24.904
Language Acquisition
Class 8: Harder Word Meanings
Last time

• What can and cannot be learned by observation
  ▶ may be possible to learn a small set of nouns associated with concrete, mid-sized objects
  ▶ doesn’t seem to work so well for verbs (and potentially many other expressions including various nouns)
Today

- What role do the small set of “referentially transparent” words (=nouns) play in meaning acquisition?

- What aids in learning the rest (specifically verbs)?
World-to-word mapping

“The cat is **chasing** the mouse”

SITUATION >> OBSERVER >> WORD MEANING
Why word-to-world mapping can’t possibly work

• The same situation makes available many meanings (gavagai problem)

• sometimes necessarily so, i.e. non-accidentally:

  ▶ every *give* situation will also be a *receive* situation,
  every *kill* situation will also be a *die* situation
Why word-to-world mapping can’t possibly work

No one-to-one mapping between scene and verb

- mirror image verbs

(1) The cat is chasing the mouse.
(2) The mouse is fleeing from the cat.
Why word-to-world mapping can’t possibly work

No one-to-one mapping between scene and verb

- The granularity problem:

  1. I perceived the fireworks.

  2. I saw the fireworks.
Why word-to-world mapping can’t possibly work

No one-to-one mapping between scene and verb

- Meanings that are closed to observation

1. I thought that it was snowing.
2. I hoped that it would snow.
3. I want it to snow.
Syntactic bootstrapping

• Sentence-to-world mapping

SITUATION >> OBSERVER >> SENTENCE MEANING

↓

WORD MEANING
In more detail...

- Gleitman 1990 et seq.
  - Step 1: The early largely nominal vocabulary helps the infant figure out something about the L1
In more detail…

• Early nouns thus function as “seed words”

  ▶ Equipped with rich enough prior knowledge, early nouns could aid in identifying surface-distributional properties of L1, e.g. basic word order, category-specific functional skeleton etc.

  – if you (i) know the words ‘Mommy’ and ‘ball’, (ii) you know that every clause is built around a verbal element, and (iii) you observe Mommy acting on a ball, you might deduce from “Mommy kicked the ball” that English is SVO.

  – if you (i) know the word ‘ball’ and (ii) know that content words form constituents with category-specific functional morphology, you might deduce from “the ball” that the combines with noun phrases in English, i.e. the is a determiner element
Syntactic bootstrapping

- Once they figure out some syntax, that knowledge can then feed further word-learning...
  - there are principled connections between syntactic structures and meaning, such that the range of structures can be informative for deducing which phonological objects goes with which concept
Syntactic bootstrapping

• “The structure of the sentence that the child hears can function like a mental zoom lens that cues the aspect of the scene the speaker is describing” (Gleitman & Gleitman, 1992)
How do you get to the verb?

- Children can use the syntactic structure to derive a partial sense of the event being described
  - E.g. “A gave X to B” involves a transfer event in which A is doing something (as opposed to B doing something)
- This guides the search for an appropriate event construal in the current scene, which in turn constrains hypotheses about the verb's meaning.
How do you get to the verb?

• Grimshaw (1994): identifying a simple transitive structure won’t help much at all in narrowing down the meanings

(1) a. She weighted the tomatoes.
   b. She weighed a 150lbs.

(2) a. He became a doctor.
   b. He hugged a doctor.

• Solution: children refine their hypotheses about verb meaning by tracking the set of syntactic frames the verb accepts

  ▶ Corollary: learning verbs necessarily cross-situational (not tested to my knowledge)
What kinds of regularities are available?

(1) Sue broke the vase.  
    The vase broke.  
    *Sue broke.

(2) Sue ate the apple.  
    *The apple ate.  
    Sue ate.

Change-of-state

Incremental theme
What kinds of regularities are available?

(1) I saw the fireworks. Perceptual Experience
   I saw that the fireworks are canceled.
   I saw the man escape.
   *I saw.

(2) I looked at the fireworks. Perceptual Activity
   *I looked (at) that the fireworks are canceled.
   *I looked (at) the man escape.
   ?I looked.
What kinds of regularities are available?

(1) I think that it is raining.  
   *I think whether it is raining.

(2) I know that it is raining.  
   I know whether it is raining.

