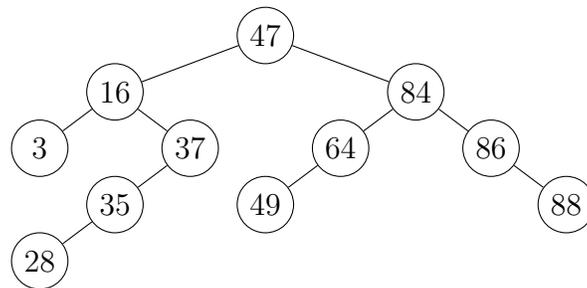


## Problem Set 4

Please write your solutions in the  $\text{\LaTeX}$  and Python templates provided. Aim for concise solutions; convoluted and obtuse descriptions might receive low marks, even when they are correct.

### Problem 4-1. [10 points] Binary Tree Practice

- (a) [2 points] The Set Binary Tree  $T$  below is **not height-balanced** but does satisfy the **binary search tree** property, assuming the key of each integer item is itself. Indicate the keys of all nodes that are not height-balanced and compute their skew.



- (b) [5 points] Perform the following insertions and deletions, one after another in sequence on  $T$ , by adding or removing a leaf while maintaining the binary search tree property (a key may need to be swapped down into a leaf). For this part, **do not** use rotations to balance the tree. Draw the modified tree after each operation.

```

1 T.insert(2)
2 T.delete(49)
3 T.delete(35)
4 T.insert(85)
5 T.delete(84)
  
```

- (c) [3 points] For each unbalanced node identified in part (a), draw the two trees that result from rotating the node in the **original** tree left and right (when possible). For each tree drawn, specify whether it is height-balanced, i.e., all nodes satisfy the AVL property.

**Note:** Material on this page requires material that will be covered in **L08 on March 3, 2020**. We suggest waiting to solve these problem until after that lecture. All other pages of this assignment can be solved using only material from L07 and earlier.

**Problem 4-2. Heap Practice** [10 points]

For each array below, draw it as a **complete**<sup>1</sup> binary tree and state whether the tree is a max-heap, a min-heap, or neither. If the tree is neither, turn the tree into a min-heap by repeatedly swapping items that are **adjacent in the tree**. Communicate your swaps by drawing a sequence of trees, marking on each tree the pair that was swapped.

- (a) [4, 12, 8, 21, 14, 9, 17]
- (b) [701, 253, 24, 229, 17, 22]
- (c) [2, 9, 13, 8, 0, 2]
- (d) [1, 3, 6, 5, 4, 9, 7]

**Problem 4-3.** [10 points] **Gardening Contest**

Gardening company Wonder-Grow sponsors a nation-wide gardening contest each year where they rate gardens around the country with a positive integer<sup>2</sup> **score**. A garden is designated by a **garden pair**  $(s_i, r_i)$ , where  $s_i$  is the garden's assigned score and  $r_i$  is the garden's unique positive integer **registration number**.

- (a) [5 points] To support inclusion and reduce competition, Wonder-Grow wants to award identical trophies to the top  $k$  gardens. Given an unsorted array  $A$  of garden pairs and a positive integer  $k \leq |A|$ , describe an  $O(|A| + k \log |A|)$ -time algorithm to return the registration numbers of  $k$  gardens in  $A$  with highest scores, breaking ties arbitrarily.
- (b) [5 points] Wonder-Grow decides to be more objective and award a trophy to every garden receiving a score strictly greater than a reference score  $x$ . Given a max-heap  $A$  of garden pairs, describe an  $O(n_x)$ -time algorithm to return the registration numbers of all gardens with score larger than  $x$ , where  $n_x$  is the number of gardens returned.

<sup>1</sup>Recall from Lecture 8 that a binary tree is **complete** if it has exactly  $2^i$  nodes of depth  $i$  for all  $i$  except possibly the largest, and at the largest depth, all nodes are as far left as possible.

<sup>2</sup>In this class, when an integer or string appears in an input, without listing an explicit bound on its size, you should assume that it is provided inside a constant number of machine words in the input.

