

6.852: Distributed Algorithms

Fall, 2009

Class 22

Today's plan

- More on wait-free computability.
- Wait-free vs. f-fault-tolerant computability
- Reading:
 - [Borowsky, Gafni, Lynch, Rajsbaum]
 - [Attiya, Welch, Section 5.3.2]
 - [Attie, Guerraoui, Kouznetsov, Lynch, Rajsbaum]
 - [Chandra, Hadzilacos, Jayanti, Toueg]
- Next time:
 - Shared-memory multiprocessor computation
 - Techniques for implementing concurrent objects:
 - Coarse-grained mutual exclusion
 - Locking techniques
 - Lock-free algorithms
- Reading:
 - [Herlihy, Shavit] Chapter 9

But actually:

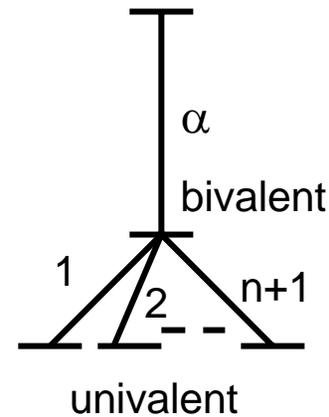
- Next time:
 - Shared memory vs. networks
 - Consensus in asynchronous networks
 - Reading:
 - Chapter 17 of [Lynch book]
 - [Lamport] The Part-Time Parliament (Paxos)

More on wait-free computability

1. n -process consensus objects + registers can't implement $(n+1)$ -process consensus objects [Jayanti, Toueg].
2. Irreducibility theorem [Chandra, Hadzilacos, Jayanti, Toueg].

Consensus objects

- **Theorem:** n -process consensus objects + registers can't implement $(n+1)$ -process consensus objects.
- **Proof:**
 - Assume they can.
 - Can find a **decider**: bivalent, any step produces univalence.
 - At least one is 0-valent, one 1-valent.
 - Let P_0 = processes that produce 0-valence, P_1 = processes that produce 1-valence.
 - Consider any i_0 in P_0 , i_1 in P_1 .
 - They must access the same object.
 - Else commutativity yields a contradiction.
 - Must be a consensus object.
 - If it's a register, get [Loui, Abu-Amara] contradiction.
 - By considering all i_0 in P_0 , i_1 in P_1 , can conclude all $n+1$ processes must access the same consensus object.
 - But it's just an n -process consensus object, contradiction.



Irreducibility Theorem

- [Chandra, Hadzilacos, Jayanti, Toueg]
- **Theorem:** For every $n \geq 2$ and every set S of types:
 - If there is a wait-free implementation of an n -process consensus object from $(n-1)$ -process consensus objects, objects of types in S plus registers,
 - Then there is a wait-free implementation of n -process consensus from just objects of types in S plus registers.
- That is, the $(n-1)$ -process consensus objects don't contribute anything!
- **Proof:** An interesting series of constructions, rather complicated, LTTR.

Open question

- Can wait-free 2-process consensus objects plus registers be used to implement a wait-free 3-process queue? (Exercise?)

Wait-free computability vs. f-fault-tolerant computability

Wait-free computability vs. f -fault-tolerant computability

- We've been considering computability (of atomic objects) when any number of processes can fail (wait-free).
- Now consider a **bounded number, f , of failures**.
- **[Borowsky, Gafni, et al.]** transformation converts any **n -process, f -fault-tolerant** distributed shared r/w memory algorithm to an **$(f+1)$ -process f -fault-tolerant (wait-free)** shared r/w memory algorithm, that solves a “closely related problem”.
- **Can derive wait-free algorithms from f -fault-tolerant algorithms.**
- Not obvious:
 - E.g., perhaps some shared-memory algorithm depends on having a majority of nonfaulty processes.
 - This says (in a sense) that this can't happen.
- **Can infer impossibility results for f -FT shared-memory model from impossibility for wait-free shared-memory model.**
 - E.g., impossibility for 2-process wait-free consensus **[Herlihy]** implies impossibility for 1-FT n -process consensus **[Loui, Abu-Amara]**.

Another consequence: k-consensus

- **Theorem:** k-consensus is unsolvable for $k+1$ processes, with wait-free termination.
 - Proved by three teams:
 - [Borowsky, Gafni], [Herlihy, Shavit], [Saks, Zaharoglu]
 - Godel Prize
- [BG] transformation implies impossibility for n -process k-consensus with k failures, $n \geq k+1$.

BG simulation

- Citations:
 - Original ideas presented informally: [Borowsky, Gafni STOC 93]
 - More complete, more formal: [B, G, Lynch, Rajsbaum]

What is a “Problem”?

- **Herlihy:**
 - Problem = **variable type**
 - Studies wait-free algorithms that implement an atomic object of a given type.
 - Problems involve ongoing interactions.
- **BG:**
 - All problems are **one-shot**:
 - Inputs arrive on some ports, at most one per port.
 - Outputs produced on some of those ports, at most one per port.
 - Problem = **decision problem** for n processes = set of pairs (I,O) , where:
 - I and O are n -vectors over an underlying value domain V , and
 - Each I is paired with at least one O .
- **Example: k -consensus**
 - $I = O =$ all vectors over V
 - $(I,O) \in D$ if and only if:
 - Every value in O appears somewhere in I , and
 - At most k distinct values appear in O .
 - Consensus: Special case of k -consensus for $k = 1$.

