#### **Lecture #5: Continuum Normalization**

Last time: Free Wavepacket

encoding of  $x_0$ ,  $\Delta x$ ,  $p_0$ ,  $\Delta p$ 

\* use of the Gaussian functional form, G(x;  $x_0, \Delta x),$  to avoid calculating integrals

\* use of stationary phase to encode  $x_0$  in  $\mid g(k) \mid e^{i\alpha(k)}$ 

\* use g(k) because it is automatic to put in  $e^{-iE_kt/\hbar}$ 

For moving and spreading free wavepacket:  $\Delta x$  is time dependent  $\Delta p$  is not (because free wavepacket is not subject to any force)

- Today: Normalization of eigenfunctions which belong to continuously (as opposed to discretely) variable eigenvalues.
  - convenience of ortho-normal basis sets: generalization for continua
  - we often talk about "density of states", but in order to do that we need to define what we mean by "state"
  - computation of absolute probabilities cannot depend on how we choose to define "state".
  - 1. Identities for  $\delta$ -functions.
  - 2.  $\Psi_{\delta k}$ ,  $\Psi_{\delta p}$ ,  $\Psi_{\delta E}$  for eigenfunctions that correspond to continuously variable eigenvalues.
  - 3. finite box with countable number of discrete states taken to the limit  $L \rightarrow \infty$ . Normalization independent quantity:

$$P(x, \theta) = \left(\frac{\# \text{ states}}{\delta \theta}\right) \left(\frac{\# \text{ particles}}{\delta x}\right)$$

 $\theta$  is the argument of the delta-function. So if we integrate over a region of  $\theta$  and x, we have the absolute probability,  $\iint d\theta dx P(x,\theta)$ .

4. two examples — "predissociation" rate and smoothly varying spectral density.

In Quantum Mechanics, there are two very different classes of systems.



For confined systems, we can express ortho-normalization in terms of <u>Kronecker- $\delta$ </u>

$\delta_{ij} = \int_{-\infty}^{\infty} \psi_i^* \psi_j  dx$	$\delta_{ij} = 0$	$i \neq j$	orthogonal
	$\delta_{ij} = 1$	i = j	normalized

 $\Psi$  has dimension of  $L^{-1/2}$ 

 $\boldsymbol{\delta}_{ij}$  has dimension of pure number. (Kronecker- $\boldsymbol{\delta})$ 

For unconfined systems, we are going to ortho-normalize states to  $\underline{\text{Dirac } \delta}$ -<u>functions</u>

In order to do this we need to know better what a  $\delta$ -function is and what some of its mathematical properties are.

One of several equivalent definitions of a  $\delta$ -function:

$$\delta(x-x') = \delta(x,x') = \frac{1}{2\pi} \int e^{-iu(x-x')} du.$$

What is it good for?

$$\int \delta(x,x')\psi(x)dx = \psi(x').$$

$$\delta(x,x') \text{ has dimension of } 1/x.$$
(Dirac- $\delta$  function)

Some useful  $\delta$ -function identities:

We do this so that we will be able to transform between  $\delta k$ ,  $\delta p$ , and  $\delta E$  (where E = f(k)) delta-function normalization schemes.

1. 
$$\delta(ax, ax') = \frac{1}{|a|} \delta(x, x')$$
 e.g.,  $\delta(p - p') = \delta(\hbar(k - k')) = \frac{1}{\hbar} \delta(k - k')$   
dimension of  $p^{-1}$ 

dimension of 1/k-----

nonlecture proof of #1 above  

$$\delta(ax, ax') = \frac{1}{2\pi} \int e^{-iu(ax-ax')} du \quad \text{change variables}$$

$$v = au$$

$$dv = a \ du$$

$$\delta(ax, ax') = \frac{1}{2\pi} \frac{1}{a} \int e^{-iv(x-x')} dv = \frac{1}{a} \delta(x, x')$$
but, since  $\delta(ax, ax') \equiv \delta(ax - ax') = \delta(ax' - ax) = \delta([-a](x - x'))$ 

$$(\delta \text{ is an even function}), \ \delta(ax, ax') = \frac{1}{|a|} \delta(x, x')$$

2. 
$$\delta(g(x)) = \sum_{\substack{i \\ z \in ros \\ of g(x)}} \left| \frac{dg(x_i)}{dx} \right|^{-1} \delta(x, x_i)$$
 provided that  $\frac{dg(x_i)}{dx} \neq 0$ 

expand g(x) in the region near each 0 of g(x),

i.e., x near 
$$x_i$$
  $g(x) \cong \frac{dg}{dx}\Big|_{x=x_i} (x-x_i).$ 

If there is only 1 zero, then identity #1 above gives the required result. It is clear that  $\delta(g(x))$  will only be nonzero when g(x) = 0. Otherwise we need to carry out the sum in identity #2.

