18.701: Algebra 1

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Contents

1 Groups

1.1 Introduction

The lecturer is **Davesh Maulik**. These notes are taken by **Jakin Ng**, **Sanjana Das**, and **Ethan Yang**. Here is some basic information about the class:

- The text used in this class will be the 3rd edition of **Algebra**, by Artin.
- The course website is found on **Canvas**, and the problem sets will be submitted on **Gradescope**.
- The problem sets will be due every Tuesday at midnight.

Throughout this semester, we will discuss the fundamentals of *linear algebra* and *group theory*, which is the study of symmetries. In this class, we will mostly study groups derived from geometric objects or vector spaces, but in the next course, 18.702[1], more exotic groups will be studied.

As a review of basic linear algebra, let's review invertible matrices.

Definition 1.1
An $n \times n$ matrix[a] A is invertible if there exists some other matrix A^{-1} such that $AA^{-1} = A^{-1}A = I$, the $n \times n$ identity matrix. Equivalently, A is invertible if and only if the determinant $\det(A) \neq 0$.

[a] An array of numbers (or some other type of object) with n rows and n columns

Example 1.2 ($n = 2$)
Let $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ be a 2×2 matrix. Then its inverse A^{-1} is $\frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$.

Example 1.3 ($GL_n(\mathbb{R})$)
A main example that will guide our discussion of groups[a] is the **general linear group**, $GL_n(\mathbb{R})$, which is the group of $n \times n$ invertible real matrices.

[a] The concept of a *group* will be fleshed out later in this lecture

Throughout the course, we will be returning to this example to illustrate various concepts that we learn about.

1.2 Laws of Composition

With our example in mind, let's start.

Guiding Question
How can we generalize the nice properties of matrices and matrix multiplication in a useful way?

Given two matrices $A, B \in GL_n(\mathbb{R})$, there is an operation combining them, in particular *matrix multiplication*, which returns a matrix $AB \in GL_n(\mathbb{R})$.[2] The matrices under matrix multiplication satisfy several properties:

- **Noncommutativity.** Matrix multiplication is noncommutative, which means that AB is not necessarily the same matrix as BA. So the order that they are listed in *does* matter.
- **Associativity.** This means that $(AB)C = A(BC)$, which means that the matrices to be multiplied can be grouped together in different configurations. As a result, we can omit parentheses when writing the product of more than two matrices.
- **Inverse.** The product of two invertible matrices is also invertible. In particular,

$$(AB)^{-1} = B^{-1}A^{-1}.$$

[1] Algebra 2
[2] Since the determinant is multiplicative, $\det(AB) = \det(A)\det(B)$, which is nonzero.

Another way to think of matrices is as an *operation* on a different space. Given a matrix $A \in GL_n(\mathbb{R})$, a function or transformation on $\mathbb{R}^n$[3] can be associated to it, namely

$$T_A : \mathbb{R}^n \longrightarrow \mathbb{R}^n$$
$$\vec{v} = (x_1, \cdots, x_n) \longmapsto A\vec{v}^{[4]}.$$

Since $A\vec{v}$ is the matrix product, we notice that $T_{AB}(\vec{v}) = T_A(T_B(\vec{v}))$, and so matrix multiplication is the same as function composition.

With this motivation, we can define the notion of a group.

Definition 1.4 (Group)
A **group** is a set G with a composition (or product) law

$$G \times G \longrightarrow G$$
$$(a, b) \longmapsto a \cdot b^{[5]}$$

fulfilling the following conditions:

- **Identity.** There exists some element $e \in G$ such that $a \cdot e = e \cdot a = a$
- **Inverse.** For all $a \in G$, there exists $b \in G$, denoted a^{-1}, such that $a \cdot b = b \cdot a = e$.
- **Associative.** For $a, b, c \in G$,
$$(ab)c = a(bc).$$

[5] Also denoted ab

In the definition, both the first and second conditions automatically give us a unique inverse and identity. For example, if e and e' both satisfy property 1, then $e \cdot e' = e = e'$, so they must be the same element. A similar argument holds for inverses.

