

6.852: Distributed Algorithms

Fall, 2009

Class 8

Today's plan

- Basic asynchronous system model, continued
 - Hierarchical proofs
 - Safety and liveness properties
- Asynchronous networks
- Asynchronous network algorithms:
 - Leader election in a ring
 - Leader election in a general network
- Reading: Sections 8.5.3 and 8.5.5, Chapter 14, Sections 15.1-15.2.
- Next:
 - Constructing a spanning tree
 - Breadth-first search
 - Shortest paths
 - Minimum spanning trees
 - Reading: Section 15.3-15.5, [Gallager, Humblet, Spira]

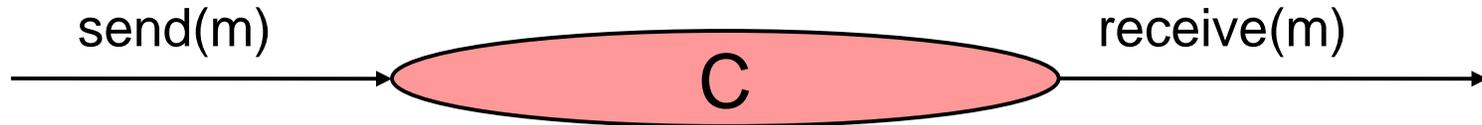
Last time

- Defined basic math framework for modeling asynchronous systems.
- I/O automata
- Executions, traces
- Operations: Composition, hiding
- Proof methods and concepts
 - Compositional methods
 - Invariants
 - Trace properties, including safety and liveness properties.
 - Hierarchical proofs

Input/output automaton

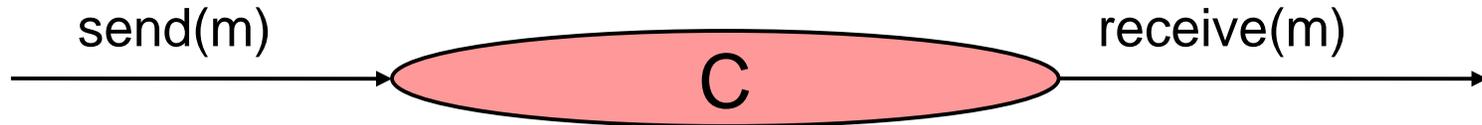
- **sig** = (in, out, int)
 - input, output, internal actions (disjoint)
 - acts = in \cup out \cup int
 - ext = in \cup out
 - local = out \cup int
- **states**: Not necessarily finite
- **start** \subseteq states
- **trans** \subseteq states \times acts \times states
 - Input-enabled: Any input “enabled” in any state.
- **tasks**, partition of locally controlled actions
 - Used for liveness.

Channel automaton



- Reliable unidirectional FIFO channel between two processes.
 - Fix message alphabet M .
- signature
 - input actions: $\text{send}(m)$, $m \in M$
 - output actions: $\text{receive}(m)$, $m \in M$
 - no internal actions
- states
 - **queue**: FIFO queue of M , initially empty

Channel automaton



- trans

- send(m)

- effect: add m to (end of) queue

- receive(m)

- precondition: m is at head of queue

- effect: remove head of queue

- tasks

- All receive actions in one task.

Executions

- An I/O automaton executes as follows:
 - Start at some start state.
 - Repeatedly take step from current state to new state.
- Formally, an **execution** is a finite or infinite sequence:
 - $s_0 \pi_1 s_1 \pi_2 s_2 \pi_3 s_3 \pi_4 s_4 \pi_5 s_5 \dots$ (if finite, ends in state)
 - s_0 is a start state
 - $(s_i, \pi_{i+1}, s_{i+1})$ is a step (i.e., in trans)

$\lambda, \text{send}(a), a, \text{send}(b), ab, \text{receive}(a), b, \text{receive}(b), \lambda$

Execution fragments

- An I/O automaton executes as follows:
 - Start at some start state.
 - Repeatedly take step from current state to new state.
 - Formally, an ~~execution~~ is a sequence:
 - $s_0 \pi_1 s_1 \pi_2 s_2 \pi_3 s_3 \pi_4 s_4 \pi_5 s_5 \dots$
 - ~~s_0 is a start state~~
 - $(s_i, \pi_{i+1}, s_{i+1})$ is a step.
- execution fragment**

Traces

- Models external behavior, useful for defining correctness.
- A **trace** of an execution is the subsequence of external actions in the execution.
 - Denoted $\text{trace}(\alpha)$, where α is an execution.
 - No states, no internal actions.

$\lambda, \text{send}(a), a, \text{send}(b), ab, \text{receive}(a), b, \text{receive}(b), \lambda$

$\text{send}(a), \text{send}(b), \text{receive}(a), \text{receive}(b)$

Composition of compatible automata

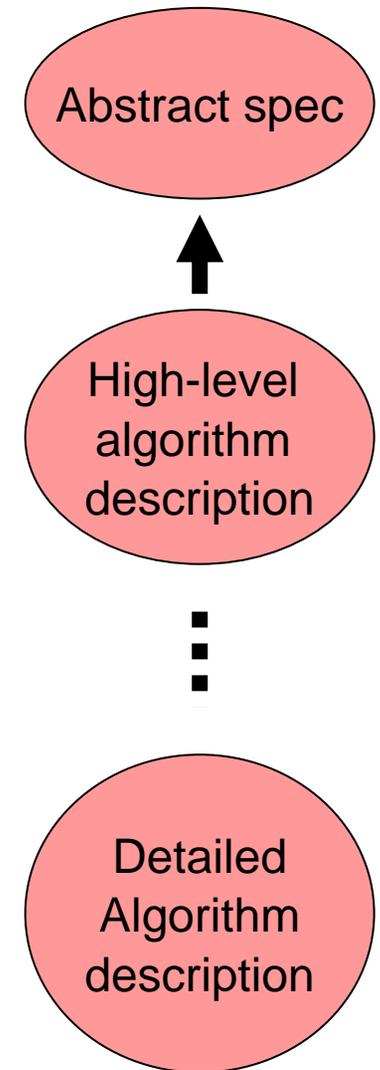
- Compose two automata A and B (see book for general case).
- $\text{out}(A \times B) = \text{out}(A) \cup \text{out}(B)$
- $\text{int}(A \times B) = \text{int}(A) \cup \text{int}(B)$
- $\text{in}(A \times B) = \text{in}(A) \cup \text{in}(B) - (\text{out}(A) \cup \text{out}(B))$
- $\text{states}(A \times B) = \text{states}(A) \times \text{states}(B)$
- $\text{start}(A \times B) = \text{start}(A) \times \text{start}(B)$
- $\text{trans}(A \times B)$: includes (s, π, s') iff
 - $(s_A, \pi, s'_A) \in \text{trans}(A)$ if $\pi \in \text{acts}(A)$; $s_A = s'_A$ otherwise.
 - $(s_B, \pi, s'_B) \in \text{trans}(B)$ if $\pi \in \text{acts}(B)$; $s_B = s'_B$ otherwise.
- $\text{tasks}(A \times B) = \text{tasks}(A) \cup \text{tasks}(B)$

- Notation: $\prod_{i \in I} A_i$, for composition of $A_i : i \in I$ (I countable)

Hierarchical proofs

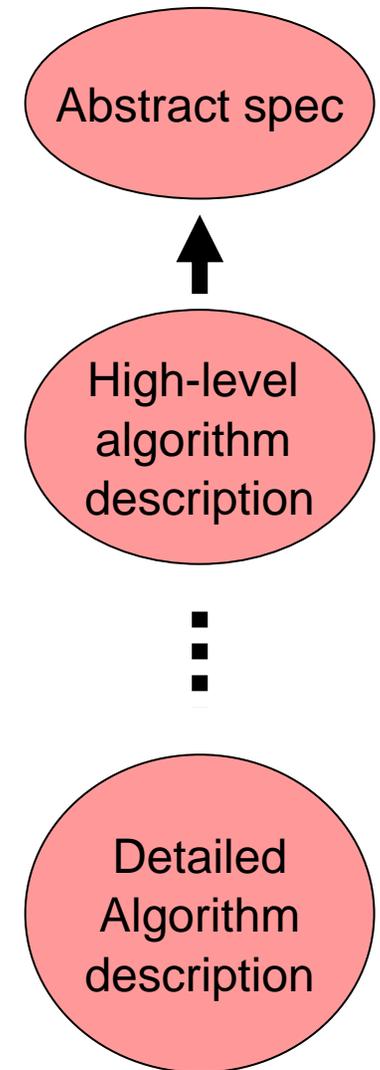
Hierarchical proofs

- Important strategy for proving correctness of complex asynchronous distributed algorithms.
- Define a series of automata, each implementing the previous one (“successive refinement”).
- Highest-level = Problem specification.
- Then a high-level algorithm description.
- Then more and more detailed versions, e.g.:
 - High levels centralized, lower levels distributed.
 - High levels inefficient but simple, lower levels optimized and more complex.
 - High levels with large granularity steps, lower levels with finer granularity steps.
- Reason about lower levels by relating them to higher levels.
- Similar to what we did for synchronous algorithms.



