

## 6.01: Introduction to EECS I

### Search Algorithms

Week 12

November 24, 2009

### Looking Ahead

- **Reaction:** Use a rule to determine the 'action' to take, as a direct function of the state
  - wall-following
  - proportional controller
- **Planning:** Choose action based on 'looking ahead': exploring alternative sequences of actions

*Methods for planning require us to specify an explicit model of the effects of our actions in the world.*

### Using models to choose actions

Assume states and actions are discrete.

Given

- A state-machine model of the world
- A start state
- A goal test

Find a sequence of actions (inputs to the state machine) to reach a goal state from the start state.

### Application: Navigation

What are good definitions of states, actions?

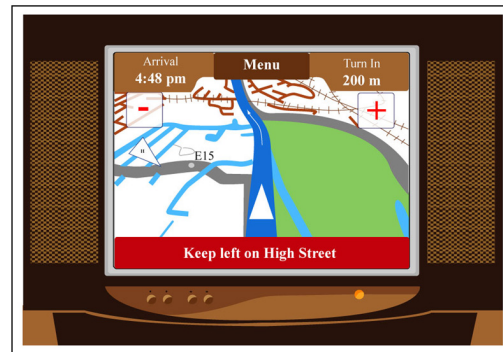
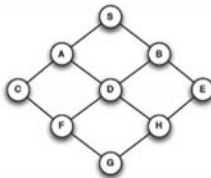


Figure by MIT OpenCourseWare.

What makes a path good?

### Abstraction: Labeled graph



Lots of possible paths! Could enumerate them and evaluate each one. Too hard...

Assume *additive cost*. For now, each segment has a cost of one. We want to find the shortest path.

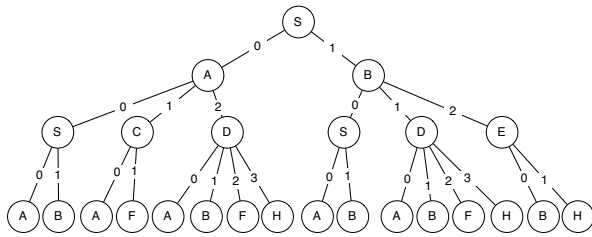
### Formal model

- States: {'S', 'A', 'B', 'C', 'D', 'E', 'F', 'G', 'H'}.
- Starting state is 'S'.
- Goal test:  $\lambda x: x == 'H'$
- Legal actions and successors:

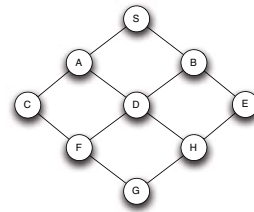
```
map1 = {'S': ['A', 'B'],
        'A': ['S', 'C', 'D'],
        'B': ['S', 'D', 'E'],
        'C': ['A', 'F'],
        'D': ['A', 'B', 'F', 'H'],
        'E': ['B', 'H'],
        'F': ['C', 'D', 'G'],
        'H': ['D', 'E', 'G'],
        'G': ['F', 'H']}
map1LegalActions = [0, 1, 2, 3]
```

```
def map1successors(s, a):
    if a < len(map1[s]): return map1[s][a]
    else: return s
```

Search tree encodes all possible paths

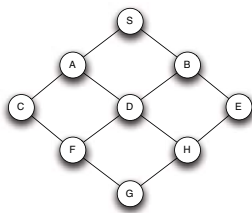


Check yourself



How many "shortest" paths are there from *S* to *G*?

Check yourself



How many "shortest" paths are there from *S* to *G*?

6

A numeric example

- States: integers
- Start state: 1
- Legal actions (and successors) in state  $n$ :  $\{2n, n + 1, n - 1, n^2, -n\}$
- Goal test:  $x = 10$

How long is the longest path in this domain?