Why does associativity matter? It allows us to define the product $g_1 \cdot g_2 \cdot \cdots \cdot g_n$ without the parentheses indicating which groupings they're multiplied in.

Definition 1.5
Let g taken to the power n be the element $g^n = \underbrace{g \cdot \cdots \cdot g}_{n \text{ times}}$ for $n > 0$, $g^n = \underbrace{g^{-1} \cdot \cdots \cdot g^{-1}}_{n \text{ times}}$ for $n < 0$, and e for $n = 0$.

Example 1.6
Some common groups include:

Group	Composition Law	Identity	Inverse
$GL_n(\mathbb{R})$[a]	matrix multiplication	I_n	$A \mapsto A^{-1}$
$\mathbb{Z}$[b]	$+$	0	$n \mapsto -n$
$\mathbb{C}^\times = \mathbb{C} \setminus \{0\}$[c]	$\times$	1	$z \mapsto \frac{1}{z}$

[a] The general linear group
[b] The integers under addition
[c] The complex numbers (except 0) under multiplication

For the last two groups, there is additional structure: the composition law is *commutative*. This motivates the following definition.

Definition 1.7
A group G is **abelian** if $a \cdot b = b \cdot a$ for all $a, b \in G$. Otherwise, G is called **nonabelian**.

[3] Vectors with n entries which are real numbers.
[4] The notation $A\vec{v}$ refers to the matrix product of A and $\vec{v}$, considered as $n \times n$ and $n \times 1$ matrices.

Often, the composition law in an abelian group is denoted $+$ instead of $\cdot$.

1.3 Permutation and Symmetric Groups

Now, we will look at an extended example of another family of nonabelian groups.

Definition 1.8
Given a set S, a **permutation** of S is a *bijection*[a] $p : S \longrightarrow S$.

[a] A function $f : A \longrightarrow B$ is a bijection if for all $y \in B$, there exists a unique $x \in A$ such that $f(x) = y$. Equivalently, it must be one-to-one and onto.

Definition 1.9
Let $\text{Perm}(S)$ be the set of permutations of S.

In fact, $\text{Perm}(S)$ is a group, where the product rule is function composition.[6]

- **Identity.** The identity function $e : x \longmapsto x$ is is the identity element of the group.
- **Inverse.** Because p is a bijection, it is invertible. Let $p^{-1}(x)$ be the unique $y \in S$ such that $p(y) = x$.
- **Associativity.** Function composition is always associative.

Like groups of matrices, $\text{Perm}(S)$ is a group coming from a set of *transformations* acting on some object; in this case, S.

Definition 1.10
When $S = \{1, 2, \cdots, n\}$, the permutation group $\text{Perm}(S)$ is called the **symmetric group**, denoted S_n.

Definition 1.11
For a group G, the number of elements in the set G, $|G|$, is called the **order** of the group G, denoted $|G|$ or $\text{ord}(G)$.

The order of the symmetric group is $|S_n| = n!$[7] so the symmetric group S_n is a *finite* group.

For $n = 6$, consider the two permutations p and q

i	1	2	3	4	5	6
$p(i)$	2	4	5	1	3	6

i	1	2	3	4	5	6
$q(i)$	3	4	5	6	1	2

,

where the upper number is mapped to the lower number.

We can also write these in **cycle notation**, which is a shorthand way of describing a permutation that does not affect what the permutation actually is. In cycle notation, each group of parentheses describes a cycle, where the number is mapped to the following number, and it wraps around.

Example 1.12 (Cycle notation)
In cycle notation, p is written as $(124)(35)$, where the 6 is omitted. In the first cycle, 1 maps to 2, 2 maps to 4, and 4 maps to 1, and in the second cycle, 3 maps to 5 and 5 maps back to 3.[a]

[a] In fact, we say that p has *cycle type* $(3, 2)$, which is the lengths of each cycle.