Hierarchical proofs

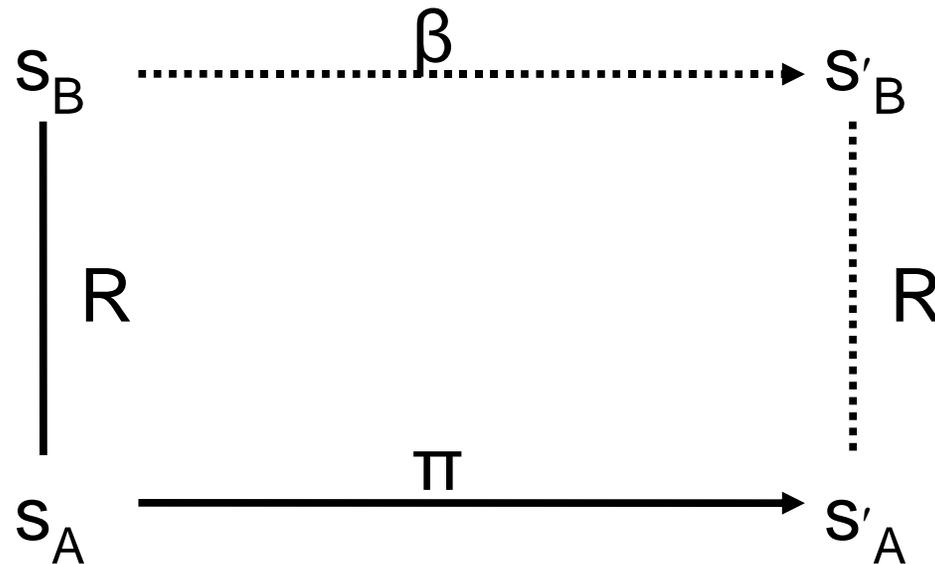
- For synchronous algorithms (recall):
 - Optimized algorithm runs side-by-side with unoptimized version, and “invariant” proved to relate the states of the two algorithms.
 - Prove using induction.
- For asynchronous algorithms, it’s harder:
 - Asynchronous model has **more nondeterminism** (in choice of new state, in order of steps).
 - So, harder to determine which execs to compare.
- One-way implementation is enough:
 - For each execution of the lower-level algorithm, there is a corresponding execution of the higher-level algorithm.
 - “Everything the algorithm does is allowed by the spec.”
 - Don’t need the other direction: doesn’t matter if the algorithm does **everything** that is allowed.



Simulation relations

- Most common method of proving that one automaton implements another.
- Assume A and B have the same extsig, and R is a relation from states(A) to states(B).
- Then R is a **simulation relation** from A to B provided:
 - $s_A \in \text{start}(A)$ implies there exists $s_B \in \text{start}(B)$ such that $s_A R s_B$.
 - If s_A, s_B are reachable states of A and B, $s_A R s_B$ and (s_A, π, s'_A) is a step, then there is an execution fragment β starting with s_B and ending with s'_B such that $s'_A R s'_B$ and $\text{trace}(\beta) = \text{trace}(\pi)$.

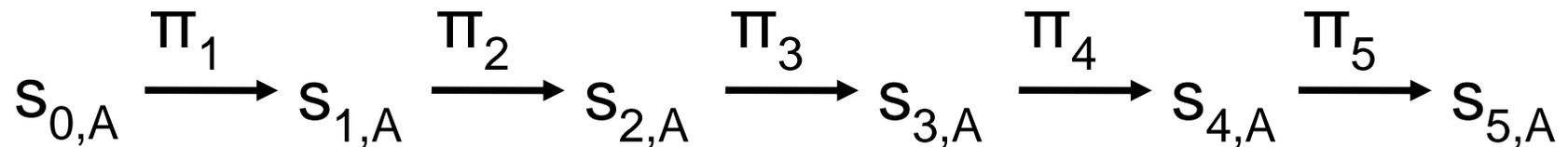
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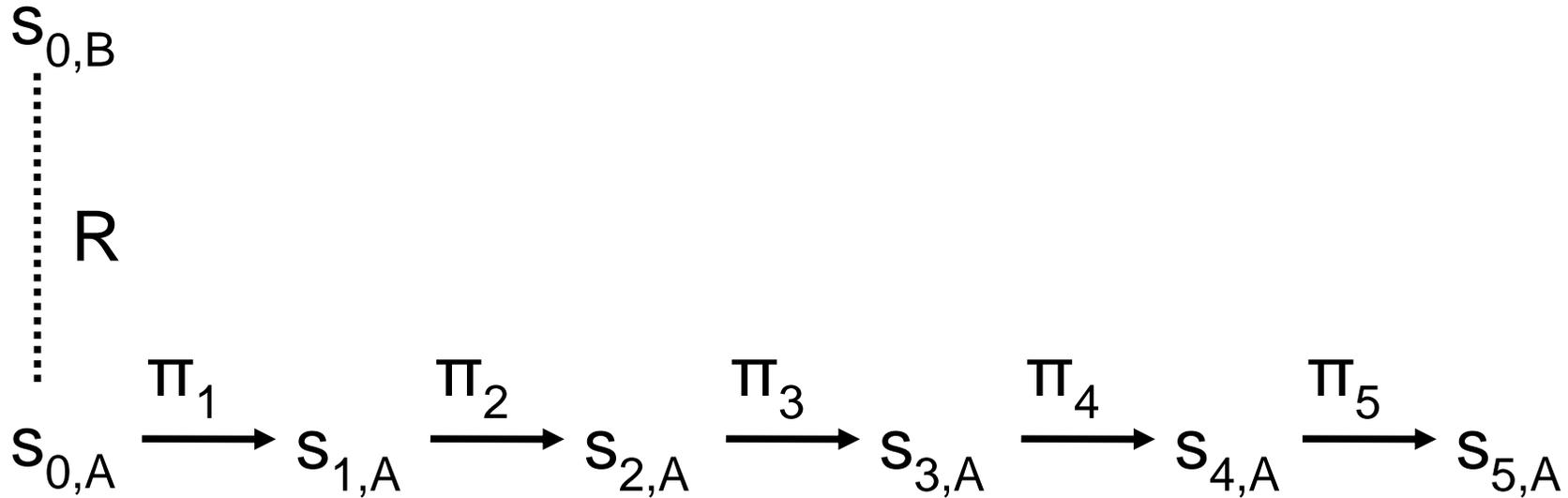
Simulation relations

- **Theorem:** If there is a simulation relation from A to B then $\text{traces}(A) \subseteq \text{traces}(B)$.
- This means all traces of A , not just finite traces.
- **Proof:** Fix a trace of A , arising from a (possibly infinite) execution of A .
- Create a corresponding execution of B , using an iterative construction.