$$g(\mathbf{x}) = (\mathbf{x} - \mathbf{a})(\mathbf{x} - \mathbf{b}) \text{ has zeroes at } \mathbf{x} = \mathbf{a} \text{ and } \mathbf{x} = \mathbf{b}.$$

$$\frac{dg}{dx} = \frac{d}{dx} \Big[ x^2 - x(a+b) + ab \Big] = 2x - (a+b)$$

$$\frac{dg}{dx} \Big|_{x=a} = a - b \qquad \frac{dg}{dx} \Big|_{x=b} = b - a$$

$$\delta(g(x)) = \sum_{i} \left| \frac{dg(x_{1})}{dx} \right|^{-1} \delta(x, x_{i}) \qquad (\text{zeroes of } g(x))$$

$$= \left| \frac{1}{a-b} \right| \Big[ \delta(x,a) + \delta(x,b) \Big]$$

Other examples:

$$\delta(x^{2} - a^{2}) = \frac{1}{2|a|} \left[ \delta(x, a) + \delta(x - a) \right]$$
$$\delta(x^{1/2} - a^{1/2}) = 2a^{1/2}\delta(x - a) \quad (a > 0)$$

See Merzbacher, Quantum Mechanics, 3<sup>rd</sup> Edition, pages 630-632.

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#### EXAMPLES

- A. g(x) = (x-a)(x-b) This has zeroes at x = a, and x = b. You should show that  $\delta(g(x)) = \frac{1}{|a-b|} [\delta(x,a) + \delta(x,b)].$
- B.  $\delta(E^{1/2}, E^{1/2})$   $g(E) = E^{1/2} - E^{1/2}$  has one zero at E = E', expand g(E) about E = E', thus for E near E'  $g(E) \pm \frac{1}{2}E^{r-1/2}(E - E')$ . you should show that  $\delta(E^{1/2}, E^{r/1/2}) = 2|E^{r/1/2}|\delta(E, E')$ This is useful because  $k \propto E^{1/2}$   $\delta(E - E') = \left(\frac{m}{2h^2}(E' - V_0)\right)^{1/2}[\delta(k - k') + \delta(k + k')]$  for a free particle or  $\delta(k_E(x) - k_{E'}(x)) = \left(\frac{2h^2}{m}\right)^{1/2}(E' - V(x))^{1/2}\delta(E - E')$ Another property of  $\delta$ -functions:  $\frac{d}{dx}\delta(x, x')$  $\delta(x, x')$  is an even function:  $\int_{x + 4}^{x + 4} \int_{x + 4}^{x + 4} \int_{x$

This is useful because application of  $\frac{d}{dx}\delta(x,x')$  to f(x) is capable of picking out  $\frac{df}{dx}$  evaluated at x'.

Non-lecture:

Use definition of derivative to prove that

$$\int_{-\infty}^{\infty} \delta'(x,x')f(x)dx = -f'(x')$$

$$\frac{d}{dx}\delta(x,x') = \lim_{\varepsilon \to 0} \frac{\left[\delta(x+\varepsilon,x')-\delta(x,x')\right]}{\varepsilon}$$

$$\int \delta(x+\varepsilon,x')f(x)dx = f(x'-\varepsilon)$$

$$\int \delta(x,x')f(x)dx = -f(x')$$

$$\therefore \int \lim_{\varepsilon \to 0} \frac{\left[\delta(x+\varepsilon,x')-\delta(x,x')\right]}{\varepsilon}f(x)dx = \lim_{\varepsilon \to 0} \frac{f(x'-\varepsilon)-f(x')}{\varepsilon} = -f'(x')$$

$$= -f'(x')$$

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There are several useful ways to normalize wavefunctions.

**Bound states**. Particle is confined in space (with tunneling tails outside the box). Space normalized. 1 particle (mostly) in box.

Box normalized  $\psi_{L,E_i}(x)$  (box of length L)

 $\int_{-\infty}^{\infty} dx \, \psi_{L,E_i}^* \psi_{L,E_j} = \delta_{ij} \qquad \text{Kronecker - delta}$ 

OK for bound states, but not continua.

dimension of  $\psi_{L,E}$  is  $L^{-1/2}$ dimension of  $\delta_{ij}$  or  $\delta_{E_iE_i}$  is 1.

**<u>Continua</u>**. We need some other form of normalization.

e.g. 
$$\int_{-L/2}^{L/2} dx (e^{ikx})^* e^{ik'x} = \int_{-L/2}^{L/2} dx e^{-i(k-k')x}$$

if k = k' we get L if  $k \neq k'$  we expect to get 0 (in limit  $L \rightarrow \infty$ )

So we can normalize to a delta function in E, p, or k.

$$\delta \mathbf{E}: \int_{-\infty}^{\infty} dx \psi_{\delta E, E_{i}}^{*} \psi_{\delta E, E_{j}} \equiv \delta \left( E_{i} - E_{j} \right)$$
  
$$\delta \left( E_{i} - E_{j} \right) \text{ has the useful } \delta \text{-function property:}$$
  
$$\int dE \, \delta \left( E - E_{j} \right) \psi_{\delta E, E} = \psi_{\delta E, E_{j}}$$

