

C. (5 points) Now apply \mathbf{a}^\dagger to the column vector that corresponds to $|v=3\rangle$.

$$|v=3\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} \quad v=0 \quad \mathbf{a}^\dagger |v=3\rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 4 \\ 0 \end{pmatrix} \quad v=0$$

D. (3 points) Is \mathbf{a}^\dagger Hermitian?

\mathbf{a}^\dagger is not Hermitian because

$$\mathbf{a}^\dagger_{v+1,v} = [v+1]^{1/2}$$

$$\mathbf{a}^\dagger_{v,v+1} = 0$$

$$(\mathbf{a}^\dagger_{v,v+1})^* = 0^* = 0$$

Thus $\mathbf{a}^\dagger_{v+1,v} \neq (\mathbf{a}^\dagger_{v,v+1})^*$

This is confusing because $(\mathbf{a}^\dagger)^* = \mathbf{a}$ and

$$(\mathbf{a}^\dagger)_{v+1,v} = (\mathbf{a}^\dagger)_{v,v+1}^* = (\mathbf{a})_{v,v+1}.$$

Extra credit for mention of this paradox.

E. (3 points) Is $(\mathbf{a}^\dagger + \mathbf{a})$ Hermitian? If it is, demonstrate it by the relationship between a matrix element that is the definition of a Hermitian operator.

$(\mathbf{a}^\dagger + \mathbf{a})$ is Hermitian because $(\mathbf{a}^\dagger + \mathbf{a})^* = (\mathbf{a} + \mathbf{a}^\dagger)$.

(i) Also $\mathbf{a}^\dagger + \mathbf{a} = \tilde{X}$ and we know that \tilde{X} is Hermitian because expectation values of \mathbf{X} are real, never complex or imaginary.

(ii) Also

$$(\mathbf{a}^\dagger + \mathbf{a})_{v+1,v} = \mathbf{a}^\dagger_{v+1,v} + \mathbf{a}_{v+1,v} = (v+1)^{1/2} + 0$$

$$(\mathbf{a}^\dagger + \mathbf{a})_{v,v+1} = \mathbf{a}^\dagger_{v,v+1} + \mathbf{a}_{v,v+1} = 0 + (v+1)^{1/2}$$

Since the matrix elements are real, the conjugate transpose of $(\mathbf{a}^\dagger + \mathbf{a})$ is $(\mathbf{a} + \mathbf{a}^\dagger)$.

- F. (3 points) Is $i(\mathbf{a}^\dagger - \mathbf{a})$ Hermitian? If it is, use a matrix element relationship similar to what you used for part E.

$$\tilde{p} = i(\mathbf{a}^\dagger - \mathbf{a})$$

\tilde{p} is Hermitian, thus $i(\mathbf{a}^\dagger - \mathbf{a})$ is Hermitian.

Also

$$\begin{aligned} [i(\mathbf{a}^\dagger - \mathbf{a})]_{v+1,v} &= i\mathbf{a}_{v+1,v}^\dagger - i\mathbf{a}_{v+1,v} \\ &= i(v+1)^{1/2} - i0 \end{aligned}$$

$$[i(\mathbf{a}^\dagger - \mathbf{a})]_{v,v+1}^* = -i0 + i(v+1)^{1/2}$$

II. The Road to Quantum Beats**(41 POINTS)**Consider the 3-level \mathbf{H} matrix

$$\mathbf{H} = \hbar\omega \begin{pmatrix} 10 & 1 & 0 \\ 1 & 0 & 2 \\ 0 & 2 & -10 \end{pmatrix}$$

Label the eigen-energies and eigen-functions according to the dominant basis state character. The $\widetilde{10}$ state is the one dominated by the zero-order state with $E^{(0)} = 10$, $\widetilde{0}$ by $E^{(0)} = 0$, and $-\widetilde{10}$ by $E^{(0)} = -10$.