Search trees in Python

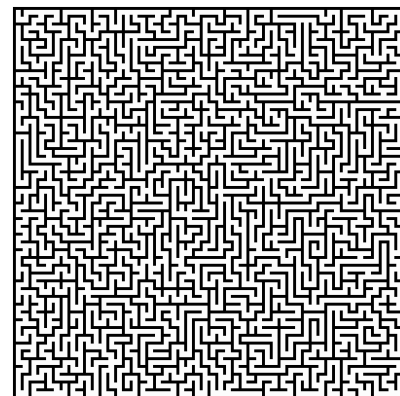
Node in search tree, not the same as a state!

```
class SearchNode:
    def __init__(self, action, state, parent):
        self.state = state
        self.action = action
        self.parent = parent
```

Search node encodes a whole path

```
def path(self):
    if self.parent == None:
        return [(self.action, self.state)]
    else:
        return self.parent.path() + \
            [(self.action, self.state)]
```

Finding your way



### Search algorithm

Until we find the goal or the agenda is empty:

- Extract a node from the agenda
- **Expand** it (find its children)
- Add its children to the agenda (**visit** its children)

**Goal:** Search as few nodes as possible while guaranteeing that we still find the shortest path.

Return a path:

$[(None, S_0), (A_1, S_1), (A_2, S_2), \dots, (A_n, S_n)]$

where  $S_n$  satisfies the goal test.

### Search in Python

```
def search(initialState, goalTest, actions, successor):
    if goalTest(initialState):
        return [(None, initialState)]
```

### Search in Python

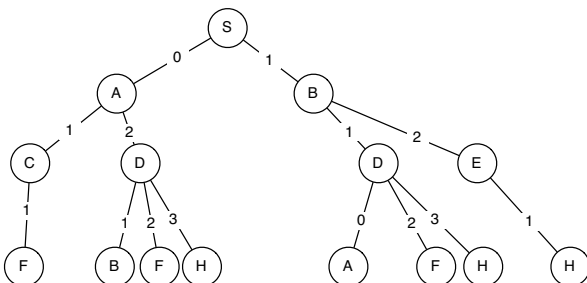
```
def search(initialState, goalTest, actions, successor):
    if goalTest(initialState):
        return [(None, initialState)]
    agenda = [SearchNode(None, initialState, None)]
    while not empty(agenda):
```

### Search in Python

```
def search(initialState, goalTest, actions, successor):
    if goalTest(initialState):
        return [(None, initialState)]
    agenda = [SearchNode(None, initialState, None)]
    while not empty(agenda):
        parent = getElement(agenda)
        for a in actions:
            newS = successor(parent.state, a)
            newN = SearchNode(a, newS, parent)
            if goalTest(newS):
                return newN.path()
            else:
                add(newN, agenda)
    return None
```

### Don't be totally stupid!

*Pruning Rule 1.* Don't consider any path that visits the same state twice.

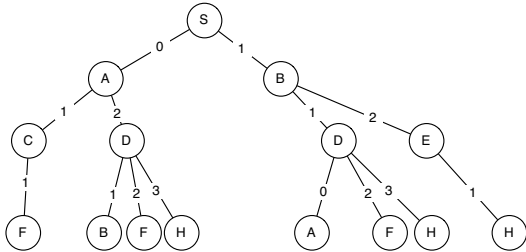


### Not being totally stupid, in Python

```
def search(initialState, goalTest, actions, successor):
    if goalTest(initialState):
        return [(None, initialState)]
    agenda = [SearchNode(None, initialState, None)]
    while not empty(agenda):
        parent = getElement(agenda)
        for a in actions:
            newS = successor(parent.state, a)
            newN = SearchNode(a, newS, parent)
            if goalTest(newS):
                return newN.path()
            elif parent.inPath(newS):
                pass
            else:
                add(newN, agenda)
    return None
```

### Another pruning rule

**Pruning Rule 2.** If there are multiple actions that lead from a state  $r$  to a state  $s$ , consider only one of them.