Solving a Problem

- An n-process shared-variable system **solves** an n-decision problem D, tolerating f failures, if all its executions satisfy:
 - **Well-formedness**: Produces answers only on ports where inputs are received, no more than once each.
 - **Correct answers**: If inputs occur on all ports, forming a vector I, then the outputs that are produced could be completed to a vector O such that $(I,O) \in D$.
 - **f-failure termination**: If inputs occur on all ports and at most f stop events occur, then an output occurs on each nonfailing port.
- Same style as our earlier definitions for consensus.

Relating two problems

- **The BG simulation:**
 - Takes a system that solves an n' -process decision problem D' , tolerating f failures.
 - Produces a system that solves an n -process decision problem D , also with f failures.
 - The n -process system simulates the n' -process system.
 - Special case where $n = f+1$ yields wait-freedom.
- D and D' are **not the same decision problem**---e.g., they use different numbers of ports.
- But they must be related in some way.
- For some problems, the relationship is “obvious”:
 - Consensus, k -consensus defined by the same correctness conditions for n ports and n' ports.
- In general, we need translation rules; express by:
 - A mapping G for input vectors, mapping n -vectors to n' -vectors.
 - A mapping H for output vectors, mapping n' -vectors to n -vectors.

Input translation G

- g_i :
 - For each i , $1 \leq i \leq n$, define a function g_i that maps an element of V (process i 's input) to an n' -vector of V (proposed inputs for the simulated processes).
- G :
 - Mix and match, nondeterministically assigning each position in the final n' -vector a value from any of the vectors produced by the g_i functions.
- **Example: k-consensus**
 - $g_i(v) = (v, v, \dots, v)$, n' entries
 - E.g., for $k = 2$, $n = 3$, $n' = 5$:
 - $G(0, 0, 0)$ consists of $(0,0,0,0,0)$ only.
 - $G(0, 1, 1)$ consists of all vectors of 0s and 1s.

Output translation H

- h_i :
 - For each i , $1 \leq i \leq n$, define a function h_i that maps any “reduced” n' -vector of V (an n' -vector of V with up to f values replaced by \perp) to a value in V .
 - Represents process i 's output, calculated from the output it sees from the simulated n' -process algorithm (may be missing up to f positions, because of failures).
- H :
 - Uses h_i to compute i 's entry in the final n -vector.
- **Example: k -consensus, $k > f$**
 - h_i picks the first non- \perp element of the given reduced vector.

Combining the pieces

- **What we need:**
 - If we combine G and H with the relation D' (problem specification for the simulated algorithm), we should satisfy the relation D (problem specification for the simulating algorithm).
- **More precisely:**
 - Take any input n -vector I .
 - Apply individual mappings g_i and combine nondeterministically using G to get an input n' -vector I' for D' .
 - Choose any output vector O' such that $(I', O') \in D'$.
 - For each i separately:
 - Reduce O' by setting up to f positions (any positions) to \perp .
 - Apply h_i to the reduced vector.
 - Assemble n -vector O from all the h_i outputs.
 - Then (I, O) should satisfy D .
- **Example:** Works for consensus, k -consensus, where D and D' are the “same problem”.

The BG construction

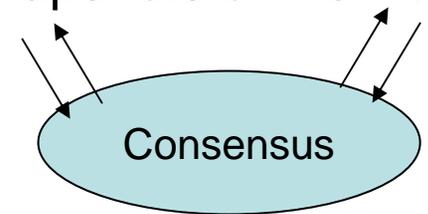
- **Given:** A system P' , with n' processes, solving D' , tolerating f failures.
- Assumptions about P' :
 - P' uses **wait-free snapshot shared memory**.
 - One shared snapshot variable, **mem'**.
 - Each P' process is **deterministic**:
 - Unique start state.
 - In any state, at most one non-input action is enabled.
 - Any (old state, action) has at most one new state.
- **Produce:** A system P , with n processes, solving D , also tolerating f failures.
- Assumptions about P :
 - P uses **wait-free snapshot shared memory**.
 - One shared snapshot variable, **mem**.
- Do this by allowing the processes of P to simulate the processes of P' .

The BG construction

- **Given:** A system P' , with n' processes, solving D' , tolerating f failures.
- Assumptions about P' :
 - P' uses **wait-free snapshot shared memory**.
 - Each P' process is “deterministic”:
- **Produce:** A system P , with n processes, solving D , also tolerating f failures.
- Assumptions about P :
 - P uses **wait-free snapshot shared memory**.
- **Read/write shared memory instead of snapshot memory:**
 - Same construction works if the two systems use read/write memory, but the proof is harder.
 - Alternatively, result carries over to the read/write case, using the fact that wait-free snapshots can be implemented from wait-free read/write registers.
- **Q (for snapshot memory):** How can the processes of P simulate an execution of P' ?

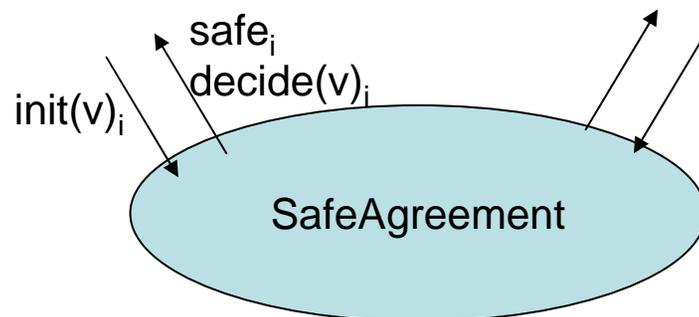
How P simulates P'

- Each P process simulates an execution of entire P' system.
- We would like all of them to simulate the **same execution**.
- Since the P' processes are assumed to be deterministic, **many of the steps are determined**, and can be simulated consistently by the P processes on their own.
- **However, P processes must do something to agree on:**
 - The P' processes' initial inputs.
 - What the P' processes see whenever they take snapshots of mem'.
- **How? Use a consensus service?**
 - Well-formedness, agreement, strong validity.
 - What termination guarantee?
 - Need f-failure termination, since f processes of P can fail.
 - But not implementable from snapshot memory [Loui, Abu-Amara].
- So we are forced to use something weaker...