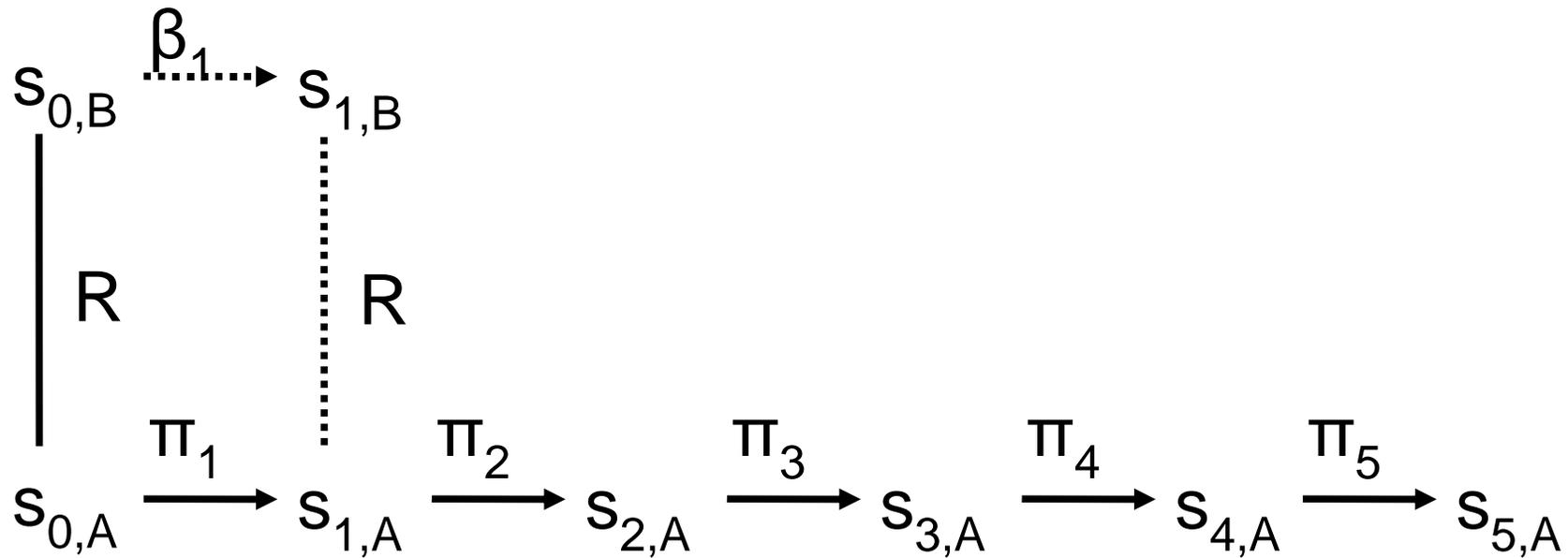
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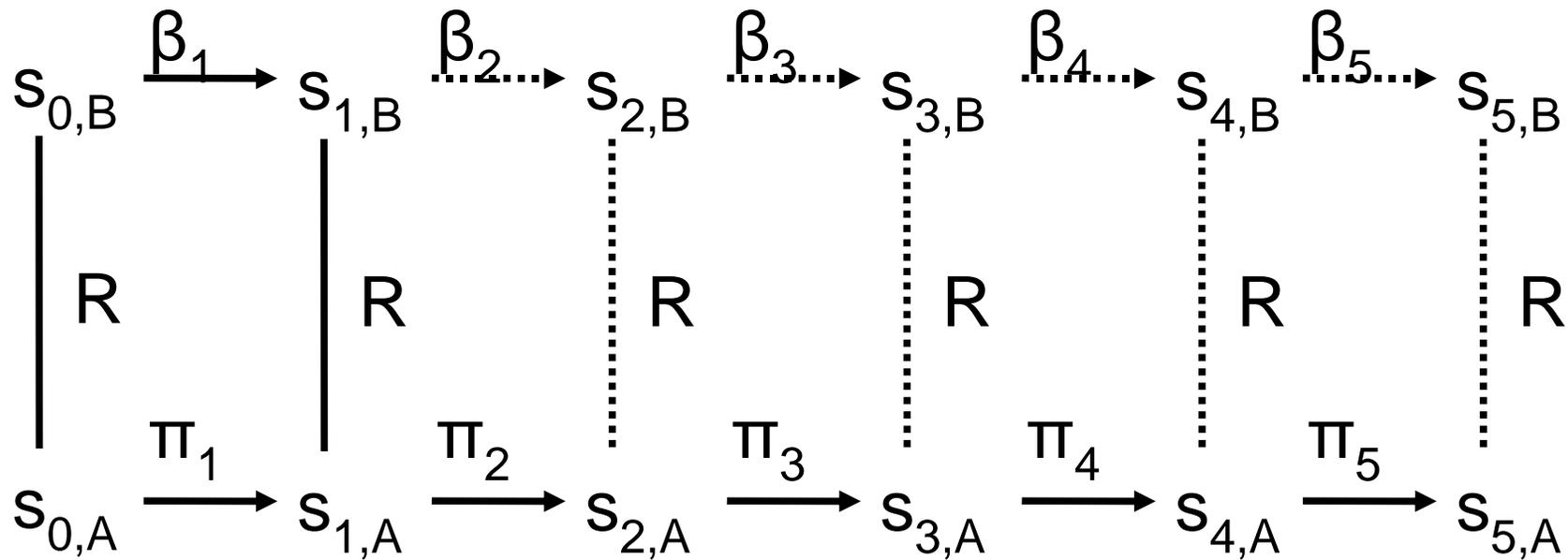
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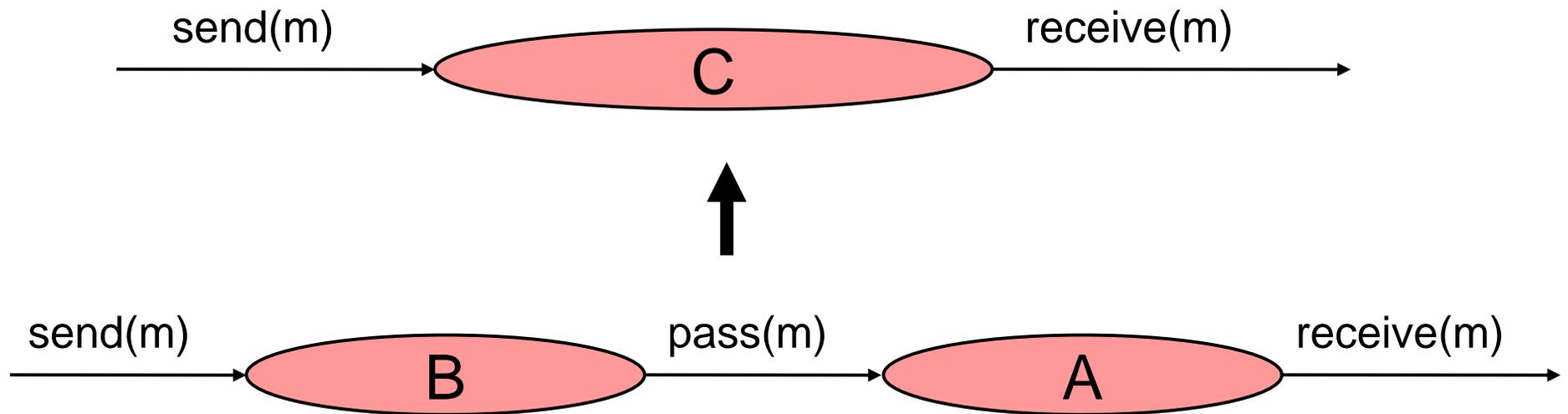
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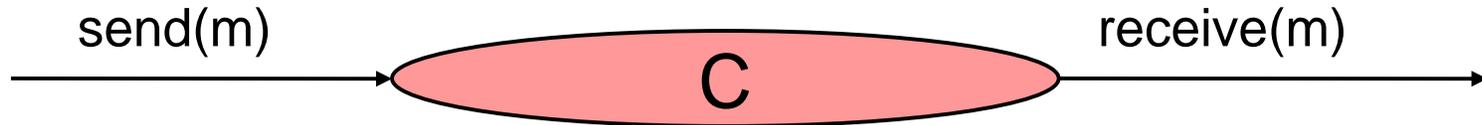
Example: Channels

- Show two channels implement one.



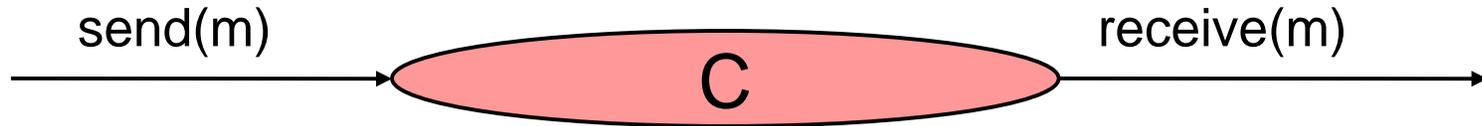
- Rename some actions.
- Claim that $D = \text{hide}_{\{\text{pass}(m)\}} A \times B$ implements C, in the sense that $\text{traces}(D) \subseteq \text{traces}(C)$.