- A. (6 points) Use non-degenerate perturbation theory to derive the energies [HINT: $\mathbf{H}^{(0)}$ is diagonal, $\mathbf{H}^{(1)}$ is non-diagonal]:

$$(i) \quad E_{\widetilde{10}} = \boxed{10 + \frac{1^2}{10-0} = 10.1}$$

$$(ii) \quad E_{\widetilde{0}} = \boxed{0 + \frac{1^2}{0-10} + \frac{2^2}{0-(-10)} = 0 - \frac{1}{10} + \frac{4}{10} = \frac{3}{10}}$$

$$(iii) \quad E_{-\widetilde{10}} = \boxed{-10 + \frac{4^2}{-10-0} = -10 - 1.6 = -11.6}$$

- B. (6 points) Use non-degenerate perturbation theory to derive the eigenfunctions [HINT: do not normalize]

$$(i) \quad \psi_{\widetilde{10}} = \psi_{10} + \frac{1}{10-0} \psi_0 = \psi_{10} + \frac{1}{10} \psi_0$$

$$(ii) \quad \psi_{\widetilde{0}} = \psi_0 + \frac{1}{0-10} \psi_{10} + \frac{2}{0-(-10)} \psi_{-10} = \psi_0 - \frac{1}{10} \psi_{10} + \frac{2}{10} \psi_{-10}$$

$$(iii) \quad \psi_{-\widetilde{10}} = \psi_{-10} + \frac{2}{-10-0} \psi_0 = \psi_{-10} - \frac{2}{10} \psi_0$$

- C. (5 points) Demonstrate the *approximate* relationship: $\int \psi_{-10}^* \mathbf{H} \psi_{-10} dx \approx E_{-10}$
[HINT: do not normalize].

$$\begin{aligned} \int \psi_{-10}^* \mathbf{H} \psi_{-10} dx &= \int (\psi_{-10} - 0.2\psi_0)^* \mathbf{H} (\psi_{-10} - 0.2\psi_0) dx \\ &= \mathbf{H}_{-10,-10} + 0.04\mathbf{H}_{0,0} - 0.2\mathbf{H}_{-10,0} - 0.2\mathbf{H}_{0,-10} \\ &= -10 + 0 - (0.4)2 = -10.8 \\ \int \psi_{-10}^* \psi_{-10} dx &= \int \psi_{-10}^* \psi_{-10} dx + \left(\frac{2}{10}\right)^2 \int \psi_0^* \psi_0 dx = 1 + 0.04 = 1.04 \\ \text{Normalized: } \frac{-10.8}{1.04} &= -10.38 \text{ which is closest to } E_{-10} = -11.6 . \end{aligned}$$

- D. (8 points) Use the results from part B to write the elements of the \mathbf{T}^\dagger matrix that non-degenerate perturbation theory promises will give a *nearly diagonal*

$$\tilde{\mathbf{H}} = \mathbf{T}^\dagger \mathbf{H} \mathbf{T}$$

matrix [do not normalize].

$$\text{We know that } \mathbf{T}^\dagger \mathbf{H} \mathbf{T} = \tilde{\mathbf{H}} = \begin{pmatrix} E_{-10} & 0 & 0 \\ 0 & E_{10} & 0 \\ 0 & 0 & E_{-10} \end{pmatrix}$$

and that the columns of \mathbf{T}^\dagger are the eigenvectors

$$\begin{array}{ccc} \psi_{-10} & \psi_0 & \psi_{-10} \\ \mathbf{T}^\dagger = \begin{pmatrix} 1 & -\frac{1}{10} & 0 \\ 0.1 & 1 & -0.2 \\ 0 & \frac{2}{10} & 1 \end{pmatrix} & \begin{array}{l} \psi_{10} \\ \psi_0 \\ \psi_{-10} \end{array} \end{array}$$

- E. (6 points) Suppose, at $t = 0$, you prepare a state $\Psi(x, 0) = \psi_0^{(0)}(x)$. Use the correct elements of the \mathbf{T}^\dagger matrix to write $\Psi(x, 0)$ as a linear combination of the eigenstates, ψ_{-10}, ψ_0 , and ψ_{-10} [do not normalize]:

$$\text{We want one of the rows of } \mathbf{T}^\dagger. \text{ We want the } \psi_0 \text{ row } \Psi(x, 0) = (0.1)\psi_{-10} + (1.0)\psi_0 - 0.2\psi_{-10}$$