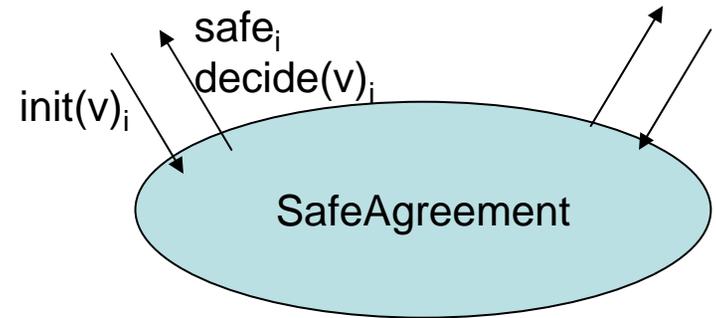


Safe Agreement

- A new kind of consensus service.
- Guarantees agreement, strong validity, failure-free termination, as usual.
- But now, **susceptibility to failure on each port is limited to a designated “unsafe” part of the consensus execution.**
- **New interface:**
 - Add **safe** outputs.
 - **safe_i** announces to user at port *i* that the “unsafe” part of the execution at *i* has completed.
 - **decide(v)_i** provides the final decision, as usual.
- **Well-formedness:**
 - For each *i*, **init()_i**, **safe_i**, **decide()_i** occur in order.
 - Component must preserve well-formedness.



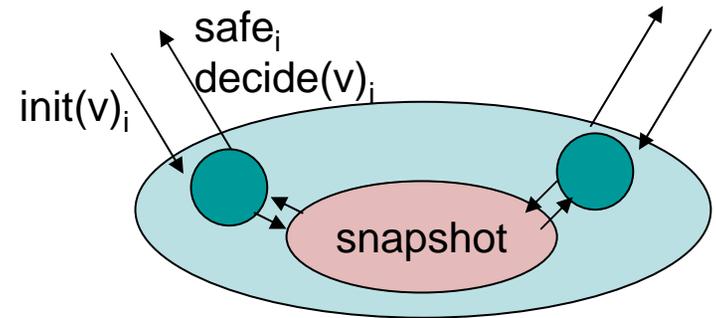
Safe Agreement



- Well-formedness
- Wait-free safe announcements:
 - In any fair execution, for every i , if an $init_i$ occurs and $stop_i$ does not occur, then $safe_i$ eventually occurs.
 - That is, any process that initiates and does not fail eventually gets a $safe$ response---it can't be blocked by other processes.
- Safe termination:
 - In any fair execution, either:
 - For every i , if an $init_i$ occurs and $stop_i$ does not occur, then a $decide_i$ eventually occurs, or
 - There is some i such that $init_i$ occurs and $safe_i$ does not occur.
 - That is, the component acts like a wait-free implementation, unless someone fails in the unsafe part of its execution.
- Separating the termination guarantees in this way leads to an implementable specification, using snapshot or read/write shared memory.

Safe consensus implementation

- [BGLR, p. 133-134].
- Snapshot memory, component i :
 - $val(i)$, in $V \cup \{\perp\}$, initially \perp
 - $level(i)$, in $\{0, 1, 2\}$, initially 0



- Process i :
 - When $init(v)_i$ occurs, set $val(i) := v$, $level(i) := 1$.
 - Perform one snapshot, determining everyone else's levels.
 - If anyone has $level = 2$, reset $level(i) := 0$, else set $level(i) := 2$.
 - In either case, move on, become safe, output $safe_i$.
 - Next, take repeated snapshots until you see no one with $level = 1$.
 - At this point (can show that) someone has $level = 2$.
 - Decide on $v = val(j)$, where j is the min index for which $level(j) = 2$, output $decide(v)_i$.

Correctness

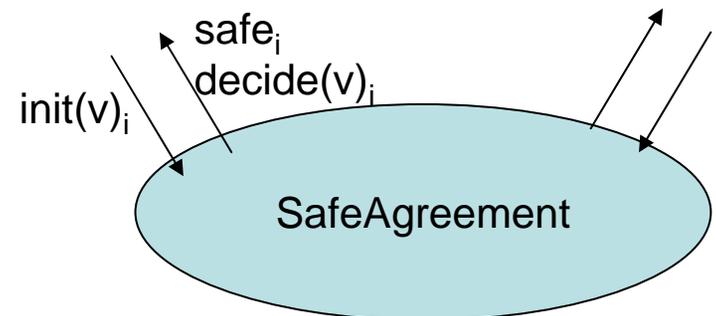
- Well-formedness, strong validity: Obvious.
- Agreement:
 - Suppose process i is first to take a deciding snapshot.
 - Say it decides on value v obtained from process k .
 - At the point of i 's deciding snapshot, i sees $\text{level} \neq 1$ for every process, and k is the min index with $\text{level} = 2$.
 - **Claim:** Subsequently, no process changes its level to 2.
 - **Why:**
 - Suppose some process j does so.
 - At the point of i 's deciding snapshot, $\text{level}(j) = 0$ (can't = 1).
 - So j must first raise $\text{level}(j)$ from 0 to 1, and then perform its initial **snap**.
 - But then it would see $\text{level}(k) = 2$ in its initial snap, reset $\text{level}(j)$ to 0, and never reach level 2.
 - So, any process that takes its deciding snapshot after i does also sees k as the min index with $\text{level} = 2$, so decides on k 's value v .