Non-factive attitude

Factive attitude
Architectural assumptions

• “Projectionist” framework (Chomsky 1981; Levin and Rappaport-Hovav 1995)

  ▶ lexical entry of a verb contains information about its syntactic category, syntactic behavior (subcategorization frames) and the number and type of arguments it requires (θ-grid)

  \textit{give}
  phon: give
  syn: [ NP V NP PP ]

  sem:

<table>
<thead>
<tr>
<th>Source/Agent</th>
<th>Theme</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>i</td>
<td>j</td>
<td>k</td>
</tr>
</tbody>
</table>
Architectural assumptions

- “Projectionist” framework (Chomsky 1981; Levin and Rappaport Hovav 1995)
  
  ▶ **Theta-criterion:** every argument receives one and only one theta-role

  ▶ **The Projection Principle:** Lexical information (theta roles, etc.) must be syntactically represented

    - e.g. the verb *give* shouldn’t appear in a sentence with fewer arguments than indicated by the verb’s θ-grid (nor with more)
Architectural assumptions

- “Projectionist” framework (Chomsky 1981; Levin and Rappaport Hovav 1995)
  - Allows for lexical information to directly constrain the validity of syntactic structures
  - In cases where verbs appear with fewer or more arguments, the theory requires productive lexical operations that can change the θ-grid
Architectural assumptions

• No reason that syntactic bootstrapping and a projectionist framework should align, but most subsequent work since G90 have assumed it (Fisher, 1996; Fisher, Gertner, Scott, & Yuan, 2010; Yuan, Lidz et al., 2004, a.o.)

• On this story, it’s because the verb meaning limits the set of possible syntactic frames in which it can appear that the child can use the frame to reverse engineer its meaning
Evidence for syntactic bootstrapping
Bootstrapping via valency

- Valency: # of arguments that are typically part of the event described by the verbal predicate
  - *kick* usually shows up with 2 arguments, *die* with 1, etc.
Naigles 1990

• Can young children use valency information to deduce verb meanings?

• Starting assumption (taken as by necessity):
  ▶ intransitives describe events where a single actor does something
  ▶ transitives describe events where one actor does something to another
Naigles 1990

• Participants: 24 2-year-olds (M=25mos)

• Preferential looking paradigm
Naigles 1990

• Familiarization (6s x 3)
  ▶ scenes in which two actors were simultaneously engaged in two kinds of action
  ▶ one actor (dressed as a duck) was pushing the other (dressed as a bunny) repeatedly into a squatting position + both actors were waving one of their own hands in circles
  ▶ Heard one of two frames (between-subjects factor):
    (1) The duck is gorping the bunny.
    (2) The duck and the bunny are gorping.
Naigles 1990

• Test (6s x 3):
  ▶ the two actions were pulled apart on two separate screens
  ▶ one screen depicted just the duck pushing the bunny into a squatting position
  ▶ other screen depicted just the duck and bunny waving.
  ▶ “Where's gorping now? Find gorping!”
Fig. 2. Crucial sequence on videotapes, showing Teaching (trials 5–7), Control (trial 8) and Test (trials 9 and 10) phases. The actual characters were actors dressed in duck and rabbit costumes.
Results

Fig. 3. Mean visual fixation during the test trials to the causative and non-causative actions, for the Transitive and Intransitive Audio conditions. ■, Causative action; □, non-causative action.
• When given a choice between (i) a two-participant causative action and (ii) a single-participant non-causative action, toddlers tend to map a transitive frame to (i) and an intransitive frame to (ii).

• One possibility: systematic links between transitivity, argument structure and causal events

  ▶ A Vs B —> A=agent/cause, B=patient —> identify an event in which A does something to B, who undergoes some change as result

• What are others?
• Can 2-year-olds extract something about a verb’s combinatorial privileges (argument structure) from brief dialogues *sans* situational/referential information?
Yuan and Fisher 2009

Experiment 1

• Participants: 16 2-year-olds; 8 per condition

• Practice trials w/ 2 familiar verbs (clap, tickle), followed by Test trials w/ novel verb, *blick* (either transitive or intransitive frame)
Fig. 1. Dialogue and event phases for the novel verb in Experiment 1. Half the children heard transitive dialogues, and half heard intransitive dialogues. The transitive and intransitive dialogues were identical except for the presence versus absence of the direct-object noun phrase in each sentence. In the event phases, all children watched the same two novel events and heard the verb in syntactically uninformative sentences.
Yuan and Fisher 2009

