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## Problem Set 8

Please write your solutions in the  $\text{\LaTeX}$  template provided. Aim for concise solutions; convoluted and obtuse descriptions might receive low marks, even when they are correct. **There is no coding part to submit.**

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Please solve each of the following problems using **dynamic programming**. For each problem, be sure to define a set of subproblems, relate the subproblems recursively, argue the relation is acyclic, provide base cases, construct a solution from the subproblems, and analyze running time. Correct but inefficient dynamic programs will be awarded significant partial credit.

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For each problem below, please indicate whether the requested running time is either:

(1) **polynomial**, (2) **pseudopolynomial**, or (3) **exponential** in the size of the input.

This categorization will be worth **3 points per problem**.

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### Problem 8-1. [25 points] Oil Well that Ends Well

The oil wells of tycoon Ron Jockefeller will produce  $m$  oil barrels this month. Ron has a list of  $n$  orders from potential buyers, where the  $i$ th order states a willingness to buy  $a_i$  barrels for a total price of  $p_i$  (not per barrel), which may be negative.<sup>1</sup> Each order must be filled completely or not at all, and can only be filled once. Ron does not have to sell all of his oil, but he must pay  $s$  dollars per unsold barrel in storage costs. Describe an  $O(nm)$ -time algorithm to determine which orders to fill so that Ron can maximize his profit (which may be negative).

#### Solution:

##### 1. Subproblems

- $x(i, j)$  : maximum possible profit by selling  $j$  barrels to the suffix of buyers  $i$  through  $n$
- for  $i \in \{1, \dots, n + 1\}, j \in \{0, \dots, m\}$

##### 2. Relate

- Ron can either sell or not sell to buyer  $i$ 
  - If he sells, can't sell to them again but must sell remaining barrels
  - If not sells, no change to barrels to be sold
- $x(i, j) = \max \left\{ \begin{array}{ll} p_i + x(i + 1, j - a_i) & \text{if } j \geq a_i \\ x(i + 1, j) & \text{always} \end{array} \right\}$

##### 3. Topo. Order

- $x(i, j)$  only depends on subproblems with strictly larger  $i$ , so acyclic

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<sup>1</sup>Earlier this year, oil futures contract prices went negative: people were paying money to not accept delivery of oil because demand for oil had fallen dramatically and there was a shortage of places to store oil.

#### 4. Base

- If no more buyers remain, Ron must pay  $s$  dollars per unsold barrel
- $x(n + 1, j) = -sj$  for all  $j \in \{0, \dots, m\}$

#### 5. Original

- Solve subproblems via recursive top down or iterative bottom up
- $x(1, m)$  is the maximum profit allowing sales of  $m$  barrels to all buyers
- Store parent pointers to reconstruct which sales fulfill an optimal order

#### 6. Time

- # subproblems:  $(n + 1)(m + 1) = O(nm)$
- Work per subproblem:  $O(1)$
- $O(nm)$  running time, which is **pseudopolynomial** in the size of the input

**Rubric:** (Same for all problems in PS7)

- S: 5 points for a correct subproblem description
- R: 5 points for a correct recursive relation
- T: 2 points for indication that relation is acyclic
- B: 2 point for correct base cases in terms of subproblems
- O: 2 point for correct original solution in terms of subproblems
- T: 2 points for correct analysis of running time
- 4 points if a correct dynamic program is efficient (meets requested bound)
- 3 points for correctly labeling running time polynomial or pseudopolynomial
- Partial credit may be awarded

#### Problem 8-2. [25 points] Splits Bowling

In Lecture 15, we introduced **Bowling**: a one-player game played on a sequence of  $n$  pins, where pin  $i$  has integer value  $v_i$  (possibly negative). The player repeatedly knocks down pins in two ways:

- knock down a single pin, providing  $v_i$  points; or
- knock down two adjacent pins  $i$  and  $i + 1$ , providing  $v_i \cdot v_{i+1}$  points.

Pins may be knocked down at most once, though the player may choose not to knock down some pins. A Bowling variant, **Split Bowling**, adds a third way the player can knock down two pins forming a **split**, specifically:

- knock down two pins  $i$  and  $j > i + 1$  if all pins in  $\{i + 1, \dots, j - 1\}$  between them have already been previously knocked down, providing  $v_i \cdot v_j$  points.

Describe an  $O(n^3)$ -time algorithm to determine the maximum score possible playing Split Bowling on a given input sequence of  $n$  pins.

