

where  $dA$  is area and  $dr$  is depth. So

$$dV_\chi = \underbrace{(D_A^2 d\Omega)}_{\substack{\text{proper area} \\ \text{comoving area}}}(1+z)^2 \cdot \underbrace{d\chi}_{\text{comoving depth}} \quad (338)$$

$$A_{\text{proper}} = a^2 A_{\text{comoving}} \quad (339)$$

$$\Rightarrow A_{\text{comoving}} = \frac{1}{a^2} A_{\text{proper}} = (1+z)^2 A_{\text{proper}} \quad (340)$$

$d\chi = \frac{c}{H_0} \frac{dz}{E(z)}$ , so

$$dV_\chi = (D_A^2 d\Omega)(1+z)^2 \frac{c}{H_0} \frac{1}{E(z)} dz \quad (341)$$

and finally:

$$dV_\chi = \frac{c}{H_0} \frac{(1+z)^2 D_A^2}{E(z)} d\Omega dz. \quad (342)$$

Plug in  $D_A = \frac{1}{1+z} f_\kappa(\chi)$ :

$$dV_\chi = \frac{c}{H_0} \frac{f_\kappa^2(\chi)}{E(z)} dz d\Omega \quad (343)$$

$$= f_k^2(\chi) r d\Omega \frac{d\chi}{dz} dz. \quad (344)$$

## 1.D Inflation

So far, dynamics have been described by the Friedmann equations with some mass-energy content of the Universe:  $\Omega_m, \Omega_r, \Omega_k, \Omega_\Lambda$ . Is this sufficient to explain all data?

**Problems:**

- Horizon problem:

$$\rho_r \propto (1+z)^4 \text{ and } \rho_r \propto T^4 \Rightarrow T \propto (1+z) \quad (345)$$

The Universe cools and at some  $z_{\text{recomb}}$ , it consists of neutral hydrogen atoms (recombination). We get the balancing equation

$$H^+ + e \rightleftharpoons H^0 + \chi \quad (346)$$

where  $\chi = 13.6$  eV is the ionization energy. We also have:

$$x = \frac{\text{number density of free } e^-}{\text{number density of protons}} \quad (347)$$

$$\eta = \frac{n_b}{n_\gamma} = \frac{\text{baryon number density}}{\text{photon number density}} \approx 5 \times 10^{-10} \left( \frac{\Omega_{b,0} h^2}{0.01} \right)$$

which we use in the Saha equation:

$$\frac{1-x}{x^2} \approx 3.84\eta \left( \frac{k_B T}{m_e c^2} \right)^{3/2} e^{-\frac{\chi}{k_B T}} \quad (348)$$

With  $\chi = 13.6$  eV corresponding to  $\sim 10^5$  K ( $1 \text{ eV} \approx 10^4$  K), we would expect  $x < 1$  for  $T < 10^5$  K. However, there are many more photons than baryons, which leads to  $x < 1$  only for  $T \approx 3000$  K. This gives  $z_{\text{recomb}} \approx 1090$  (for  $\Omega_{b,0} = 0.045, T_0 = 2.73$  K).

After this time, photons can escape or free stream, and we can observe them as the Cosmic Microwave Background. The CMB is very uniform:  $\frac{\Delta T}{T} \leq 10^{-5}$  (note that CMB maps are typically logarithmic).

Why is this a problem?

Horizons are the largest causally connected regions by light rays.

The comoving horizon size is:

$$ds = 0 \text{ (light)} \implies cdt = a(t)d\chi \implies \chi_{\text{horizon}} = \int_0^t \frac{cdt}{a(t)} \quad (349)$$

So we have

$$\chi_{\text{horizon}}(z) = \int_0^{a=(1+z)^{-1}} \frac{cda}{a^2 H(a)}. \quad (350)$$