### Stack and Queue using Lists

```
class Stack:
    def __init__(self):
        self.data = []
    def push(self, item):
        self.data.append(item)
    def pop(self):
        return self.data.pop()
    def isEmpty(self):
        return self.data == []

class Queue:
    def __init__(self):
        self.data = []
    def push(self, item):
        self.data.append(item)
    def pop(self):
        return self.data.pop(0)
    def isEmpty(self):
        return self.data == []
```

### Stack data structure

Last in, first out

```
>>> s = Stack()
>>> s.push(1)
>>> s.push(9)
>>> s.push(3)
>>> s.pop()
3
>>> s.pop()
9
>>> s.push(-2)
>>> s.pop()
-2
```

### Queue data structure

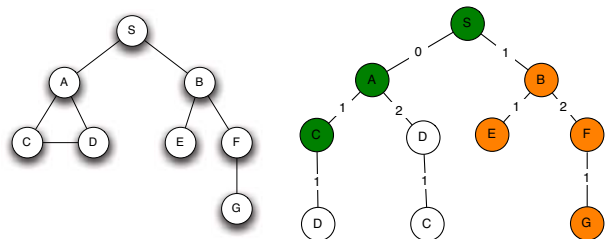
First in, first out

```
>>> q = Queue()
>>> q.push(1)
>>> q.push(9)
>>> q.push(3)
>>> q.pop()
1
>>> q.pop()
9
>>> q.push(-2)
>>> q.pop()
3
```

### Depth-First search

```
def depthFirstSearch(initialState, goalTest, actions, successor):
    agenda = Stack()
    if goalTest(initialState):
        return [(None, initialState)]
    agenda.push(SearchNode(None, initialState, None))
    while not agenda.isEmpty():
        parent = agenda.pop()
        newChildStates = []
        for a in actions:
            newS = successor(parent.state, a)
            newN = SearchNode(a, newS, parent)
            if goalTest(newS):
                return newN.path()
            elif newS in newChildStates: # pruning rule 2
                pass
            elif parent.inPath(newS): # pruning rule 1
                pass
            else:
                newChildStates.append(newS)
        agenda.push(newN)
    return None
```

### DFS: From S to C



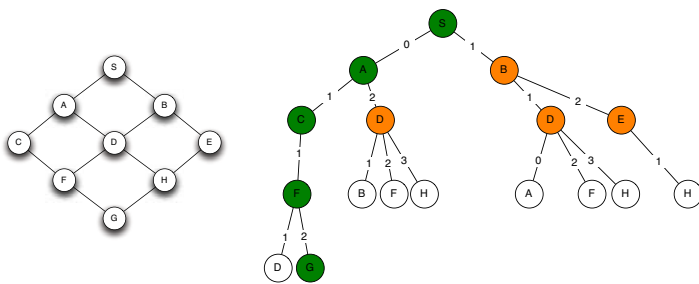
**DFS properties**

- May run forever if we don't apply pruning rule 1.
- May run forever in an infinite domain.
- Doesn't necessarily find the shortest path.
- Efficient in the amount of space it requires to store the agenda.

**Breadth-First search**

```
def breadthFirstSearch(initialState, goalTest, actions, successor):
    agenda = Queue()
    if goalTest(initialState):
        return [(None, initialState)]
    agenda.push(SearchNode(None, initialState, None))
    while not agenda.isEmpty():
        parent = agenda.pop()
        newChildStates = []
        for a in actions:
            newS = successor(parent.state, a)
            newN = SearchNode(a, newS, parent)
            if goalTest(newS):
                return newN.path()
            elif newS in newChildStates:
                pass
            elif parent.inPath(newS):
                pass
            else:
                newChildStates.append(newS)
                agenda.push(newN)
    return None
```

**BFS: From S to G**

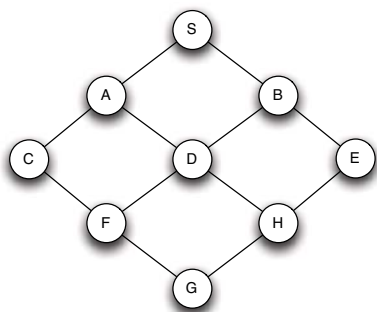


**BFS properties**

- Always returns a shortest path to a goal state, if a goal state exists in the set of states reachable from the start state.
- May run forever in an infinite domain if there is no solution.
- Requires more space than depth-first search.

