24.961 Ordered rules

[1]	Basque:	Biscayan	dia	lect	PGG	p.	22

<u>noun</u> sagar gison	indefinite sagar bat gisom bat	<u>definite</u> sagar-a gison-a buzten-a	ʻapple' ʻman' ʻtail'
buzten belaun	buztem bat belaum bet	belaun-e	'knee'
cakur agin mutil	cakur bet agim bet mutil bet	cakur-e agin-e mutil-e	'dog' 'tooth' 'boy'

[2]

noun	indefinite	definite	
erri	erri bet	erriye	'village'
ari	ari bet	ariye	'thread'
buru	buru bet	buruwe	'head'
iku	iku bet	ikuwe	'fig'

Kenstowicz, Michael. *Phonology in Generative Grammar*. Blackwell Publishing, 1994. © Blackwell Publishing. All rights reserved. This content is excluded from our Creative Commons license. For more information, see http://ocw.mit.edu/help/faq-fair-use/.

ate	ate bat*	atie	'door'
asto	asto bat*	astue	'donkey'

[3] Baztan dialect

Α.	noun	<u>definite</u>	
	gison	gisona	'man'
	egun	egune	'day'
	mendi	mendie	'mountain'
	buru	burue	'head'
	et∫e	et∫ia	'house'
	a∫to	a∫tua	'donkey'

B. noun definite
alaba alaba 'daughter'
neska neska 'girl'
muge muge 'limit'
fabrike fabrike 'factory'

Basque: five vowels i,u,e,o,a,u

Biscayan cf. bat 'one'

```
rules:
umlaut: [+syll, +low] -> [-low,-back] / [+syll, +high] C<sub>o</sub> ____
glide epenthesis: 0 -> [+syll, +high, @back] / [+syll, +high, @back] ___ [+syll]
raising: [+syll] -> [+high] / __ [+syll]
```

ordering

raising precedes umlaut (feeding)

/asto-a/

astu-a	raising
astu-e	umlaut

glide epenthesis precedes raising (counter-feeding)

/buru-a/	/asto-a/		
buruw-a		GE	
	astu-a	Raising	
buruw-e	astue	umlaut	

[4] Baztan dialect

- has umlaut rule
- has raising rule
- no glide epenthesis
- umlaut precedes raising (counterfeeding)

/buru-a/	/asto-a/	
buru-e		umlaut
	astu-a	raising

• a-final noun; we expect two a's in output but just one occurs: degemination

[+syll, +low] -> 0 / ___ [syll, +low]

• muge vs. ece: a-deletion precedes raising (bleeding)

/muga/	/muga-a/	/ece/	/ece-a/	
	mug-a			a-deletion
muge	mug-e			umlaut
			eci-a	raising

- dialects may differ by having the same rules and same underlying forms but different order of their rules (Halle 1962)
- a new type of grammar/language change

[5] Feeding and Bleeding (Kiparsky 1968, 1971)

If Rule A creates potential inputs to rule B and rule B applies, we say A **feeds** B and this can be described by requiring A to precede B.

If Rule A creates potential inputs to rule B and rule B does not apply, we say A **counterfeeds** B and this can be described by requiring B to precede A.

If Rule A removes potential inputs to rule B and B does not apply we say A **bleeds** B and this can be described by requiring A to precede B.

If rule A removes potential inputs to rule B and B does apply we say A **counterbleeds** B and this can be described by requiring B to precede A. e.g. flapping and shortening + raising before a voiceless consonant in English Canadian raising.

Kiparsky (1968) proposed that rules may diachronically change their order towards feeding and bleeding relations. These are situations in which each rule is true of the surface form. Counterfeeding and counterbleeding create situations in which the earlier rule is not true of the surface form and hence "**opaque**". One must undo the effects of the later rule to see the full effects of the earlier rule. It was suggested by Kiparsky (1971) that opacity was more difficult to learn. It would be interesting to revisit this question today with an artificial language learning experiment.

[6] Summary

The SPE model with ordered rewrite rules defined over sounds represented as distinctive feature matrixes showed that considerable analytic insight into the structure of a language could be obtained. Its concern with formal statements and explicit representations created a generative grammar: an input-output mechanism whose scope went well beyond mere summaries of the data in a corpus. Thus a scientific research program was created in which many new questions arise in extending the ordered rule format to more data both language-internally as well as cross-linguistically.

We sample here a few of the questions that arose.