For a flat radiation dominated universe:

$$l_{\text{horizon}} = a\chi_{\text{horizon}} = \frac{c}{H(z)} = \frac{c}{H_0 \sqrt{\Omega_{r,0}}} \frac{1}{1+z} \quad (351)$$

flat matter dominated:

$$\begin{aligned} l_{\text{horizon}} &= a \left( \underbrace{\int_0^{(1+z_{\text{eq}})^{-1}} \frac{cda}{a^2 H(a)} + \int_{(1+z_{\text{eq}})^{-1}}^{(1+z)^{-1}} \frac{cda}{a^2 H(a)}}_{\text{largest contribution comes from matter dominated phase}} \right) \\ &\approx \frac{2c}{H(z)} = \frac{2c}{H_0 \sqrt{\Omega_{m,0}}} \frac{a}{\sqrt{1+z}} = \frac{2c}{H_0 \sqrt{\Omega_{m,0}}} \frac{1}{(1+z)^{\frac{3}{2}}} \end{aligned} \quad (352)$$

Apply this to  $z_{\text{recomb}}$ :

$$\begin{aligned} \frac{\rho_{r,0}(1+z_{\text{recomb}})^4}{\rho_{m,0}(1+z_{\text{recomb}})^3} &\sim 5 \times 10^{-2} \implies \text{matter dominated regime} \\ \implies l_{\text{horizon}} &= \frac{2c}{H_0} \Omega_{m,0}^{-\frac{1}{2}} (1+z)^{-\frac{3}{2}} \end{aligned} \quad (353)$$

Angular size of horizon:

$$\varphi_{\text{horizon}} = \frac{l_{\text{horizon}}}{D_A} \quad D_A : \text{Angular diameter distance} \quad (354)$$

$$\begin{aligned}
 D_A &= \frac{1}{1+z} f_\kappa(\chi_{\text{em}}^{\text{obs}}) = \frac{1}{1+z} \chi_{\text{em}}^{\text{obs}} \quad (\text{for flat universe}) \\
 &= \frac{c}{1+z} \frac{1}{H_0} \int_0^z [\Omega_{m,0}(1+z)^3]^{-\frac{1}{2}} dz \\
 &= \left( \frac{2c}{H_0(1+z)\Omega_{m,0}^{\frac{1}{2}}} \left[ -\frac{1}{\sqrt{1+z}} \right] \right)_0^z \\
 &= \frac{2c}{H_0} \underbrace{(1+z)^{\frac{1}{2}}}_{\approx z, z \gg 1} \underbrace{\left[ 1 - \frac{1}{\sqrt{1+z}} \right]}_{\approx 1, z \gg 1} \\
 &\approx \frac{2c}{H_0} \frac{1}{\Omega_{m,0}^{\frac{1}{2}}} z
 \end{aligned} \tag{355}$$

This gives us the angular size of the horizon at recombination:

$$\varphi_{\text{horizon, recomb}} \approx \sqrt{\frac{1}{z_{\text{recomb}}}} \sim 1.7^\circ \tag{356}$$

Or more generally:

$$\varphi_{\text{horizon, recomb}} \approx 1.7^\circ \sqrt{\Omega_{m,0}}. \tag{357}$$

This is much smaller than the full sky, so how can the CMB be so uniform?

- Flatness problem:

At high  $z$ ,  $\Lambda$  is irrelevant in the Friedmann equations, so:

$$H^2(a) = \frac{8\pi G}{3} \rho - \frac{kc^2}{a^2} = H^2(a) \left[ \Omega(a) - \frac{kc^2}{a^2 H^2(a)} \right], \quad \rho = \rho_m + \rho_r \tag{358}$$

Thus, deviation from flatness  $\Omega(a) = 1$  is:

$$|\Omega(a) - 1| = \frac{kc^2}{a^2 H^2(a)}. \tag{359}$$

Since  $a \propto t^{2/3}$  in matter dominated times and  $a \propto t^{1/2}$  during radiation dominated times, we have:

$$|\Omega(t) - 1| \propto \begin{cases} t, & \text{radiation dominated} \\ t^{2/3}, & \text{matter dominated} \end{cases} \tag{360}$$

Thus, any small deviation  $\Omega(t_{\text{early}}) \neq 1$  at early times quickly blows up!  $\Omega(t_{\text{early}})$  must therefore be very close to 1, which leads to a ‘‘fine-tuning problem.’’

- Monopole problem:

General unified theories predict many magnetic monopoles, but this is not observed. The number density must decrease.

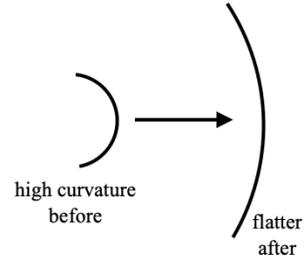
- Seeds of structure formation problem:

What seeds the perturbations that become the large structures we observe?

