

```
# -*- mode: org -*-
#+STARTUP: indent
```

\* <2011-09-26 Mon>: Lec: Intro to concurrency  
Students read text, and do homework assignment  
Last lecture didn't cover device interrupts

- \* Plan: concurrency
  - \*\* SMP
  - \*\* Devices
  - \*\* locks
  - \*\* interrupts
  - \*\* more sophisticated techniques (e.g., lock-free later in term)

- \* abstract SMP architecture
  - \*\* processors with one shared memory
  - \*\* devices
  - \*\* interrupts processed in parallel

- \* Race conditions
  - \*\* multiple processes adding to the disk queue
  - \*\* simplified code:

```
struct List {
    int data;
    struct List *next;
};
```

```
List *list = 0;
```

```
insert(int data) {
    List *l = new List;
    l->data = data;
    l->next = list; // A
    list = l;      // B
}
```

Whoever wrote this code probably believed it was correct, in the sense that if the list starts out correct, a call to `insert()` will yield a new list that has the old elements plus the new one. And if you call `insert()`, and then `insert()` again, the list should have two new elements. Most programmers write code that's only correct under **serial** execution -- in this case, `insert()` is only correct if there is only one `insert()` executing at a time.

What could go wrong with concurrent calls to `insert()`, as might happen on a multiprocessor? Suppose two different processors both call `insert()` at the same time. If the two processors execute A and B interleaved, then we end up with an incorrect list. To see that this is the case, draw out the list after the sequence A1 (statement A executed by processor 1), A2 (statement A executed by processor 2), B2, and B1.

- \* Goals
  - serialize inserts on same list

serialize inserts & deletes on same list  
ops on different lists in parallel

\* Popular tool: lock  
\*\* lock protects an invariant

\*\* Avoiding the list race:  
Lock list\_lock; // one per list

```
insert(int data){
    List *l = new List;
    l->data = data;

    acquire(&list_lock);

    l->next = list ; // A
    list = l;      // B

    release(&list_lock);
}
```

\*\*\* code between acquire/release: critical section, or atomic section

\*\* Return to device driver  
\*\*\* One lock for all disk devices  
\*\*\* Invariants

The disk hardware can only execute one read or write at a time.  
Only one process should be inserting or deleting from ide\_queue at a time.  
Only one process should be commanding the IDE hardware (via inb/outb instructions) at a time.

\*\*\* iderw: why no locks around first instruction of the function?  
\*\*\* Device driver has its own concurrency invariants:  
processor shouldn't write/read buffer when disk is using it  
how such invariants enforced? (answer: by careful programming...)

\*\* How hard is it to produce the list race  
\*\*\* let's try with stressfs  
\*\*\* must read because logging system serializes writes

\* Implementing lock and release  
\*\* use atomic instructions (e.g., xchg)  
For example, the x86 `xchg %eax, addr` instruction  
does the following:

```
freeze other CPUs' memory activity for address addr
temp := *addr
*addr := %eax
```

```
%eax = temp
un-freeze other memory activity
```

\*\* xv6 lock implementation

```
instruction reordering
** lock is a *spin lock*
```

\* Design issues  
\*\* Granularity (e.g., BKL)

Should we have one lock for kernel (this year your JOS will do this ...)

Should we have one lock per device? (ide)

\*\* Spinning versus sleeping

Spin locks not good for waiting until device driver returns

xv6: separate primitive to go to sleep

some kernel automatically switch to sleeping

\*\* locks and interrupts

ide disk generates interrupt when disk operation completes

interrupt causes ideintr to run

ideintr acquires lock?

  deadlock?

  give interrupt handler lock? (recursive locks)

xv6: critical sections have no interrupts turned on

\*\* locks and modularity

\*\*\* locks are part of the spec of a module (e.g., idestart assumes caller got lock)

```
move(l1, l2) {
```

```
  e = del(l1)
```

```
  insert(l2, e)
```

```
}
```

various problems: there is a time when it is observable that e is neither list

```
move(l1, l2) {
```

```
  acquire(l1.lock);
```

```
  acquire(l2.lock);
```

```
  e = del(l1)
```

```
  insert(l2, e)
```

```
  release(l1.lock)
```

```
  release(l2.lock)
```

```
}
```

\*\*\* recursive locks are a bad idea

ideintr should certainly not use that instead of disabling interrupts!

\*\* lock ordering

iderw: sleep acquires plock

--> never acquire plock and then ide\_lock

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