Liveness properties

- **Wait-free safe announcements:**
 - Obvious. No delays.
- **Safe termination:**
 - Suppose there is no process j for which init_j occurs and safe_j doesn't (no one fails in the unsafe portion of the algorithm).
 - Then there is no process j whose level remains 1 forever.
 - So, eventually every process' level stabilizes at 0 or 2.
 - Thereafter, any non-failing process will succeed in any subsequent snapshot, and decide.

Back to the BG simulation

- Each P process simulates an execution of entire P' system.
- All of them should simulate the **same execution**.
- Since P' processes are deterministic, many of the steps are determined, can be simulated by the P processes on their own.
- However, P processes must do something to agree on:
 - The P' processes' initial inputs.
 - What the P' processes see whenever they take snapshots of mem'.
- Can't use consensus.
- So, use safe-agreement.



Where are we?

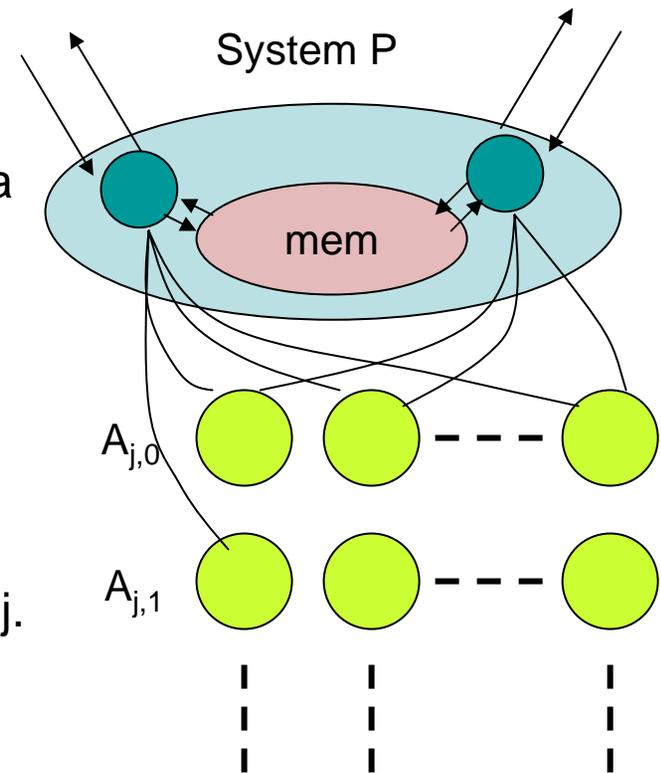
- We have produced a **safe-agreement algorithm**:
 - Agreement, strong validity, failure-free termination.
 - Well-formedness.
 - Wait-free safe announcements.
 - Safe termination.
- Now back to the **main BG simulation algorithm**.
- Uses (many) safe-agreement services.

BG simulation

- Processes of system P use (countably many) safe-agreement services to help them to **agree on initial values and snapshot results, for P' processes.**
- Follow a discipline whereby **each P process is in the unsafe part of at most one safe-agreement at a time.**
- So if a P process fails, it “kills” at most one safe-agreement service, and so, kills at most one simulated P' process.
 - The one for which the safe-agreement service is trying to decide on an initial value or snapshot result.
- So, **f failures among P processes cause at most f failures of P' processes.**
- So we get the f -fault-tolerance guarantees of system P' , which imply that the nonfaulty P processes terminate.

The main construction

- [BGLR, Section 5]
- P has n processes.
- **Shared memory:**
 - **mem**, a single snapshot shared variable, with a component **mem(i)** for each i :
 - **mem(i).sim-mem**
 - **mem(i).sim-steps**
- **Safe agreement modules:**
 - $A_{j,l}$, $1 \leq j \leq n'$, l any nonnegative integer
 - Infinitely many safe-agreement modules for each process j of P' .
 - $A_{j,0}$: Used to agree on initial value for process j .
 - $A_{j,l}$, $l \geq 1$: Agree on the l^{th} simulated snapshot result obtained by process j .
- Other steps simulated locally, don't need consensus.
- In final algorithm, the $A_{j,l}$ modules are replaced by safe-agreement implementations.



The main construction

- Code, p. 135-136 of [BGLR].
- Process i of P simulates all processes of P' .
- Simulates steps of each j of P' sequentially.
- Works concurrently on different j .
- Simulates deterministic steps locally, uses safe-agreement for inputs and snapshot results.
- Ensures that it is in unsafe portion of its execution for at most one simulated process j at a time.
- Locally, process i keeps track of where it is up to in simulating each process j of P' .
- In shared memory `mem`, process i records:
 - `mem(i).sim-mem`: The latest value i knows for the snapshot variable `mem'` of P' (from i 's progress in the overall simulation).
 - `mem(i).sim-steps`, a vector giving the number of steps that i has simulated for each process j of P' , up to and including the latest step at which process j updated `mem'(j)`.

