

spectrum of the galaxy. Galaxies are a combination of these, so the total flux at a given frequency is a combination of the flux from each star:

$$F_\nu = N_O F_{\nu,O} + N_B F_{\nu,B} + \dots \quad (27)$$

Determining  $N_O, N_B, N_A, N_F \dots$  is the basic idea of stellar population synthesis.

## 2 Structure and a qualitative picture of galaxies

Goal: look at the most basic dynamical properties of a galaxy.

A *galaxy* is a collisionless fluid of stars and dark matter orbiting together with collisional gas in a common self-gravitational potential.

With this definition, we can try to understand the main dynamical properties.

### 2.A Virial Theorem

Derivation: assume stars orbit in a galaxy with mass, position, and velocity  $(m_i, \vec{r}_i, \vec{v}_i)$ . We then define the virial  $G$ :

$$G = \sum_i \vec{p}_i \cdot \vec{r}_i \quad (28)$$

which we can rewrite:

$$G = \sum_i \left( m_i \frac{d\vec{r}_i}{dt} \right) \cdot \vec{r}_i. \quad (29)$$

Since

$$\frac{d}{dt}(\vec{r} \cdot \vec{r}) = \dot{\vec{r}} \cdot \vec{r} + \vec{r} \cdot \dot{\vec{r}} = 2\dot{\vec{r}} \cdot \vec{r}, \quad (30)$$

we get

$$\begin{aligned} G &= \frac{1}{2} \sum_i m_i \frac{d}{dt}(\vec{r}_i \cdot \vec{r}_i) \\ &= \frac{1}{2} \frac{d}{dt} \sum_i m_i r_i^2. \end{aligned} \quad (31)$$

Defining  $I = \sum_i m_i r_i^2$  as the moment of inertia about the origin, we get

$$\boxed{G = \frac{1}{2} \frac{dI}{dt}}. \quad (32)$$

Now consider the time derivative of  $G$ :

$$\begin{aligned} \frac{dG}{dt} &= \sum_i \dot{\vec{p}}_i \cdot \vec{r}_i + \sum_i \vec{p}_i \cdot \dot{\vec{r}}_i \\ &= \sum_i \vec{F}_i \cdot \vec{r}_i + \sum_i m_i v_i^2 \\ &= \sum_i \vec{F}_i \cdot \vec{r}_i + 2T \end{aligned} \quad (33)$$

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where  $T$  is the kinetic energy. Because gravity is a pairwise force, we can write

$$\vec{F}_k = \sum_{i=1}^N \vec{F}_{jk} . \quad (34)$$

$F_{ii} = 0$  and  $1 \leq j \leq N$ , so we can split  $F_{jk}$  into two parts, the upper and lower portions of the matrix

$$k \downarrow \quad j \rightarrow \quad F_{jk} = \begin{pmatrix} 0 & & \textcircled{2} \\ & \ddots & \\ \textcircled{1} & & 0 \end{pmatrix} \quad (35)$$

with

$$\textcircled{1} = \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot \vec{r}_k \quad \text{and} \quad \textcircled{2} = \sum_{k=1}^{N-1} \sum_{j=k+1}^N \vec{F}_{jk} \cdot \vec{r}_k \quad (36)$$

so

$$\sum_{k=1}^N \vec{F}_k \cdot \vec{r}_k = \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot \vec{r}_k + \sum_{k=1}^{N-1} \sum_{j=k+1}^N \vec{F}_{jk} \cdot \vec{r}_k . \quad (37)$$

$F$  is pairwise, so  $-\vec{F}_{kj} = \vec{F}_{jk}$ , which gives

$$\sum_{k=1}^N \vec{F}_k \cdot \vec{r}_k = \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot \vec{r}_k - \sum_{k=1}^{N-1} \sum_{j=k+1}^N \vec{F}_{kj} \cdot \vec{r}_k . \quad (38)$$

The second term in the above equation can be rewritten:

$$\sum_{k=1}^{N-1} \sum_{j=k+1}^N \vec{F}_{kj} \cdot \vec{r}_k = \sum_{j=1}^{N-1} \sum_{k=j+1}^N \vec{F}_{jk} \cdot \vec{r}_j = \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot \vec{r}_j \quad (39)$$

which has the same matrix elements as the first term, so we get

$$\sum_{k=1}^N \vec{F}_k \cdot \vec{r}_k = \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot (\vec{r}_k - \vec{r}_j) . \quad (40)$$