**Solution:**

**1. Subproblems**

- Assume pins are zero-indexed in array  $V = (v_0, \dots, v_{n-1})$
- $x(i, j, c)$  : maximum possible score playing Split Bowling on substring of pins  $V[i : j]$ , where all pins in range must be knocked down if  $c = 1$ , but unconstrained if  $c = 0$
- for  $i \in \{0, \dots, n\}, j \in \{i, \dots, n\}, c \in \{0, 1\}$

**2. Relate**

- Guess what to do with pin  $i$ 
  - If  $c = 0$ , can choose not to knock down pin  $i$
  - Otherwise, can knock down pin  $i$  in one of three ways:
    - \* knock down by itself getting  $v_i$  points,
    - \* knock down with pin  $i + 1$  for  $v_i \cdot v_{i+1}$  points, or
    - \* knock down with pin  $k$  for  $v_i \cdot v_k$  points for some  $k \in \{i + 2, \dots, j - 1\}$
  - In last case, need max value to knock down all pins between and pins after

$$\bullet x(i, j, c) = \max \left\{ \begin{array}{ll} x(i + 1, j, c) & \text{if } c = 0 \\ v_i + x(i + 1, j, c) & \text{if } i < j \\ \max_{k \in \{i+1, \dots, j-1\}} v_i \cdot v_k + x(i + 1, k - 1, 1) + x(k + 1, j, c) & \text{if } i + 1 < j \end{array} \right\}$$

**3. Topo. Order**

- $x(i, j)$  only depends on subproblems with strictly smaller  $j - i$ , so acyclic

**4. Base**

- $x(i, i, k) = 0$  (no more pins gives zero value)
- for  $i \in \{0, \dots, n\}, k \in \{0, 1\}$

**5. Original**

- Solve subproblems via recursive top down or iterative bottom up
- $x(0, n, 0)$  : maximum score possible playing on all pins, unconstrained

**6. Time**

- # subproblems:  $O(n^2)$
- Work per subproblem:  $O(n)$
- $O(n^3)$  running time, which is **polynomial** in the size of the input

**Problem 8-3.** [25 points] **Quarter Partition**

Given a set  $A = \{a_0, \dots, a_{n-1}\}$  containing  $n$  distinct positive integers where  $m = \sum_{a_i \in A} a_i$ , describe an  $O(m^3n)$ -time algorithm to return a **partition** of  $A$  into four subsets  $A_1, A_2, A_3, A_4 \subseteq A$  (where  $A_1 \cup A_2 \cup A_3 \cup A_4 = A$ ) such that the maximum of their individual sums is as small as possible, i.e., such that  $\max \left\{ \sum_{a_i \in A_j} a_i \mid j \in \{1, 2, 3, 4\} \right\}$  is minimized.

**Solution:****1. Subproblems**

- $x(k, s_1, s_2, s_3)$  : True if it is possible to partition suffix of items  $A[k : ]$  into four subsets  $A_1, A_2, A_3, A_4$ , where  $s_j = \sum_{a_i \in A_j} a_i$  for all  $j \in \{1, 2, 3\}$ , and false otherwise
- for  $k \in \{0, \dots, n\}$  and  $s_1, s_2, s_3 \in \{0, \dots, m\}$

**2. Relate**

- Integer  $a_k$  must be placed in some partition. Guess!
- $x(k, s_1, s_2, s_3) = \text{OR} \left\{ \begin{array}{ll} x(k+1, s_1 - a_k, s_2, s_3) & \text{if } a_k \leq s_1 \\ x(k+1, s_1, s_2 - a_k, s_3) & \text{if } a_k \leq s_2 \\ x(k+1, s_1, s_2, s_3 - a_k) & \text{if } a_k \leq s_3 \\ x(k+1, s_1, s_2, s_3) & \text{always} \end{array} \right\}$

**3. Topo. Order**

- $x(k, s_1, s_2, s_3)$  only depends on subproblems with strictly larger  $k$ , so acyclic

**4. Base**

- $x(n, 0, 0, 0) = \text{True}$  (can partition zero integers into zero sum subsets)
- $x(n, s_1, s_2, s_3) = \text{False}$  for any  $s_1, s_2, s_3 > 0$
- (cannot partition zero integers into any subset with positive sum)
- for  $i \in \{0, \dots, n\}, k \in \{0, 1\}$