Determining “latest” value for mem’

- Different P processes can get out of synch in their simulations, making different amounts of progress in simulating different P’ processes.
- Thus, different **mem(i)**s can reflect different stages of the simulation of P’.
- Function **latest** combines information in the various **mem(i)**s, to give the maximum progress for each j of P’.
 - Returns a single vector of values, one value per process j of P’, giving the latest value written by j to **mem’** in anyone’s simulation.
 - Determined by, for each j, choosing the **sim-mem(j)** associated with highest **sim-steps(j)**.

Simulating snapshots

- When P_i simulates a snapshot step of P'_j :
 - P_i takes a snapshot of `mem`, thus determining what all processes of P are up to in their simulations of P' .
 - Uses `latest` function to obtain a candidate value for the simulated memory `mem'`.
 - However, P_i doesn't just use that candidate `mem'` for the simulated snapshot response.
 - Instead, it submits the candidate `mem'` to the designated safe-agreement module.
 - This ensures that everyone will use the same candidate `mem'` snapshot value when they simulate this snapshot step of j .

The code

- $\text{init}(v)_i$: Just record your own input.
- $\text{propose}(v)_{j,i,0}$:
 - Compute (using g_j) candidate input value for process j of P' .
 - Initiate safe-agreement.
 - Don't start safe-agreement while you're in unsafe part of any other safe-agreement.
- $\text{agree}(v)_{j,i,0}$: Gets agreement on j 's initial value.
- Then starts simulating locally.
- $\text{snap}_{j,i}$: When up to a snap step of j , do an actual snapshot from mem and compute a candidate snapshot result.
- $\text{propose}(w)_{j,i,l}, l \geq 1$:
 - Proposes candidate snapshot result to next safe-agreement for j .
 - Don't start safe-agreement while you're in unsafe part of any other safe-agreement.
- $\text{agree}(w)_{i,j,l}, l \geq 1$: Gets agreement on j 's l^{th} snapshot result.

A code bug

- Paper has a code bug, involving liveness.
- As written, this code doesn't guarantee fair turns to each j :
 - When process i is about to propose an initial value or snapshot result for j to a safe-agreement module, it checks that no other simulated process is unsafe.
 - It's possible that, every time i gives j a turn, someone else might be in the unsafe region, thereby stalling j forever.
- Solution: Add a priority mechanism, e.g.:
 - When there's a choice, favor the j for which i has simulated the fewest snapshot steps so far.
 - [Attiya, Welch] use a round-robin discipline, LTTR.

The code, continued

- Other simulated steps are easier:
- $\text{sim-update}_{j,i}$:
 - Deterministic.
 - Process i determines j 's update value locally.
 - Writed it to the actual snapshot memory, mem :
 - $\text{mem}(i).\text{sim-mem}$, $\text{mem}(i).\text{sim-steps}$
- $\text{sim-local}_{j,i}$: Does this locally.
- $\text{sim-decide}_{j,i}$: Computes a decision value for j , locally.
- $\text{decide}(v)_i$:
 - Process i computes its actual decision, using h_i .
 - Outputs the decision.

Correctness proof

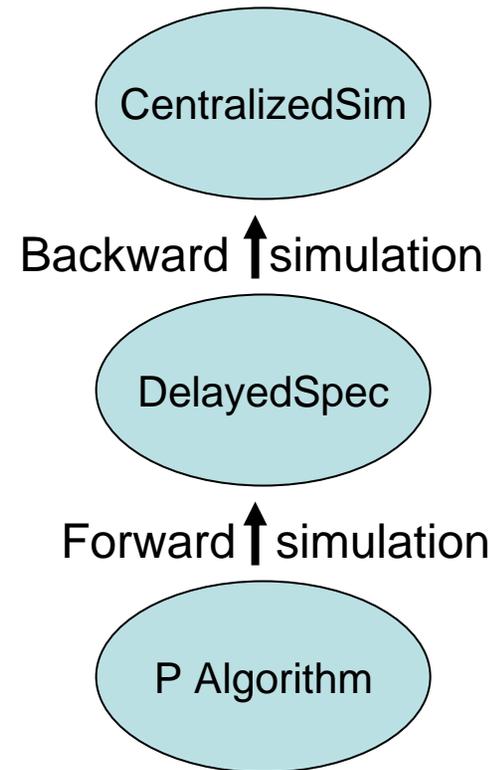
- **f-failure termination:**
 - Assume at most f failures in P .
 - With the added priority mechanism, P emulates a fair execution of P' with at most f failures.
 - There are at most f failures in the simulated execution of P' , because each failed process in P can kill at most one safe-agreement, hence at most one process of P' .
 - By f -failure termination of P' , the non-failed processes of P' eventually decide, yielding enough decisions to allow all non-failed processes of P to decide.

Correct emulation of P'

- **Key idea:** The distributed system P emulates a centralized simulation of P' .
 - mem' , the simulated memory of P' in the centralized simulation, is determined by the latest information any of the P processes have about mem' .
 - Likewise for simulated states of P' processes.
 - Initial value of process j of P' is the value determined by safe-agreement $A_{j,0}$; the $init_j$ is deemed to occur when the first agree step of $A_{j,0}$ occurs.
 - Result of the l^{th} snapshot by j is the value determined by safe-agreement $A_{j,l}$; the $snap_j$ is deemed to occur when the candidate snapshot that eventually wins is first defined (as part of a snapshot in P).
- Formalize all this using simulation relations.

