Fourier transforming property of lenses



Fourier transform by far field propagation or lens





Spherical-plane wave duality



The two pictures above are interpretations of the same physical phenomenon.

On the left, the transparency is interpreted in the Huygens sense as a superposition of "spherical wavelets." Each spherical wavelet is collimated by the lens and contributes to the output a plane wave, propagating at the appropriate angle (scaled by f.)

On the right, the transparency is interpreted in the Fourier sense as a superposition of plane waves ("angular" or "spatial frequencies.") Each plane wave is transformed to a converging spherical wave by the lens and contributes to the output, *f* to the right of the lens, a point image that carries all the energy that departed from the input at the corresponding spatial frequency.





Imaging: the 4F system

-y'

The 4F system (telescope with finite conjugates one focal distance to the left of the objective and one focal distance to the right of the collector, respectively) consists of a cascade of two Fourier transforms



Spatial filtering: the 4F system





Imaging and spatial filtering: physical justification





Today

- Spatial filtering in the 4F system
- The Point-Spread Function (PSF) and Amplitude Transfer Function (ATF)

next Wednesday

- Lateral and angular magnification
- The Numerical Aperture (NA) revisited
- Sampling the space and frequency domains, and the Space-Bandwidth Product (SBP)
- Pupil engineering

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Spatial filtering by a telescope (4F system)





Low-pass filtering: analysis



 $g_{f+}(x'') = \frac{1}{2}\delta(x'')$

 $G_{\text{out}}(u) = \frac{1}{2} \delta(u) \Longrightarrow g_{\text{out}}(x') = \frac{1}{2}$

field before pupil mask



field after pupil mask

field at output (image plane)



Consider a *binary* amplitude grating, with perfect contrast m=1, period $\Lambda=10\mu m$, duty cycle 1/3 (33.3%), illuminated by an on-axis plane wave at wavelength $\lambda=0.5\mu m$.

The 4F system consists of two identical lenses of focal length *f*=20cm.

A pupil mask of diameter (aperture) 3cm is placed at the Fourier plane, symmetrically about the optical axis.

What is the intensity observed at the output (image) plane?

The sequence to solve this kind of problem is:

- \Rightarrow calculate the Fourier transform of the input transparency and scale to the pupil plane coordinates $x'' = u\lambda f_1$
- ➡ multiply by the complex amplitude transmittance of the pupil mask
- Fourier transform the product and scale to the output plane coordinates $x' = u\lambda f_2$





 $+\infty$ $+\infty$

$$g_{t}(x) = \alpha \sum_{q=-\infty}^{\infty} \operatorname{sinc}\left(\alpha q\right) \exp\left\{i2\pi q\frac{x}{\Lambda}\right\} \Rightarrow G_{t}(u) = \alpha \sum_{q=-\infty}^{\infty} \operatorname{sinc}\left(\alpha q\right) \delta\left(u - \frac{q}{\Lambda}\right)$$

The field at the pupil plane to the left of the pupil mask is

$$g_{\rm PP-}(x'') = G_{\rm t}\left(\frac{x''}{\lambda f}\right) = \alpha \sum_{q=-\infty}^{+\infty} \operatorname{sinc}\left(\alpha q\right) \delta\left(\frac{x''}{\lambda f} - \frac{q}{\Lambda}\right) = \frac{1}{3} \sum_{q=-\infty}^{+\infty} \operatorname{sinc}\left(\frac{q}{3}\right) \delta\left(x'' - q \times 1 \text{ cm}\right)$$





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Now consider the same optical system, but with a new pupil mask consisting of two holes, each of diameter (aperture) 1cm and centered at ±1cm from the optical axis, respectively.

What is the intensity observed at the output (image) plane?









Now consider the same optical system, again with the pupil mask consisting of two holes, each of diameter (aperture) 1cm and centered at \pm 1cm from the optical axis, respectively. We illuminate this grating with an off-axis plane wave at angle θ_0 =2.865°.

What is the intensity observed at the output (image) plane?

As you saw in a homework problem, the effect of rotating the input illumination is that the entire diffraction pattern from the grating rotates by the same amount; so in this case the 0th order is propagating at angle θ_0 off-axis, the +1st order at angle θ_0 + λ/Λ , etc.