We now assume that there is a potential  $V$  such that:

$$\begin{aligned} \vec{F}_{jk} &= -\nabla_k V(|\vec{r}_{jk}|) = -\nabla_k V(r_{jk}) \\ &= -\frac{dV}{dr} \left( \frac{\vec{r}_k - \vec{r}_j}{r_{jk}} \right) \end{aligned} \quad (41)$$

so we get

$$\begin{aligned}
 \sum_{k=1}^N \vec{F}_k \cdot \vec{r}_k &= \sum_{k=2}^N \sum_{j=1}^{k-1} \vec{F}_{jk} \cdot (\vec{r}_k - \vec{r}_j) \\
 &= - \sum_{k=2}^N \sum_{j=1}^{k-1} \frac{dV}{dr} \frac{|\vec{r}_k - \vec{r}_j|^2}{r_{jk}} \\
 &= - \sum_{k=2}^N \sum_{j=1}^{k-1} \frac{dV}{dr} r_{jk} .
 \end{aligned} \tag{42}$$

We now assume the special case  $V(r_{jk}) = \alpha r_{jk}^n$ . This gives us

$$\begin{aligned}
 \frac{dV}{dr} &= n\alpha r_{jk}^{n-1} \\
 \Rightarrow \frac{dV}{dr} r_{jk} &= nV
 \end{aligned} \tag{43}$$

so

$$\begin{aligned}
 \sum_{k=1}^N \vec{F}_k \cdot \vec{r}_k &= - \sum_{k=2}^N \sum_{j=1}^{k-1} nV(r_{jk}) \\
 &= -n \sum_{k=2}^N \sum_{j=1}^{k-1} V(r_{jk}) \\
 &= -nV_{\text{tot}} .
 \end{aligned} \tag{44}$$

Finally:

$$\frac{dG}{dt} = \sum_i \vec{I}_i \cdot \vec{r}_i + 2T = 2T - nV_{\text{tot}} \tag{45}$$

With  $\frac{dG}{dt} = \frac{1}{2} \frac{d^2I}{dt^2}$ ,  $U = V_{\text{tot}}$ , and  $n = -1$  (for gravity):

$$\boxed{\frac{1}{2} \frac{d^2I}{dt^2} = 2T + U} . \tag{46}$$

We now take the time average:

$$\begin{aligned}
 \left\langle \frac{dG}{dt} \right\rangle_{\mathcal{T}} &= \frac{1}{\mathcal{T}} \int_0^{\mathcal{T}} \frac{dG}{dt} dt = \frac{G(\mathcal{T}) - G(0)}{\mathcal{T}} \\
 \Rightarrow \left\langle \frac{dG}{dt} \right\rangle_{\mathcal{T}} &= 2\langle T \rangle_{\mathcal{T}} - n\langle V_{\text{tot}} \rangle_{\mathcal{T}}
 \end{aligned} \tag{47}$$

For a steady state system and long time average,  $\frac{G(\mathcal{T}) - G(0)}{\mathcal{T}} \approx 0$ , so we get

$$\boxed{\begin{aligned} 0 &= 2\langle T \rangle_{\mathcal{T}} - n\langle V_{\text{tot}} \rangle_{\mathcal{T}} \\ 0 &= 2\langle T \rangle_{\mathcal{T}} + \langle U \rangle_{\mathcal{T}} \quad \text{for } n = -1 \end{aligned}} . \tag{48}$$

This is the *virial theorem*, often written simply as  $0 = 2T + U$ .

Note the three important assumptions for the virial theorem to hold:

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- $F$  is a pairwise force
- The potential  $V$  has the form  $V \propto r^n$
- We have a steady state time averaged quantity  $\frac{d^2I}{dt^2} = 0$ .