[6] We can check that the composition of two bijections $p \circ q$ is also a bijection.

[7] The number of permutations of the numbers 1 through n is $n!$ — there are n possibilities for where 1 maps to, and then $n - 1$ for where 2 maps to, and so on to get $n(n-1)\cdots(2)(1) = n!$

Similarly, q is written as $(135)(246)$.[8] In cycle notation, it is clear that there are multiple ways to write or represent the same permutation. For example, p could have been written as $(241)(53)$ instead, but it represents the *same* element $p \in S_6$.

Cycle notation allows us to more easily invert or compose two permutations; we simply have to follow where each number maps to.

Example 1.13 (Inversion)
The inverse p^{-1} flips the rows of the table:

i	2	4	5	1	3	6
$p(i)$	1	2	3	4	5	6

In cycle notation, it reverses the cycles, since each number should be mapped under p^{-1} to the number that maps to it under p:

$$p^{-1} = (421)(53) = (142)(35).$$

Example 1.14 (Composition)
The composition is

$$q \circ p = (143)(26).$$

Under p, 1 maps to 2, which maps to 4 under q, and so 1 maps to 4 under $q \circ p$.[a] Similarly, 4 maps to 3 and 3 maps back to 1, which gives us the first cycle. The second cycle is similar.

[a] Remember that the rightmost permutation is applied first, and then the leftmost, and not the other way around, due to the notation used for function composition.

Example 1.15 (Conjugation)
Another example of composition is

$$p^{-1} \circ q \circ p = (126)(345).$$

This is also known as *conjugation* of q by p.[a]

[a] Notice that under conjugation, q retains its cycle type $(3, 3)$. In fact, this is true for conjugation of any element by any other element!

1.4 Examples of Symmetric Groups

For $n \geq 3$, S_n is always non-abelian. Let's consider S_n for small $n \leq 3$.

Example 1.16 (S_1)
In this case, S_1 only has one element, the identity element, and so it is $\{e\}$, the *trivial group*.

Example 1.17 (S_2)
For $n = 2$, the only possibilities are the identity permutation e and the transposition (12). Then $S_2 = \{e, (12)\}$; it has order 2.

Once n gets larger, the symmetric group becomes more interesting.

[8] It has cycle type $(3, 3)$.

Example 1.18 (S_3)
The symmetric group on three elements is of order $3! = 6$. It must contain the identity e. It can also contain $x = (123)$. Then we also get the element $x^2 = (132)$, but

$$\boxed{x^3 = e.}$$

Higher powers are just $x^4 = x, x^5 = x^2$, and so on. Now, we can introduce $y = (12)$, which is its own inverse, and so

$$\boxed{y^2 = e}.$$

Taking products gives $xy = (13)$ and $x^2y = (23)$. So we have all six elements of S_3:

$$S_3 = \{e, (123), (132), (12), (13), (23)\}.$$

In fact, $yx = (23) = x^2y$, so taking products in the other order does not provide any new elements. The relation

$$\boxed{yx = x^2y}$$

holds. In particular, using the boxed relations, we can compute *any* crazy combination of x and y and reduce it to one of the elements we listed. For example, $xyx^{-1}y = xyx^2y = xyyx = xy^2x = x^2$.

2 Subgroups and Cyclic Groups

2.1 Review

Last time, we discussed the concept of a group, as well as examples of groups. In particular, a group is a set G with an associative composition law $G \times G \longrightarrow G$ that has an identity as well inverses for each element with respect to the composition law $\times$.

Our guiding example was that of the group of invertible $n \times n$ matrices, known as the **general linear group** ($GL_n(\mathbb{R})$ or $GL_n(\mathbb{C})$, for matrices over $\mathbb{R}$ and $\mathbb{C}$, respectively.)