- F.** (4 points) For the $\Psi(x,0) = c_{\bar{10}}\psi_{\bar{10}} + c_{\bar{0}}\psi_{\bar{0}} + c_{-\bar{10}}\psi_{-\bar{10}}$ initial state you derived in part **E**, write $\Psi(x,t)$ (do not normalize). If you do not believe your derived $c_{\bar{10}}$, $c_{\bar{0}}$, and $c_{-\bar{10}}$ constants, leave them as symbols.

$$\begin{aligned}\Psi(x,t) &= 0.1e^{-iE_{\bar{10}}t/\hbar}\psi_{\bar{10}} + 1.0e^{-iE_{\bar{0}}t/\hbar}\psi_{\bar{0}} - 0.2e^{-iE_{-\bar{10}}t/\hbar}\psi_{-\bar{10}} \\ &= 0.1e^{-i(10.1)t/\hbar}\psi_{\bar{10}} + 1.0e^{-i(0.3)t/\hbar}\psi_{\bar{0}} - 0.2e^{-i(-11.6)t/\hbar}\psi_{-\bar{10}}\end{aligned}$$

- G.** (6 points) If you obtained an answer you believe in part **G**, you will have discovered quantum beats. Even if you are not convinced that your answer to part **G** is correct, you will receive partial credit for being as explicit as you can be about $P_0(t)$:

- (i) What is the value of $P_0(0)$?

$$P_0(0) = 0. \text{ You prepared } \psi(x,0) = \psi_0 \text{ so } P_0(t=0) = 1.$$

- (ii) The contribution of the zero-order $\psi_0^{(0)}$ state to the observed fluorescence will be modulated at some easily predicted frequencies. What are these frequencies?

The frequencies will be

$$\begin{aligned}& \frac{(E_{\bar{10}} - E_{\bar{0}})}{\hbar}, \quad \frac{(E_{\bar{10}} - E_{-\bar{10}})}{\hbar}, \quad \text{and} \quad \frac{(E_{\bar{0}} - E_{-\bar{10}})}{\hbar} \\ & \frac{10.1 - 0.3}{\hbar} \quad \frac{10.1 - (-11.6)}{\hbar} \quad \frac{0.3 - (-11.6)}{\hbar} \\ & \frac{9.8}{\hbar} \quad \frac{21.7}{\hbar} \quad \frac{11.9}{\hbar}\end{aligned}$$

These are “quantum beats”. You could also compute the amplitudes of each frequency, but that would be a tedious calculation.

III. Inter-Mode Anharmonicity in a Triatomic Molecule (10 POINTS)

Consider a nonlinear triatomic molecule. There are three vibrational normal modes, as specific in $\mathbf{H}^{(0)}$ and two anharmonic inter-mode interaction terms, as specified in $\mathbf{H}^{(1)}$.

$$\frac{\mathbf{H}^{(0)}}{hc} = \tilde{\omega}_1(\mathbf{N}_1 + 1/2) + \tilde{\omega}_2(\mathbf{N}_2 + 1/2) + \tilde{\omega}_3(\mathbf{N}_3 + 1/2)$$

$$\mathbf{H}^{(1)} = k_{122}Q_1Q_2^2 + k_{2233}Q_2^2Q_3^2$$

- A. (2 points) List *all* of the $(\Delta v_1, \Delta v_2, \Delta v_3)$ *combined* selection rules for nonzero matrix elements of the k_{122} term in $\mathbf{H}^{(1)}$? One of these selection rules is $(+1, +2, 0)$.

The k_{122} term gives $\Delta v_1 = \pm 1$, $\Delta v_2 = 0, \pm 2$ and $\Delta v_3 = 0$. So we have

$$(\Delta v_1, \Delta v_2, \Delta v_3) = (1, 2, 0)$$

$$(1, 0, 0)$$

$$(1, -2, 0)$$

$$(-1, 2, 0)$$

$$(-1, 0, 0)$$

$$(-1, -2, 0)$$

- B. (2 points) List *all* of the $(\Delta v_1, \Delta v_2, \Delta v_3)$ selection rules for nonzero matrix elements of the k_{2233} term in $\mathbf{H}^{(1)}$?

