$

$\Leftrightarrow$

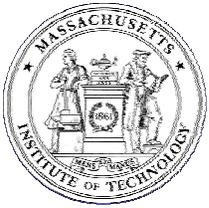
$$r = \frac{\partial\phi(k_r)}{\partial k_r} - (z + z_s) \cot \theta .$$



1.  $\theta < \theta_c$ :  $\Delta = \partial\phi/\partial k_r > 0$ ,
2.  $\theta > \theta_c$ :  $\Delta = \partial\phi/\partial k_r = 0$ .

### Critical Range

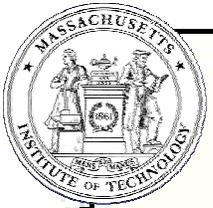
$$r_c = -(z + z_s) \cot \theta_c$$



# Spherical wave incident on half-space: *direct, reflected, transmitted, and head/lateral/conical waves*

$$S(t) = \begin{cases} \sin(\omega_c t) - \frac{1}{2} \sin(2\omega_c t) & \text{for } 0 < t < 1/f_c \\ 0 & \text{else} \end{cases} .$$

[See Fig 8.2 in Jensen, Kuperman,  
Porter and Schmidt. *Computational Ocean  
Acoustics*. New York: Springer-Verlag, 2000.]



# Spherical wave incident on a halfspace

