Lecture 21

21.1 Administration

- Collect PS 10
- Hand back PS 9
- Distribute last PS
- Ask about final: Everything or only rotating?
- Yu’s material volume question: \( \frac{\partial V}{\partial T} \) if inviscid barotropic conservative body forces.

21.2 Vortex Stretching

Last time we cross-differentiated the SW momentum equations to come up with:

\[
\frac{D}{Dt}\left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.1)
\]

The quantity \( \frac{\omega_z + f}{h} \) is the shallow water potential vorticity and is conserved following the flow. So if, for example, \( f \) is constant and \( h \) increases, the \( \omega_z \) must increase as seen 21.1. Similarly, if \( f \) is constant and \( h \) decreases then \( \omega_z \) must decrease as seen in figure 21.2.

Since conservation of potential vorticity is also a statement about conservation of absolute circulation (good old Kelvin’s circulation theorem), can we derive conservation of shallow water potential vorticity from Kelvin’s theorem (as we did for the barotropic, constant depth, vorticity equation)? Of course! Not going to do it in class but you really ought to figure it out... really.

\[
\frac{D\Gamma_a}{Dt} = \frac{D}{Dt} \int_A (\omega_z + 2\Omega \sin \phi) dA \quad (21.2)
\]

Figure 21.1: (fig:Lec21VortexStretch1) Conservation of potential vorticity says: \( h_2 > h_1 \Rightarrow \omega_{z_2} > \omega_{z_1} \). This can also be looked at through the conservation of absolute circulation \( \frac{D}{Dt} \int (\omega_z + f) dA \): \( h_2 > h_1 \Rightarrow A_1 > A_2 \Rightarrow \omega_{z_2} > \omega_{z_1} \).
Figure 21.2: Conservation of potential vorticity says: $h_2 < h_1 \Rightarrow \omega_{2z} < \omega_{1z}$. Conservation of absolute circulation ($\frac{D}{Dt} \iint (\omega_z + f) dA$): $h_2 < h_1 \Rightarrow A_1 < A_2 \Rightarrow \omega_{2z} < \omega_{1z}$.

\[
\frac{D\Gamma_a}{Dt} = \iint_A \frac{D}{Dt}(\omega_z + f) dA
\]  

(21.3)

\[
\frac{D\Gamma_a}{Dt} = \iint_A \left( \frac{D}{Dt}(\omega_z + f) dA + (\omega_z + f) \frac{Dh}{Dt} dA \right)
\]  

(21.4)

The first step was made because we have a Lagrangian $dA$. But now what to do with that $\frac{D}{Dt} dA$ term? This is an incompressible fluid, and no fluid is being pumped in or out of our cylinder (unlike the Ekman pumping case), so

\[
\frac{D}{Dt} dV = 0
\]  

(21.5)

\[
\Rightarrow \frac{D}{Dt}(hdA) = 0
\]  

(21.6)

\[
h \frac{D}{Dt} dA + dA \frac{Dh}{Dt} = 0
\]  

(21.7)

\[
\frac{D}{Dt} dA = -\frac{1}{h} \frac{Dh}{Dt} dA
\]  

(21.8)

Substitute

\[
\frac{D\Gamma_a}{Dt} = \frac{D}{Dt} \iint_A \left( (\omega_z + f) dA - (\omega_z + f) \frac{1}{h} \frac{Dh}{Dt} dA \right) = 0
\]  

(21.9)

Multiply and divide each term by $\frac{h}{h}$

\[
\frac{D\Gamma_a}{Dt} = \iint_A \left[ \frac{1}{h} \frac{D}{Dt} (\omega_z + f) dA - \frac{1}{h^2} (\omega_z + f) \frac{Dh}{Dt} dA \right] = 0
\]  

(21.10)

\[
\frac{D\Gamma_a}{Dt} = \iint_A \left[ h \frac{D}{Dt} (\omega_z + f) - (\omega_z + f) \frac{Dh}{Dt} \right] dA = 0
\]  