[7] Multiple rule application

Vowel harmony is a challenge to the rewrite rule mechanism since the harmony may extend over an entire word, which can be very long in agglutinative languages such as Turkish

```
IImli-laş-tır-dık-lar-ımız-dan # mi-sın`are you the ones who we made calm?'sinirli-leş-tir-dik-ler-imiz-den # mi-sin?`are you the ones who we made angry?'
```

root-DER.Verb.-CAUS-NOM-PLU-1PLPOSS-ABL # Q-2SG

A. Turkish vowel harmony

	front		back				
high		i	у		ш	u	
mid/low		3	œ		а	Э	
noun	<u>pl.</u>			his	<u>N.</u>		
dal	dal-	lar		dal-	ш		'branch'
kəl	kəl-	lar		kəl-u		'arm'	
kuız	kuz-lar		kuız-uı		'daughter'		
kul	kul-lar		kul-u		'slave'		
yɛl	yɛl-]	lɛr		yɛl-	i		'wind'
gœl	gœl	-lɛr		gœl	-y		'sea'
di∫	di∫-	lɛr		di∫-	i		'tooth'
gyl	gyl-	lɛr		gyl-	у		'rose'

- roots contrast for eight possible vowels
- most suffixes contrast for just [±high]; values for [back] and [round] determined by harmony

 $[-\cos] \rightarrow [\alpha back] / [\alpha back] Co __ (palatal harmony)$

 $[-\cos, +high -> [\alpha round] / [\alpha round] Co _ (labial harmony)$

- what does grammar predict for 'his slaves'? it could be [kul-lar-u] or [kul-lar-u] depending on whether the [round] value of the possessive suffix is determined by the vowel of the preceding syllable or the first vowel of the root.
- In fact it is [kul-lar-ul], suggesting that the harmony arises by successive applications of the rule over adjacent syllables, with one application creating the input to the next.

[8] A couple of examples of problems with this view

A. Istanbul Turkish (Kumbaraci 1966) [warning: I have not been able to confirm this data]

- raising and unrounding before palatals y, ${\tt J},\,d{\tt z}$

<u>infin.</u>	Imper.		Standard written form
ye-mek	yi-yin	'eat'	yiyin
ü∫ü-mek	ü∫i-yin	'be cold'	üs.üyün
oku-mak	okш-yшn	'read'	okuyun
sakla-mak	saklш-yшn	'hide'	saklayın

 $[+syll] -> [+high, -round] / ___ [-syll, +high, -back, -round]$

• problems in obtaining correct output (I denotes the "archiphoneme" [+high, Oback, Oround]

/okI-yIn/	
oku-yun	labial (and back) harmony
oku-yun	derounding
/okI-yIn/	
oku-yIn	derounding
oku-yun	labial (and back) harmony
• cycle	
/okI-yIn/	
okI	stem cycle
oku	labial (and back) harmony
	derounding
oku-yIn	word cycle
oku-yun	labial (and back) harmony
okш-yun	derounding
/okI-yIn/	
okI	stem cycle
	derounding
oku	labial (and back) harmony
oku-yIn	word cycle
oku-yIn	derounding
oku-yin	0
oku-yuli	labial (and back) harmony

- the local unrounding change before the palatal must be insulated from labial harmony imposed by the preceding vowel but the output of derounding triggers harmony on what follows
- how can we intercalate one rule inside another?
- one approach (inspired by Chomsky's (1979) strict cycle (cf. phase)) distinguished application within a stem and application across a boundary; once the stem application occurred, the rule could not return on a later cycle to undo the effects in prior cycle
- stem application would normally yield a constant shape for the stem in a paradigm while suffixes could alternate; due to this side effect, it was sometimes called the Alternation Condition (Kiparsky 1973)

/okI-yIn/	
okI	stem cycle
	derounding
oku	labial (and back) harmony
oku-yIn	word cycle
okш-yIn	derounding
okш-yшn	labial (and back) harmony across boundary
oku-yun	blocked by strict cyclicity
oku-yun	blocked by locality

another possible solution (based on Nevins 2010 Locality in Vowel Harmony)

- vowel harmony is not the change of following vowels based on the triggering vowel but unspecified (noncontrastive) features becoming specified through local agreement
- assimilation to consonant takes precedence since it is more local (adjacent)

/okI-yIn/ oku-yIn derounding oku-yun labial (and back) harmony

	/okI-yIn/	/oku-yIn/		okuı-yuın
high	- + +deround →	- + +	harmony \rightarrow	- + +
back	+ 0 0	+ 0 0		+ + +
round	+ 00	+ - 0		+

B. Chumash sibilant harmony (Poser 1982, 1993)

[1] ha-s-xintila his gentile ha- \int -xintila-wa \int his former gentile

p-i∫-al-nan?	don't you two go s-is-sili-uluaqpey-us	they two want to follow it
k-∫apatu-t∫	I wear shoes cf. Spanish zapato	
∫-kami∫a-t∫	he wears a shirt cf. kamisa	