Simulation relation proof

- Simulation proof is done in two stages, using an intermediate “DelayedSpec”.
- DelayedSpec does all the candidate snapshots, then later, in a separate step, chooses the winner.
- DelayedSpec maps to the centralized simulation.
 - Uses a “backward simulation”.
 - Needed because we don’t know that a particular candidate corresponds to the snapshot in CentralizedSim at the point where the candidate is first defined.
 - We learn this only later, when the winner is chosen.
- P maps to the DelayedSpec
 - Ordinary forward simulation.



BG for read/write memory

- Same result holds if P and P' use read/write memory instead of snapshot memory.
- Can see this by implementing P 's snapshots using read/write registers, as in [Afek, et al.]
- Can avoid the overhead of implementing snapshots by:
 - Defining a modified version of the BG construction for read/write memory, and arguing that it still works.
 - Harder proof, see [BGLR].
 - Uses an argument like that we used earlier, to show correctness of a simple implementation of a read/increment atomic object.

Recap: [BGLR]

- **Theorem (paraphrase):** For any n , $n' \geq f$:
 - If there is an n' -process, f -fault-tolerant read/write shared memory algorithm A' solving a problem D' ,
 - then there is an n -process, f -fault-tolerant read/write shared memory algorithm A solving a “closely related” problem D .
- Proof involves simulating steps of A one-by-one, rather than using D as a “black box” object.
- [Chandra, Hadzilacos, Jayanti, Toueg] sketch a similar result, allowing other types of shared memory.

A Non-Boosting Result

[Attie, Guerraoui, Kouznetsov,
Lynch, Rajsbaum]

Non-boosting result

- **Q:** Can some set of f -fault-tolerant objects, plus reliable registers, be used to implement an n -process $(f+1)$ -fault-tolerant consensus object?
- Now consider **black-box implementations**.
- We already know:
 - Wait-free $(f+1)$ -process consensus + registers cannot implement wait-free $(f+2)$ -process consensus.
 - **[BGLR], [CHJT]**: There are close relationships between n -process, $(f+1)$ -fault-tolerant algorithms and wait-free $(f+2)$ -process algorithms.
- So we might expect the answer to be no.
- Here is a simple, direct impossibility proof.

f-resilient atomic objects

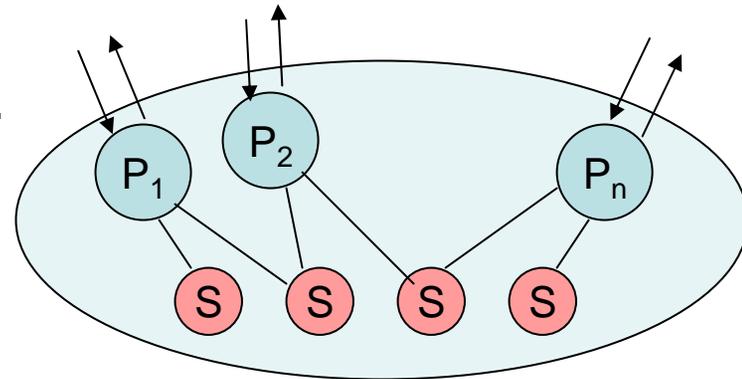
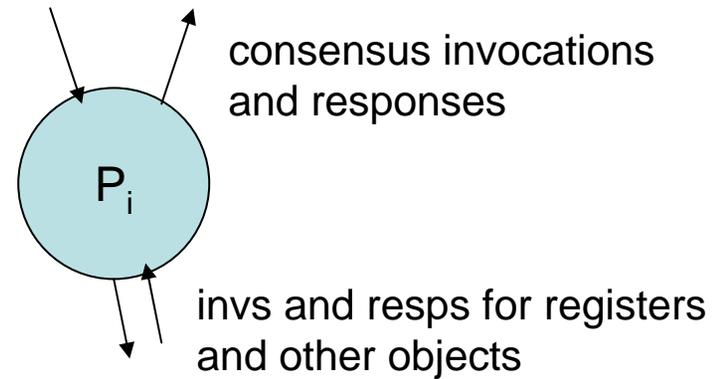
- Model f-resilient atomic objects as **canonical f-resilient atomic object automata**.
- **State variables:**
 - **val**, copy of the variable
 - **inv-buffer**, **resp-buffer** for each port, FIFO queues
 - Expect at most one active invocation at a time, on each port.
 - **failed**, subset of ports
- **Tasks:**
 - For every port i , one **i-perform** task, one **i-output** task.
- **Explicitly program fault-tolerance:**
 - Keep track of which ports have failed.
 - When $> f$ failures have occurred, the object need not respond to anyone (but it might).
 - When $\leq f$ failures have occurred, the object must respond to every invocation on a non-failing port.
 - Convention: Each i -task includes a **dummy** action that's enabled after failures (either of i itself, or of $> f$ ports overall).

Concurrent invocations

- Since f -fault-tolerant objects can die, a nonfaulty process i might invoke an operation on a dead object and get no response.
- If process i accesses objects sequentially, this would block it forever.
- Avoid this anomaly by allowing a process to issue current accesses on different objects.
- Issue doesn't arise in the wait-free case.

System Model

- Consists of:
 - Processes $P_i, i \in I$
 - f -resilient services $S_k, k \in K$
 - Reliable registers $S_r, r \in R$
- Process P_i :
 - Automaton with one task.
- f -resilient service S_k :
 - Canonical f -resilient atomic object of some type, with some number of ports.
- Register S_r :
 - Wait-free atomic read/write object.
- Complete system:
 - Compose everything, arbitrary connection pattern between processes and services/registers.
 - Tasks: 1 for each process, 2 for each port in each service/register.