Example 2.1
Let $GL_n(\mathbb{R})$ be the group of $n \times n$ invertible real matrices.

- **Associativity.** Matrix multiplication is associative; that is, $(AB)C = A(BC)$, and so when writing a product consisting of more than two matrices, it is not necessary to put in parentheses.
- **Identity.** The $n \times n$ identity matrix is $I_n = \begin{pmatrix} 1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 1 \end{pmatrix}$, which is the matrix with 1s along the diagonal and 0s everywhere else. It satisfies the property that $AI = IA = A$ for all $n \times n$ matrices A.
- **Inverse.** By the invertibility condition of GL_n, every matrix $A \in GL_n(\mathbb{R})$ has an inverse matrix A^{-1} such that $AA^{-1} = A^{-1}A = I_n$.

Furthermore, each of these matrices can be seen as a transformation from $\mathbb{R}^n \longrightarrow \mathbb{R}^n$, taking each vector $\vec{v}$ to $A\vec{v}$. That is, there is a bijective correspondence between matrices A and invertible transformations $T_A : \mathbb{R}^n \longrightarrow \mathbb{R}^n$ taking $T_A(\vec{v}) = A\vec{v}$.

Another example that showed up was the integers under addition.

Example 2.2
The integers $\mathbb{Z}$ with the composition law $+$ form a group. Addition is associative. Also, $0 \in \mathbb{Z}$ is the additive identity, and $-a \in \mathbb{Z}$ is the inverse of any integer a.

On the other hand, the natural numbers $\mathbb{N}$ under addition would *not* form a group, because the invertibility condition would be violated.

Lastly, we looked at the symmetric group S_n.

Example 2.3
The **symmetric group** S_n is the permutation group of $\{1, \cdots, n\}$.

2.2 Subgroups

In fact, understanding S_n is important for group theory as a whole because *any* finite group "sits inside" S_n in a certain way[9], which we will begin to discuss today.

Guiding Question
What does it mean for a group to "sit inside" another group?

If a subset of a group satisfies certain properties, it is known as a *subgroup.*

[9] This is known as *Cayley's Theorem* and is discussed further in section 7.1 of Artin.

Definition 2.4
Given a group $(G, \cdot)$, a subset $H \subset G$ is called a **subgroup** if it satisfies:

- **Closure.** If $h_1, h_2 \in H$, then $h_1 \cdot h_2 \in H$.
- **Identity.** The identity element e in G is contained in H.
- **Inverse.** If $h \in H$, its inverse h^{-1} is also an element of H.

As notation, we write $H \leq G$ to denote that H is a subgroup of G.

Essentially, these properties consists solely of the necessary properties for H to also be a group under the same operation $\cdot$, so that it can be considered a subgroup and not just some arbitrary subset. In particular, any subgroup H will also be a group with the same operation, independent of the larger group G.

Example 2.5
The integers form a subgroup of the rationals under addition: $(\mathbb{Z}, +) \subset (\mathbb{Q}, +)$.

The rationals are more complicated than the integers, and studying simpler subgroups of a certain group can help with understanding the group structure as a whole.

Example 2.6
The symmetric group S_3 has a three-element subgroup $\{e, (123), (132)\} = \{e, x, x^2\}$.

However, the natural numbers $\mathbb{N} = \{0, 1, 2, \cdots\} \subset (\mathbb{Z}, +)$ are **not** a subgroup of the integers, since not every element has an inverse.

Example 2.7
The matrices with determinant 1, called the **special linear group**, form a subgroup of invertible matrices: $SL_n(\mathbb{R}) \subset GL_n(\mathbb{R})$.

The special linear group is closed under matrix multiplication because $\det(AB) = \det(A)\det(B)$.

2.3 Subgroups of the Integers

The integers $(\mathbb{Z}, +)$ have particularly nice subgroups.