(21.11)

\[
\frac{D\Gamma_a}{Dt} = \iint_A h \frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) dA = 0
\]  

(21.12)

Note that the $h$ needs to be there to have the right units! Make the usual argument that the integral must be true for any area, so the integral must equal zero:

\[
h \frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) dA = 0
\]  

(21.13)

\[
\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) dA = 0
\]  

(21.14)
We got the same expression for conservation of shallow water potential vorticity from the traditional approach of cross-differentiating, subtracting and simplifying and from Kelvin’s circulation theorem (and from \( \nabla \times \{ \frac{Du}{Dt} = \ldots \} \)). But the latter approach is far more general. In the former approach (cross differentiating etc.), the answer you got is completely dependent upon the equations you start with. In the Kelvin’s circulation theorem approach, there is no such constraint. \( h \) is simply the distance between two surfaces.

### 21.3 Conservation of potential vorticity

For barotropic and fixed depth \((h = \Delta z)\), see figure 21.3:

\[
\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.15)
\]

\[
\frac{1}{h} \frac{D}{Dt} (\omega_z + f) = 0 \quad (21.16)
\]

\[
\frac{D}{Dt} (\omega_z + f) = 0 \quad (21.17)
\]

For shallow water, flat bottom \((h \text{ in equations, see figure 21.4})\):

\[
\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.18)
\]

For shallow water, changing bottom fluid \((h \text{ from equations and a representation of bottom topography, see figure 21.4})\):

\[
\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.19)
\]

For baroclinic density surfaces (multi-level shallow water) \((h = \Delta p; \text{ density coordinate system, see figure 21.6})\):

\[
\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.20)
\]
Figure 21.5: (fig:Lec21TopoSurf3) Shallow water, changing bottom fluid. $h$ from equations and a representation of bottom topography.

Figure 21.6: (fig:Lec21PressureSurfaces) Baroclinic density surfaces, $h = \Delta \rho$.

For baroclinic potential temperature surfaces ($h = \Delta \theta$; isentropic coordinate system see figure 21.7):

$$\frac{D}{Dt} \left( \omega_z + f \frac{h}{h} \right) = 0 \quad (21.21)$$

The take home message is this: potential vorticity (whatever the form) is conserved following the fluid. For this course it’s fine to restrict ourselves to thinking in terms of $\Delta z$ but $\Delta \theta$ and $\Delta \rho$ work fine too. When we hold $h$ to be constant, it is absolute vorticity that is conserved

$$\frac{D}{Dt} \left( \omega_z + f \right) = 0 \quad (21.22)$$

$$\frac{D}{Dt}(\omega_z + f) = 0 \quad (21.23)$$

Thus $\omega_z + f$ is constant.

21.4 Stability

Imagine zonal westerly flow with $\omega_z = 0$ at some latitude given by $f_0$, see figure 21.8. Above (North) $f_0$, $f > f_0$; below (South) $f_0$, $f < f_0$. If a parcel of fluid was to move north of the $f_0$ level, it would move into a region of higher planetary vorticity. But to conserve absolute vorticity, a fluid parcel in that situation would produce $\omega_z < 0$ bringing the parcel back to Jim -bottom is cut off. Remember, $h =$constant and $\nabla \cdot \mathbf{u} = 0$ means the area of a column cannot Jim -bottom is cut off. An identical argument can be made for a parcel moving south of the $f_0$ level:

Figure 21.7: (fig:Lec21ThetaSurfaces) Baroclinic, potential temperature surfaces, $h = \Delta \theta$. 