This implies that  $\delta(E - E_j)$  has the dimension of 1/E and that  $\psi_{\delta E,E}$  has dimension of  $L^{-1/2}E^{-1/2}$ 

**δ p**: 
$$p_E(x) = [2m(E - V(x))]^{1/2}$$
  $P_E^2/2m = E - V(x)$ 

$$\int_{-\infty}^{\infty} dx \psi_{\delta p, p_{E_i}}^* \psi_{\delta p, p_{E_j}} = \delta \left( p_{E_i}(x) - p_{E_j}(x) \right)$$
$$\boxed{\delta \left( p - p' \right) \text{ has dimension of } 1/p}$$
$$\psi_{\delta p, p_E} \text{ has dimension of } L^{-1/2} p^{-1/2}$$

$$\delta \mathbf{k}: \qquad k_E(x) = \left[\frac{2m}{\hbar^2}(E - V(x))\right]^{1/2} \quad \frac{\hbar^2 k_E^2}{2m} = E - V(x)$$
$$\int_{-\infty}^{\infty} dx \psi^*_{\delta \mathbf{k}, \mathbf{k}_{\mathrm{E}_i}} \psi_{\delta \mathbf{k}, \mathbf{k}_{\mathrm{E}_j}} = \delta\left(\mathbf{k}_{\mathrm{E}_i}(x) - \mathbf{k}_{\mathrm{E}_j}(x)\right)$$
$$\frac{\delta(k - k') \text{ has units of } 1/k}{\Psi_{\delta \mathbf{k}, \mathbf{k}} \text{ has units of } 1/k}$$

What are all of these normalization schemes good for?

When you make a measurement on a continuum (unbound) system, you ask

What is the probability of finding a particle between $\mathbf{x}, \mathbf{x} + \mathbf{dx}$ and  $\boldsymbol{\theta}, \boldsymbol{\theta} + \mathbf{d\theta}$ ? $\boldsymbol{\theta}$  can be E,  $\mathbf{p}_{\mathrm{E}}(\mathbf{x})$ , or  $\mathbf{k}_{\mathrm{E}}(\mathbf{x})$ 

The probability is  $P(x, \theta) dx d\theta$ 

Want P(x,  $\boldsymbol{\theta}$ ). Has dimensions L<sup>-1</sup>  $\boldsymbol{\theta}^{-1}$  (as shown for  $\psi_{\delta,\delta E}, \psi_{\delta_{p,pE}}$ , and  $\psi_{\delta_{k,kE}}$ )

$$P(x,\theta) = \psi_{\delta\theta,\theta}^{*}(x)\psi_{\delta\theta,\theta}(x)$$

There is another less abstract way to get this kind of information. "Discretize the continuum" by adding an infinite barrier at x = L and taking the limit  $L \rightarrow \infty$ . This way we can use box-normalized states, and actually count the states.

The WKB quantization condition (will be derived in Lecture #7) gives

. . .

$$\frac{dn}{dE} = \frac{(2m)^{1/2}}{h} \int_{x_{-}(E)}^{x_{+}(E)} dx (E - V(x))^{-1/2}$$

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We have a complicated V(x) for  $x < x_0$  and constant for  $x > x_0$ .

In the region where V(x) is constant at  $V(x_0) = V_0$ .

$$\int_{x_0}^{L} [E - V(x)]^{-1/2} dx = [E - V_0]^{-1/2} (L - x_0) \propto L$$
  
and box normalization causes  $|\Psi|^2 \propto \frac{1}{L}$   
so we get 
$$\underbrace{P(x, E)}_{\substack{\text{dimension}\\ L^{-1}E^{-1}}} = \lim_{L \to \infty} \underbrace{\left(\frac{dn_L}{dE}\right)}_{\substack{\text{dimension}\\ E^{-1}}} \underbrace{\Psi_{L,E}^*(x)\Psi_{L,E}(x)}_{\substack{\text{dimension}\\ L^{-1}}}$$

## 2 Schematic Examples

- \* Bound  $\rightarrow$  free transition probabilities
- \* Constant spectral density across a dissociation or ionization limit.



At t = 0, system is prepared in  $\Psi(x,0) = \psi_{bound}(x)$ Fermi's Golden Rule:

$$Rate = \Gamma_{\text{bound} \to \text{free}} = \frac{2\pi}{\hbar} \Big| \int \psi_{\delta E}^{\text{free}^*}(E) \hat{\mathbf{H}} \psi_{L,E}^{\text{bound}} dx \Big|^2 \rho_{\delta E}(E)$$

$$\rho_{\delta E} = \frac{dn_{\delta E}(E)}{dE} \text{ derive this key quantity by box normalizing repulsive state and taking } \lim_{L \to \infty} \left( \frac{1}{L} \frac{dn_L}{dE} \right)$$

Then compute the  $\hat{\mathbf{H}}$  integral using two box normalized functions.



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