**TABLE 1**

*Mean Looking and Look-Away Times (in Seconds), Averaged Across the Two Event Phases, in the Test Trial in Experiment 1*

<table>
<thead>
<tr>
<th>Dialogue type</th>
<th>Two-participant event</th>
<th>One-participant event</th>
<th>Look-away time</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transitive</td>
<td>4.82 (0.43)</td>
<td>2.87 (0.51)</td>
<td>0.31 (0.19)</td>
</tr>
<tr>
<td>Intransitive</td>
<td>3.33 (0.24)</td>
<td>4.12 (0.40)</td>
<td>0.54 (0.24)</td>
</tr>
</tbody>
</table>

- reliably longer looks to 2-participant events after hearing transitive frames
- no difference between looks to 2 vs. 1-participant events after intransitives
Yuan and Fisher 2009

• Having first heard a novel verb in a transitive frame, toddlers find it more likely later to describe a 2-participant causal event as opposed to a 1-participant non-causal event.

• Having first heard a novel verb in an intransitive frame, toddlers find it equally likely to describe a 2-participant causal event or a 1-participant non-causal event.

▶ what’s going on here? we'll come back to it.
Counting the nouns

• Syntactic bootstrapping *sans* syntax

• The worry: for syntactic bootstrapping to get going, the child needs to have a rather sophisticated understanding of the syntax of their L1

  ▶ What do you need to know to make any use of the structures in Y&F2009?

• This is a problem if you need the verbs to learn the syntax of your L1 (e.g. to identify what is the subject)
Counting the nouns


- Claim: children start out with a “simple” algorithm that maps # of noun phrases to # of event participants
Counting the nouns

Assumptions:

• “I assume that semantic structures of verbs are fundamentally of the same kind as the nonlinguistic conceptual structures by which we represent events (e.g., Grimshaw, 1990; Jackendoff, 1983, 1987, 1990; Pinker, 1989; Rappaport & Levin, 1988). Both verb semantic structures and conceptual representations of events demand a division between predicates and arguments, and thus between relations and the objects they relate (c.f. Bierwisch & Schreuder, 1992; Braine, 1992)”
Counting the nouns

Assumptions:

• Analogic mapping between "conceptual structure" and "syntactic structure"

• “Even before the subject and object of a sentence are identified, each sentence contains some number of noun phrase arguments…Once children can identify the nouns in a sentence, they could assign different meanings to transitive and intransitive verbs simply by aligning a sentence containing two noun phrases with a conceptual relation between the two named participants and a sentence containing one noun phrase with a conceptual predicate involving the single named participant.”

Fisher 1996, p.46
Counting the nouns

a) Structure-mapping account

Input Sentence

“She is gorp-ing her!”

Partial Sentence Representation

gorp [N1=she, N2=her]

One-to-one mapping between nouns and roles

Observed Scene

Conceptual Representations

Eat (girl-B)
Feed (girl-A, girl-B)
Sit (girl-B)
...
Counting the nouns

• In theory, falsifiable:

  ▶ children should not be able to meaningfully distinguish (1) from any of (2) - (5)

(1) Sue blicked Billy.
(2) Billy blicked Sue
(3) Sue blicked with Billy.
(4) Sue and Bill blicked.
(5) Sue blicked herself.
In practice...  

- Yuan, Fisher and Snedeker 2012

  “This account makes a strong prediction: The **number of nouns in a sentence** should guide very early verb learning. Via structure mapping, the **semantic significance of transitivity** does not depend on prior verb learning or on much prior learning about the native-language **syntax**. As soon as children can identify some nouns and represent them as parts of a larger sentence structure, **they should assign different interpretations to transitive and intransitive verbs, essentially by counting the nouns.**”
Yuan, Fisher and Snedeker

- Participants: 21- (Exp 1+2) and 19- month olds (Exp 3)
- 3 Conditions: transitive, intransitive & neutral
- 2 practice trials (clap, tickle) followed by 1 novel verb in one of the 3 conditions
Experiment 1

Blank-Screen Interval (4s)
Watch!
He’s gonna gorp (him)!

First Test Trial (8s)
He's gorging (him).
He’s gorping (him).
See?
He’s gorping (him).