**Problem 4-4.** [15 points] **Solar Supply**

Entrepreneur Bonty Murns owns a set  $S$  of  $n$  solar farms in the town of Fallmeadow. Each solar farm  $(s_i, c_i) \in S$  is designated by a unique positive integer **address**  $s_i$  and a farm **capacity**  $c_i$ : a positive integer corresponding to the maximum energy production rate the farm can support. Many buildings in Fallmeadow want power. A building  $(b_j, d_j)$  is designated by a unique **name** string  $b_j$  and a **demand**  $d_j$ : a positive integer corresponding to the building's energy consumption rate.

To receive power, a building in Fallmeadow must be connected to a **single** solar farm under the restriction that, for any solar farm  $s_i$ , the sum of demand from all the buildings connected to  $s_i$  may not exceed the farm's capacity  $c_i$ . Describe a database supporting the following operations, and for each operation, specify whether your running time is worst-case, expected, and/or amortized.

<code>initialize(S)</code>	Initialize database with a list $S = ((s_0, c_0), \dots, (s_{n-1}, c_{n-1}))$ corresponding to $n$ solar farms in $O(n)$ time.
<code>power_on(b_j, d_j)</code>	Connect a building with name $b_j$ and demand $d_j$ to any solar farm having available capacity at least $d_j$ in $O(\log n)$ time (or return that no such solar farm exists).
<code>power_off(b_j)</code>	Remove power from the building with name $b_j$ in $O(\log n)$ time.
<code>customers(s_i)</code>	Return the names of all buildings supplied by the farm at address $s_i$ in $O(k)$ time, where $k$ is the number of building names returned.

**Problem 4-5.** [15 points] **Robot Wrangling**

Dr. Squid has built a robotic arm from  $n+1$  rigid bars called **links**, each connected to the one before it with a rotating joint ( $n$  joints in total). Following standard practice in robotics<sup>3</sup>, the orientation of each link is specified locally relative to the orientation of the previous link. In mathematical notation, the change in orientation at a joint can be specified using a  $4 \times 4$  **transformation matrix**. Let  $\mathcal{M} = (M_0, \dots, M_{n-1})$  be an array of transformation matrices associated with the arm, where matrix  $M_k$  is the change in orientation at joint  $k$ , between links  $k$  and  $k+1$ .

To compute the position of the **end effector**<sup>4</sup>, Dr. Squid will need the arm's **full transformation**: the ordered matrix product of the arm's transformation matrices,  $\prod_{k=0}^{n-1} M_k = M_0 \cdot M_1 \cdot \dots \cdot M_{n-1}$ . Assume Dr. Squid has a function `matrix_multiply( $M_1, M_2$ )` that returns the matrix product<sup>5</sup>  $M_1 \times M_2$  of any two  $4 \times 4$  transformation matrices in  $O(1)$  time. While tinkering with the arm changing one joint at a time, Dr. Squid will need to re-evaluate this matrix product quickly. Describe a database to support the following **worst-case** operations to accelerate Dr. Squid's workflow:

<code>initialize(M)</code>	Initialize from an initial input configuration $\mathcal{M}$ in $O(n)$ time.
<code>update_joint(k, M)</code>	Replace joint $k$ 's matrix $M_k$ with matrix $M$ in $O(\log n)$ time.
<code>full_transformation()</code>	Return the arm's current full transformation in $O(1)$ time.

<sup>3</sup>More on forward kinematic robotics computation here: [https://en.wikipedia.org/wiki/Forward\\_kinematics](https://en.wikipedia.org/wiki/Forward_kinematics)

<sup>4</sup>i.e., the device at the end of a robotic arm: [https://en.wikipedia.org/wiki/Robot\\_end\\_effector](https://en.wikipedia.org/wiki/Robot_end_effector)

<sup>5</sup>Recall, matrix multiplication is not commutative, i.e.,  $M_1 \cdot M_2 \neq M_2 \cdot M_1$ , except in very special circumstances.