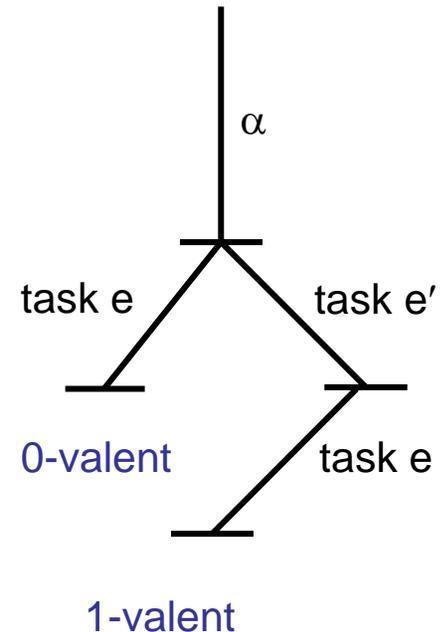


Boosting Impossibility Result

- **Theorem:** Suppose $n \geq 2$, $f \geq 0$. Then there is no $(f+1)$ -resilient n -process implementation of consensus from f -resilient services (of any types) and reliable registers.
- **Proof:**
 - Depends on the delays within the services.
 - By contradiction, assume an algorithm.
 - Determinism:
 - WLOG, assume processes are deterministic:
 - One task.
 - From each state, exactly one action enabled, leading to exactly one new state.
 - WLOG, variable types are deterministic.
 - Tasks determine execution.
 - As usual, get a bivalent initialization (inputs for all processes).
 - From there, construct a “decider”:

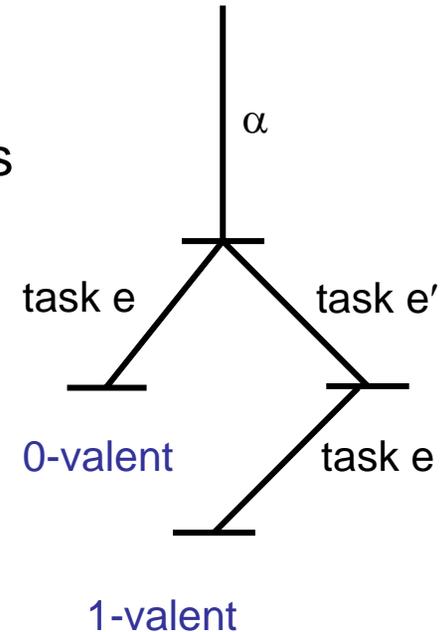
A Decider

- Tasks e and e' are both applicable after α , and e and e' yield opposite valence.
- Clearly, e and e' are different tasks.
- **Claim:** The step of e after α and the step of e' after α must involve a common process, service, or register.
- **Proof:** If not, we get commutativity, contradiction.
- **Three cases:**
 - Steps involve a common process P_i .
 - Steps involve a common f -resilient service S_k .
 - Steps involve a common reliable register S_r .



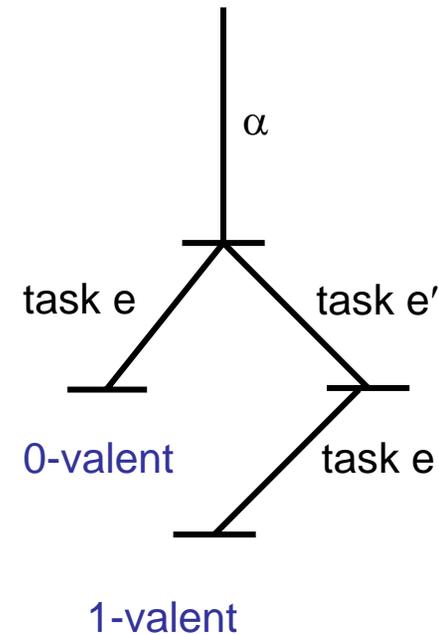
Case 1: Common process P_i

- The step of task e after α and the step of task e' after α must involve only P_i , plus (possibly) $inv\text{-}buffer_i$ and $resp\text{-}buffer_i$ within some services and registers.
- So the step of e after α e' also involves only P_i and its buffers.
- Then αe and $\alpha e' e$ can differ only in the state of P_i and contents of its buffers within services and registers.
- Now fail i after αe and $\alpha e' e$:
 - Let the other processes run fairly, with i taking no further steps.
 - No i -perform or i -output task occurs in any service or register.
 - Failing i allows services/registers to stop performing work on behalf of i .
- These two executions look the same to the others, decide the same, contradiction.



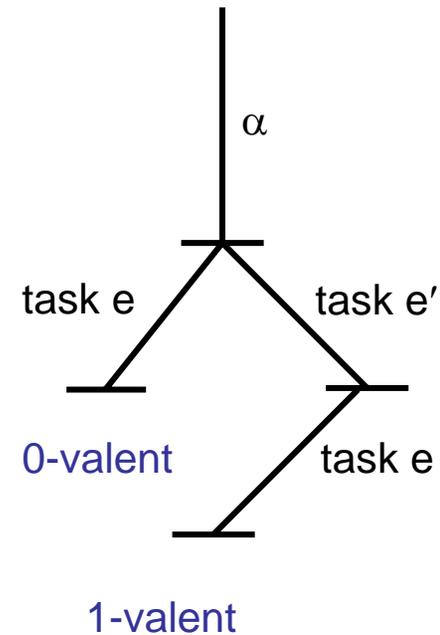
Case 2: Common f -resilient service S_k

- By Case 1, can assume no common process.
- If e after α involves S_k and P_i , and e' after α involves just S_k (i.e., is a perform inside S_k):
 - Then commute, contradiction.
- If e after α involves just S_k , and e' after α involves S_k and P_i .
 - Then commute, contradiction.
- If e after α involves S_k and P_i , and e' after α involves S_k and P_j :
 - Then $i \neq j$ by assumption of no common process.
 - Commute, contradiction.
- Remaining case: e after α and e' after α both involve just S_k :