**Inflation: basic ideas:**

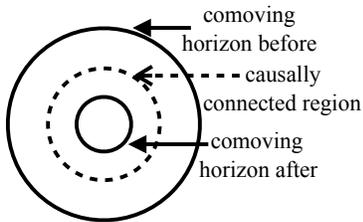
- Flatness problem:

If  $\frac{kc^2}{a^2 H^2(a)}$  decreased with time for a short period, then  $\Omega(a)$  would be driven towards  $\Omega(a) = 1$ .



- Horizon problem:

If  $\frac{kc^2}{a^2 H^2(a)}$  shrinks, then  $\chi \propto \frac{c}{aH(a)}$  also shrinks.



$\Rightarrow$  can explain smoothness within the observable universe.

So decreasing  $\frac{1}{aH(a)}$  seems to solve two problems! The conditions for a shrinking comoving horizon:

$$\begin{aligned} \frac{d}{dt} \left( \frac{c}{aH} \right) &< 0 \\ \frac{d}{dt} \left( \frac{c}{\dot{a}} \right) &< 0 \\ -\frac{c\ddot{a}}{\dot{a}^2} &< 0 \\ \Rightarrow \ddot{a} &> 0 \end{aligned} \tag{361}$$

We need some period of accelerated expansion. We can look at the second Friedmann equation (e.g. for acceleration):

$$\begin{aligned} \frac{\ddot{a}}{a} &= -\frac{4\pi G}{3} \left( \rho + \frac{3p}{c^2} \right) + \frac{\Lambda c^2}{3} \\ &\text{(at early times, } \Lambda = 0) \\ &= -\frac{4\pi G}{3} \left( \rho + \frac{3p}{c^2} \right) \\ \Rightarrow p &< -\frac{\rho c^2}{3} \leftarrow \text{we need sufficiently negative pressure} \\ \Rightarrow \frac{p}{\rho c^2} &< -\frac{1}{3} \end{aligned} \tag{362}$$

This also solves the monopole and seed problem! Rapid expansion would decrease the density of monopoles and blow up tiny perturbations. All problems are then solved.

Note that  $\frac{\Lambda c^2}{3}$  actually corresponds to a negative pressure term. To see this more clearly, we combine both Friedmann equations to derive the energy conservation equation:

$$\begin{aligned} \frac{d}{dt}(\rho c^2 a^3) + p \frac{d}{dt}(a^3) &= 0 \\ \Rightarrow \dot{\rho} &= -3H(a)\left(\rho + \frac{p}{c^2}\right) \end{aligned} \quad (363)$$

And for  $\Lambda$  with  $\rho_\Lambda = \text{constant}$  ( $= \rho$ ):

$$\rho + \frac{p}{c^2} = 0 \Rightarrow p = -\rho c^2 \quad (364)$$

So the equation of state parameter is

$$w = \frac{p}{\rho c^2} = -1 < -\frac{1}{3} \quad (365)$$

where  $w = -1/3$  is needed for accelerated expansion as shown above. Thus,  $\Lambda$  leads to accelerated expansion and therefore a shrinking comoving horizon. Once  $\Lambda$  dominates in the Friedmann equation:

$$\begin{aligned} H^2(a) &= H_0^2 \Omega_\Lambda = \left(\frac{\dot{a}}{a}\right)^2 \\ \Rightarrow a &\propto e^{\sqrt{\Omega_\Lambda} H_0 t} \end{aligned} \quad (366)$$

which is exponential growth.

**Inflation:**

$\Lambda$  has all the features we want, but it:

- acts too late
- is constant, i.e. even if it acted early enough, it would not stop inflation!