Theorem 2.8
The subgroups of $(\mathbb{Z}, +)$ are $\{0\}, \mathbb{Z}, 2\mathbb{Z}, \cdots$.[a]

[a] Where $n \in \mathbb{Z}$, $n\mathbb{Z}$ consists of the multiples of n, $\{nx : x \in \mathbb{Z}\}$.

This theorem demonstrates that the condition that a subset H of a group be a subgroup is quite strong, and requires quite a bit of structure from H.

Proof. First, $n\mathbb{Z}$ is in fact a subgroup.

- **Closure.** For $na, nb \in n\mathbb{Z}$, $na + nb = n(a + b)$.
- **Identity.** The additive identity is in $n\mathbb{Z}$ because $0 = n \cdot 0$.
- **Inverse.** For $na \in n\mathbb{Z}$, its inverse $-na = n(-a)$ is also in $n\mathbb{Z}$.

Now, suppose $S \subset \mathbb{Z}$ is a subgroup. Then clearly the identity 0 is an element of S. If there are no more elements in S, then $S = \{0\}$ and the proof is complete. Otherwise, pick some nonzero $h \in S$. Without loss of generality, we assume that $h > 0$ (otherwise, since $-h \in S$ as well by the invertibility condition, take $-h$ instead of h.) Thus, S contains at least one positive integer; let a be the smallest positive integer in S.

Then we claim that $S = a\mathbb{Z}$. If $a \in S$, then $a + a = 2a \in S$ by closure, which implies that $2a + a = 3a \in S$, and so on. Similarly, $-a \in S$ by inverses, and $-a + (-a) = -2a \in S$, and so on, which implies that $a\mathbb{Z} \subset S$.

Now, take any $n \in S$. By the Euclidean algorithm, $n = aq + r$ for some $0 \leq r < a$. From the subgroup properties, $n - aq = r \in S$ as well. Since a is the smallest positive integer in S, if $r > 0$, there would be a contradiction, so $r = 0$. Thus, $n = aq$, which is an element of $a\mathbb{Z}$. Therefore, $S \subset a\mathbb{Z}$.

From these two inclusions, $S = a\mathbb{Z}$ and the proof is complete. □

Corollary 2.9
Given $a, b \in \mathbb{Z}$, consider $S = \{ai + bj : i, j \in \mathbb{Z}\}$. The subset S satisfies all the subgroup conditions, so by Theorem 2.8, there is some d such that $S = d\mathbb{Z}$. In fact, $d = \gcd(a, b)$.

Proof. Let $e = \gcd(a, b)$. Since $a \in S$, $a = dk$ and $b = d\ell$ for some k, ℓ. Since the d from before divides a and b, it must also divide e, by definition of the greatest common divisor. Also, since $d \in S$, by the definition of S, $d = ar + bs$ for some r and b. Since e divides a and b, e divides both ar and bs and therefore d.

Thus, d divides e, and e divides d, implying that $e = d$. So $S = \gcd(a, b)\mathbb{Z}$. □

In particular, we have showed that $\gcd(a, b)$ can always be written in the form $ar + bs$ for some r, s.

2.4 Cyclic Groups

Now, let's discuss a very important type of subgroup that connects back to the work we did with $(\mathbb{Z}, +)$.

Definition 2.10
Let G be a group, and take $g \in G$. Let the **cyclic subgroup generated by** g be

$$\langle g \rangle \coloneqq {}^{a}\{\cdots g^{-2}, g^{-1}, g^0 = e, g^1, g^2, \cdots\} \leq G.$$

[a] The $\coloneqq$ symbol is usually used by mathematicians to mean "is defined to be." Other people may use $\equiv$ for the same purpose.

Since $g^a \cdot g^b = g^{a+b}$, the exponents of the elements of a cyclic subgroup will have a related group structure to $(\mathbb{Z}, +)$.

Example 2.11
The identity element generates the trivial subgroup $\{e\} = \langle e \rangle$ of any group G.

There are also nontrivial cyclic subgroups.

