# Internet Governance: Not Just Dealing with a Uniqueness Requirement

Scott Bradner
Harvard University
5/2/2006

# Unique Values

```
data packets include fields used to say what the payload is & detail options
```

a.k.a. "protocol parameters"

field contains a value (e.g. 25)

- different values indicate different applications or features
- you & I have to agree to use same values or I will not know what you mean
  - e.g., 25 = SMTP (email)

# **Assigning Values**

for Internet protocols values originally assigned & maintained by Jon Postel

started in Aug 1971: asked for reports of sockets in use

acting as the "Internet Assigned Numbers Authority" (IANA) under US government contract

filled blanks in standards documents with unique values and maintained a database of values and associated uses

actual value not important, just must be unique (within application) and used consistently

# Uniqueness Range

values must be unique within scope of use to avoid confusion

- e.g. "Bill" is not globally unique most of the time "Bill" is unique within a family protocol parameters must be unique within group of nodes that will use that protocol
  - e.g., the value "15" can mean one thing in the protocol field in the IP header and a different thing in the port field of the TCP header

#### More Values #1: Names

1st RFC list of names: Nov 1971
non-hierarchical names (e.g., MIT-MULTICS)
thus name had to be unique on ARPANET
later (Nov 1983) domain name system (DNS)
hierarchical names

e.g. newdev.eecs.harvard.edu

"newdev" only needs to be unique within

"eecs.harvard.edu"

# Names, contd.

- IANA (Jon) assigned top level domains (TLD)
  assign = enter name server IP address in "root zone
  file" (the database for "13" root servers) for a TLD
  TLD types established in RFC 920 (Oct 1984)
  also includes basic requirements to register a
  subdomain
  - e.g., need to have at least 2 nameservers & at least 50 hosts
- initial subdomain registration done by SRI-NIC under US government contract no registration fees

# Names, contd.

two types of TLDs (names of types came later) generic TLD (gTLD)

e.g., .com, .edu, .net, .mil, .arpa

country code TLDs (ccTLD)

e.g., .us, .fr, .uk

list from ISO list of "names of countries, territories or areas of geographical interest"

has caused issues: e.g. French Polynesia ccTLD = .pf but France says that French Polynesia is not a separate country

# Names, contd.

#### sample domain names

```
<u>www.Plymouth.com</u> → Plymouth Tube Company
<u>www.Harvard.com</u> → Harvard Book Store
WhiteHouse.com → WhitePage.com
```

#### Questions

what is the basic purpose of trademark law?

in what ways are domain names not like trademarks?

note: trademark people blocked introduction of new TLDs for many years

#### More Values #2: Addresses

network nodes are identified by network addresses

- e.g., MAC addresses on Ethernet
- e.g., IP addresses on IP networks
- address must (generally) be unique within scope of network
  - special case: "anycast" address find "closest" (in routing terms) instance

#### IP Addresses

IP addresses 32-bit value combined network location and identifier early format: 8-bits network, 24-bit node on network current format: no specific dividing point can have "private" IP addresses (aka RFC 1918) ranges of addresses for use on private networks addresses must be translated in packets if connected to Internet - use network address translator (NAT) many wireless access points & cable modems use private addresses and NATs

# IP Addresses, contd.

#### IANA (Jon) made early IP address assignments

#### 1st assignments Nov 1977 (RFC 739)

- 1 BBN Packet Radio Network
- 2 SF Bay Area Packet Radio Network (1)
- 3 BBN RCC Network
- 4 Atlantic Satellite Network
- 5 Washington D.C. Packet Radio Network
- 6 SF Bay Area Packet Radio Network (2)
- 7-9 Not assigned
- 10 ARPANET
- 11 University College London Network
- 12 CYCLADES
- 13 National Physical Laboratory
- 14 TELENET
- 15 British Post Office EPSS
- 16 DATAPAC
- 17 TRANSPAC
- 18 LCS Network
- 19 TYMNET

#### Address Distribution 3/1987

```
class A (8-bits network, 24-bits node)
27 assigned (1 non US, 5 corp, 4 univ, rest mill
Class B (16-bits network, 16-bits node)
205 assigned (10 non US, 36 corp, 115 univ, ...)
Class C (24-bits network, 8-bits node)
7,395 assigned
```

# Question

why so few non-US assignments?

# Transition 1: Sep 1991

- too much work for Jon (and Joyce)
- IP address assignments & root zone editing moved to Government Systems Inc (GSI)
- under US government contract (with NSF) services "free" to users
- GSI subcontracted operations to Network Solutions, Inc. (NSI)
- IANA (Jon) kept policy process for new TLDs and block IP address assignments

#### Transition 2: Jan 1993

NSF rebid management function and NSI won the IP address, domain name and protocol values registration/coordination function part of bid was to establish a European IP address registry at RIPE and call for an asia/pacific one to be established ~7,500 DNS registrations at this point

#### **Nuclear Events**

- 1/ early 1990s www protocol and browsers mom could surf
- domain registrations passed 2,000/mo by 1995 and were on a upward trend
- NSI was under fixed \$4.2M/year budget something had to give
- 2/ sep 1995 NSI started to charge \$50/year (2-year minimum) per domain name in .com, .net and .org
  - (.edu registration was still government funded)

#### Question

what were the main issues people had with NSI charging for domain name registrations?