**Dynamic Programming**

When happened when we did BFS in this city with goal G?



Visits 16 nodes, but there are only 9 states!!

**Dynamic Programming Principle**

The *shortest* path from *X* to *Z* that goes through *Y* is made up of

- the *shortest* path from *X* to *Y* and
- the *shortest* path from *Y* to *Z*.

So, we only need to remember the *shortest* path from the start state to each other state.

### DP in breadth-first search

The *first* path that BFS finds from start to  $X$  is the *shortest* path from start to  $X$ .

So, we only need to remember the *first* path we find from the start state to each other state.

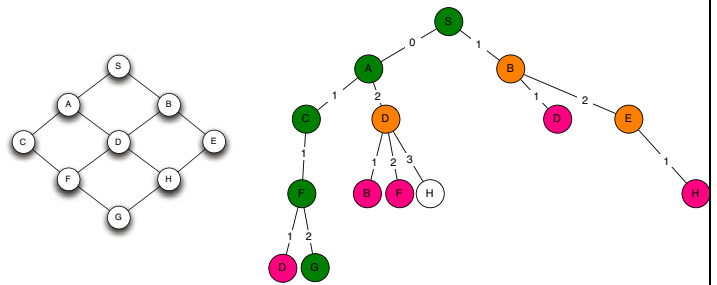
### DP as a pruning technique

*Pruning Rule 3.* Don't consider any path that visits a state that you have already visited via some other path.

### BFS with DP

```
def breadthFirstDP(initialState, goalTest, actions, successor):
    ...
    agenda.push(SearchNode(None, initialState, None))
    visited = {initialState: True}
    while not agenda.isEmpty():
        parent = agenda.pop()
        newChildStates = []
        for a in actions:
            newS = successor(parent.state, a)
            newN = SearchNode(a, newS, parent)
            if goalTest(newS):
                return newN.path()
            elif visited.has_key(newS): # rules 1, 2, 3
                pass
            else:
                visited[newS] = True:
                newChildStates.append(newS)
                agenda.push(newN)
    return None
```

### BFS-DP: From S to G



Visits 9 states.

Can never expand more nodes than there are states.

Can be used with DFS as well.

### State machines as world models

Add two new features:

- `done(self, state)`: returns True if the machine has terminated; we can use this as a goal test
- `legalInputs`: list of possible legal inputs to the machine; we can use this as the set of possible actions

For now, we will ignore the output of the state machine. Later we will put it to good use.

### Planning in a state machine

Question: Given a state machine in its initial state, what sequence of inputs can we feed to it, in order to cause it to enter a done state?

### Planning in a state machine

Question: Given a state machine in its initial state, what sequence of inputs can we feed to it, in order to cause it to enter a done state?

```
def smSearch(smToSearch, initialState = None, goalTest = None,
            maxNodes = 10000, depthFirst = False, DP = True):
    if initialState == None:
        initialState = smToSearch.startState
    if goalTest == None:
        goalTest = smToSearch.done
    return search(initialState, goalTest,
                 smToSearch.legalInputs,
                 lambda s, a: smToSearch.getNextValues(s, a)[0],
                 maxNodes = maxNodes,
                 depthFirst=depthFirst, DP=DP)
```

### A numeric example

- States: integers
- Start state: 1
- Legal actions (and successors) in state  $n$ :  $\{2n, n+1, n-1, n^2, -n\}$
- Goal test:  $x = 10$