The k_{2233} term gives $\Delta v_1 = 0$, $\Delta v_2 = \pm 2, 0$ and $\Delta v_3 = 0, \pm 2$. So now we have

$$(\Delta v_1, \Delta v_2, \Delta v_3) = (0, 2, 2)$$

$$(0, 2, 0)$$

$$(0, 2, -2)$$

$$(0, 0, 2)$$

$$(0, 0, 0)$$

$$(0, 0, -2)$$

$$(0, -2, 2)$$

$$(0, -2, 0)$$

$$(0, -2, -2)$$

- C.** (2 points) In the table below, in the last column, place an X next to the inter-mode vibrational anharmonicity term to which the k_{2233} term contributes .

(i)	$\overline{\omega_e x_{e_{12}}}(v_1 + 1/2)(v_2 + 1/2)$	
(ii)	$\overline{\omega_e x_{e_{23}}}(v_2 + 1/2)(v_3 + 1/2)$	X
(iii)	$\overline{\omega_e z_{e_{2233}}}(v_2 + 1/2)^2(v_3 + 1/2)^2$	

We get $\overline{\omega_e x_{e_{23}}}(v_2 + 1/2)(v_3 + 1/2)$ from $\mathbf{H}_{v_1, v_2, v_3; v_1, v_2, v_3}^{(1)} = E_{v_1, v_2, v_3}^{(1)}$. We also get contributions to this term from $E_{v_1, v_2, v_3}^{(2)}$.

- D.** (2 points) Does the term you specified in part C depend on the sign of k_{2233} ?

The contributions from the $E_{v_1, v_2, v_3}^{(1)}$ term does depend on the sign of k_{2233} because there is a $(\Delta v_1, \Delta v_2, \Delta v_3) = (0, 0, 0)$ diagonal matrix element of $k_{2233} \mathbf{Q}_2^2 \mathbf{Q}_3^2$.

- E.** (2 points) Does the k_{122} term in $\mathbf{H}^{(1)}$ give rise to any vibrational anharmonicity terms that are sensitive to the sign of k_{122} ? Justify your answer.

The k_{122} term cannot give any vibrational anharmonicity terms that depend on the sign of k_{122} because of the $\Delta v_1 = \pm 1$ selection rule.

IV. Your First Encounter with a Non-Rigid Rotor (19 POINTS + 2 extra credit)

Your goal in this problem is to compute the v -dependence of the rotational constant of a harmonic oscillator.

Some equations that you will need:

$$B(R) = \frac{\hbar^2}{4\pi c\mu} R^{-2} \quad , \quad B_e = \frac{\hbar^2}{4\pi c\mu} R_e^{-2}$$

$$\hat{Q} \equiv R - R_e = \left[\frac{\hbar}{4\pi c\mu\omega_e} \right]^{1/2} (\hat{a} + \hat{a}^\dagger)$$

$$\frac{1}{R^2} = \frac{1}{(Q + R_e)^2} = \frac{1}{R_e^2} \left(\frac{Q}{R_e} + 1 \right)^{-2}$$

Power series expansion:

$$\frac{1}{R^2} = \frac{1}{R_e^2} \left[1 - 2 \left(\frac{Q}{R_e} \right) + 3 \left(\frac{Q}{R_e} \right)^2 - 4 \left(\frac{Q}{R_e} \right)^3 + \dots \right],$$

thus

$$B(R) = B_e \left[1 - 2 \left(\frac{Q}{R_e} \right) + 3 \left(\frac{Q}{R_e} \right)^2 - \dots \right].$$

Some algebra yields

$$\boxed{\frac{Q}{R_e} = \left(\frac{B_e}{\omega_e} \right)^{1/2} (\hat{a} + \hat{a}^\dagger)} \quad (1)$$

where $\left(\frac{B_e}{\omega_e} \right) \approx 10^{-3}$, an excellent order-sorting parameter.

$$\boxed{\hat{H}^{\text{ROT}} = hcB_e J(J+1) \left[1 - 2 \left(\frac{B_e}{\omega_e} \right)^{1/2} (\hat{a} + \hat{a}^\dagger) + 3 \left(\frac{B_e}{\omega_e} \right) (\hat{a} + \hat{a}^\dagger)^2 - \dots \right]} \quad (2)$$