[2] anterior dissimilation cf. German¹

/s-nan?/ >	∫nan?	he goes
/s-tepu?/	∫tepu?	he gambles

[3] output of dissimilation does not undergo sibilant harmony

/s-ti-yep-us/ > ∫tiyepus he tells him /s-ti-yep-us/ stiyepus sibilant harmony ∫tiyepus dissimilation

[4] But the output of anterior dissimilation does trigger harmony to preceding sibilants

 $/s-is-ti?/ > \int i \int ti?$ he finds it

[5] ordering paradox

/s-is-ti?/

si∫ti? dissimilation

∫i∫ti? sibilant harmony

/s-ti-yep-us/ stiyepus sibilant harmony ∫tiyepus dissimilation

- unlike in Turkish, [±anterior] is contrastive in Chumash affixes
- the harmony process might mask two changes: first neutralization of the [± anterior] contrast when followed by another sibilant in effect changing s and s to S ([± anterior] > [0anterior]) followed by the anterior dissimilation and then the valuation of the 0's by harmony

¹ The underlying [+ anterior] for the 3 sg. subject/possessive is based on Beeler (1970: 16)

C. Kikerewe (Odden 2000, Bantu, Tanzania)

If rule iterates across a string, left-to-right vs. right-to-left application can maximize or minimize application of the rule (Kenstowicz & Kisseberth 1973, Howard 1973)

ku-bal-a ku-bal-an-a ku-bal-il-a a -> á / á á -> a /	'to coun	it each other'	ku-bóh-a ku-bóh-án-a ku-bóh-él-a ku-bóh-él-an-a ku-bóh-á Bulemo	'to tie fo	r each other'
ku-twa:ng-i ku-tú-twá:n		pound for' pound for us'	ku-té:k-él-a ku-tú-té:k-el-a	'to cook 'to cook	
á-> a/á	G ₀	Meeussen's Rule			
/ku-tú-té:k-	el-a/				
ku-tú-te:k-e		M's Rule			
ku-tú-té:k-e	-a	H-doubling			
ku-yílúch-a	'to	chase'			
ku-bá-yílucl	n-a 'to	chase them'			
ku-bá-tú-yil	ukizya'to	chase them for us'			
∕ku-bá-tú-y ku-bá-tú-y ku-bá-tú-y ku-bá-tú-yí ku-bá-tú- yí	ílukiza ílukiza lukiza	Right-to-left iterat	ion leading to maxi	mal applio	cation of rule
ku-bá -tú-y i	lukiza	M's Rule applies			
ku- bá-tu-y i	lukiza	M's Rule applies			
ku-bá-tu-y	ilukiza				

- Left-to-Right application would incorrectly give minimal application: *ku-bá-tu-yílukiza
- High tone doubling applies minimally: right-to-left

References

- Howard, Irwin. 1972. A Directional Theory of Rule Application in Phonology. MIT Ph.D. dissertation.
- Kenstowicz, Michael and Charles Kisseberth. 1973. The multiple application problem in phonology. C. Kisseberth, ed. Studies in Generative Phonology. Edmonton: Linguistic Research, Inc. 13-41.
- Kiparsky, Paul. 1968. Linguistic universals and language change. In Emmon Bach and Robert Harms, eds. Universals in Linguistic Theory. New York: Holt.
- Kiparsky, Paul. 1971. Historical linguistics. William Dingwall, ed. A Survey of Linguistic Science. College Part: University of Maryland.
- Kiparsky, Paul. 1973. Abstractness, opacity, and global rules. In Osamu Fujimura, ed. Three Dimensions of Linguistic Theory. Tokyo: TEC.
- Kumbaraci, Turkan. 1966. Consonantally conditioned alternation of vocalic morphophonemes in Turkish. Anthropological Linguistics 8, 11-24.
- Nevins, Andrew. 2010. Locality in Vowel Harmony. Linguistic Inquiry Monograph 55. Cambridge, MA. MIT Press.
- Odden, David. 2000. Opacity and ordering: H-deletion in Kikerewe. The Linguistic Review 17, 323-335.
- Poser, William. 1993. Are Strict Cycle effects derivable? Sharon Hargus and Ellen Kaisse, eds. Studies in Lexical Phonology. New York: Academic Press. Pp. 315-21.

24.961 Introduction to Phonology Fall 2014

For information about citing these materials or our Terms of Use, visit: http://ocw.mit.edu/terms.