4
• moving to region of lower $f$
• to conserve $\omega_z + f_0$ need $\omega_z > 0$
• this forces the parcel back to $f_0$

Thus, westerly flow is stable to small meridional perturbations. When we allow fluid depth to change with the flow, we no longer have

$$\frac{D}{Dt}(\omega_z + f) = 0 \quad (21.24)$$

But rather

$$\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.26)$$

It is the potential vorticity that is conserved rather than the absolute vorticity. The classic “implications of conservation of potential vorticity” example is flow over a mountain (see figure 21.9 and 21.10).

You can think of this as a shallow water system if you like. Or as the lowest level of a multi-layer fluid. Note that $h_2 = h_4 > h_1 = h_3$. If we impose $\omega_z = 0$, then $\omega_z = 0, \omega_z = \omega_z > 0, \omega_z < 0$. The implications of this are seen in figure 21.10. The results of all of this are as follows:

• At 2 the increase in $h$ demands an increase in $(\omega_z + f)$ resulting in northward curvature.
• Because $f$ increases to the north $\omega_z$ does not have to increase as much as it would if $f$ were constant.
• Once fluid is over the mountain, the decrease in $h$ demands a decrease in $(\omega_z + f)$, resulting in southward curvature.

• The curvature will be largest when $h$ is at the minimum at 3.

• By the time the fluid crosses the mountain it will be further south than its starting latitude, in a region of smaller $f$.

• This smaller $f$ will initially be helped by the relatively larger $h$ at 4 imposing a larger $\omega_z$.

• But once beyond the mountain and $h = h_5$ the fluid will still be in a region of lower $f$, requiring $\omega_z > 0 \Rightarrow$ positive curvature.

• When the fluid is back at its starting latitude, it will have a component of $+v$ that will drive it north.

• Northward displacement $\Rightarrow$ larger $f \Rightarrow \omega_z < 0 \Rightarrow$ southward curvature.

• When the fluid is back at its starting latitude, it will have a component of $-v$ that will drive it south. (Jim – There might be something cut off on this page).

You end up with a wave pattern downstream of the mountain. The waves restoring force is a $f$.

Recall that when we found the dispersion relation for a barotropic fluid of constant depth, we started out by writing $\omega_z$, $u$ and $v$ in terms of a streamfunction. That was fine for constant depth because the flow was 2D ($w = 0$). Streamfunctions are no good here. We need something else. Expand:

$$h \frac{D \omega_z}{Dt} + h \frac{D f}{Dt} - (\omega_z + f) + \frac{D h}{Dt} = 0 \quad (21.32)$$

$$h \left( \frac{\partial \omega_z}{\partial t} + u \frac{\partial \omega_z}{\partial x} + v \frac{\partial \omega_z}{\partial y} + w \frac{\partial \omega_z}{\partial z} \right) + h \left( \frac{\partial f}{\partial t} + u \frac{\partial f}{\partial x} + v \frac{\partial f}{\partial y} + w \frac{\partial f}{\partial z} \right) -$$

$$\begin{align*}
(\omega_z + f) \left( \frac{\partial h}{\partial t} + u \frac{\partial h}{\partial x} + v \frac{\partial h}{\partial y} + w \frac{\partial h}{\partial z} \right) & = 0 \quad (21.33) \\
h \left( \frac{\partial \omega_z}{\partial t} + u \frac{\partial \omega_z}{\partial x} + v \frac{\partial \omega_z}{\partial y} \right) + h/\beta v - (\omega_z + f) \left( \frac{\partial h}{\partial t} + u \frac{\partial h}{\partial x} + v \frac{\partial h}{\partial y} \right) & = 0 \quad (21.34)
\end{align*}$$

You end up with a wave pattern downstream of the mountain. The waves restoring force is a $f$. This means that the wave is a Rossby wave. But this Rossby wave is governed by

$$\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.27)$$

rather than

$$\frac{D}{Dt} (\omega_z + f) = 0 \quad (21.28)$$

So we should expect a slightly different dispersion relation. Let’s come up with the shallow water dispersion relation:

$$\frac{D}{Dt} \left( \frac{\omega_z + f}{h} \right) = 0 \quad (21.29)$$

$$\frac{h}{D} \left( \frac{\omega_z + f}{h} \right) - (\omega_z + f) \frac{D h}{Dt} = 0 \quad (21.30)$$

$$\frac{h}{D} \left( \frac{\omega_z + f}{h} \right) \frac{D h}{Dt} = 0 \quad (21.31)$$