Recall: Channel automaton



- Reliable unidirectional FIFO channel.
- signature
 - Input actions: $\text{send}(m)$, $m \in M$
 - output actions: $\text{receive}(m)$, $m \in M$
 - no internal actions
- states
 - **queue**: FIFO queue of M , initially empty

Channel automaton



- trans

- send(m)

- effect: add m to queue

- receive(m)

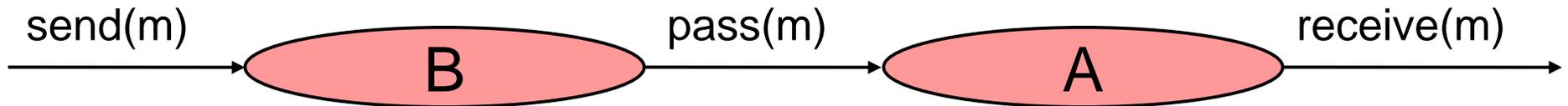
- precondition: $m = \text{head}(\text{queue})$

- effect: remove head of queue

- tasks

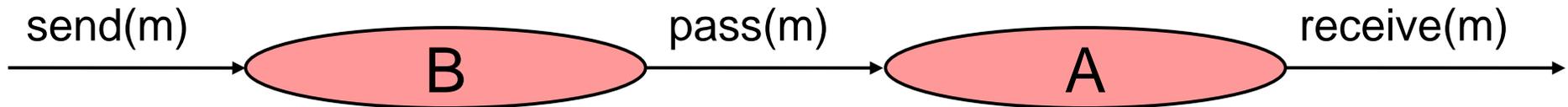
- All receive actions in one task

Composing two channel automata



- Output of B is input of A
 - Rename receive(m) of B and send(m) of A to pass(m).
- $D = \text{hide}_{\{ \text{pass}(m) \mid m \in M \}} A \times B$ implements C
- Define simulation relation R:
 - For $s \in \text{states}(D)$ and $u \in \text{states}(C)$, $s R u$ iff $u.\text{queue}$ is the concatenation of $s.A.\text{queue}$ and $s.B.\text{queue}$
- Proof that this is a simulation relation:
 - **Start condition:** All queues are empty, so start states correspond.
 - **Step condition:** Define “step correspondence”:

Composing two channel automata

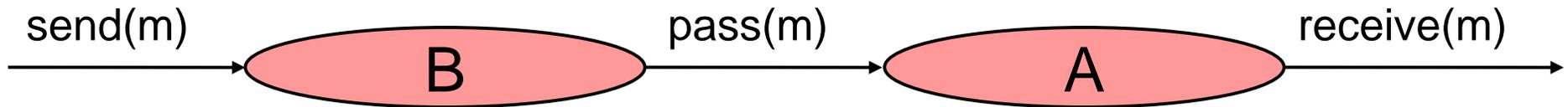


$s R u$ iff $u.queue$ is concatenation of $s.A.queue$ and $s.B.queue$

- **Step correspondence:**

- For each step $(s, \pi, s') \in \text{trans}(D)$ and u such that $s R u$, define execution fragment β of C :
 - Starts with u , ends with u' such that $s' R u'$.
 - $\text{trace}(\beta) = \text{trace}(\pi)$
- Here, actions in β happen to depend only on π , and uniquely determine post-state.
 - Same action if external, empty sequence if internal.

Composing two channel automata



$s R u$ iff $u.queue$ is concatenation of $s.A.queue$ and $s.B.queue$

- **Step correspondence:**
 - $\pi = \text{send}(m)$ in D corresponds to $\text{send}(m)$ in C
 - $\pi = \text{receive}(m)$ in D corresponds to $\text{receive}(m)$ in C
 - $\pi = \text{pass}(m)$ in D corresponds to λ in C
- **Verify that this works:**
 - Actions of C are enabled.
 - Final states related by relation R.
- **Routine case analysis:**

Showing R is a simulation relation

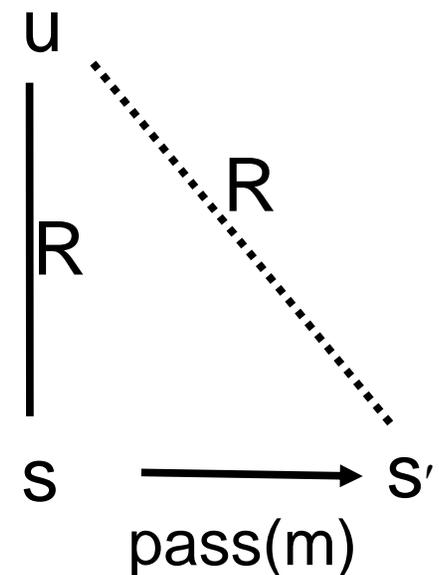
$s R u$ iff $u.queue$ is concatenation of $s.A.queue$ and $s.B.queue$

- **Case: $\pi = \text{send}(m)$**
 - No enabling issues (input).
 - Must check $s' R u'$.
 - Since $s R u$, $u.queue$ is the concatenation of $s.A.queue$ and $s.B.queue$.
 - Adding the same m to the end of $u.queue$ and $s.B.queue$ maintains the correspondence.
- **Case: $\pi = \text{receive}(m)$**
 - Enabling: Check that $\text{receive}(m)$, for the same m , is also enabled in u .
 - We know that m is first on $s.A.queue$.
 - Since $s R u$, m is first on $u.queue$.
 - So enabled in u .
 - $s' R u'$: Since m removed from both $s.A.queue$ and $u.queue$.

Showing R is a simulation relation

$s R u$ iff $u.queue$ is concatenation of $s.A.queue$ and $s.B.queue$

- **Case: $\pi = pass(m)$**
 - No enabling issues (since no high-level steps are involved).
 - Must check $s' R u$:
 - Since $s R u$, $u.queue$ is the concatenation of $s.A.queue$ and $s.B.queue$.
 - Concatenation is unchanged as a result of this step, so also $u.queue$ is the concatenation of $s'.A.queue$ and $s'.B.queue$.



Safety and liveness properties

Specifications

- **Trace property:**
 - Problem specification in terms of external behavior.
 - ($\text{sig}(P)$, $\text{traces}(P)$)
- Automaton A **satisfies** trace property P if $\text{extsig}(A) = \text{sig}(P)$ and (two different notions, depending on whether we're interested in liveness or not):
 - $\text{traces}(A) \subseteq \text{traces}(P)$, or
 - $\text{fairtraces}(A) \subseteq \text{traces}(P)$.
- All the problems we'll consider for asynchronous systems can be formulated as trace properties.
- And we'll usually be concerned about liveness, so will use the second notion.

Safety property S

- traces(S) are nonempty, prefix-closed, and limit-closed.
- “Something bad” never happens.
- Violations occur at some finite point in the sequence.
- **Examples** (we’ll see all these later):
 - Consensus: Agreement, validity
 - Describe as set of sequences of init and decide actions in which we never disagree, or never violate validity.
 - Graph algorithms: Correct shortest paths, correct minimum spanning trees,...
 - Outputs do not yield any incorrect answers.
 - Mutual exclusion: No two grants without intervening returns.

Proving a safety property

- That is, prove that all traces of A satisfy S .
- By limit-closure, it's enough to prove that all **finite** traces satisfy S .
- Can do this by induction on length of trace.
- Using invariants:
 - For most trace safety properties, can find a corresponding invariant.
 - Example: Consensus
 - Record decisions in the state.
 - Express agreement and validity in terms of recorded decisions.
 - Then prove the invariant as usual, by induction.

Liveness property L

- Every finite sequence over $\text{sig}(L)$ has some extension in $\text{traces}(L)$.
- Examples:
 - Termination: No matter where we are, we could still terminate in the future.
 - Some event happens infinitely often.
- Proving liveness properties:
 - Measure progress toward goals, using progress functions.
 - Intermediate milestones.
 - Formal reasoning using temporal logic.
 - Methods less well-established than those for safety properties.