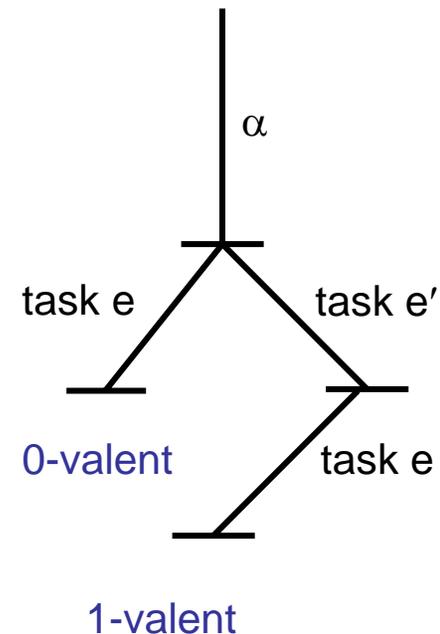
Case 2: Common f -resilient service S_k , cont'd

- If e after α and e' after α involve just S_k :
 - Then both are **performs**.
 - Might not commute!
 - But only service S_k can tell the difference.
- Fail $f+1$ processes connected to S_k , after αe and $\alpha e' e$:
 - If fewer processes are connected to S_k , fail all processes connected to S_k .
 - Fails service S_k , allows it to stop taking steps.
 - Run the rest of the system with S_k failed, after αe and $\alpha e' e$.
 - Behaves the same, contradiction.



Case 3: Common register object S_r

- Argument is the same as for Case 2, until the last step.
- Again, we get 2 perform steps, don't commute.
- But now we can't fail the register by failing $f+1$ processes, since it's assumed to be reliable (wait-free).
- Instead, we rely on the [Loui, Abu-Amara] arguments for registers.
- Again, a contradiction.

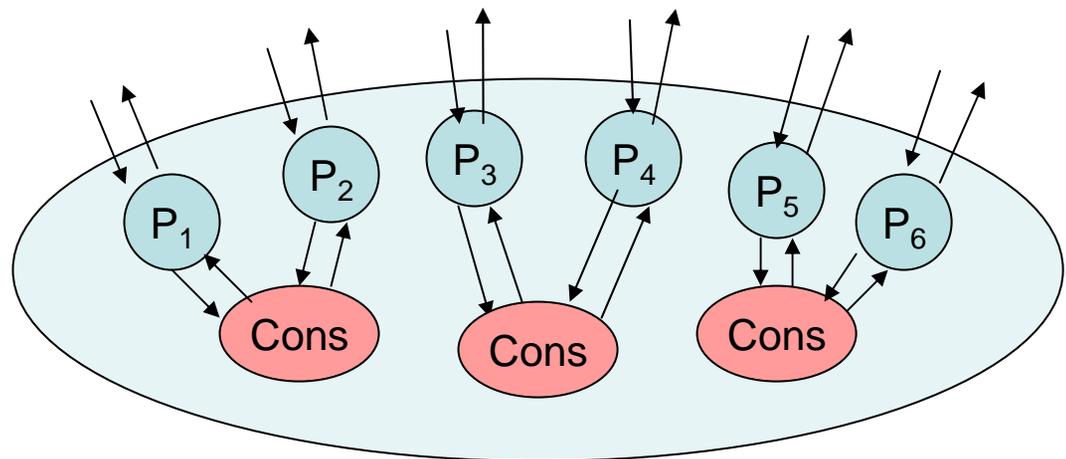


Recap: [AGKLR]

- **Theorem:** Suppose $n \geq 2$, $f \geq 0$. Then there is no $(f+1)$ -resilient n -process implementation of consensus from f -resilient services (of any types) and reliable registers.

In contrast...

- **Theorem:** There is no $(f+1)$ -resilient n -process implementation of consensus from f -resilient services and reliable registers.
- **Example:** Can sometimes boost resiliency
 - Can build a wait-free (5-resilient) 6-process, 3-consensus object from three 2-process wait-free (1-resilient) consensus services.
 - Each process P_i submits its initial value to its own consensus service.
 - The service responds, since it's wait-free.
 - Then P_i outputs the result.



Where are we?

- General goals:
 - **Classify atomic object types**: Which types can be used to implement which others, for which numbers of processes and failures?
 - A **theory of relative computability**, for objects in distributed systems.
- What we have so far:
 - Herlihy's classification based on solving consensus (wait-free), for different numbers of processes.
 - General transformation showing close relationship between $(f+1)$ -process f -failure (wait-free) computability and n -process f -failure computability.
 - Non-boosting result for number of failures, for consensus.
- Much more work remains.

Next time...

- Shared memory vs. networks
- Consensus in asynchronous networks
- Reading:
 - Chapter 17 of [Lynch book]
 - [Lamport] The Part-Time Parliament (Paxos)

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6.852J/18.437J Distributed Algorithms
Fall 2009

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