Recall that when we found the dispersion relation for a barotropic fluid of constant depth, we started out by writing $\omega_z$, $u$ and $v$ in terms of a streamfunction. That was fine for constant depth because the flow was 2D ($w = 0$). Streamfunctions are no good here. We need something else. Expand:
Split terms into mean and perturbation bits. Strictly we do not need the mean terms to be constant in time. By definition they are a solution to the equations, so they just drop out. Also you risk missing important stuff by neglecting wave-mean flow interaction.

\[ h = h^+ + h' \quad u = u^+ + u' \quad v = v' \quad \omega_z = \omega_z^+ + \omega'_z \]  

\[ (\bar{h} + h') \left( \frac{\partial}{\partial t} (\omega_z^+ + \omega_z') + (\bar{u} + u^+) \frac{\partial}{\partial x} (\omega_z^+ + \omega_z') + v' (\bar{\omega} + \omega') \right) + (\bar{h} + h') \beta v' - \]

\[ (\omega_z^+ + f_0 + f') \left( \frac{\partial}{\partial t} (\bar{h} + h') + (\bar{u} + u^+) \frac{\partial}{\partial x} (\bar{h} + h') + v' \frac{\partial}{\partial y} (\bar{h} + h') \right) = 0 \]  

\[ (\tilde{h} + h') \left( \frac{\partial \omega_z'}{\partial t} + \bar{u} \frac{\partial \omega_z'}{\partial x} \right) + \tilde{h} \beta v' - (\omega_z + \omega'_z + f_0 + f') \left( \frac{\partial h'}{\partial t} + \bar{u} \frac{\partial h'}{\partial x} \right) = 0 \]  

\[ (\tilde{h} + h') \left( \frac{\partial \omega_z'}{\partial t} + \bar{u} \frac{\partial \omega_z'}{\partial x} \right) + \tilde{h} \beta v' - (\omega_z + \omega'_z + f_0 + f') \left( \frac{\partial h'}{\partial t} + \bar{u} \frac{\partial h'}{\partial x} \right) = 0 \]  

To clean things up let’s set \( \bar{u} = 0 \) and \( \omega_z = 0 \):

\[ \tilde{h} \frac{\partial \omega_z'}{\partial t} + \tilde{h} \beta v' - f_0 \frac{\partial h'}{\partial t} = 0 \]  

Thus, we have a single equation with three different variables: \( \omega_z', v', h' \). How do we reduce three to one? We can do it if we assume that to first order, the flow in the system is geostrophic. From shallow water equations, the geostrophic means are:

\[ x : \quad f v = g \frac{\partial h}{\partial x} \]  

\[ y : \quad f u = -g \frac{\partial h}{\partial y} \]  

\[ (f = f_0 + f', \quad h = \bar{h} + h', \quad u = \bar{u} + u', \quad v = v') \]  

Linearize \( f = f_0 + f', \quad h = \bar{h} + h', \quad u = \bar{u} + u', \quad v = v' \) but for now take \( \bar{u} = 0 \):

\[ y : \quad (f_0 + f') u' = -g \frac{\partial h'}{\partial y} \]  

\[ f_0 u' = -g \frac{\partial h'}{\partial y} \]  

\[ u' = -g \frac{\partial h'}{f_0 \frac{\partial h}{\partial y}} \]  

Similarly

\[ v' = g \frac{\partial h'}{f_0 \frac{\partial h}{\partial x}} \]  