Blank-Screen Interval (3s)
Find gorping.

Second Test Trial (8s)
He’s gorping (him).
Find gorping.
Find gorping.
Yuan, Fisher and Snedeker

Experiment 1 vs. 2 (bystander)
Results

The bar chart illustrates the proportion of looking-time to the two-participant event for experiment 1 (Simple Events) and experiment 2 (Bystander Events). The data is grouped by transitive, intransitive, and neutral events. Significant differences are indicated by asterisks (*) above the bars.
Yuan, Fisher and Snedeker

- Experiment 3
  - minor modifications to procedure (different practice trials, preceding Y&F-type dialogues)
  - 72 19-mos split across 6 conditions (valency; +/- bystander)
Yuan, Fisher and Snedeker

- Is “noun-counting” the only explanation of these results?
  - counting the nouns, or any algorithm that entails noun-counting gives a partial explanation to these results (i.e. any other more sophisticated mapping procedure)

- Is “noun-counting” supported by these results?
  - partially no: intransitives
Implicit auxiliary assumptions

- assumptions that doesn’t follow from anything in the theory:
  - 2 noun phrases: linearly 1st one is the agent
  - agency translates to active behavior

- empirical assumptions:
  - chair, ball, rope etc. don’t “count”
  - kids converge on the intended notion of “bystander” (e.g. why doesn't the right image represent "A ignores B"?"
Complicating the picture

• Are the core assumptions of the theory even valid?

  ▶ In the case of verbs, one key assumption was that verb meanings are event representations and these representations map onto syntactic configurations w/ certain properties

  ▶ But is this how language works?
Kratzer 1996

• What is the meaning and argument structure of “kill”? (building on arguments in Marantz 1984)

(1) kill a bug = cause the bug to die
    kill a conversation = cause the conversation to end
    kill an evening = while away the timespan
    kill a bottle = empty the bottle

• Why are meaning shifts asymmetrically conditioned by one of the verb's arguments?

(2) John killed a bug.
    The flood killed a bug.
    The pesticide killed a bug.
    Human cruelty killed a bug.
    = cause the bug to die
Kratzer’s answer:

- The “external” argument (the doer/agent) is not an argument of the verb at all

- Rather, it is the argument of a “light verb”, a functional verbalizer element, which combines with the main verb to give it its meaning
Hale & Keyser


  ▶ Cross-linguistically, the morphological expression of certain intransitive verbs involve what looks like nouns (i.e. descriptively, they have *transitive* structures)

(1) Jemez
   a. záae-'a “to sing”
       song-do
   b. se-'a "speak"
       speech-do

(2) Basque
   a. lo egin “to sleep”
       sleep do
   b. near egin "to cry"
       cry    do
A systematic correspondence between such verbs and event nouns in English

- *to laugh, a laugh; to run, a run; to swim, a swim; to sneeze, a sneeze*

Proposal: these verbs across languages are syntactically complex, involving a "light" verb + a nominal complement contributing the encyclopedic semantics
What verb? What argument structure?

• Deverbal nouns
  
  ▶ grow ~ growth

• Argument structure of grow, the verb:

(1) a. John grows tomatoes.
    b. Tomatoes grow well here.
What verb? What argument structure?

- Prediction if deverbal nouns “inherit” the argument structure of the verb

(2) a. The growth of tomatoes…
   b. *John’s growth of tomatoes…
   c. *The growth of tomatoes by John…
What verb? What argument structure?

• Prediction if deverbal nouns “inherit” only one of the argument structural variants of the verb…

(2) a. The growing of tomatoes…
   b. John’s growing of tomatoes…
   c. The growing of tomatoes by John…
Paradigm shift

Neo-constructionist/non-projectionist frameworks:

- Abandon the standard picture where words are simplex units that already come with category labels

- All content words are syntactically complex

  - Consist of at least a category-neutral root specifying encyclopedic semantics (e.g. \(\sqrt{\text{grow}}\)) + some functional element that contributes category information (\(v\), \(n\), \(adj\)) and introduces arguments
Syntactic bootstrapping in a non-projectionist framework

• What insights can be maintained?

• What has to be abandoned?
To think about

- Are these examples problematic for *syntactic bootstrapping* in the original Gleitman-ian sense?
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