#### **DNS Side Issue**

- DNS protocol only supports 13 root name servers
- most current servers are run by US companies or US government agencies (10)
  - but Internet is international
- lots of countries want their own root server
  - >13 countries in the world
- also, with only 13 easy DoS targets
- 5 roots are now anycast
  - lots of actual servers all over the world
  - does not satisfy some politicians

#### Rise of the RIRs

Regional Internet Registries RIPE-NCC (Europe)1993 APNIC (Asia / Pacific) 1993 ARIN (North America etc) 1997 spun out of NSI LACNIC (Latin America etc) 2001 AfriNIC (Africa) 2005

#### RIR

allocate IP addresses to ISPs & some large sites

note: not "sell" - IP addresses are not property exclusive territories do not guarantee addresses will be routed membership organizations mostly ISPs public policy processes

not restricted to members

#### Questions

Why are addresses not property?

How can RIRs have exclusive territories?

What about anti-trust?

# IANA, Inc.

- Jon Postel decided in 1994 that the IANA should not be a US-funded function needed an independent legal home Internet Society set up an international ad-hoc
- committee to discuss issue in 1996
  produced proposal
- US government got interested in 1997 produced Green Paper (1998) similar proposal produced White Paper (1998) proposal still similar LOTS of 3rd party complaints

#### Gold in them Names

```
1M names registered in 1st half of 1998
$100M
```

big push for new TLDs seen as a way to print money

these forces did not like White Paper plan

but US Department of Commerce went ahead

chartered Postel proposal for Internet Corporation for Assigned Names and Numbers (ICANN) - Feb 1999

Jon Postel died October 16, 1998

#### Question

if 10 new TLDs were created, how much would each be worth?

what about 100 new TLDs?

#### **ICANN**

non-government but contract with US DoC to manage technical aspects of Internet i.e., root DNS zone & servers, IP addresses & DNS TLDs

DoC must approve important actions from start seen as able to address other issues e.g., settlements, porn, spam, commerce ...

current budget \$23M

most revenue from DNS fees
some from RIRs

# ICANN, contd.

#### "performs IANA function"

"As a private-public partnership, ICANN is dedicated to preserving the operational stability of the Internet; to promoting competition; to achieving broad representation of global Internet communities; and to developing policy appropriate to its mission through bottom-up, consensusbased processes."

two "supporting organizations"

DNS

IP Addresses

plus Government Advisory Committee (GAC)

global policy from supporting organizations
OKed by ICANN board

#### Rest of the World

International Telecommunications Union (ITU) traditional home of telecommunications standards phone technologies, settlements, phone #s ...

UN treaty agency, **governments** do final standards approval

Internet Engineering Task Force

"traditional" home of Internet (and IP network) stds consensus of geeks & others on standards

100s of focused standards bodies & forums

# Regulations

```
all of telecommunications has heavy regulation
  governments say what can be done & how
     e.g., quality requirements, fees, deployment scope
  and heavy taxes
  e.g., universal service fee
none of Internet (in US) has any regulations
  some in other countries
  some starting in US (e911 for VoIP)
telcom without regulations is a strange concept
  to governments & carriers (e.g., guaranteed return)
```

# Convergence

```
the Internet is the answer
  (what was your question?)
traditional phone and video moving to IP
  networks
IETF technology dominating tecom future
  SIP (voice over IP), MPLS (switched circuits under
    IP), BGP (Internet routing), ...
where does that leave the ITU?
and the regulators?
```

### Question

what would you do if you were the ITU?

or a regulator?

#### **WSIS**

# World Summit on the Information Society proposed by the ITU, OKed by the UN

'convinced of the need, at the highest political level, to marshal the global consensus and commitment required to promote the urgently needed access of all countries to information, knowledge and communication technologies for development so as to reap the full benefits of the information and communication technologies revolution.'

lots of issues that could be looked at including:

should ICANN exist or who should control it if ICANN should exist

who should define standards for next gen Internet

#### Undercurrents

#### US control of ICANN

e.g., OK on changes to root zone

if France wants to change IP address of DNS server for .fr the DoC has to OK the change

have/have not split in the world

who should pay to bring Internet to 3rd world

& cost split

#### content control

many countries want to control access to content including the US

#### **WSIS**

## 1st meeting a loss

bogged down on have/have not issue

kicked WSIS future to UN

created Working Group on Internet Governance (WGIG)

produced non-specific input to 2nd meeting

#### prep meeting for 2nd WSIS meeting

US vs everybody

near unanimous view that US had to let go

US said 'we will not relinquish traditional role' (i.e., "no")

#### 2nd WSIS meeting said nice things

& created Internet Governance Forum (IGF)

# Meanwhile, International

World Trade Organization (WTO)
ruled in favor of Antigua and against the US
relating to Internet gambling

tells US that it cannot block US Internet users access to Internet gambling sites

US has mostly ignored ruling (so far)

# Question

what should US do about WTO ruling?

# Meanwhile, Back in the USA

congress is debating "network neutrality" carrier view - it's my wire

Edward E. Whitacre - CEO AT&T

'Google, Vonage & Skype are using my network for free'

William L. Smith - CTO Bell South

'we should be able to charge Yahoo to let their web page load faster than Google'

"Internet" view (e.g. Vint Cerf)

how does the next Google get started if the carriers demand an up-front fee

destroy innovation engine

# **Network Neutrality**

specific network neutrality provision voted down in House committee last week separate network neutrality bill in Senate might still happen House draft blesses FCC "principles"

# FCC Principles

- consumers are entitled to access the lawful Internet content of their choice
- consumers are entitled to run applications and use services of their choice, subject to the needs of law enforcement
- consumers are entitled to connect their choice of legal devices that do not harm the network
- consumers are entitled to competition among network providers, application and service providers, and content providers

#### **Unanswered Questions**

Who says who makes the rules?

Who says who pays for what?

#### Answers

ITU tried to answer WSIS tried to answer who next?

there will be answers one way or another

#### Last Question

what will your children's Internet look like (policy wise, not technology wise)

have fun finding out if you are right