Example 2.12
In S_3, $\langle (123) \rangle = \{e, (123), (132)\}$.

Evidently, a cyclic subgroup of any finite group must also be finite.

Example 2.13
Let $\mathbb{C}^\times$ be the group of nonzero complex numbers under multiplication. Then $2 \in \mathbb{C}$ will generate

$$\langle 2 \rangle = \{\cdots, 1/4, 1/2, 1, 2, 4, \cdots.\}$$

On the other hand, $i \in \mathbb{C}$ will generate

$$\langle i \rangle = \{1, i, -1, -i\}.$$

This example shows that a cyclic subgroup of an infinite group can be either infinite or finite.[10]

[10] Can you work out the cases for which $g \in \mathbb{C}$ the cyclic subgroup of $\mathbb{C}^\times$ is finite or infinite?

Guiding Question
What does a cyclic subgroup look like? Can they be classified?

Theorem 2.14
Let $S = \{n \in \mathbb{Z} : g^n = e\}$. Then S is a subgroup of $\mathbb{Z}$, so $S = d\mathbb{Z}$ or $S = \{0\}$, leading to two cases:

- If $S = \{0\}$, then $\langle g \rangle$ is infinite and all the g^k are distinct.
- If $S = d\mathbb{Z}$, then $\langle g \rangle = \{e, g, g^2, \cdots, g^{d-1}\} \subset G$, which is finite.

Proof. First, S must be shown to actually be a subgroup of $\mathbb{Z}$.

- **Identity.** The identity $0 \in S$ because $g^0 = e$.
- **Closure.** If $a, b \in S$, then $g^a = g^b = e$, so $g^{a+b} = g^a g^b = e \cdot e = e$, so $a + b \in S$.
- **Inverse.** If $a \in S$, then $g^{-a} = (g^a)^{-1} = e^{-1} = e$, so $a \in S$.

Now, consider the first case. If $g^a = g^b$ for any a, b, then multiplying on right by g^{-b} gives $g^a \cdot g^{-b} = g^{a-b} = e$. Thus, $a - b \in S$, and if $S = \{0\}$, then $a = b$. So any two powers of g can only be equal if they have the same exponent, and thus all the g^i are distinct and the cyclic group is infinite.

Consider the second case where $S = d\mathbb{Z}$. Given any $n \in \mathbb{Z}$, $n = dq + r$ for $0 \leq r < d$ by the Euclidean algorithm. Then $g^n = g^{dq} \cdot g^r = g^r$, which is in $\{e, g, g^2, \cdots, g^{d-1}\}$. □

Definition 2.15
So if $d = 0$, then $\langle g \rangle$ is infinite; we say that g has **infinite order.** Otherwise, if $d \neq 0$, then $|\langle g \rangle| = d$ and g has **order** d.

It is also possible to consider more than one element g.

Definition 2.16
Given a subset $T \subset G$, the subgroup generated by T is

$$\langle T \rangle \coloneqq \{t_1^{e_1} \cdots t_n^{e_n} \mid t_i \in T, e_i \in \mathbb{Z}\}.$$

Essentially, $\langle T \rangle$ consists of all the possible products of elements in T. For example, if $T = \{t, n\}$, then

$$\langle T \rangle = \{\cdots, t^2 n^{-3} t^4, n^5 t^{-1}, \cdots\}.$$

Definition 2.17
If $\langle T \rangle = G$, then T **generates** G.[a]

[a] Given a group G, what is the smallest set that generates it? Try thinking about this with some of the examples we've seen in class!

Example 2.18
The set $\{(123), (12)\}$ generates S_3.

Example 2.19
The invertible matrices $GL_n(\mathbb{R})$ are generated by elementary matrices[a].

[a] The matrices giving row-reduction operations.

Resource: Algebra I Student Notes

Fall 2021

Instructor: Davesh Maulik
Notes taken by Jakin Ng, Sanjana Das, and Ethan Yang