A. (3 points) From boxed equation (2), what is $\hat{\mathbf{H}}^{(0)}$?

$$\mathbf{H}^{(0)} = hcB_e J(J+1).$$

B. (3 points) What is $\hat{\mathbf{H}}^{(1)}$?

$$\mathbf{H}^{(1)} = hcB_e J(J+1) \left[-2 \left(\frac{B_e}{\omega_e} \right)^{1/2} (\mathbf{a} + \mathbf{a}^\dagger) + 3 \left(\frac{B_e}{\omega_e} \right) (\mathbf{a} + \mathbf{a}^\dagger)^2 + \dots \right]$$

C. (6 points) $E_J = E_J^{(0)} + E_J^{(1)} + E_J^{(2)}$.

What is $E_J^{(0)}$, as a function of hc , B_e , and $J(J+1)$?

$$E_J^{(0)} = hcB_e J(J+1)$$

What is $E_J^{(1)}$, as a function of hc , B_e , ω_e , and $J(J+1)$?

$$E_{J,v}^{(1)} = hcB_e J(J+1) 3 \left(\frac{B_e}{\omega_e} \right) (2N+1)$$

$$2N+1 = 2(v+1/2)$$

D. (5 points) From experiment we measure

$$E_J = E_J^{(0)} + E_J^{(1)}$$

$$B_v = B_e - \alpha_e (v+1/2), \quad B_{v+1} - B_v = -\alpha_e.$$

What is α_e expressed in terms of hc , B_e , and ω_e ?

$$E_{J,v}^{(1)} = hcJ(J+1)(v+1/2)6B_e^2/\omega_e$$

$$\alpha_e = -6hcB_e^2/\omega_e$$

E. (2 points *extra credit*) Does the sign of α_e bother you? Why?

One might expect that as v increases, B_v will decrease. This is correct for an anharmonic non-rigid rotor. However, for a harmonic non-rigid rotor, B_v will increase with v . This occurs because $B(\mathbf{R})$ increases more at the inner turning point than it decreases at the outer turning point.

V. Derivation of One Part of the Angular Momentum Commutation Rule (10 POINTS)

$$\vec{L} = \vec{r} \times \vec{p} = \begin{pmatrix} \hat{i} & \hat{j} & \hat{k} \\ x & y & z \\ p_x & p_y & p_z \end{pmatrix} = \hat{i}(yp_z - zp_y) - \hat{j}(xp_z - zp_x) + \hat{k}(xp_y - yp_x) \quad (1)$$

$$[\mathbf{x}, \mathbf{p}_x] = i\hbar \quad (2)$$

$$[\mathbf{L}_x, \mathbf{L}_y] = +i\hbar \mathbf{L}_z \quad (3)$$

Use equations (1) and (2) to derive equation (3).

From Eq. (1) we have

$$L_x = yp_z - zp_y$$

$$L_y = zp_x - xp_z$$

$$\begin{aligned} [L_x, L_y] &= [yp_z - zp_y, zp_x - xp_z] \\ &= [yp_z, zp_x] - [yp_z, xp_z] - [zp_y, zp_x] + [zp_y, xp_z] \\ &= y[p_z, z]p_x - 0 - 0 + x[z, p_z]p_y \end{aligned}$$

$$[x, p_x] = i\hbar$$

$$\begin{aligned} [L_x, L_y] &= yp_x(-i\hbar) + xp_y(i\hbar) \\ &= i\hbar[xp_y - yp_x] \end{aligned}$$

but we know from Eq. (1) that $L_z = xp_y - yp_x$, thus $[L_x, L_y] = i\hbar L_z$ as required.

Some Possibly Useful Constants and Formulas

$$h = 6.63 \times 10^{-34} \text{ J} \cdot \text{s}$$

$$\hbar = 1.054 \times 10^{-34} \text{ J} \cdot \text{s}$$

$$\epsilon_0 = 8.854 \times 10^{-12} \text{ C}^2 \text{ kg}^{-1} \text{ m}^{-3}$$