### A numeric example – state machine

```
class NumberTestSM(sm.SM):
    startState = 1
    legalInputs = ['x*2', 'x+1', 'x-1', 'x**2', '-x']
    def __init__(self, goal):
        self.goal = goal
    def nextState(self, state, action):
        if action == 'x*2':
            return state*2
        elif action == 'x+1':
            return state+1
        elif action == 'x-1':
            return state-1
        elif action == 'x**2':
            return state**2
        elif action == '-x':
            return -state
    def getNextValues(self, state, action):
        nextState = self.nextState(state, action)
        return (nextState, nextState)
    def done(self, state):
        return state == self.goal
```

### Numeric – Breadth First

```
>>> smSearch(NumberTestSM(10), initialState = 1,
            depthFirst = False, DP = False)
expanding: 1
expanding: 1-x*2->2
expanding: 1-x-1->0
expanding: 1--x->-1
expanding: 1-x*2->2-x*2->4
expanding: 1-x*2->2-x+1->3
expanding: 1-x*2->2--x->-2
expanding: 1-x-1->0-x-1->-1
expanding: 1--x->-1-x*2->-2
expanding: 1--x->-1-x+1->0
expanding: 1-x*2->2-x*2->4-x*2->8
expanding: 1-x*2->2-x*2->4-x+1->5
33 states visited
[(None, 1), ('x*2', 2), ('x*2', 4), ('x+1', 5), ('x*2', 10)]
```

### Numeric – Breadth First with DP

```
>>> smSearch(NumberTestSM(10), initialState = 1,
            depthFirst = False, DP = True)
expanding: 1
expanding: 1-x*2->2
expanding: 1-x-1->0
expanding: 1--x->-1
expanding: 1-x*2->2-x*2->4
expanding: 1-x*2->2-x+1->3
expanding: 1-x*2->2--x->-2
expanding: 1-x*2->2-x*2->4-x*2->8
expanding: 1-x*2->2-x*2->4-x+1->5
17 states visited
[(None, 1), ('x*2', 2), ('x*2', 4), ('x+1', 5), ('x*2', 10)]
```

### Numeric – Depth First

Limit numbers to be in range (-20, 20)

```
>>> smSearch(NumberTestFiniteSM(10, 20), initialState = 1,
            depthFirst = True, DP = False)
expanding: 1
expanding: 1--x->-1
expanding: 1--x->-1-x+1->0
expanding: 1--x->-1-x*2->-2
expanding: 1--x->-1-x*2->-2--x->2
expanding: 1--x->-1-x*2->-2--x->2-x+1->3
expanding: 1--x->-1-x*2->-2--x->2-x+1->3--x->-3
expanding: 1--x->-1-x*2->-2--x->2-x+1->3--x->-3-x**2->9
20 states visited
[(None, 1), ('-x', -1), ('x*2', -2), ('-x', 2), ('x+1', 3), ('-x', -3),
('x**2', 9), ('x+1', 10)]
```

### Computational complexity

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Let

- $b$  be the *branching factor* of the graph; that is, the number of successors a node can have.
- $d$  be the *maximum depth* of the graph; that is, the length of the longest path in the graph.
- $l$  be the *solution depth* of the problem; that is, the length of the shortest path from the start state to the shallowest goal state.
- $n$  be the *state space size* of the graph; that is the total number of states in the domain.

There are  $b^d$  paths at depth  $d$ .

The number of nodes in the tree of depth  $d$  is about  $b^{d+1}$ .

### Without dynamic programming

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- Depth first:
  - may have to search every path ( $b^{d+1}$  nodes), but
  - agenda is small ( $bd$ )
- Breadth first:
  - may have to search to depth  $l$  ( $b^{l+1}$  nodes),
  - agenda may be as large as  $b^l$

### With dynamic programming

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Visit at most  $n$  states!

Sometimes  $n \ll b^l$  (in a road network, for example), sometimes not (small problem in large space).

DP is almost always an improvement in running time.



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