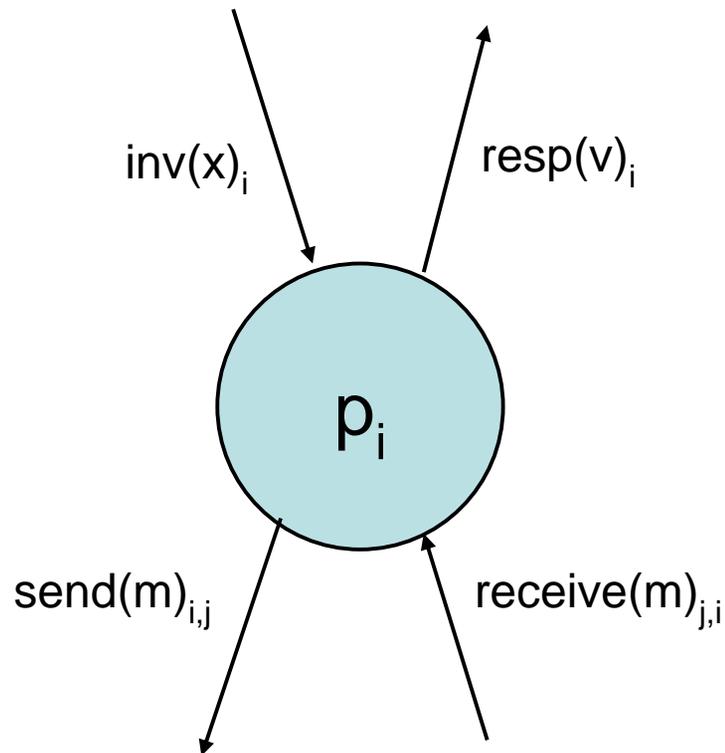
Safety and liveness

- **Theorem:** Every trace property can be expressed as the intersection of a safety and a liveness property.
- So, to specify a property, it's enough to specify safety requirements and liveness requirements separately.
- Typical specifications of problems for asynchronous systems consist of:
 - A list of safety properties.
 - A list of liveness properties.
 - Nothing else.

Asynchronous network model

Send/receive systems

- Digraph $G = (V, E)$, with:
 - Process automata associated with nodes, and
 - Channel automata associated with directed edges.
- Model processes and channels as automata, compose.
- Processes



- User interface: $inv, resp$.
 - Problems specified in terms of allowable traces at user interface
 - Hide send/receive actions
 - Failure modeling, e.g.:
-
- A diagram showing a process p_i (light blue circle) with an incoming arrow from the left labeled $stop_1$.
- Having explicit stop actions in external interface allows problems to be stated in terms of occurrence of failures.

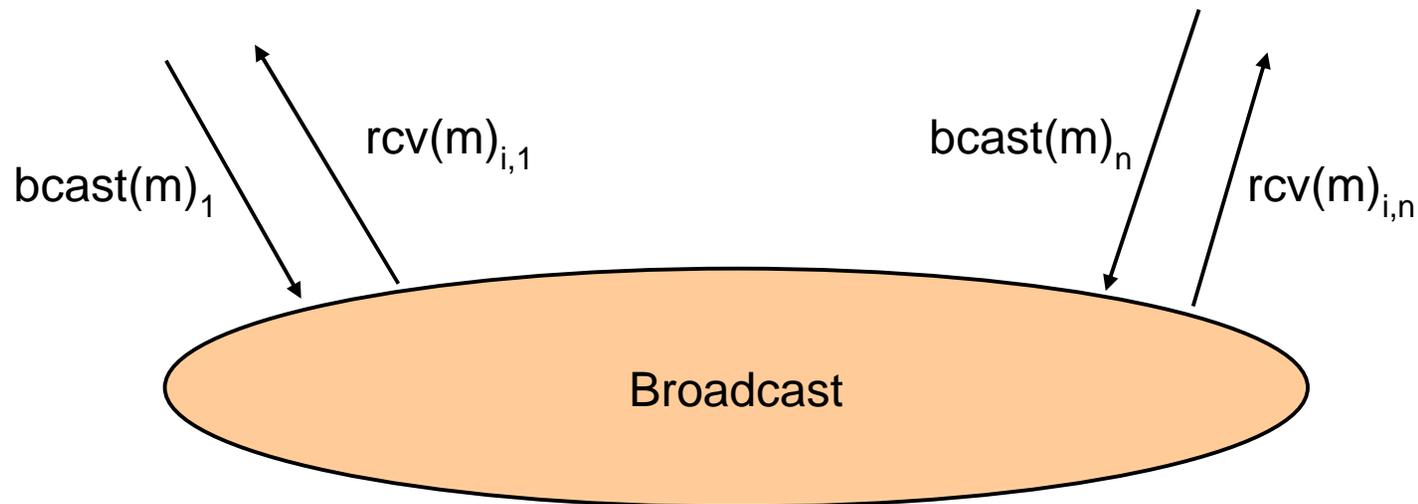
Channel automata



- Different kinds of channel with this interface:
 - Reliable FIFO, as before.
 - Weaker guarantees: Lossy, duplicating, reordering
- Can define channels by trace properties, using a “cause” function mapping receives to sends.
 - Integrity: Cause function preserves message.
 - No loss: Function is onto (surjective).
 - No duplicates: Function is 1-1 (injective).
 - No reordering: Function is order-preserving.
- Reliable channel satisfies all of these; weaker channels satisfy Integrity but weaken some of the other properties.

Broadcast and multicast

- Broadcast
 - Reliable FIFO between each pair.
 - Different processes can receive msgs from different senders in different orders.
 - Model using separate queues for each pair.
- Multicast: Processes designate recipients.
- Also consider bcast, mcast with failures, and/or with additional consistency conditions.



Asynchronous network algorithms

Asynchronous network algorithms

- Assume reliable FIFO point-to-point channels
- Revisit problems we considered in synchronous networks:
 - Leader election:
 - In a ring.
 - In general undirected networks.
 - Spanning tree
 - Breadth-first search
 - Shortest paths
 - Minimum spanning tree
- How much carries over?
 - Where did we use synchrony assumption?

Leader election in a ring

- **Assumptions:**
 - G is a ring, unidirectional or bidirectional communication
 - Local names for neighbors, UIDs
- **LeLann-Chang-Roberts (AsynchLCR)**
 - Send UID clockwise around ring (unidirectional).
 - Discard UIDs smaller than your own.
 - Elect self if your UID comes back.
 - Correctness: Basically the same as for synchronous version, with a few complications:
 - Finer granularity, consider individual steps rather than entire rounds.
 - Must consider messages in channels.

AsynchLCR, process i

- Signature

- **in** $\text{rcv}(v)_{i-1,i}$, v is a UID
- **out** $\text{send}(v)_{i,i+1}$, v is a UID
- **out** leader_i

- State variables

- **u**: UID, initially i 's UID
- **send**: FIFO queue of UIDs, initially containing i 's UID
- **status**: unknown, chosen, or reported, initially unknown

- Tasks

- $\{ \text{send}(v)_{i,i+1} \mid v \text{ is a UID} \}$
and $\{ \text{leader}_i \}$

Transitions

- $\text{send}(v)_{i,i+1}$
pre: $v = \text{head}(\text{send})$
eff: remove head of **send**
- $\text{receive}(v)_{i-1,i}$
eff:
if $v = \mathbf{u}$ then **status** := chosen
if $v > \mathbf{u}$ then add v to **send**
- leader_i
pre: **status** = chosen
eff: **status** := reported

AsynchLCR properties

- **Safety:** No process other than i_{\max} ever performs leader _{i} .
- **Liveness:** i_{\max} eventually performs leader _{i} .

Safety proof

- **Safety:** No process other than i_{\max} ever performs leader $_i$.
- Recall synchronous proof, based on showing invariant of global states, after any number of **rounds**:
 - If $i \neq i_{\max}$ and $j \in [i_{\max}, i)$ then u_i not in send_j .
- Can use a similar invariant for the asynchronous version.
- But now the invariant must hold after any number of **steps**:
 - If $i \neq i_{\max}$ and $j \in [i_{\max}, i)$ then u_i not in send_j **or in queue** $_{j,j+1}$.
- Prove by induction on number of steps.
 - Use cases based on type of action.
 - Key case: $\text{receive}(v)_{i_{\max}-1, i_{\max}}$
 - Argue that if $v \neq u_{i_{\max}}$ then v gets discarded.