$$c = 3.00 \times 10^8 \text{ m/s}$$

$$c = \lambda \nu$$

$$\lambda = h/p$$

$$m_e = 9.11 \times 10^{-31} \text{ kg}$$

$$m_H = 1.67 \times 10^{-27} \text{ kg}$$

$$1 \text{ eV} = 1.602 \times 10^{-19} \text{ J}$$

$$e = 1.602 \times 10^{-19} \text{ C}$$

$$E = h\nu$$

$$a_0 = 5.29 \times 10^{-11} \text{ m}$$

$$e^{\pm i\theta} = \cos\theta \pm i\sin\theta$$

$$\bar{\nu} = \frac{1}{\lambda} = R_H \left(\frac{1}{n_1^2} - \frac{1}{n_2^2} \right)$$

$$\text{where } R_H = \frac{me^4}{8\epsilon_0^2 h^3 c} = 109,678 \text{ cm}^{-1}$$

Free particle:

$$E = \frac{\hbar^2 k^2}{2m}$$

$$\psi(x) = A\cos(kx) + B\sin(kx)$$

Particle in a box:

$$E_n = \frac{\hbar^2}{8ma^2} n^2 = E_1 n^2$$

$$\psi(0 \leq x \leq a) = \left(\frac{2}{a}\right)^{1/2} \sin\left(\frac{n\pi x}{a}\right) \quad n = 1, 2, \dots$$

Harmonic oscillator:

$$E_n = \left(n + \frac{1}{2}\right) \hbar\omega \quad [\text{units of } \omega \text{ are radians/s}]$$

$$\psi_0(x) = \left(\frac{\alpha}{\pi}\right)^{1/4} e^{-\alpha x^2/2}, \quad \psi_1(x) = \frac{1}{\sqrt{2}} \left(\frac{\alpha}{\pi}\right)^{1/4} (2\alpha^{1/2} x) e^{-\alpha x^2/2}, \quad \psi_2(x) = \frac{1}{\sqrt{8}} \left(\frac{\alpha}{\pi}\right)^{1/4} (4\alpha x^2 - 2) e^{-\alpha x^2/2}$$

$$\hat{x} \equiv \sqrt{\frac{m\omega}{\hbar}} \hat{x}$$

$$\hat{p} \equiv \sqrt{\frac{1}{\hbar m \omega}} \hat{p} \quad [\text{units of } \omega \text{ are radians/s}]$$

$$\mathbf{a} \equiv \frac{1}{\sqrt{2}} (\hat{x} + i\hat{p})$$

$$\frac{\hat{H}}{\hbar\omega} = \mathbf{a}\mathbf{a}^\dagger - \frac{1}{2} = \mathbf{a}^\dagger \mathbf{a} + \frac{1}{2} \quad \hat{N} = \mathbf{a}^\dagger \mathbf{a}$$

$$\mathbf{a}^\dagger = \frac{1}{\sqrt{2}} (\hat{x} - i\hat{p})$$

$$2\pi c\tilde{\omega} = \omega \quad [\text{units of } \tilde{\omega} \text{ are cm}^{-1}]$$

Semi-Classical

$$\lambda = h/p$$

$$p_{\text{classical}}(x) = [2m(E - V(x))]^{1/2}$$

$$\text{period: } \tau = 1/\nu = 2\pi/\omega$$

For a *thin* barrier of width ε where ε is very small, located at x_0 , and height $V(x_0)$:

$$H_{nm}^{(1)} = \int_{x_0-\varepsilon/2}^{x_0+\varepsilon/2} \psi_n^{(0)*} V(x) \psi_n^{(0)} dx = \varepsilon V(x_0) |\psi_n^{(0)}(x_0)|^2$$

Perturbation Theory

$$E_n = E_n^{(0)} + E_n^{(1)} + E_n^{(2)}$$

$$\Psi_n = \psi_n^{(0)} + \psi_n^{(1)}$$

$$E_n^{(1)} = \int \psi_n^{(0)*} \widehat{H}^{(1)} \psi_n^{(0)} dx = H_{nn}^{(1)}$$

$$\psi_n^{(1)} = \sum_{m \neq n} \frac{H_{nm}^{(1)}}{E_n^{(0)} - E_m^{(0)}} \psi_m^{(0)}$$

$$E_n^{(2)} = \sum_{m \neq n} \frac{|H_{nm}^{(1)}|^2}{E_n^{(0)} - E_m^{(0)}}$$

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