How do we get all this in the early universe? We look at a homogeneous scalar field (inflation):

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \quad (367)$$

which leads to the energy-momentum tensor:

$$T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \mathcal{L} \Rightarrow \begin{aligned} T_{00} &= \rho c^2 = \frac{1}{2} \dot{\phi}^2 + V(\phi) \\ T_{ii} &= p = \frac{1}{2} \dot{\phi}^2 - V(\phi) \end{aligned} \quad (368)$$

To get  $w < -1/3$ , we require:

$$\begin{aligned} \frac{1}{2} \dot{\phi} - V(\phi) &< -\frac{1}{3} \left( \frac{1}{2} \dot{\phi} + V(\phi) \right) \\ p &< -\frac{\rho c^2}{3} \\ \Rightarrow \dot{\phi}^2 &< V(\phi) \end{aligned} \quad (369)$$

i.e. the field must be moving slowly during inflation. Thus, the potential should be flat and have a minimum to stop inflation. Furthermore:

$$\text{Friedmann equation : } H^2 = \frac{8\pi G}{3} \left[ \frac{1}{2} \dot{\phi}^2 + V(\phi) \right]$$

$$\text{Energy conservation : } \dot{\rho} = -3H(a) \left( \rho + \frac{p}{c^2} \right)$$

$$\dot{\rho} c^2 = \dot{\phi} \ddot{\phi} + \dot{\phi} \frac{dV}{d\phi}$$

$$\text{with } \rho = \frac{1}{2} \dot{\phi}^2 + V(\phi) \text{ and } \frac{p}{c^2} = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

$$\Rightarrow \dot{\phi} \ddot{\phi} + \dot{\phi} \frac{dV}{d\phi} = -3H(a) \left( \frac{1}{2} \dot{\phi}^2 + V(\phi) \right) - 3H(a) \left( \frac{1}{2} \dot{\phi}^2 - V(\phi) \right)$$

$$\Rightarrow \ddot{\phi} + \frac{dV}{d\phi} = -3H(a) \dot{\phi}$$

$$\Rightarrow \ddot{\phi} + \underbrace{3H(a)}_{\text{Hubble drag}} \dot{\phi} = -\frac{dV}{d\phi}$$

(370)

and we get the *field evolution equation*. In a static universe,  $H = 0$ , and there is no Hubble drag.  $\frac{dV}{d\phi}$  is how fast energy is extracted from inflation.

Slow roll conditions:

We approximate

$$H^2 \approx \frac{8\pi G}{3} V(\phi) \quad (371)$$

which is  $\approx V_0$  and roughly constant during the slow roll, leading to exponential growth. We also have

$$3H\dot{\phi} \approx -\frac{dV}{d\phi} \quad (\text{with } \ddot{\phi} \approx 0) \quad (372)$$

which is equivalent to:

$$\dot{\phi}^2 \ll V$$

and

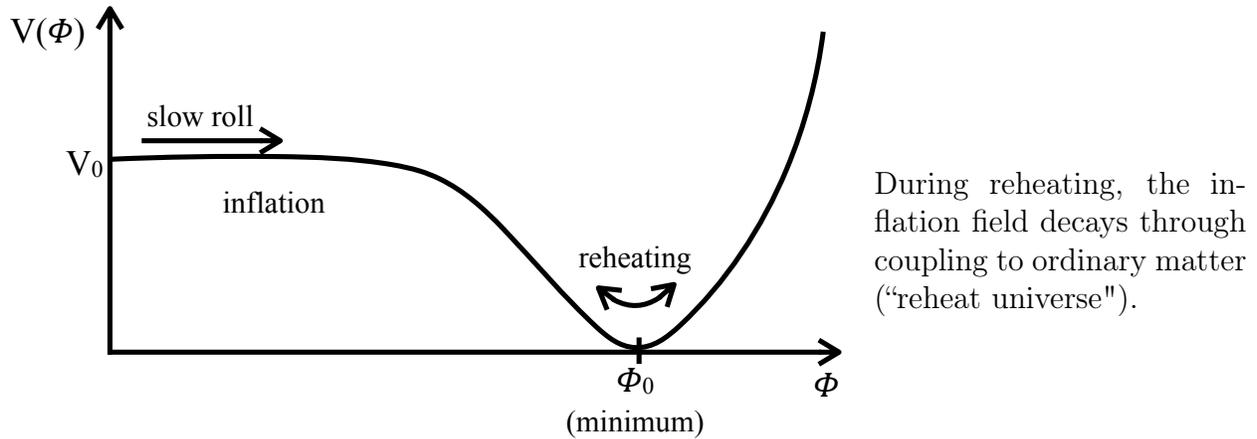
$$\frac{d}{dt} \dot{\phi}^2 \ll \frac{dV}{dt} \Rightarrow \ddot{\phi} \ll \frac{dV}{d\phi} \quad (373)$$