Liveness proof

- **Liveness:** i_{\max} eventually performs leader _{i} .
- Synchronous proof used an invariant saying exactly where the max is after r rounds.
- Now no rounds, need a different proof.
- Can establish intermediate milestones:
 - For $k \in [0, n-1]$, u_{\max} eventually in send _{$i_{\max+k}$}
 - Prove by induction on k ; use fairness for process and channel to prove inductive step.

Complexity

- **Msgs:** $O(n^2)$, as before.
- **Time:** $O(n(l+d))$
 - l is an upper bound on local step time for each process (that is, for each process task).
 - d is an upper bound on time to deliver first message in each channel (that is, for each channel task).
 - Measuring real time here (not counting rounds).
 - Only upper bounds, so does not restrict executions.
 - Bound still holds in spite of the possibility of “pileups” of messages in channels and send buffers.
 - Pileups can be interpreted as meaning that some tokens have sped up.
 - See analysis in book.

Reducing the message complexity

- **Hirschberg-Sinclair:**
 - Sending in both directions, to successively doubled distances.
 - Extends immediately to asynchronous model.
 - $O(n \log n)$ messages.
 - Use bidirectional communication.
- **Peterson's algorithm:**
 - $O(n \log n)$ messages
 - **Unidirectional communication**
 - Unknown ring size
 - Comparison-based

Peterson's algorithm

- Proceed in asynchronous “phases” (may execute concurrently).
- In each phase, each process is **active** or **passive**.
 - Passive processes just pass messages along.
- In each phase, at least half of the active processes become passive; so at most $\log n$ phases until election.
- **Phase 1:**
 - Send UID two processes clockwise; collect two UIDs from predecessors.
 - Remain active iff the middle UID is max.
 - In this case, adopt middle UID (the max one).
 - Some process remains active (assuming $n \geq 2$), but no more than half.
- **Later phases:**
 - Same, except that the passive processes just pass messages on.
 - No more than half of those active at the beginning of the phase remain active.
- **Termination:**
 - If a process sees that its immediate predecessor's UID is the same as its own, elects itself the leader (knows it's the only active process left).

PetersonLeader

- Signature

- ***in*** receive(v)_{i-1,i}, v is a UID
- ***out*** send(v)_{i,i+1}, v is a UID
- ***out*** leader_i

- ***int*** get-second-uid_i
- ***int*** get-third-uid_i
- ***int*** advance-phase_i
- ***int*** become-relay_i
- ***int*** relay_i

- State variables

- **mode**: active or relay, initially active
- **status**: unknown, chosen, or reported, initially unknown
- **uid1**; initially i's UID
- **uid2**; initially null
- **uid3**; initially null
- **send**: FIFO queue of UIDs; initially contains i's UID
- **receive**: FIFO queue of UIDs

PetersonLeader

- get-second-uid_i
pre: **mode** = active
 receive is nonempty
 uid2 = null
eff: **uid2** := head(**receive**)
 remove head of **receive**
 add **uid2** to **send**
 if **uid2** = **uid1** then
 status := chosen
- get-third-uid_i
pre: **mode** = active
 receive is nonempty
 uid2 ≠ null
 uid3 = null
eff: **uid3** := head(**receive**)
 remove head of **receive**
- advance-phase_i
pre: **mode** = active
 uid3 ≠ null
 uid2 > max(**uid1**, **uid3**)
eff: **uid1** := **uid2**
 uid2 := null
 uid3 := null
 add **uid1** to **send**
- become-relay_i
pre: **mode** = active
 uid3 ≠ null
 uid2 ≤ max(**uid1**, **uid3**)
eff: **mode** := relay
- relay_i
pre: **mode** = relay
 receive is nonempty
eff: move head(**receive**) to **send**

PetersonLeader

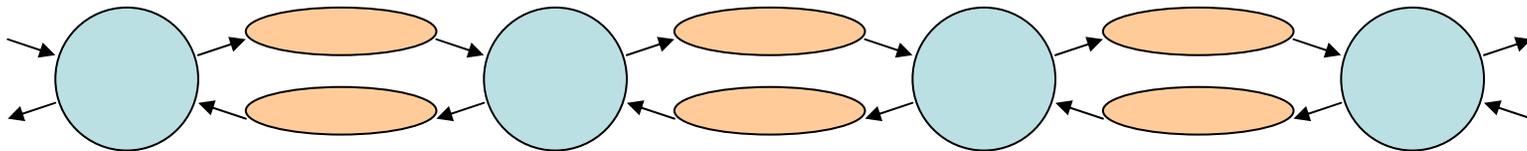
- Tasks:
 - { $\text{send}(v)_{i,i+1} \mid v \text{ is a UID } \}$
 - { $\text{get-second-uid}_i, \text{get-third-uid}_i, \text{advance-phase}_i, \text{become-relay}_i, \text{relay}_i \}$
 - { $\text{leader}_i \}$
- Number of phases is $O(\log n)$
- Complexity
 - Messages: $O(n \log n)$
 - Time: $O(n(l+d))$

Leader election in a ring

- Can we do better than $O(n \log n)$ message complexity?
 - Not with comparison-based algorithms.
(Why?)
 - Not at all: Can prove a lower bound.

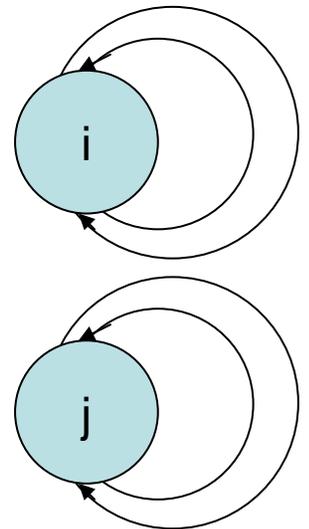
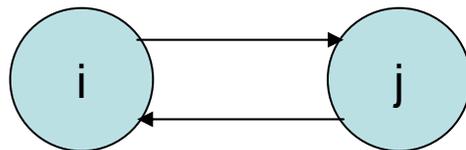
$\Omega(n \log n)$ lower bound

- Lower bound for leader election in asynchronous network.
- Assume:
 - Ring size n is unknown (algorithm must work in arbitrary size rings).
 - UIDS:
 - Chosen from some infinite set.
 - No restriction on allowable operations.
 - All processes identical except for UIDs.
 - Bidirectional communication allowed.
- Consider combinations of processes to form:
 - Rings, as usual.
 - Lines, where nothing is connected to the ends and no input arrives there.
 - Ring looks like line if communication delayed across ends.



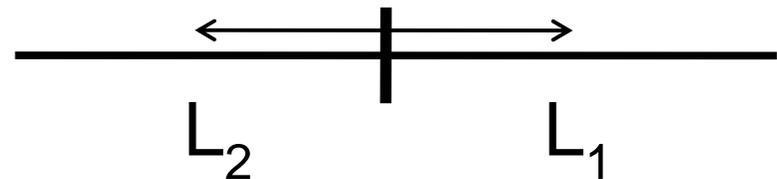
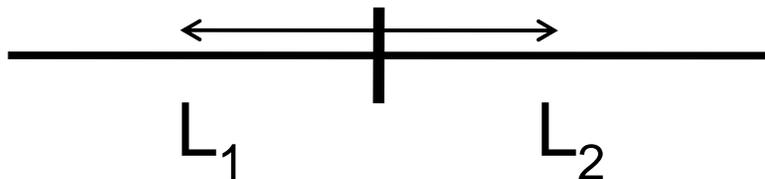
$\Omega(n \log n)$ lower bound

- **Lemma 1:** There are infinitely many process automata, each of which can send at least one message without first receiving one (in some execution).
- **Proof:**
 - If not, there are two processes i, j , neither of which ever sends a message without first receiving one.
 - Consider 1-node ring:
 - i must elect itself, with no messages sent or received.
 - Consider:
 - j must elect itself, with no messages sent or received.
 - Now consider:
 - Both i and j elect themselves, contradiction.