Analytically, we find this by expressing the illuminating plane wave as $g_{illum}(x) = \exp \left\{ i g_{illum}(x) = \exp \left\{ i g_{illum}(x) + \exp \left\{ i g_{illum}(x) +$

$$g_{\rm in}(x) = g_{\rm illum}(x) \times g_{\rm t}(x) = \exp\left\{i2\pi \frac{\sin\theta_0}{\lambda}x\right\} \times g_{\rm t}(x)$$



The field to the left of the pupil mask is the Fourier transform of $g_{in}(x)$. Using the shift theorem,

$$\begin{aligned} G_{\rm in}(u) &= G_{\rm illum}(u-u_0) & \text{where} \quad u_0 \equiv \frac{\sin \theta_0}{\lambda} \approx 0.1 \mu {\rm m}^{-1} \\ &= \alpha \sum_{q=-\infty}^{+\infty} \operatorname{sinc}\left(\alpha q\right) \delta\left(u-u_0-\frac{q}{\Lambda}\right) \Rightarrow & \text{all diffracted orders are displaced by 1cm in the positive } x'' \text{ direction} \\ g_{\rm PP-}(x'') &= G_{\rm in}\left(\frac{x''}{\lambda f}\right) = \frac{1}{3} \sum_{q=-\infty}^{+\infty} \operatorname{sinc}\left(\frac{q}{3}\right) \delta\left(x''-1{\rm cm}-q\times 1 {\rm cm}\right). \end{aligned}$$

Example: band-pass filtering a binary amplitude grating with tilted illumination



Example: band-pass filtering a binary amplitude grating with tilted illumination





Consider the same optical system yet again, with a new pupil mask consisting of two holes, each of diameter (aperture) 1cm and centered further away from the axis at ±2cm from the optical axis, respectively. What is the intensity observed at the output (image) plane?







Example: binary amplitude grating through phase pupil mask



Example: binary amplitude grating through phase pupil mask



Example: binary amplitude grating through phase pupil mask



The Point-Spread Function (PSF) of a low-pass filter



Now consider the same 4F system but replace the input transparency with an ideal point source, implemented as an opaque sheet with an infinitesimally small transparent hole and illuminated with a plane wave on axis (actually, any illumination will result in a point source in this case, according to Huygens.)

In Systems terminology, we are exciting this linear system with an impulse (delta-function); therefore, the response is known as **Impulse Response**.

In Optics terminology, we use instead the term **Point-Spread Function (PSF)** and we denote it as h(x', y'). The sequence to compute the PSF of a 4F system is:

- observe that the Fourier transform of the input transparency $\delta(x)$ is simply 1 everywhere at the pupil plane
- ➡ multiply 1 by the complex amplitude transmittance of the pupil mask
- → Fourier transform the product and scale to the output plane coordinates $x' = u\lambda f_2$.
- Therefore, the PSF is simply the Fourier transform of the pupil mask, scaled to the output coordinates $x' = u\lambda f_2$ MIT 2.71/2.710 04/15/09 wk10-b-22

Example: PSF of a low-pass filter



$$g_{\text{out}}(x') \equiv h(x') = G_{\text{PM}}\left(\frac{x'}{\lambda f}\right) = (3 \text{ cm})\operatorname{sinc}\left(\frac{x' \times 3 \text{ cm}}{0.5\mu\text{m} \times 20\text{cm}}\right) = (3 \text{ cm})\operatorname{sinc}\left(\frac{x'}{3.33\mu\text{m}}\right)$$

The scaling factor (3×) in the PSF ensures that the integral $\int |h(x')|^2 dx$ equals the portion of the input energyMIT 2.71/2.710transmitted through the system04/15/09 wk10-b-23 \bigvee

Example: PSF of a phase pupil filter



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Comparison: low-pass filter vs phase pupil mask filter



$$h(x') = (3 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{3.33\mu \text{m}}\right);$$

$$|h(x')|^2 = 9 \operatorname{sinc}^2 \left(\frac{x'}{3.33}\right)$$

$$\angle h(x') = \begin{cases} 0, & \text{if sinc} (0.3 x') > 0\\ \pi, & \text{if sinc} (0.3 x') < 0 \end{cases}$$

$$h(x') = (3 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{3.33\mu \text{m}}\right) + (i-1) \times (1 \text{ cm}) \operatorname{sinc} \left(\frac{x'}{1\mu \text{m}}\right) = \left[3 \operatorname{sinc} \left(\frac{x'}{3.33}\right) - \operatorname{sinc} \left(\frac{x'}{1}\right)\right]^2 + \left[\operatorname{sinc} \left(\frac{x'}{1}\right)\right]^2 - \left[\lambda \left(\frac{x'}{1}\right)\right]^2 + \left[\lambda \left(\frac{x'}{1}\right)\right]^$$



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