From before:

\[ \tilde{h} \frac{\partial}{\partial t} \left( \frac{\partial h'}{\partial x} - \frac{\partial u'}{\partial y} \right) + \tilde{h} \beta v' - f_0 \frac{\partial h'}{\partial t} = 0 \]  

Substitute:

\[ \tilde{h} \frac{\partial}{\partial t} \left( \frac{\partial h'}{\partial x} - \frac{\partial u'}{\partial y} \right) + \tilde{h} \beta v' - f_0 \frac{\partial h'}{\partial t} = 0 \]  

\[ \frac{g \tilde{h}}{f_0} \frac{\partial}{\partial t} \left( \frac{\partial^2 h'}{\partial x^2} + \frac{\partial^2 h'}{\partial y^2} \right) + \tilde{h} \beta \frac{\partial h'}{\partial x} - f_0 \frac{\partial h'}{\partial t} = 0 \]  

\[ \frac{\partial}{\partial t} \left( \frac{\partial^2 h'}{\partial x^2} + \frac{\partial^2 h'}{\partial y^2} - \frac{f_0^2}{g \tilde{h}} h' \right) + \beta \frac{\partial h'}{\partial x} = 0 \]
This is the quasi-geostrophic form of the linearized vorticity equation. Why QG? Got the vorticity equation from the full momentum equations, but then substituted in geostrophic form of velocity. You’ll learn lots more about this in subsequent courses. The QG vorticity equation is in the form of one unknown. Assume a solution of the form:

\[ h' = H e^{i(kx + ly - \omega t)} \]  

(21.51)

Substitute and get:

\[ \omega = -\frac{\beta k}{k^2 + l^2 + \frac{f^2}{gh}} \]  

(21.52)

\[ \omega = -\frac{\beta k}{k^2 + l^2 + \frac{1}{R_d^2}} \]  

(21.53)

where \( R_d \) is the Rossby radius of deformation. This QG Rossby dispersion relationship is identical to the last dispersion relationship except for the \( R_d \) term. \( R_d \) is the fundamental horizontal scale where both gravity and rotation are important. If a perturbation length scale is small relative to \( R_d \) then the resulting adjustment doesn’t really feel rotation. ⇒ Same system same pert structure, different pert length scale (Jim – bottom cut off). Note that for reduced gravity, \( R_d = \sqrt{gh/f} \), meaning the wave is slower. Rearranging things:

\[ \frac{\omega}{\beta R_d} = -\frac{R_d k}{R_d^2 k^2 + R_d^2 l^2 + 1} \]  

(21.54)


The phase speed is

\[ c_x = \frac{\omega}{k} = -\frac{\beta}{k^2 + l^2 + \frac{f^2}{gh}} \]  

(21.55)

This is always eastward and note there is a max speed for small \( k \) and \( l \), opposed to the barotropic, fixed \( h \) case where we have \( c_x \to \infty \) as \( k \) and \( l \to 0 \). The group speed is

\[ c_g = \frac{\partial \omega}{\partial k} \]  

(21.56)
Figure 21.13: (fig:Lec21Disp3) QG: $\omega$ versus $k$ for $l = 0$.

Figure 21.14: (fig:Lec21Disp4) Fixed $h$: $\omega$ versus $k$ and $l$.

Figure 21.15: (fig:Lec21Disp5) Fixed $h$: $\omega$ versus $k$ and $l$.

Figure 21.16: (fig:Lec21Disp6) Fixed $h$: $\omega$ versus $k$ for $l = 0.4$.

Figure 21.17: (fig:Lec21Disp7) Fixed $h$: $\omega$ versus $k$ for $l = 0$. 
\[
\frac{\beta(2k^2 - (k^2 + l^2 + \frac{1}{\eta_1^2}))}{(k^2 + l^2 + \frac{1}{\eta_1^2})^2}
\]

This can be east or west depending on combination of \(k\) and \(l\). This can also happen for internal waves: group speed up, phase speed down.