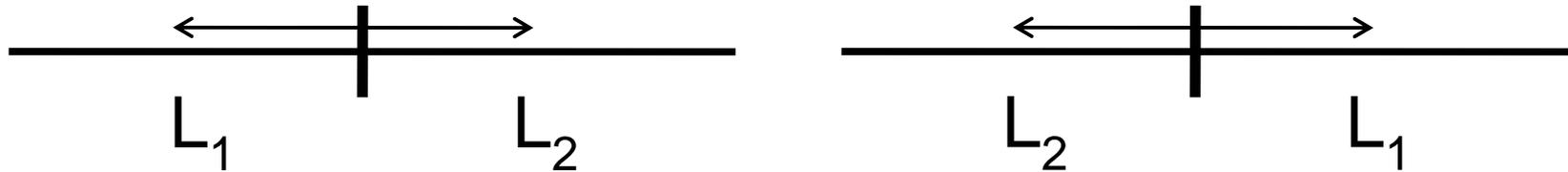


$\Omega(n \log n)$ lower bound

- $C(L)$ = maximum (actually, supremum) of the number of messages that are sent in a single input-free execution of line L .
- **Lemma 2:** If L_1, L_2, L_3 are three line graphs of even length l such that $C(L_i) \geq k$ for $i = 1, 2, 3$, then $C(L_i \text{ join } L_j) \geq 2k + l/2$ for some $i \neq j$
- **Proof:**
 - Suppose not.
 - Consider two lines, $L_1 \text{ join } L_2$ and $L_2 \text{ join } L_1$.



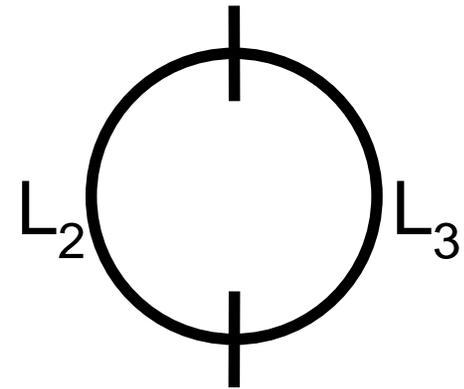
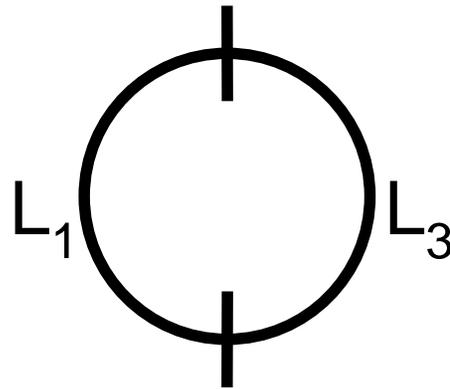
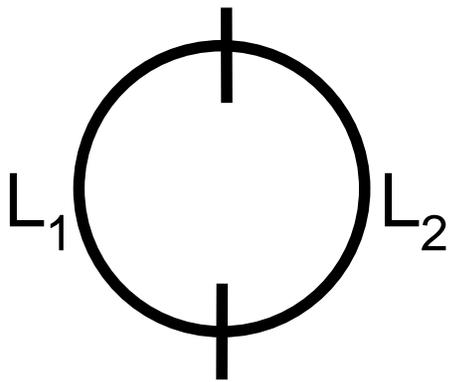
Proof of Lemma 2



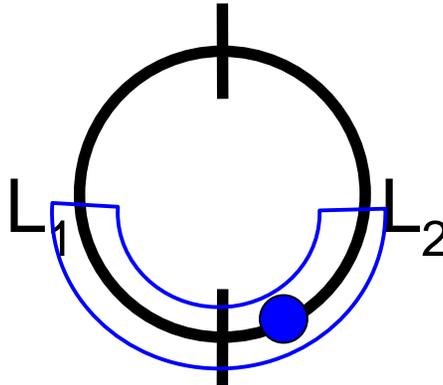
- Let α_i be finite execution of L_i with $\geq k$ messages.
- Run α_1 then α_2 then $\alpha_{1,2}$, an execution fragment of L_1 join L_2 beginning with messages arriving across the join boundary.
- By assumption, fewer than $1/2$ additional messages are sent in $\alpha_{1,2}$.
- So, the effects of the new inputs don't cross the middle edges of L_1 and L_2 before the system quiesces (no more messages sent).
- Similarly for $\alpha_{2,1}$, an execution of L_2 join L_1 .

Proof of Lemma 2

- Now consider three **rings**:

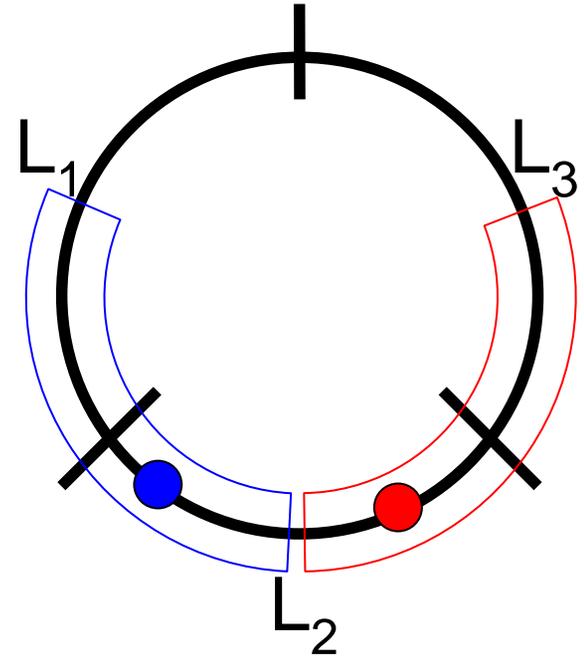
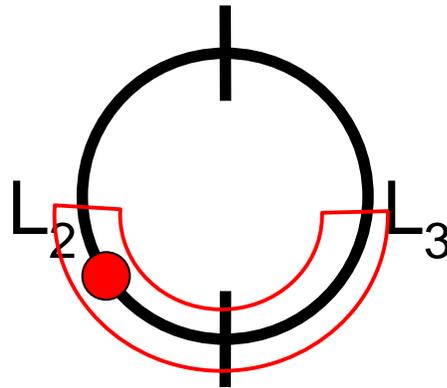
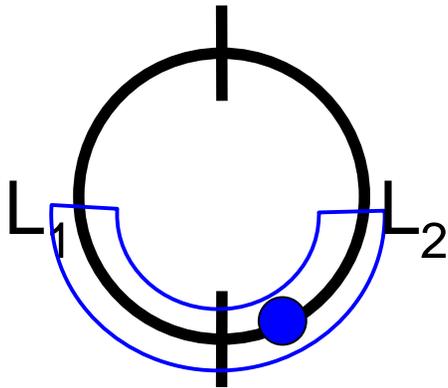


Proof of Lemma 2

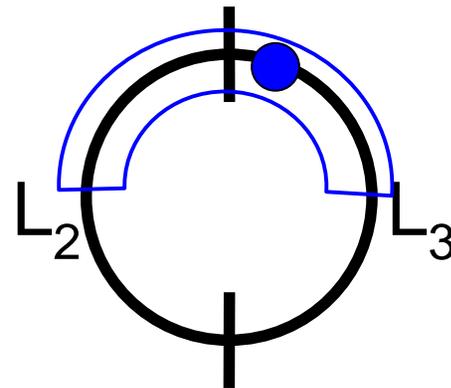


- Connect both ends of L_1 and L_2 .
 - Right neighbor in line is clockwise around ring.
- Run α_1 then α_2 then $\alpha_{1,2}$ then $\alpha_{2,1}$.
 - No interference between $\alpha_{1,2}$ and $\alpha_{2,1}$.
 - Quiesces: Eventually no more messages are sent.
 - Must elect leader (possibly in extension, but without any more messages).
- Assume WLOG that elected leader is in “bottom half”.