**Problem 4-6.** [40 points]  $\pi z^2 a$  Optimization

Liza Pover has found a Monominos pizza left over from some big-TeX recruiting event. The pizza is a disc<sup>6</sup> with radius  $z$ , having  $n$  **toppings** labeled  $0, \dots, n - 1$ . Assume  $z$  fits in a single machine word, so integer arithmetic on  $O(1)$  such integers can be done in  $O(1)$  time. Each topping  $i$ :

- is located at Cartesian coordinates  $(x_i, y_i)$  where  $x_i, y_i$  are integers from range  $R = \{-z, \dots, z\}$  (you may assume that **all coordinates are distinct**), and
- has integer **tastiness**  $t_i \in R$  (note, topping tastiness can be negative, e.g., if it's pineapple<sup>7</sup>).

Liza wants to pick a point  $(x', y')$  and make a pair of cuts from that point, one going straight down and one going straight left, and take the resulting **slice**, i.e., the intersection of the pizza with the two half-planes  $x \leq x'$  and  $y \leq y'$ . The tastiness of this slice is the sum of all  $t_i$  such that  $x_i \leq x'$  and  $y_i \leq y'$ . Liza wants to find a **tastiest** slice, that is, a slice of maximum tastiness. Assume there exists a slice with **positive tastiness**.

- (a) [2 points] If point  $(x', y')$  results in a slice with tastiness  $t \neq 0$ , show there exists  $i, j \in \{0, 1, \dots, n - 1\}$  such that point  $(x_i, y_j)$  results in a slice of equal tastiness  $t$  (i.e., a tastiest slice exists resulting from a point that is both vertically and horizontally aligned with toppings).
- (b) [8 points] To make finding a tastiest slice easier, show how to modify a Set AVL Tree so that:
- it stores **key-value items**, where each item  $x$  contains a value  $x.val$  (in addition to its key  $x.key$  on which the Set AVL is ordered);
  - it supports a new tree-level operation `max_prefix()` which returns in **worst-case**  $O(1)$  time a pair  $(k^*, \text{prefix}(k^*))$ , where  $k^*$  is any key stored in the tree  $T$  that maximizes the **prefix sum**,  $\text{prefix}(k) = \{x.val \mid x \in T \text{ and } x.key \leq k\}$  (that is, the sum of all values of items whose keys are  $\leq k$ ); and
  - all other Set AVL Tree operations maintain their running times.
- (c) [5 points] Using the data structure from part (b) as a black box, describe a **worst-case**  $O(n \log n)$ -time algorithm to return a triple  $(x, y, t)$ , where point  $(x, y)$  corresponds to a slice of maximum tastiness  $t$ .
- (d) [25 points] Write a Python function `tastiest_slice(toppings)` that implements your algorithm from part (c), including an implementation of your data structure from part (b).

<sup>6</sup>The pizza has thickness  $a$ , so it has volume  $\pi z^2 a$ .

<sup>7</sup>If you believe that Liza's [Pizza](#) preferences are objectively wrong, feel free to assert your opinions on [Piazza](#).

```

1  from Set_AVL_Tree import BST_Node, Set_AVL_Tree
2
3  class Key_Val_Item:
4      def __init__(self, key, val):
5          self.key = key
6          self.val = val
7
8      def __str__(self):
9          return "%s,%s" % (self.key, self.val)
10
11 class Part_B_Node(BST_Node):
12     def subtree_update(A):
13         super().subtree_update()
14         #####
15         # ADD ANY NEW SUBTREE AUGMENTATION HERE #
16         #####
17
18 class Part_B_Tree(Set_AVL_Tree):
19     def __init__(self):
20         super().__init__(Part_B_Node)
21
22     def max_prefix(self):
23         '''
24         Output: (k, s) | a key k stored in tree whose
25                   | prefix sum s is maximum
26         '''
27         k, s = 0, 0
28         #####
29         # YOUR CODE HERE #
30         #####
31         return (k, s)
32
33 def tastiest_slice(toppings):
34     '''
35     Input:  toppings | List of integer tuples (x,y,t) representing
36               | a topping at (x,y) with tastiness t
37     Output: tastiest | Tuple (X,Y,T) representing a tastiest slice
38               | at (X,Y) with tastiness T
39     '''
40     B = Part_B_Tree() # use data structure from part (b)
41     X, Y, T = 0, 0, 0
42     #####
43     # YOUR CODE HERE #
44     #####
45     return (X, Y, T)

```

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