This can be rewritten in slow roll parameters:

$$\epsilon := \frac{1}{24\pi G} \left( \frac{V'}{V} \right)^2 \ll 1 \quad (374)$$

$$\eta := \frac{1}{8\pi G} \left( \frac{V''}{V} \right) \ll 1$$

As long as these conditions are valid, inflation will go on. The slow roll potential is:



Since

$$H^2 = \frac{8\pi G}{3} V(\phi) \approx \frac{8\pi G}{3} V_0 \quad (375)$$

during inflation, large values of  $\phi_0$  and  $V_0$  lead to more inflation (longer slow roll).

## 1.E Basic story of cosmology

Main ingredients:

- metric (geometry)
- Friedmann equations (dynamics)
- distances (connection to observations)
- horizons (evidence for inflation)

Emerging story

- a)  $t = 0$ : Big Bang
- b)  $t \sim 10^{-34}$  s: inflation
- c)  $T$  decreases as  $T \propto (1 + z)$
- d)  $z \approx 3200$ : transition from radiation to matter domination
- e)  $z \approx 1100$ : recombination
- f) Structure formation is nonlinear. First stars and galaxies...
- g)  $z \approx 0.33$ : transition from matter to  $\Lambda$  domination

The first five stages here are optically thick to photons, while later is optically thin and potentially observable.

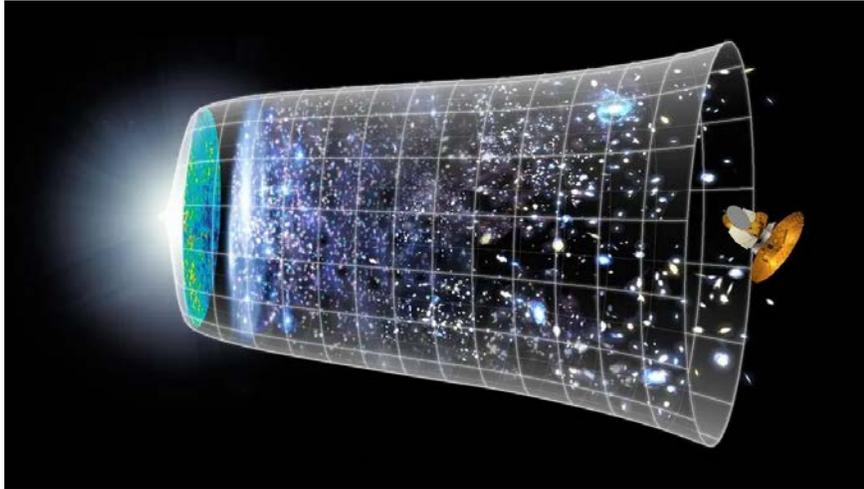


Image: [NASA / WMAP Science Team](#). Image is in the public domain.

## 2 Structure formation

So far, we have assumed a uniform cosmology. We now add perturbations to study the growth of structure.

### 2.A Linear perturbation theory

There are small perturbations at early times. The Universe consists of matter (dark matter and baryons) and radiation.  $\Lambda$  and curvature are unimportant early on.

**Basic equations:**

- non-relativistic matter (dark matter, baryons) is important in the matter-dominated regime:

$$\text{continuity equation : } \frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot (\rho \vec{v}) = 0$$

$$\text{momentum equation : } \frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}) \vec{v} = -\frac{\vec{\nabla} p}{\rho} + \vec{\nabla} \phi \quad (376)$$

$$\text{Poisson's equation: } \vec{\nabla}^2 \phi = 4\pi G \rho$$

- relativistic matter (radiation)

$$\text{continuity equation : } \frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \left( \left( \rho + \frac{p}{c^2} \right) \vec{v} \right) = 0$$

$$\text{momentum equation : } \frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}) \vec{v} = -\frac{\vec{\nabla} p}{\rho + \frac{p}{c^2}} + \vec{\nabla} \phi \quad (377)$$

$$\text{Poisson's equation: } \vec{\nabla}^2 \phi = 4\pi G \left( \rho + \frac{3p}{c^2} \right)$$

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