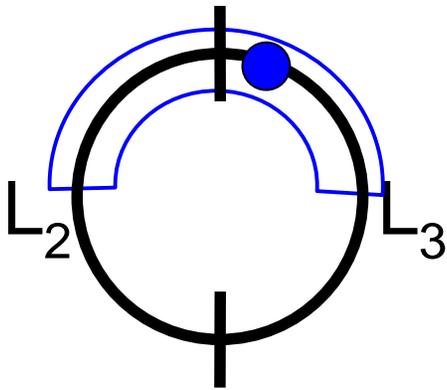
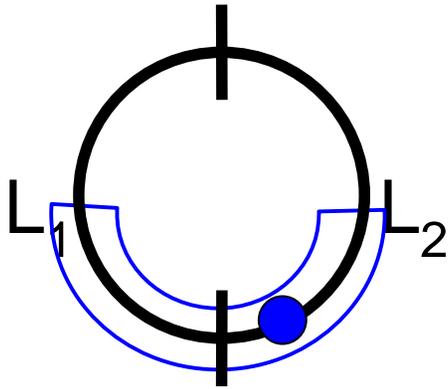
Proof of Lemma 2



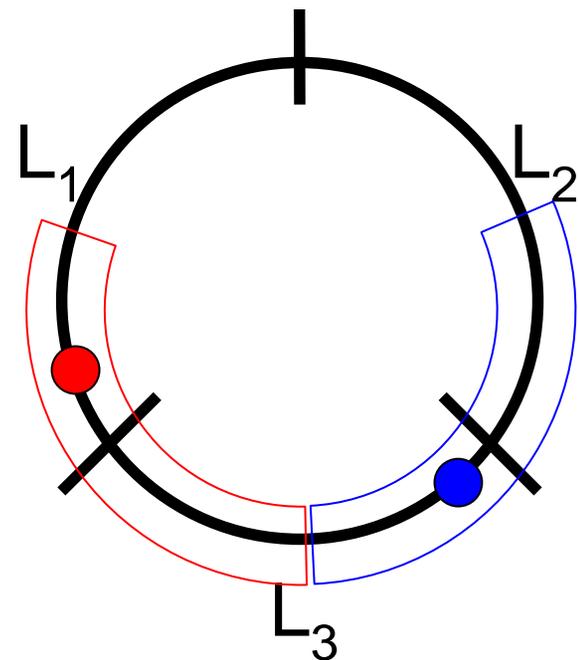
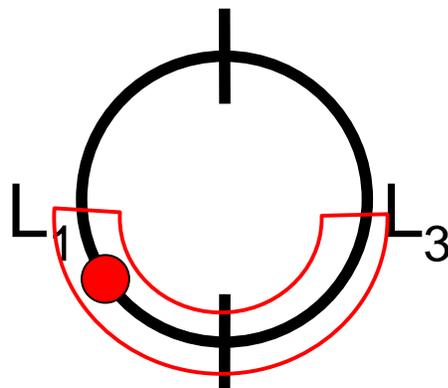
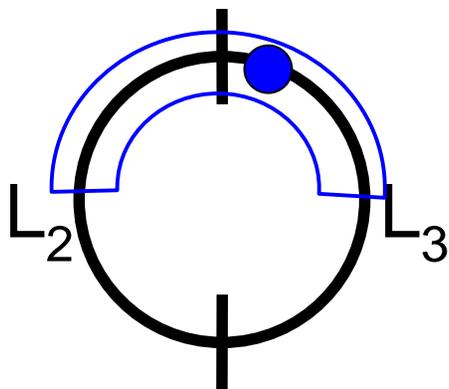
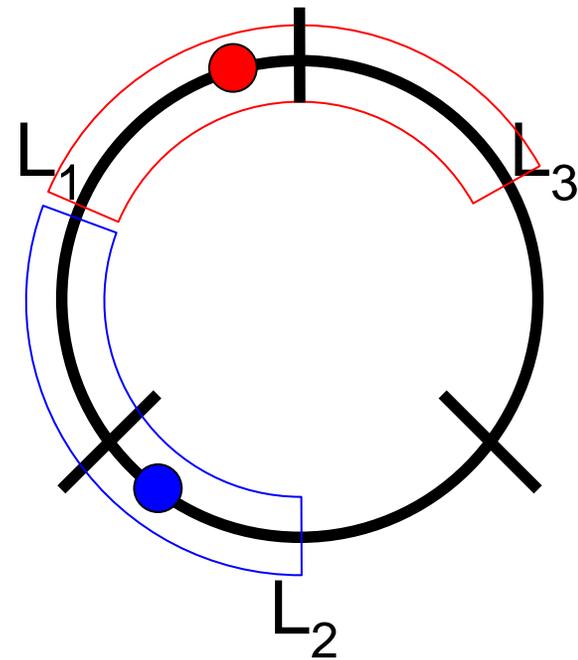
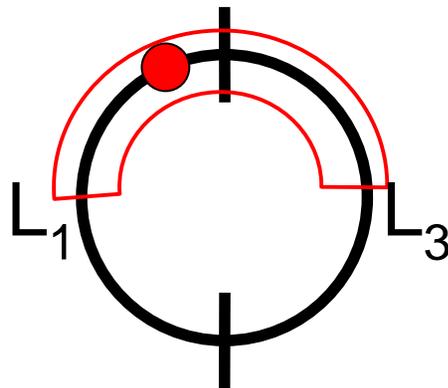
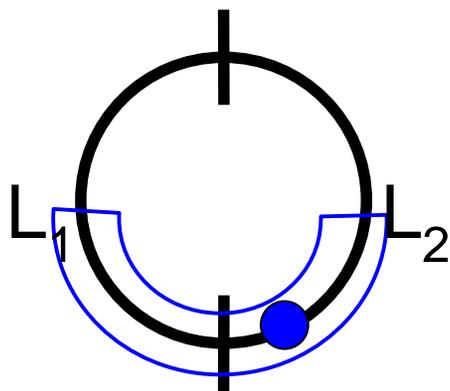
- Same argument for ring constructed from L_2 and L_3 .
- Can leader be in bottom half?
- No!
- So must be in top half.



Proof of Lemma 2



Proof of Lemma 2



Lower bound, cont'd

- Summarizing, we have:
- **Lemma 1:** There are infinitely many process automata, each of which can send at least one message without first receiving one.
- **Lemma 2:** If L_1, L_2, L_3 are three line graphs of even length l such that $C(L_i) \geq k$ for all i , then $C(L_i \text{ join } L_j) \geq 2k + l/2$ for some $i \neq j$.
- Now combine:
- **Lemma 3:** For any $r \geq 0$, there are infinitely many disjoint line graphs L of length 2^r such that $C(L) \geq r 2^{r-2}$.
 - Base ($r = 0$): Trivial claim.
 - Base ($r = 1$): Use Lemma 1
 - Just need length-2 lines sending at least one message.
 - Inductive step ($r \geq 2$):
 - Choose L_1, L_2, L_3 of length 2^{r-1} with $C(L_i) \geq (r-1) 2^{r-3}$.
 - By Lemma 2, for some i, j , $C(L_i \text{ join } L_j) \geq 2(r-1)2^{r-3} + 2^{r-1}/2 = r 2^{r-2}$.

Lower bound, cont'd

- **Lemma 3:** For any $r \geq 0$, there are infinitely many disjoint line graphs L of length 2^r such that $C(L) \geq r 2^{r-2}$.
- **Theorem:** For any $r \geq 0$, there is a ring R of size $n = 2^r$ such that $C(R) = \Omega(n \log n)$.
 - Choose L of length 2^r such that $C(L) \geq r 2^{r-2}$.
 - Connect ends, but delay communication across boundary.
- **Corollary:** For any $n \geq 0$, there is a ring R of size n such that $C(R) = \Omega(n \log n)$.

Leader election in general networks

- Undirected graphs.
- Can get asynchronous version of synchronous FloodMax algorithm:
 - Simulate rounds with counters.
 - Need to know diameter for termination.
- We'll see better asynchronous algorithms later:
 - Don't need to know diameter.
 - Lower message complexity.
- Depend on techniques such as:
 - Breadth-first search
 - Convergecast using a spanning tree
 - Synchronizers to simulate synchronous algorithm
 - Consistent global snapshots to detect termination.

Next lecture

- More asynchronous network algorithms
 - Constructing a spanning tree
 - Breadth-first search
 - Shortest paths
 - Minimum spanning tree (GHS)
- Reading: Section 15.3-15.5, [Gallager, Humblet, Spira]

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