### Applications:

We now apply the virial theorem to a galaxy.

$$2T + U = 0 . \quad (49)$$

Assuming that a galaxy is made of  $N$  stars all with the same mass  $m$  (so total mass  $M = Nm$ ) and average velocity  $\bar{v}$ , we get a total kinetic energy for the system

$$T = \frac{1}{2} \sum_i m_i v_i^2 \approx \frac{1}{2} M \bar{v}^2 = \frac{1}{2} M v^2 . \quad (50)$$

From dimensional analysis for a galaxy of size  $R$ , we get a total potential energy

$$U = -\frac{GM^2}{R} . \quad (51)$$

The virial theorem then implies

$$\begin{aligned} Mv^2 + \left(-\frac{GM^2}{R}\right) &= 0 \\ \Rightarrow v &= \sqrt{\frac{GM}{R}} . \end{aligned} \quad (52)$$

Using some typical numbers:

$$\begin{aligned} R &\approx 10 \text{ kpc} & M_\odot &= 2 \times 10^{33} \text{ g} \\ M &\approx 10^{11} M_\odot & G &= 0.0043 M_\odot^{-1} \text{ pc} \left(\frac{\text{km}}{\text{s}}\right)^2 \end{aligned}$$

$$\begin{aligned} v &= \sqrt{\frac{0.0043 M_\odot^{-1} \text{ pc} (\text{km/s})^2 \cdot 10^{11} M_\odot}{10\,000 \text{ pc}}} \\ &\approx \sqrt{4 \times 10^4} \text{ km/s} \approx 200 \text{ km/s} \end{aligned} \quad (53)$$

which is in good agreement with observations.

We can also use the virial to get the ideal gas law.

For an ideal gas with  $N$  particles at temperature  $T$  is

$$K = \frac{3}{2} NkT \quad (54)$$

where we use  $K$  for kinetic energy to differentiate from temperature and  $k$  is the Boltzmann constant. The force comes from the pressure from the particles, so the force per unit area is

$$d\vec{F} = -Pd\vec{A} . \quad (55)$$

Then the potential energy is

$$-\frac{1}{2} \left\langle \sum_i \vec{F}_i \cdot \vec{r} \right\rangle = \frac{P}{2} \int \vec{r}_i \cdot d\vec{A} \quad (56)$$

and  $n = 2$ . From the divergence theorem

$$\begin{aligned} \int \vec{r}_i \cdot d\vec{A} &= \int \vec{\nabla} \cdot \vec{r} dV \\ &= 3 \int dV = 3V . \end{aligned} \quad (57)$$

The virial theorem gives

$$\begin{aligned} 0 &= 2 \langle K \rangle_{\mathcal{T}} - 2 \langle V_{\text{tot}} \rangle \\ &= 2 \frac{3}{2} NkT - 2 \frac{P}{2} 3V \end{aligned} \quad (58)$$

so

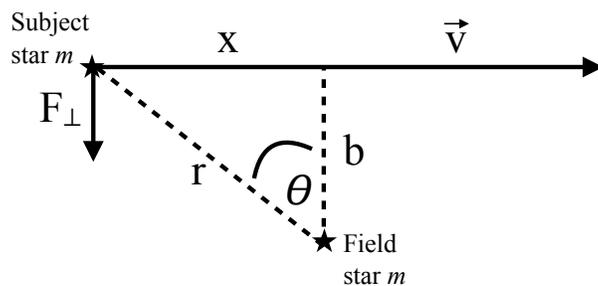
$$NkT = PV . \quad (59)$$

## 2.B Relaxation times

The virial theorem gave us some first insight into the dynamics of galaxies. Now we will show that stars are collisionless, i.e. that two-body collisions are rare in galaxies. Since this is true, we can describe the distribution of stars as a smooth density field and gravitational potential.

### Frequency of strong encounters between stars:

Goal: estimate the change in velocity  $\delta\vec{v}$  by which the encounter deflects the velocity  $\vec{v}$  of the subject star.



We assume that  $|\delta\vec{v}|/|\vec{v}| \ll 1$  and that the field star is stationary. This means that  $\delta\vec{v}$  is perpendicular to  $\vec{v}$  since the accelerations parallel to  $\vec{v}$  cancel out as the subject star passes by the field star. We calculate  $\delta v = |\delta\vec{v}|$  by integrating  $F_{\perp}$ :

$$F_{\perp} = \frac{Gm^2}{b^2 + x^2} \cos \theta = \frac{Gm^2 b}{(b^2 + x^2)^{3/2}} = \frac{Gm^2}{b^2} \left[ 1 + \left( \frac{vt}{b} \right)^2 \right]^{-3/2} . \quad (60)$$

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