## Lecture 1: Introduction and Peak Finding

## Lecture Overview

- Administrivia
- Course Overview
- "Peak finding" problem - 1D and 2D versions


## Course Overview

This course covers:

- Efficient procedures for solving problems on large inputs (Ex: U.S. Highway Map, Human Genome)
- Scalability
- Classic data structures and elementary algorithms (CLRS text)
- Real implementations in Python
- Fun problem sets!

The course is divided into 8 modules - each of which has a motivating problem and problem set(s) (except for the last module). Tentative module topics and motivating problems are as described below:

1. Algorithmic Thinking: Peak Finding
2. Sorting \& Trees: Event Simulation
3. Hashing: Genome Comparison
4. Numerics: RSA Encryption
5. Graphs: Rubik's Cube
6. Shortest Paths: Caltech $\rightarrow$ MIT
7. Dynamic Programming: Image Compression
8. Advanced Topics

## Peak Finder

## One-dimensional Version

Position 2 is a peak if and only if $b \geq a$ and $b \geq c$. Position 9 is a peak if $i \geq h$.

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $a$ | $b$ | $c$ | $d$ | $e$ | $f$ | $g$ | $h$ | $i$ |

Figure 1: a-i are numbers
Problem: Find a peak if it exists (Does it always exist?)

## Straightforward Algorithm



Start from left


Figure 2: Look at $n / 2$ elements on average, could look at $n$ elements in the worst case
What if we start in the middle? For the configuration below, we would look at $n / 2$ elements. Would we have to ever look at more than $n / 2$ elements if we start in the middle, and choose a direction based on which neighboring element is larger that the middle element?


## Can we do better?



Figure 3: Divide \& Conquer

- If $a[n / 2]<a[n / 2-1]$ then only look at left half $1 \ldots n / 2---1$ to look for peak
- Else if $a[n / 2]<a[n / 2+1]$ then only look at right half $n / 2+1 \ldots n$ to look for peak
- Else $n / 2$ position is a peak: WHY?

$$
\begin{aligned}
a[n / 2] & \geq a[n / 2-1] \\
a[n / 2] & \geq a[n / 2+1]
\end{aligned}
$$

What is the complexity?

$$
T(n)=T(n / 2)+\underbrace{\Theta(1)}_{\text {to compare a }[\mathrm{n} / 2] \text { to neighbors }}=\Theta(1)+\ldots+\Theta(1)\left(\log _{2}(n) \text { times }\right)=\Theta\left(\log _{2}(n)\right)
$$

In order to sum up the $\Theta(i)$ 's as we do here, we need to find a constant that works for all. If $n=1000000, \Theta(n)$ algo needs 13 sec in python. If algo is $\Theta(\log n)$ we only need 0.001 sec . Argue that the algorithm is correct.

## Two-dimensional Version



Figure 4: Greedy Ascent Algorithm: $\Theta(n m)$ complexity, $\Theta\left(n^{2}\right)$ algorithm if $m=n$ $a$ is a 2D-peak iff $a \geq b, a \geq d, a \geq c, a \geq e$

|  |  |  |  |
| :--- | :--- | :--- | :--- |
| 14 | 13 | 12 |  |
| 15 | 9 | 11 | 17 |
| 16 | 17 | 19 | 20 |

Figure 5: Circled value is peak.

## Attempt \# 1: Extend 1D Divide and Conquer to 2D



- Pick middle column $j=m / 2$.
- Find a 1D-peak at $i, j$.
- Use $(i, j)$ as a start point on row $i$ to find 1D-peak on row $i$.


## Attempt \#1 fails

Problem: 2D-peak may not exist on row $i$

|  |  | 10 |  |
| :--- | :--- | :--- | :--- |
| 14 | 13 | 12 |  |
| 15 | 9 | 11 |  |
| 16 | 17 | 19 | 20 |

End up with 14 which is not a 2D-peak.

## Attempt \# 2

- Pick middle column $j=m / 2$
- Find global maximum on column $j$ at $(i, j)$
- Compare $(i, j-1),(i, j),(i, j+1)$
- Pick left columns of $(i, j-1)>(i, j)$
- Similarly for right
- $(i, j)$ is a 2D-peak if neither condition holds $\leftarrow$ WHY?
- Solve the new problem with half the number of columns.
- When you have a single column, find global maximum and you're done.


## Example of Attempt \#2

|  |  |  |  | go with |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10 | 8 | 10 | 10 | 10 | 10 | 10 |  |
| 14 | 13 | 12 | 11 | 12 | 11 | 11 |  |
| 15 | 9 | 11 | 21 | 11 | 21 | (21) | find 21 |
| 16 | 17 | 19 | 20 | 19 | 20 | 20 |  |
|  |  |  |  | $\uparrow$ this | $\begin{gathered} \text { colu } \\ \text { ma } \\ \text { blum } \end{gathered}$ |  |  |

## Complexity of Attempt \#2

If $T(n, m)$ denotes work required to solve problem with $n$ rows and $m$ columns

$$
\begin{aligned}
T(n, m) & =T(n, m / 2)+\Theta(n) \text { (to find global maximum on a column - (n rows) }) \\
T(n, m) & =\underbrace{\Theta(n)+\ldots+\Theta(n)}_{\log m} \\
& =\Theta(n \log m)=\Theta(n \log n) \text { if } \mathrm{m}=\mathrm{n}
\end{aligned}
$$

Question: What if we replaced global maximum with 1D-peak in Attempt \#2? Would that work?

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### 6.006 Introduction to Algorithms

Fall 2011

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