**5. Original**

- Solve subproblems via recursive top down or iterative bottom up
- Let  $m(0, s_1, s_2, s_3) = \begin{cases} \max\{s_1, s_2, s_3, m - s_1 - s_2 - s_3\} & \text{if } x(0, s_1, s_2, s_3) \text{ is True} \\ \infty & \text{otherwise} \end{cases}$
- Solution to original problem is then given by:
- $\min\{m(0, s_1, s_2, s_3) \mid s_1, s_2, s_3 \in \{0, \dots, m\}\}$
- Store parent pointers to reconstruct each subset

**6. Time**

- # subproblems:  $O(m^3n)$
- Work per subproblem:  $O(1)$
- Work to compute original:  $O(m^3)$
- $O(m^3n)$  running time, which is **pseudopolynomial** in the size of the input

**Problem 8-4.** [25 points] **Corrupt Chronicles**

Kimmy Jerk is the captain of the USS Exitcost, a starship charged with exploring new worlds. Each day, Capt. Jerk uploads a **captain's log** to the ship's computer: a string of at most  $m$  lowercase English letters and spaces, where a **word** in a log is any maximal substring not containing a space.

One day, Capt. Jerk is abducted, and Communications Officer Uhota Nyura goes to the captain's logs looking for evidence. Unfortunately, the log upload system has malfunctioned, and has **corrupted** each of the last  $n$  logs by dropping all spaces. Officer Nyura wants to restore the spaces based on Capt. Jerk's speech patterns in previous logs. Given a list  $L_c$  of the  $n$  corrupted logs, as well as a list  $L_u$  of  $O(m^2n)$  uncorrupted logs from before the malfunction, Officer Nyura wants to:

- for each word  $w$  appearing in any log in  $L_u$ , compute  $f(w)$ : the positive integer number of times word  $w$  appears in  $L_u$  (note,  $f(w)$  is zero for any word  $w$  not appearing in  $L_u$ ); and
- for each log  $\ell_i \in L_c$ , return a **restoration**  $R_i$  of  $\ell_i$  (i.e, a sequence of words  $R_i$  whose ordered concatenation equals  $\ell_i$ ), such that  $\sum_{w \in R_i} f(w)$  is maximized over all possible restorations.

Describe an  $O(m^3n)$ -time algorithm to restore Capt. Jerk's logs based on the above protocol.

**Solution:** Observe that if we can process  $L_u$  in  $O(m^2n)$  time, and then compute the restoration the  $n$  corrupted logs, each in  $O(m^3)$  time, then we will be within the desired bound.

First, scan through each of the  $O(m^2n)$  at most length- $m$  uncorrupted logs, and insert each word  $w$  into a hash table  $H$  mapping to frequency  $f(w)$ . Specifically, if  $w$  does not appear in  $H$ , add it to  $H$  mapping to 1, and if  $w$  does appear in  $H$ , increase the mapped value by 1. This process computes all  $f(w)$  for words appearing in any log in  $L_u$  directly in expected  $O(m^3n)$  time, since the time to hash each word is linear in its length.

Second, we compute a restoration for each log  $\ell_i$  in  $L_c$  via dynamic programming in expected  $O(m^3)$  time, leading to an expected  $O(m^3n)$  running time in total, which is **polynomial** in the size of the input (there are at least  $\Omega(nm)$  characters in the input).

**1. Subproblems**

- $x(j)$  : maximum  $\sum_{w \in R_{i,j}} f(w)$  for any restoration  $R_{i,j}$  of suffix  $\ell_i[j : ]$
- for  $j \in \{0, \dots, |\ell_i| \leq m\}$

**2. Relate**

- Guess the first word in  $\ell_i[j : ]$  and recurse on the remainder
- $x(j) = \max\{f(\ell_i[j : k]) + x(k) \mid k \in \{j + 1, \dots, |\ell_i|\}\}$
- Where  $f(w) = \begin{cases} H(w) & \text{if } w \in H \\ 0 & \text{otherwise} \end{cases}$

**3. Topo. Order**

- $x(j)$  only depends on strictly larger  $j$ , so acyclic

**4. Base**

- $x(|\ell_i|) = 0$  (no log left to restore)

### 5. Original

- Solve subproblems via recursive top down or iterative bottom up
- Solution to original problem is given by  $x(0)$
- Store parent pointers to reconstruct a restoration achieving value  $x(0)$

### 6. Time

- # subproblems:  $O(m)$
- Work per subproblem:  $O(m^2)$
- ( $O(m)$  choices, and each hash table lookup costs expected  $O(m)$  time)
- Expected  $O(m^3)$  running time per restoration

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6.006 Introduction to Algorithms  
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